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Cherenkov detectors in the ALICE experiment at LHC: current status and perspective

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- ALICE goal
- ALICE aparatus and PID
- The HMPID detector
 - Detector description
 - Detector stabilty
 - Detector upgrade for LHC Run 3
 - PID procedure
 - Physics result highlights from Run 1 and 2
 - Preliminary results from Run 3 data
- The RICH detector for ALICE 3



ALICE goal

ALICE is designed to study the physics of strongly interacting matter under extremely high temperature and energy **ALICE** densities to investigate the properties of the quark-gluon plasma.

- Proton-proton collisions:
 - high energy QCD reference.
- proton-nucleus collisions:
 - initial state/cold nuclear matter.
- nucleus-nucleus collisions:
 - quark-gluon plasma formation!



ALICE must measure the yields of produced charged pions, kaons and protons in a wide momentum range and in several colliding systems.

ALICE apparatus







ALICE apparatus



ACORDE | ALICE Cosmic Rays Detector



ALICE exploits the combination of different particle identification (PID) techniques

- Energy loss (ITS, TPC)
- Time of flight (TOF)
 - Cherenkov radiation (HMPID)
 - Transition radiation (TRD)
 - Calorimeters (EMCal/DCal, PHOS)
 - **Topological PID**







Barrel

Particle Identification in ALICE:

momentum ranges





HMPID description

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• The ALICE-HMPID (High Momentum Particle Identification Detector) performs charged particle track-by-track identification by means of the measurement of the emission angle of Cherenkov radiation and of the momentum information provided by the tracking devices.

• It consists of seven identical proximity focusing RICH counters.

RADIATOR

15 mm liquid C₆F₁₄, n ~ 1.2989 @ 175nm, $β_{th}$ = 0.77

PHOTON CONVERTER

Reflective layer of CsI QE ~ 25% @ 175 nm. The largest scale (11 m²) application of CsI photo-cathodes in HEP ≈ 5 % of TPC acceptance

PHOTOEL. DETECTOR

- MWPC with CH_4 at atmospheric pressure (4 mm gap) HV = 2050 V.

- Analogue pad readout

The HMPID detector is installed in the ALICE cavern since September 2006!



HMPID detector description





HMPID detector description

- FEE and RO electronics is based on GASSIPLEX and DILOGIC chips developed within the HMPID project
- GASSIPLEX: 16-channel analogue multiplexed low-noise signal processor, the noise level is 1000 e⁻, dead/noisy pads are less than 200 out of 161280
- DILOGIC: individual threshold and pedestal setup
- 42 photo-cathodes are segmented into 3840 pads with individual analog readout.







C₆F₁₄ circulation, purifying systems and transparency monitoring

Fig. 6 Location of the three units of the HMPID liquid system in the experimental cavern.

ALICE

- Safe C₆F₁₄ circulation by gravity flow;
- Stable transparency to Cherenkov photons;
- Separated control for each radiator vessel;







Detector stability: MWPCs gain



- HV equalization (Sept. 2011) to set $A_0 \approx 35$;
- Gain variations $\approx \pm 15\%$;
- A reduction of 20% on $A_0 \rightarrow$ photoelectron detection efficiency loss of 3% ($A_{th}/A_0 \approx 4/35$). No effects on the PID performance!

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Detector stability: number of detected ph.e.





- Good N_{ph} stability infers a CsI QE stability;
- Except RICH2, where PC2 and PC3 show a drop of 30%. After cleaning, these PCs were re-evaporated during 2005, maybe procedure not optimised;
- Empty space between blobs represents LHC technical stops from 2010 up to 2015.

Detector stability







Full yellow bars: measured CsI charge dose end of RUN 2; Empty bars: total anode charge. Bleu line: dose limit for possible CsI QE loss: 0.2 mC/cm^{2;} [NIM A553 (2015), NIM A574(2007)] Orange line: 0.44 mC/cm² Expected charge dose end RUN 3. Possible CsI QE loss of 8%.

