



CP violation in neutrino oscillations (lepton sector of SM)

Yury Kudenko
INR, Moscow

**International Workshop on Future
Tau Charm Facilities
Hefei, China 14-18 January 2024**



Neutrino oscillations and mixing

Standard Model: neutrinos are *massless* particles

3 families

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = U \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix}$$

U parameterization:

three mixing angles θ_{12} θ_{23} θ_{13}

CP violating phase δ_{CP}

Pontecorvo-Maki-Nakagawa-Sakata matrix

atmospheric

link between
atmospheric and solar

solar

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta_{23} & \sin\theta_{23} \\ 0 & -\sin\theta_{23} & \cos\theta_{23} \end{pmatrix} \begin{pmatrix} \cos\theta_{13} & 0 & \sin\theta_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -\sin\theta_{13}e^{i\delta} & 0 & \cos\theta_{13} \end{pmatrix} \begin{pmatrix} \cos\theta_{12} & \sin\theta_{12} & 0 \\ -\sin\theta_{12} & \cos\theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

SuperK, K2K,
MINOS, T2K, NOvA, IceCube

T2K, NOvA

Daya Bay, RENO
Double Chooz

Solar experiments, SuperK
KamLAND

$$\theta_{23} \sim 45^\circ$$

$$|\Delta m_{32}^2| \cong |\Delta m_{31}^2| =$$

$$|\Delta m_{atm}^2| \approx 2.4 \times 10^{-3} \text{ eV}^2$$

$$\theta_{13} \approx 8.5^\circ$$

$$\Delta m_{ij}^2 = m_i^2 - m_j^2$$

$$\Delta m_{12}^2 + \Delta m_{23}^2 + \Delta m_{31}^2 = 0$$

$$\theta_{12} \approx 34^\circ$$

$$\Delta m_{21}^2 = \Delta m_{sol}^2 \approx 7.5 \times 10^{-5} \text{ eV}^2$$

two independent Δm^2

$m_\nu \neq 0$

NEW
PHYSICS
beyond
STANDARD
MODEL



Neutrino: open questions

- Absolute scale of neutrino mass → β decay, $0\nu 2\beta$ decay, astrophysics and cosmology
- Neutrino nature: Dirac or Majorana → $0\nu 2\beta$ decay
- Neutrino mass ordering → astrophysics and cosmology, atmospheric and reactor neutrinos, accelerator (LBL experiments) neutrinos
- CP violation → **accelerator neutrinos (LBL experiments)**
- Precise measurement of oscillation parameters ($\theta_{23} = 45^\circ?$) → solar, atmospheric, reactor, accelerator (LBL experiments)
- Sterile neutrinos → β decay, $0\nu 2\beta$ decay, astrophysics and cosmology, atmospheric and reactor neutrinos, accelerator neutrinos
- Neutrino interactions → atmospheric and reactor neutrinos, accelerator neutrinos



Neutrino: CPV and MO

Mixing matrix

- CP violation in lepton sector

Magnitude of CP violation in neutrino oscillations

$$J_{CP} = \text{Im}(U_{e1}U_{\mu 2}U_{e2}^*U_{\mu 1}^*) = \text{Im}(U_{e2}U_{\mu 3}U_{e3}^*U_{\mu 2}^*)$$

$$= \frac{1}{8} \cos\theta_{13} \sin 2\theta_{12} \sin 2\theta_{13} \sin 2\theta_{23} \sin \delta_{CP}$$

neutrinos

$$U_{PMNS} \sim \begin{pmatrix} 0.8 & 0.5 & 0.2 \\ 0.4 & 0.6 & 0.7 \\ 0.4 & 0.6 & 0.7 \end{pmatrix}$$

quarks

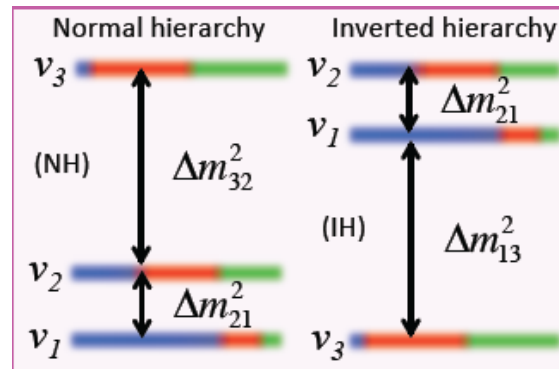
$$V_{CKM} \sim \begin{pmatrix} 1 & 0.2 & 0.001 \\ 0.2 & 1 & 0.01 \\ 0.001 & 0.01 & 1 \end{pmatrix}$$

all mixing angles $\neq 0 \rightarrow J_{CP} \neq 0$ if $\delta_{CP} \neq 0$

Quark sector: $J_{CP} \approx 3 \times 10^{-5}$

Lepton sector: $J_{CP} \approx (0.03-0.04) \times \sin \delta_{CP}$

- Neutrino mass ordering (MO)

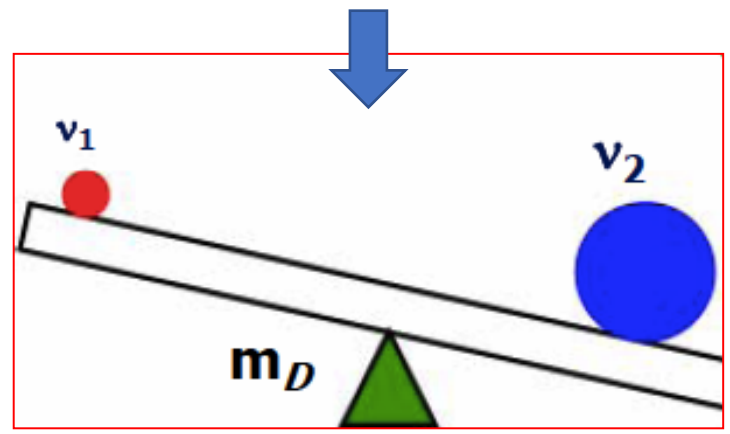


IO: $\Sigma m_i \approx 100 \text{ meV}$
NO: $\Sigma m_i \approx 60 \text{ meV}$



Why is CPV in lepton sector important?

SM cannot explain non-zero neutrino mass
See-saw model $\rightarrow \nu$ mass



$$m_\nu \approx \frac{m_D^2}{M_R}$$

$$m_D \sim 100 \text{ GeV}$$
$$\nu_2 \rightarrow M_R \leq 10^{14} \text{ GeV}$$

Baryon Asymmetry of Universe (BAU)

CP violation in quark sector (K, B, D decays) too small to generate BAU

$$Y_B = \frac{n_B - n_{\bar{B}}}{n_\gamma} = (6.21 \pm 0.16) \times 10^{-10}$$
$$\frac{n_{\bar{B}}}{n_B} < 10^{-6}$$

M.Gavela et al. Mod.Phys.Lett 9 (1994) 795

$$Y_B \sim J \frac{(m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)}{M_W^6} \frac{(m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_b^2 - m_d^2)}{(2\gamma)^9}$$

~10 orders below measured BAU value

See-saw model produces BAU by leptogenesis mechanism

M. Fukugita, T. Yanagida, 1986

N_R decays



lepton asymmetry ϵ_1



partially transformed into BAU

lepton asymmetry from N_R decays ϵ_1 must be $> 10^{-6}$

Baryon Asymmetry \leftrightarrow Neutrino Physics ??

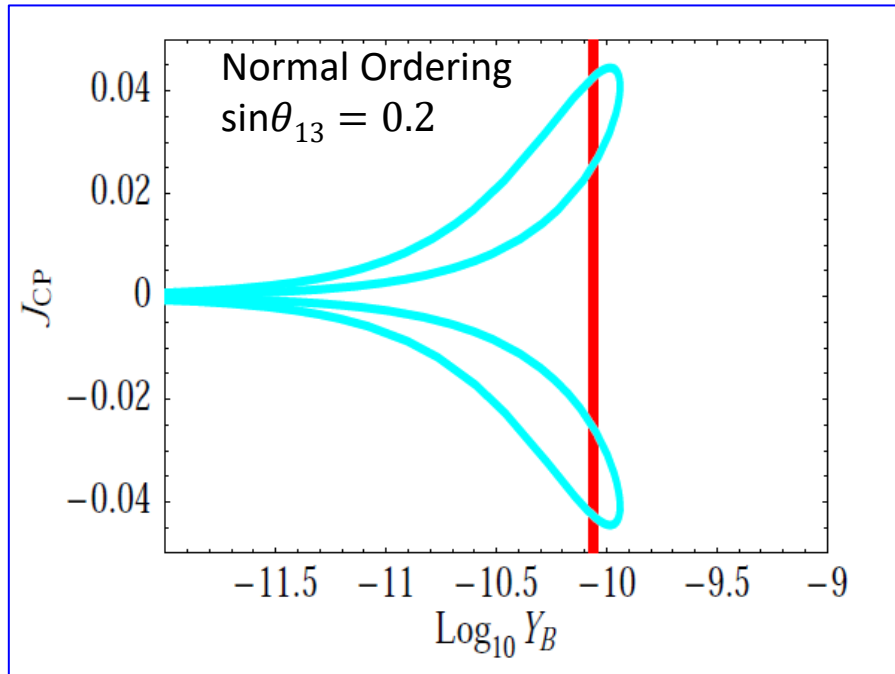


CPV in PMNS ↔ CPV in Leptogenesis ?

Type I See-saw model

SM + 3 heavy (RH) Majorana neutrinos N_1, N_2, N_3
with masses $M_1 \ll M_2 < M_3$
Leptogenesis takes place at temperatures $10^9 \text{ GeV} < T < M_1$

S.Petcov et al. Nucl.Phys. B774,2007, 1
S.Petcov et al. Phys.Rev. D75, 2007, 083511



$$Y_B \simeq 3 \times 10^{-13} |\sin \delta_{CP}| \left(\frac{\sin\theta_{13}}{0.2} \right) \left(\frac{M_1}{10^9 \text{ GeV}} \right)$$

$$M_1 = (3-5) \times 10^{11} \text{ GeV}$$

BAU can be reproduced, if

$$|\sin\theta_{13} \sin\delta_{CP}| > 0.11$$

$$\text{Daya Bay: } \sin\theta_{13} = 0.15 \rightarrow \sin\delta_{CP} > 0.75$$



$$|J_{CP}| > 0.024$$



CPV in PMNS \longleftrightarrow CPV in Leptogenesis ?

Type II See-saw model

SM + $SU(2)_L$ triplet scalars Δ : Δ^+ , Δ^{++} , Δ^0

B.Karmakar, A. Sil arXiv:1509.0790

$\delta_{CP} = 0, 2\pi$ excluded, close to $\frac{3}{2}\pi$ – favoured, but exact value of $\frac{3}{2}\pi$ – excluded

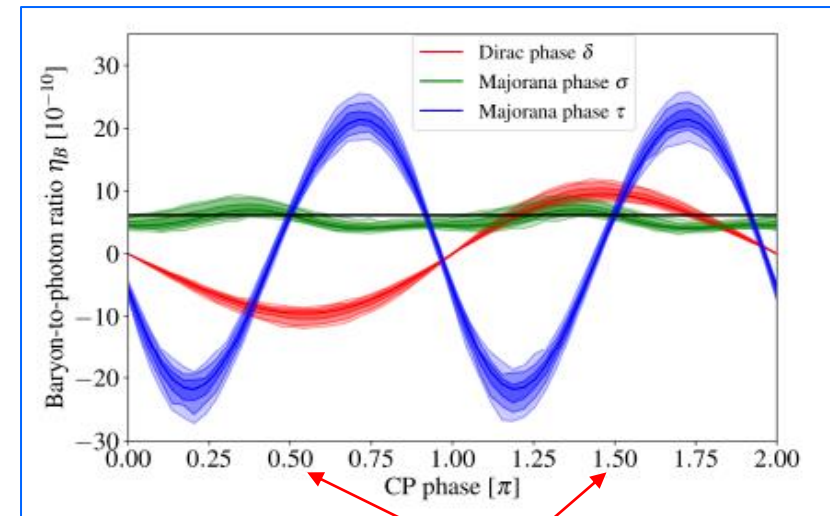
Type I +II See-saw model

Oscillations

$0\nu 2\beta$ decay

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{13}s_{23}e^{i\delta} & c_{12}c_{23} - s_{12}s_{13}s_{23}e^{i\delta} & c_{13}s_{23} \\ s_{12}s_{23} - c_{12}s_{13}c_{23}e^{i\delta} & -c_{12}s_{23} - s_{12}s_{13}c_{23}e^{i\delta} & c_{13}c_{23} \end{pmatrix} \times \text{diag}(1, e^{i\sigma}, e^{i\tau})$$

T.Rink et al., arXiv:2006.03021



max CPV in oscillations

How to search for *CP* violation?