Detector upgrading for Run 3 (2022 - 2025)

• New RO firmware increased the read-out data rate

Readout rate vs. occupancy



Detector upgrading for Run 3 (2022 - 2025)

May 2021: installation of the absorbers to measure inelastic cross section of anti-deuterons



- Interesting for cosmic anti nuclei, multi-baryon state production...;
- Expected statistical precision 2-4% in the momentum interval 0.2 GeV/c
- A systematic uncertainties of 5.5% is expected based on conservative estimate (https://alice-notes.web.cern.ch/node/1015);



Pattern recognition with HMPID

- A primary track extrapolated from the internal tracking devices has to match with a MIP cluster. This is mandatory for an efficient reconstruction in events with high occupancy in HMPID
- □ For every cluster in the event, the Cherenkov angle is evaluated (if exists)
- The photon emission angles are reconstructed using a backtracing loop method









Pattern recognition with the HMPID



Background discrimination is performed exploiting the Hough Transform Method (HTM).

- HTM is an efficient implementation of a generalized *template matching* strategy for detecting complex patterns in binary images.
- The starting point of the analysis is a bi-dimensional map with the impact point (x_p, y_p) of the charged particles, hitting the detector plane with known incidence angles (θ_p, φ_p) , and the coordinates (x, y) of hits due to both Cherenkov photons and background sources.
- A "Hough counting space" is constructed for each charged particle, according to the following transform: $(x, y) \rightarrow ((x_p, y_p, \theta_p, \varphi_p), \eta_c)$
- $(x_p, y_p, \theta_p, \varphi_p)$ is provided by the tracking of the charged particle, so the transform will reduce the problem to a solution in a one-dimensional mapping space.
- A η_c bin with a certain width is defined. The Cherenkov angle θ_c of the particle is provided by the average of the η_c values that fall in the bin with the largest number of entries

Pattern recognition with the HMPID



The HMPID is located ~ 5 m from the primary vertex, hence tracks must be propagated through significant material budged after the TPC (~ 0.36 X_0 , ~ 0.46 X_0 from beam pipe) with respect to other RICH detectors. Precise knowledge of the track parameters is essential!

Reconstructed tracks are propagated up to the HMPID chambers by means of a dedicated algorithm. Below 2 GeV/c most of the track have a distance between the primary track's intersection points at HMPID plane and the corresponding MIP point, above 2 cm. In the tracking procedure, the running track is picked up at the last TPC point and propagated up to the HMPID through the TRD and TOF.

The extrapolation algorithm considers the energy loss and the dependence of the magnetic field value on the distance from the interaction point. It is possible to exploit the precise knowledge (1 mm precision) of the HMPID MIP information in the track fitting.



Pattern recognition with the HMPID

ALICE

Using HMPID MIP clusters information in the tracking procedure improves the track angular resolution, ^{HI} bringing the resolution of the Cherenkov angle close to the design values.





PID procedure with the HMPID

Identification on statistical basis: low multiplicity events



the particle yields are evaluated from a three-Gaussian fit to the Cherenkov angle distribution in a narrow transverse momentum range. The function used is the following:



- $< \Theta_i > =$ means of the Cherenkov angle distributions σ_i , = standard deviation of the Cherenkov angle distributions. Y_i = integral of the single Gaussian functions
- 9 parameters to be calculated, the three mean values, the three sigma values and the three yields.
- Mean and sigma values are know and fixed in the fitting.





PID procedure with the HMPID

Identification on statistical basis: high multiplicity events (central Pb-Pb collisions)

- the three Gaussian distributions in a given transverse momentum bins are convoluted with a background distribution;
- Such distribution increases with the Cherenkov angle value;
- It is due to mis-identification in the high occupancy events:
 - larger is the angle value larger is the probability to find background;
- In the yield extraction procedure, the background function has to be convoluted with the three-Gaussian one.