Golden channel for CP search: $\nu_\mu \rightarrow \nu_e$

Probability of $\nu_\mu \rightarrow \nu_e$ oscillation in matter

$$\begin{aligned}
 P(\nu_\mu \rightarrow \nu_e) = & 4c_{13}^2 s_{13}^2 s_{23}^2 \sin^2 \frac{\Delta m_{13}^2 L}{4E_\nu} \times \left[1 + \frac{2a}{\Delta m_{13}^2} (1 - 2s_{13}^2) \right] && \text{leading term} \\
 & + 8c_{13}^2 s_{12} s_{13} s_{23} (c_{12} c_{23} \cos \delta - s_{12} s_{13} s_{23}) \cos \frac{\Delta m_{23}^2 L}{4E_\nu} \sin \frac{\Delta m_{13}^2 L}{4E_\nu} \sin \frac{\Delta m_{12}^2 L}{4E_\nu} && \text{CP-even} \\
 & - 8c_{13}^2 c_{12} c_{23} s_{12} s_{13} s_{23} \sin \delta \sin \frac{\Delta m_{23}^2 L}{4E_\nu} \sin \frac{\Delta m_{13}^2 L}{4E_\nu} \sin \frac{\Delta m_{12}^2 L}{4E_\nu} && \text{CP-odd} \\
 & + 4s_{12}^2 c_{13}^2 (c_{13}^2 c_{23}^2 + s_{12}^2 s_{23}^2 s_{13}^2 - 2c_{12} c_{23} s_{12} s_{23} s_{13} \cos \delta) \sin^2 \frac{\Delta m_{12}^2 L}{4E_\nu} && \text{Solar} \\
 & - 8c_{13}^2 s_{13}^2 s_{23}^2 \cos \frac{\Delta m_{23}^2 L}{4E_\nu} \frac{aL}{4E_\nu} \sin \frac{\Delta m_{13}^2 L}{4E_\nu} (1 - 2s_{13}^2), && \text{Matter}
 \end{aligned}$$

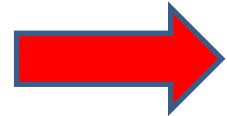
$$s_{ij} = \sin \theta_{ij}$$

$$c_{ij} = \cos \theta_{ij}$$

Matter effect

$$a [eV^2] = 2\sqrt{2} G_F n_e E_\nu = 7.6 \times 10^{-5} \rho \left[\frac{g}{cm^3} \right] E_\nu [GeV]$$

$$P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)$$



$$a \rightarrow -a \quad \delta \rightarrow -\delta$$

change sign for NH \rightarrow IH



Search/measurement of CP violation

Long baseline accelerator experiments

Direct search: compare oscillation probabilities
muon neutrino \rightarrow **electron neutrino**
and
muon antineutrino \rightarrow **electron antineutrino**

CP asymmetry A_{CP}

Direct
measurement



$$A_{CP} = \frac{P(\nu_{\mu} \rightarrow \nu_e) - P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e)}{P(\nu_{\mu} \rightarrow \nu_e) + P(\bar{\nu}_{\mu} \rightarrow \bar{\nu}_e)}$$

$A_{CP} \neq 0 \rightarrow \delta_{CP} \neq 0 \rightarrow$ CP violation

Sensitivity to CPV **increases** using the value of θ_{13} obtained in reactor experiments