Pb-Pb event display

ALICE

Low multiplicity events : B = 0.2 and 0.5 Tesla comparison

B = 0. 5 Tesla





Low multiplicity events: B = 0.2 and 0.5 Tesla comparison







High multiplicity events : B = 0.2 and 0.5 Tesla comparison

B = 0. 5 Tesla



B = 0. 2 Tesla





High multiplicity events: B = 0.2 and 0.5 Tesla comparison





PID procedure with the HMPID

Identification on track-by-track basis

- From the knowledge of the expected Cherenkov angle value and the expected theoretical standard deviation, it is possible to calculate the values of two PID estimators:
 - the probability to be one of the charged hadron specie;
 - the difference between the measured angle value and the expected theoretical one in sigma units;





ALICE charged hadrons yields evaluation strategy

- To measure the production of pions, kaons, protons and light nuclei over a wide p_T range, results from five different independent PID techniques/detectors, namely ITS, TPC, TOF, HMPID and kink-topology (for kaons), are combined.
- In their overlap p_{T} regions the spectra from the different PID techniques are consistent within uncertainties:
 - the results are combined in the overlapping ranges using a weighted mean with the independent systematic uncertainties as weights.
- The HMPID constrains the uncertainty of the measurements in the transition region between the TOF and TPC relativistic rise methods (around $p_T = 3$ GeV/c). It both improves the precision of the measurement and validates the other methods in the region where they have the worst PID separation.

Some physics results from Run 1 and 2 with HMPID contribution

 π , K, p and light nuclei spectra, resulting from the combination of the information provided by 5 different **ALICE** analyses (dE/dx, TOF, Cherenkov, kinks topology for kaons).



Preliminary results from Run 3

MIP cluster charge distribution



More results on perfomance and physics from Run 3 data avilable soon:

- Light favor hadrons and light nuclei p_{T} spectra
- Anti-deuteron inelastic cross section

Perspective: ALICE 3

ALICE roadmap

- Ideas for dedicated heavy-ion programme for Run 5 and 6 at the LHC
 - developed within ALICE in the course of 2018/19
 - Letter of Intent: review concluded with very positive feedback by the LHCC in March 2022 (https://cds.cern.ch/record/2803563)
 - Scoping Document: submission at the beginning of 2024

Protons physics Ions Commissioning with beam Hardware commissioning/magnet training

Shutdown/Technical stop

ALICE 3 detector requirements

Component	Observables	Barrel ($ \eta < 1.75$)	Forward (1.75 < $ \eta $ < 4)	Detectors
Vertexing	(Multi-)charm baryons, dielectrons	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 10 \mu\text{m}$ at $p_{\text{T}} = 200 \text{MeV}/c, \eta = 0$	Best possible DCA resolution, $\sigma_{\text{DCA}} \approx 30 \mu\text{m}$ at $p_{\text{T}} = 200 \text{MeV}/c, \eta = 3$	retractable Si-pixel tracker: $\sigma_{\text{pos}} \approx 2.5 \mu\text{m},$ $R_{\text{in}} \approx 5 \text{mm},$ $X/X_0 \approx 0.1 \%$ for first layer
Tracking	(Multi-)charm baryons, dielectrons, photons	$\sigma_{p_{\mathrm{T}}}/p_{\mathrm{T}}pprox$	12%	Silicon pixel tracker: $\sigma_{\text{pos}} \approx 10 \mu\text{m},$ $R_{\text{out}} \approx 80 \text{cm},$ $L \approx \pm 4 \text{m}$ $X/X_0 \approx 1 \%$ per layer
Hadron ID	(Multi-)charm baryons	$\pi/K/p$ separation up to a few GeV/c		Time of flight: $\sigma_{tof} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$, $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Electron ID	Dielectrons, quarkonia, $\chi_{c1}(3872)$	pion rejection by 1000x up to 2–3 GeV/c		Time of flight: $\sigma_{tof} \approx 20 \text{ ps}$ RICH: $n \approx 1.006 - 1.03$, $\sigma_{\theta} \approx 1.5 \text{ mrad}$
Muon ID	Quarkonia, $\chi_{c1}(3872)$	reconstruction of J/ ψ at rest, i.e. muons from $p_{\rm T} \sim 1.5$ GeV/c at $\eta = 0$		steel absorber: $L \approx 70 \mathrm{cm}$ muon detectors
ECal	Photons, jets	large acceptance		Pb-Sci sampling calorimeter
ECal	Xc	high-resolution segment		PbWO ₄ calorimeter
Soft photon detection	Ultra-soft photons		measurement of photons in $p_{\rm T}$ range 1–50 MeV/c	Forward conversion tracker based on silicon pixel tracker