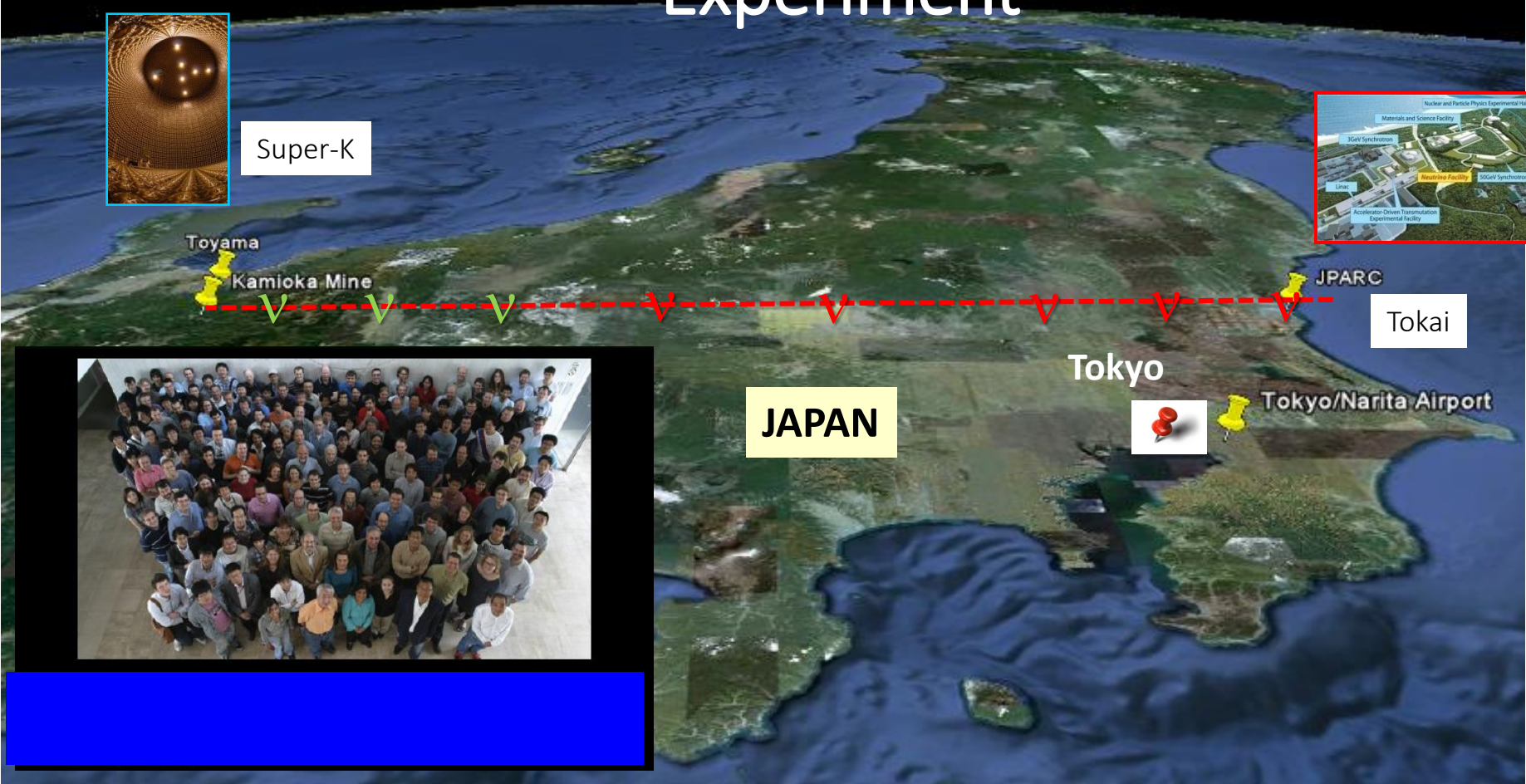
Current experiments T2K and NOvA



Long-Baseline Neutrino Oscillation Experiment



Super-K



Toyama

Kamioka Mine

JPARC

Tokai

Tokyo

Tokyo/Narita Airport

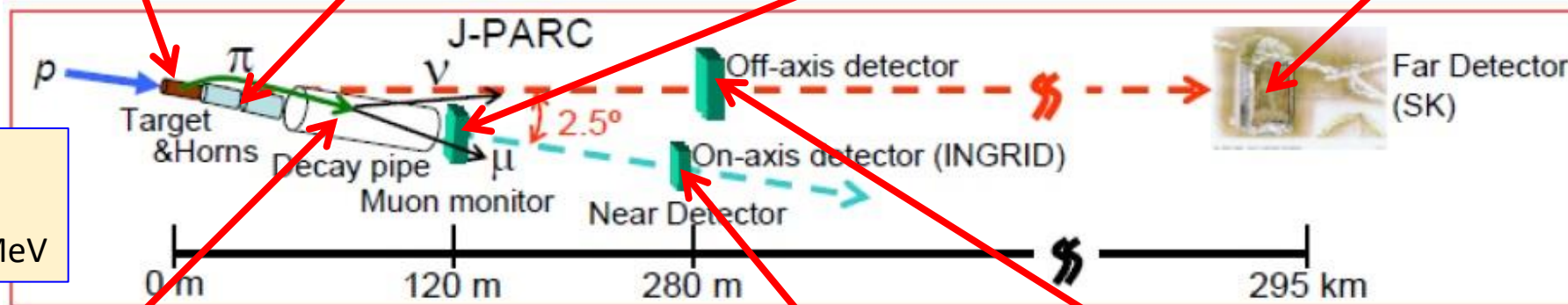
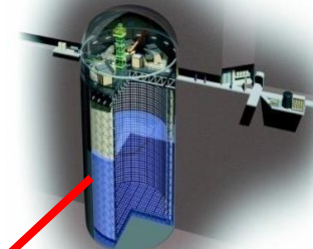
JAPAN



Experiment T2K

T2K collects data since 2010

Far neutrino detector
SuperKamioKande

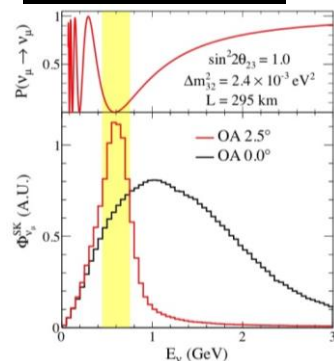


$L = 295 \text{ km}$
 Off-axis ν beam
 Peak energy 600 MeV

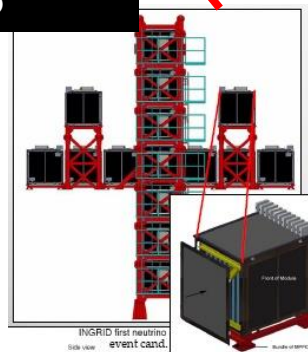
Decay tunnel



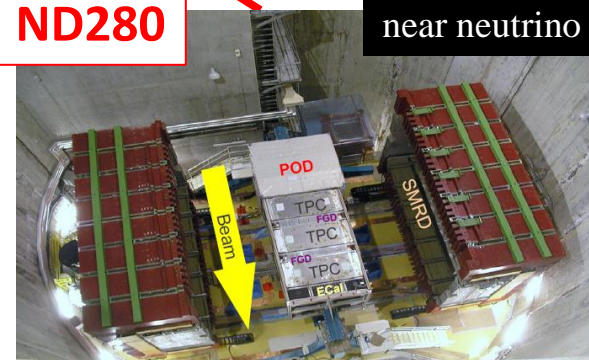
Off-axis neutrino beam



Neutrino monitor
INGRID



ND280



Off-axis near neutrino detector

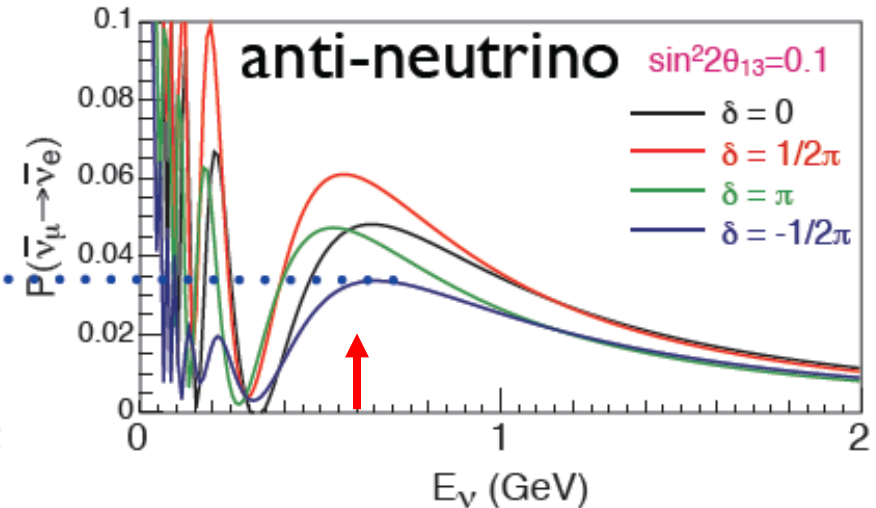
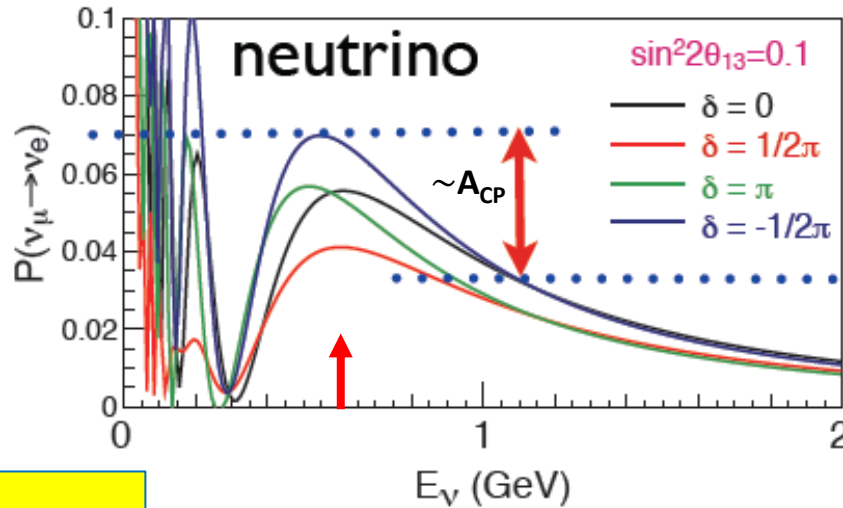


Search for CP violation in T2K

Measurements of oscillations $\nu_\mu \rightarrow \nu_e$ and $\bar{\nu}_\mu \rightarrow \bar{\nu}_e$

Normal hierarchy

T2K baseline = 295 km, $E_\nu(\text{peak}) = 600$ MeV



Experiment T2K:

$E_\nu \sim 0.6$ GeV,
baseline = 295 km

T2K simulation
vacuum + matter

$$A_{CP} \simeq -0.28 \sin \delta + 0.09$$

CP in vacuum

Matter asymmetry

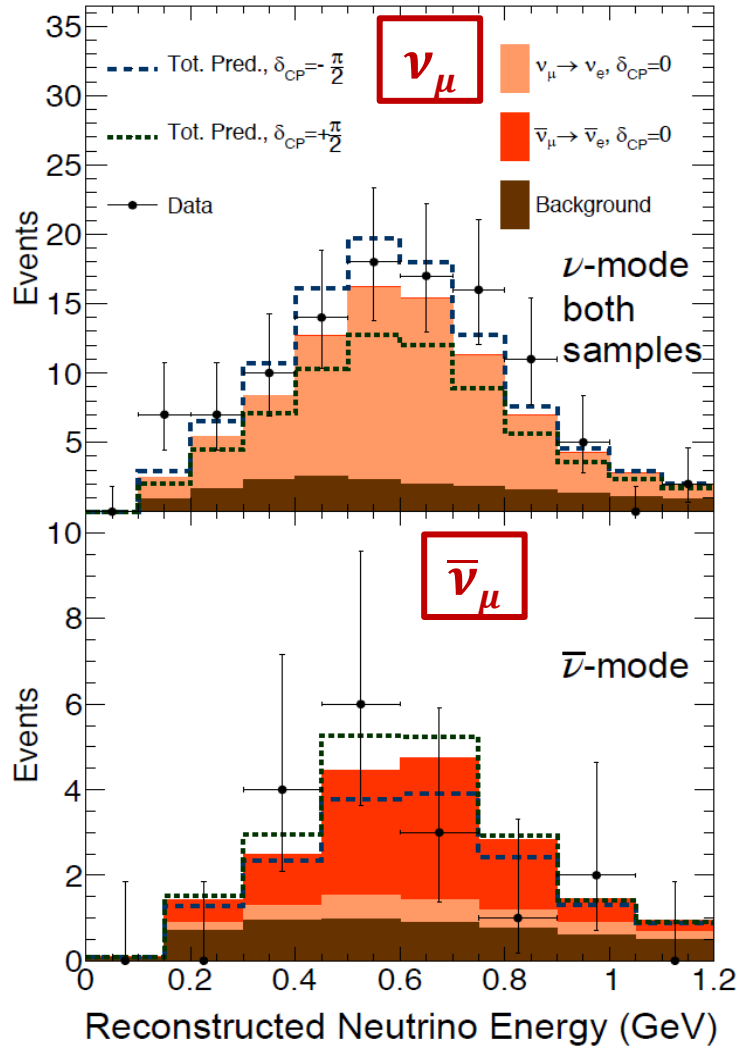
CP asymmetry in vacuum

$$A_{CP} = \frac{P(\nu_\mu \rightarrow \nu_e) - P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)}{P(\nu_\mu \rightarrow \nu_e) + P(\bar{\nu}_\mu \rightarrow \bar{\nu}_e)} \simeq \frac{\Delta m_{12}^2 L}{4E_\nu} \cdot \frac{\sin 2\theta_{12}}{\sin \theta_{13}} \cdot \sin \delta$$



ν_e and $\bar{\nu}_e$ appearance

T2K Run 1-10 Preliminary



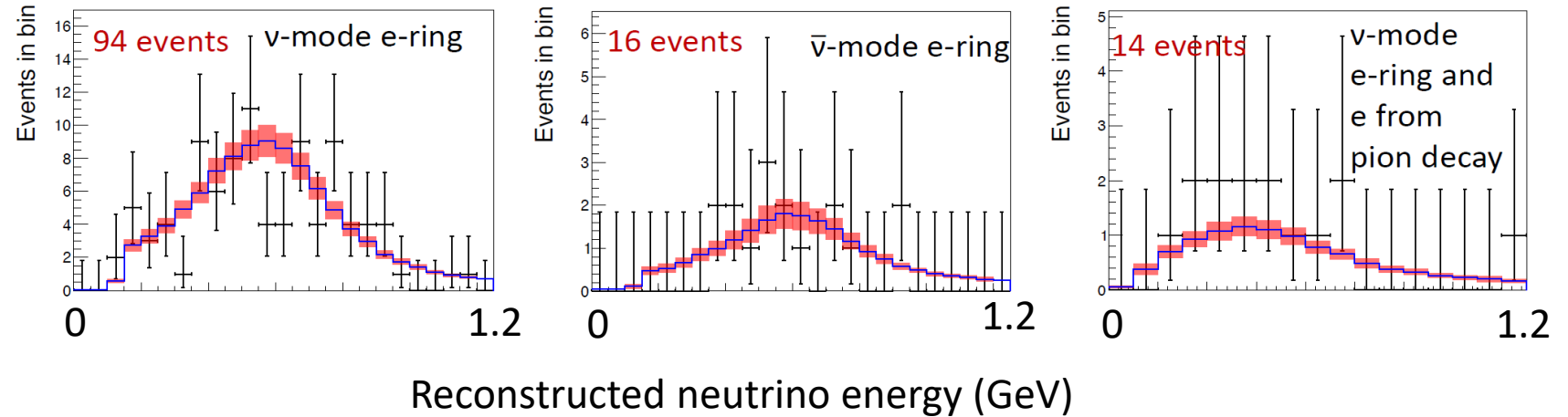
Number of protons on target (POT) →

ν -mode:	2.17×10^{21}	(56.8%)
$\bar{\nu}$ -mode:	1.65×10^{21}	(43.2%)

Three samples with electron-like Cherenkov rings

- Two (1 ν -mode and 1 $\bar{\nu}$ -mode) with e-ring only targeting 0π events
- One in ν -mode with e-ring and e from π decay targeting 1π events

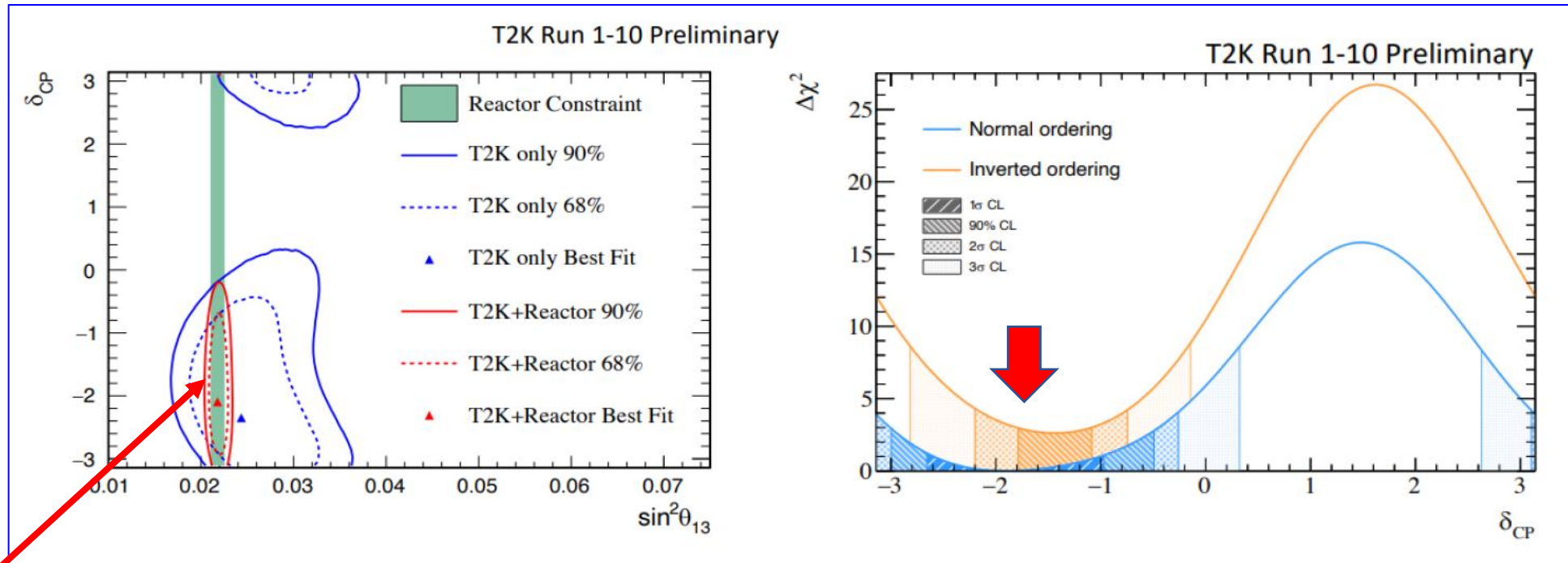
Accumulated number of electron neutrinos and antineutrinos