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The ALICE 3 RICH detector

Requirements

- Extend charged PID beyond TOF limits
 - e/π up to $\approx 2 \text{GeV}/c$
 - π/K upto ≈ 10 GeV/c
 - K/p up to \approx 16 GeV/c
- Cherenkov threshold: $p \ge m/(n-1)^{1/2}$
 - n = 1.03 (barrel), n = 1.006 (forward)

\Rightarrow Aerogel radiator

• Angular resolution: $\sigma_{\rm ring} \approx$ 1.5 mrad

Implementation

- 1(barrel)+1·2(disk) layers
- Barrel RICH at $R \approx 0.90$ m, |z| < 3.5 m
- Forward RICH at $z \approx 4.10 \text{ m}$, R < 1.70 m
- Silicon Photomultipliers (SiPMs) as photon detector

R&D challenges

- Projective bRICH to improve coverage at large
 |η| while saving on overall photosensitive area
- Merged oTOF+bRICH system using a common SiPM layer coupled to a thin radiator window

Ring angle [rad]

0.15

0.10

0.05

π

Projective bRICH

Monte Carlo simulation

Hough Transform

100 ps matching

Momentum [GeV/c]

HT ring hits \geq 13

Aerogel

Single photon Cherenkov angle [rad]

Photode **ALICE**

Summary & outlook

> The HMPID detector has exhibited satisfactory performance.

- By means of statistical unfolding HMPID provides charged hadrons production measurements, successfully participating to the ALICE physics program.
 - > Highlights of the results from LHC Run 1 and 2 data has been presented.
- In LHC Run 3 the HMPID readout rate is 20 KHz in pp collisions and 9 KHz in Pb-Pb, 10 times higher the rate limited by the triggered TPC in Run 1 and 2.
- The Detector is compliant with the new Online and Offline ALICE data taking and analysis environment (O²). Now the TPC is on continuous RO!!
- ➢ Good perspective for the HMPID operation in LHC Run 3.
 - Light nuclei identification: deuteron, triton, ³He, ⁴He.
- > The ALICE collaboration has presented a Letter of Intent for a possible future detector to be ready by 2034 LHC Run 5 (ALICE3).
- Currently, preparations for the ALICE3 Scoping Document are underway, with an expected completion date at the beginning of next year.
- > The RICH system studied and presented in the ALICE 3 LoI was conceived to fulfill the preliminary PID requirements.
 - An intense R&D activities is ongoing.

Backup

The Detector Control System

- Detector Control System (DCS) developed in the PVSS SCADA provided a full detector monitoring, archiving of condition data and remote operation.
- The user interface (UI) of the HMPID DCS. The command execution is based on a Finite State Machine (FSM).

Sub-system segmentation in one RICH module

- 6 Csl pad Photocathodes (PC's);
- 6 x HV sector of 48 anodic wires (HV's);
- 6 x FEE sectors (FEE's);
- 2 RO sectors (ROR-L)
- Details : CERN/LHCC 98-19 ALICE TDR 1 14 of August 1998.