Hint of CP violation

L.Kormos, Nufact2022



Constraint on θ_{13}
from reactor experiments
Daya Bay, RENO, DChooz

35% of δ_{CP} values excluded at 3σ marginalized over hierarchies
CP conserving values ($\delta_{CP} = 0, \pi$) excluded at **about 2σ**

Best fit: $\delta_{CP} \sim -\pi/2 \rightarrow$ close to maximum CP violation

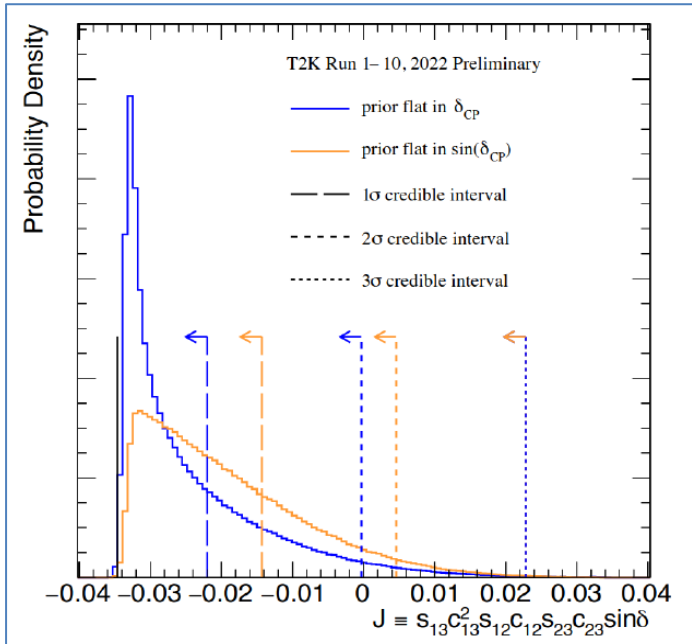
Normal mass ordering is preferred at 80% CL



CP violation: J_{CP}

PMNS parametrization independent metric for CPV

T2K



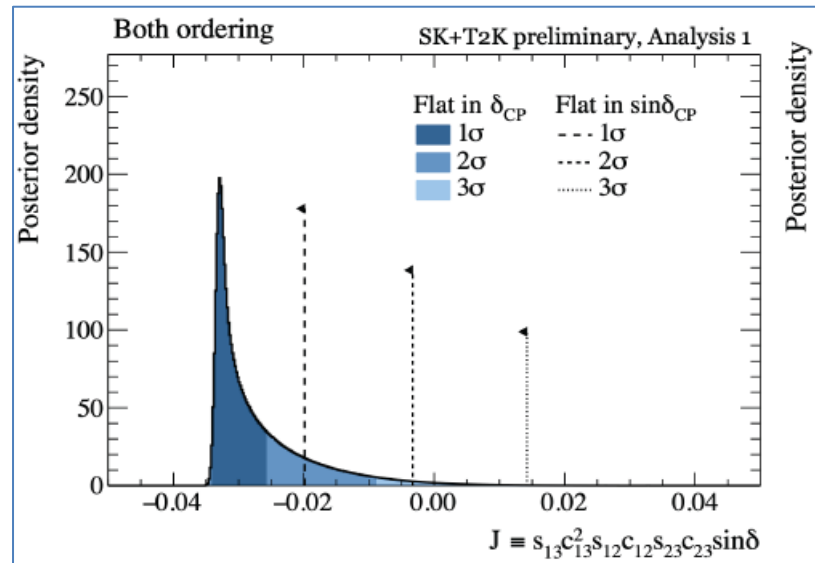
CP-conservation ($J_{CP}=0$)

excluded at:

- 2σ for a flat prior in δ_{CP}
- 90% for a flat prior in $\sin\delta_{CP}$

T.Holney, talk at Neutrino Telescopes2023

T2K + SuperK joint analysis



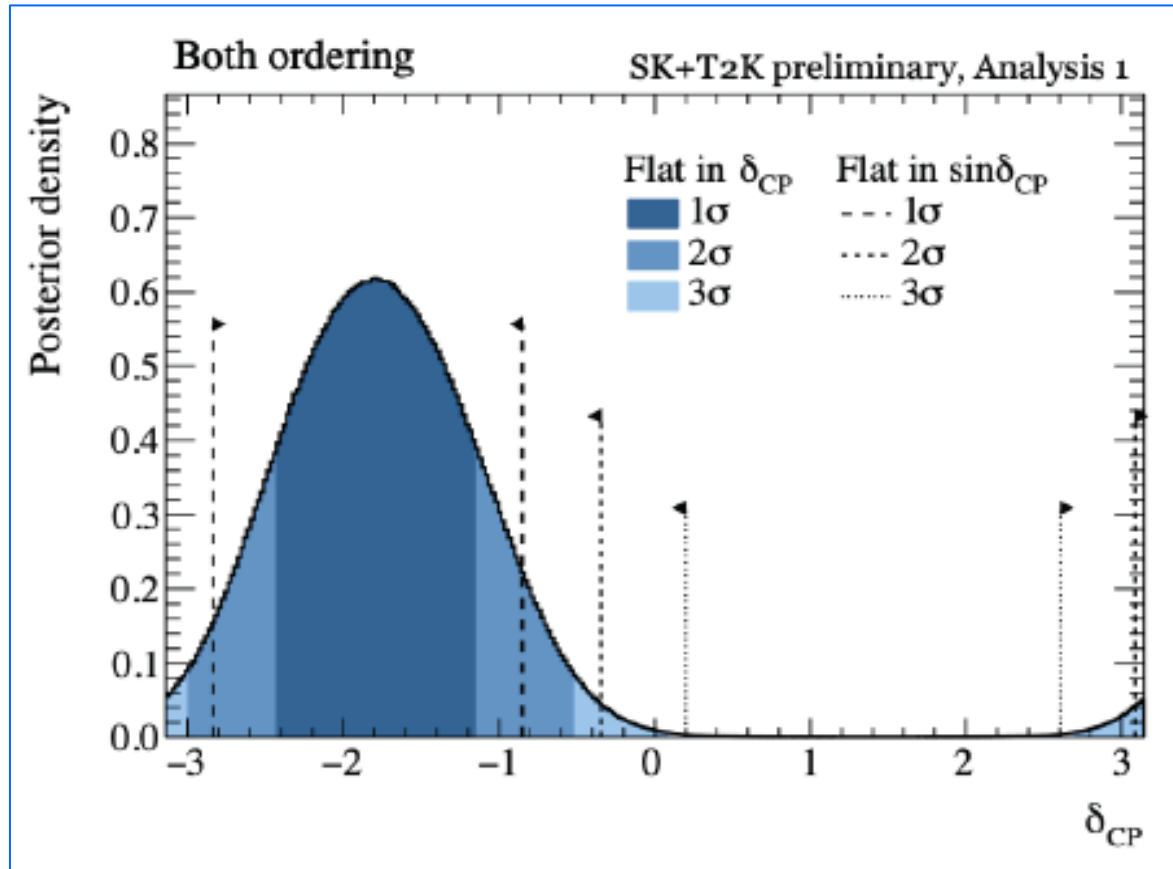
CP-conservation ($J_{CP}=0$) excluded at 2σ



CPV: T2K+SuperK

T2K + SuperK joint analysis

A.Blanchet, talk at Neutrino Telescopes2023



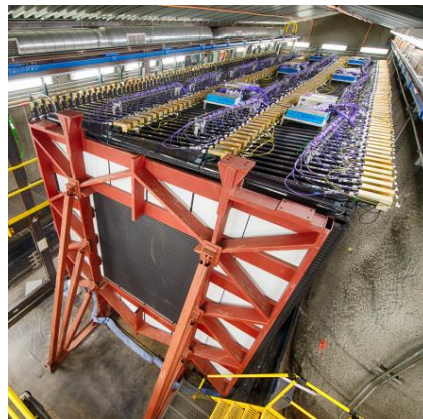
- Improved δ_{CP} constraints
- CP conservation is excluded at around 2 σ with θ_{13} from reactor experiments applied



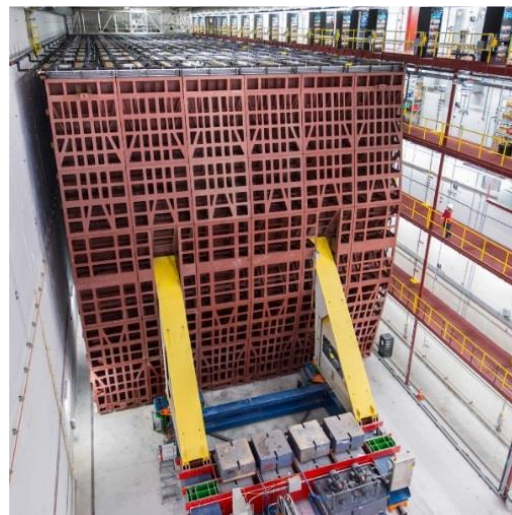
Experiment NOvA



Near Detector



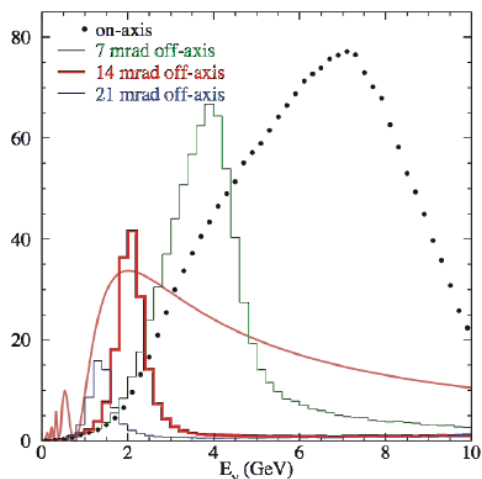
Far Detector



Taking data since Summer 2014
Study of $\nu_\mu \rightarrow \nu_\mu$ and $\nu_\mu \rightarrow \nu_e$ oscillations

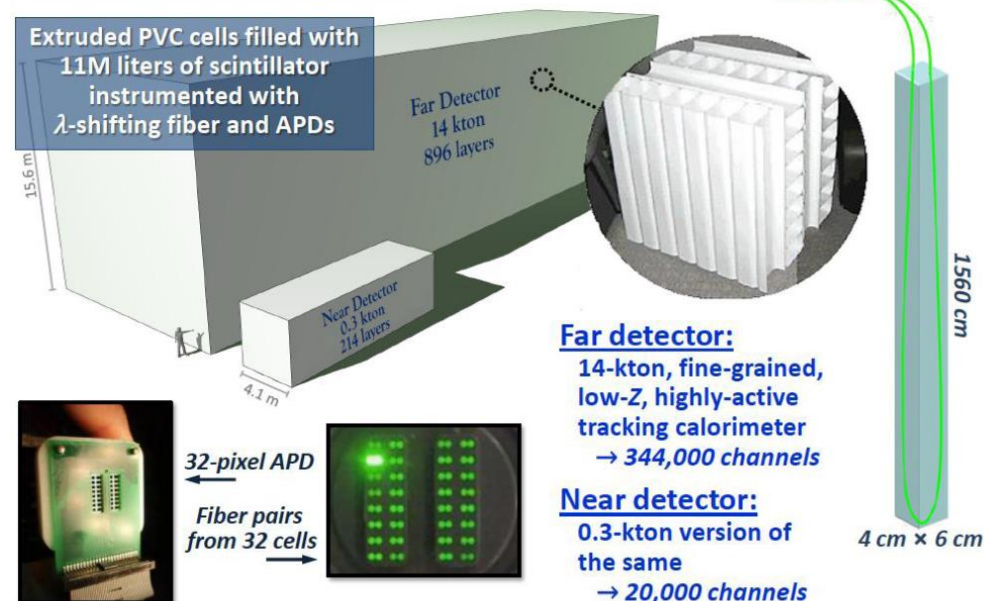
Neutrino beam from FNAL to Ash River
Baseline 810 km
Neutrino beam 14 mrad off-axis
Far detector : 14 kt fine-grained calorimeter
65% active mass
Near Detector: 0.3 kt fine-grained calorimeter

Neutrino beam



NOvA detectors

Extruded PVC cells filled with 11M liters of scintillator instrumented with λ -shifting fiber and APDs



Far detector:
14-kton, fine-grained, low-Z, highly-active tracking calorimeter
→ 344,000 channels