C₆F₁₄ leaks in the radiator vessels

³ radiator vessels 1330x 413 mm² x 15 mm /module

- 21 quartz-NEOCERAM radiator vessels 1330x 413 mm² x 15 mm for the 7 modules. All the elements are glued with Araldite 2011;
- Left photo: final assembly and layout in the backplane of one RICH module;
- right photo: stainless steel inlet fitting (chicane element) glued on the NEOCERAM element of the vessels;

C6F14 inlet fitting (chicane element)

Current detector status

Faulty sub-system segments: Combining leaking vessels and failing HV sectors, the detector acceptance is ~ 65%

Deuterons identification: Pb-Pb 5.02 ATeV

$$m^2 = p^2 (n^2 \cos^2 \theta_{ckov} - 1)$$

n = refractive index

Charged hadrons spectra: pp 7 TeV

Charged hadrons spectra: pp 7 TeV

 π/K HMPID p HMPID 10 d²*N*/(d*p*_Td*y*) [(GeV/*c*)⁻ $\pi^{+} + \pi^{-}$ π/ π , K and p spectra, resulting from the combination of the information Lévy-Tsallis fits 10 provided by 5 different analyses 10^{-2} (dE/dx, TOF, Cherenkov, kinks ALICE pp, *s* = 7 TeV 1/NINEL topology for kaons). |y| < 0.510-0.8 Ratio Eur. Phys. J. C (2015) 75:226 $0.7 = \frac{K^+ + K}{\pi^+ + \pi^-}$ $\pi^{+} + \pi^{-}$ ALICE - ALICE 0.6E $(K^{+} + K^{-})/(\pi^{+} + \pi^{-})$ and $(p + p)/(\pi^{+} + \pi^{-})$ pp, *s* = 7 TeV PYTHIA 6.4.26 Z2, Tune 343 --- PYTHIA 6.4.26 Tune 350 |y| < 0.5ratios as a function of p_{T} compared with 0.5E (Central Perugia 2011) **PHOJET** some event generators. 0.4E EPOS LHC - PYTHIA 8.170, Tune 4Cx 0.3F $(K^+ + K^-)/(\pi^+ + \pi^-)$ ratio increases from • 0.2F 0.05 at $p_{\rm T}$ = 0.2 GeV/c up to 0.45 at $p_{\rm T}$ ~ 0.1E 3 GeV/c with a slope that decreases with increasing $p_{\rm T}$.) 0.5 1 1.5 2 2.5 3 0 Eur. Phys. J. C (2015) 75:226 0.5 1 1.5 2 2.5 3 0 0.5 p_{τ} (GeV/c)

12

р

5

 $p_{_{T}}$ (GeV/c)

Charged hadrons spectra: Pb-Pb 2.76 ATeV

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Charged hadrons spectra: Pb-Pb 2.76 ATeV

- For $p_T < 3$ GeV/*c* a hardening of the spectra is observed going from c_T peripheral to central events. This effect b_T is mass dependent and is characteristic b_T of hydrodynamic flow.
- For high p_T (>10 GeV/c) the spectra follow a power law shape as expected from pQCD.

PHYSICAL REVIEW C 93, 034913 (2016)

$$R_{AA} = \frac{d^2 N_{\rm id}^{AA} / dy dp_{\rm T}}{\langle T_{AA} \rangle d^2 \sigma_{\rm id}^{\rm pp} / dy dp_{\rm T}}$$

- For $p_T < \approx 8 10$ GeV/*c*: R_{AA} for π and K are compatible and are smaller than R_{AA} for p.
- At high p_T : R_{AA} for π, K and p are compatible.

Charged hadrons spectra: p-Pb 5.02 TeV

Charged hadrons spectra: p-Pb 5.02 TeV

Physics Letters B 760 (2016) 720–735

Charged hadrons spectra: Pb-Pb 5.02 ATeV

PHYSICAL REVIEW C 101, 044907 (2020)

Deuteron identification: Pb-Pb 2.76 ATeV

Deuteron identification: Pb-Pb 2.76 ATeV

Inclusive hadrons spectra: pp 2.76 TeV

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Charged particle PID in ALICE (central barrel)