Near detector:
0.3-kton version of the same
→ 20,000 channels



ν_e and $\bar{\nu}_e$ appearance

nu beam: 1.36×10^{21} POT

anti-nu beam: 1.25×10^{21} POT

A.Aurisano, Nufact2023

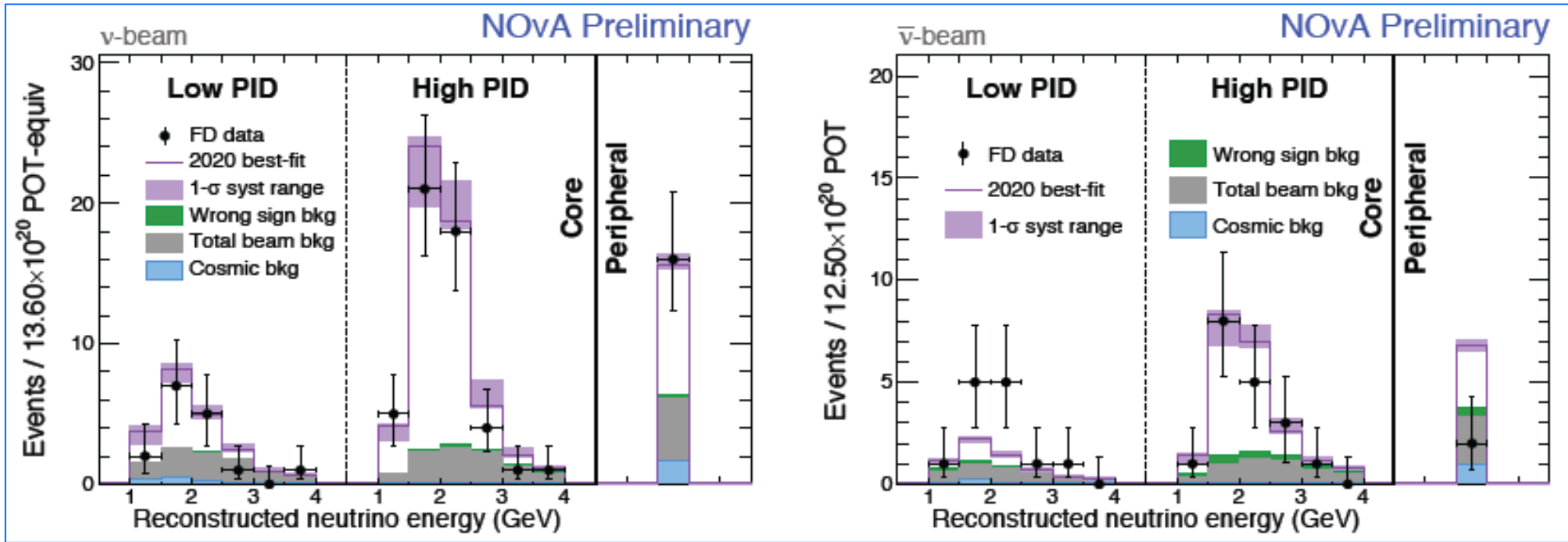
ν_e

Far detector

$\bar{\nu}_e$

Total observed ν_e events	82
Total background	26.8

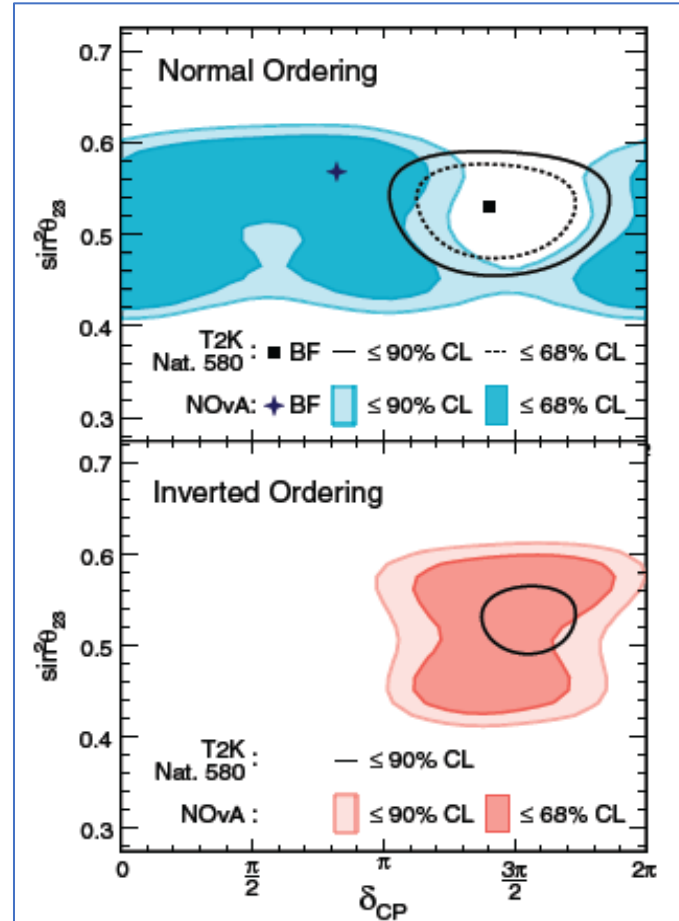
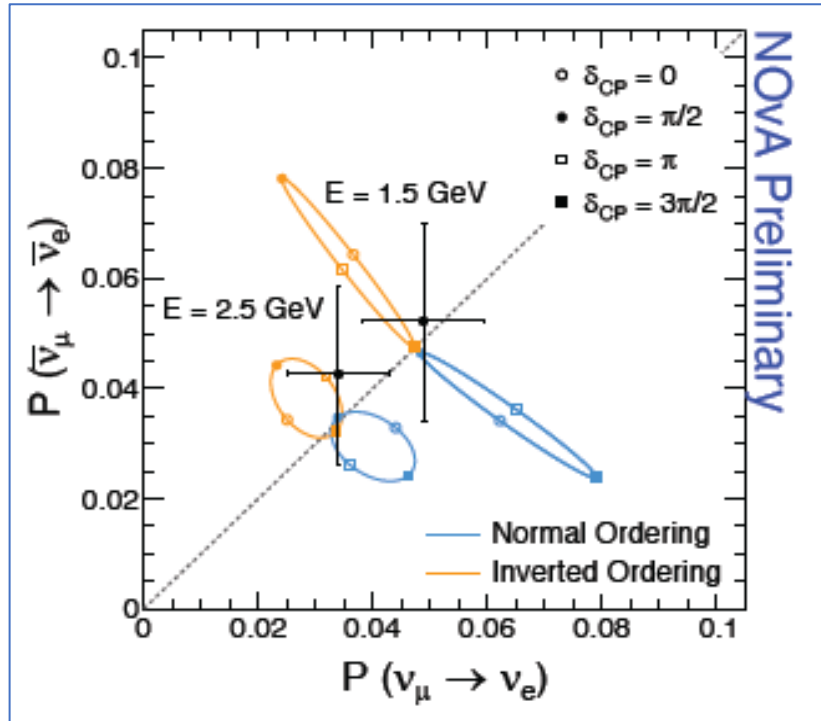
Total observed $\bar{\nu}_e$ events	33
Total background	14.0





NOvA: search for CP violation

M.Frank, EPS-HEP 2023



Normal ordering
Disfavor $\delta = 3\pi/2$ at $\sim 2\sigma$

NO: NOvA best fit:
 $\delta_{CP} = 0.82\pi$

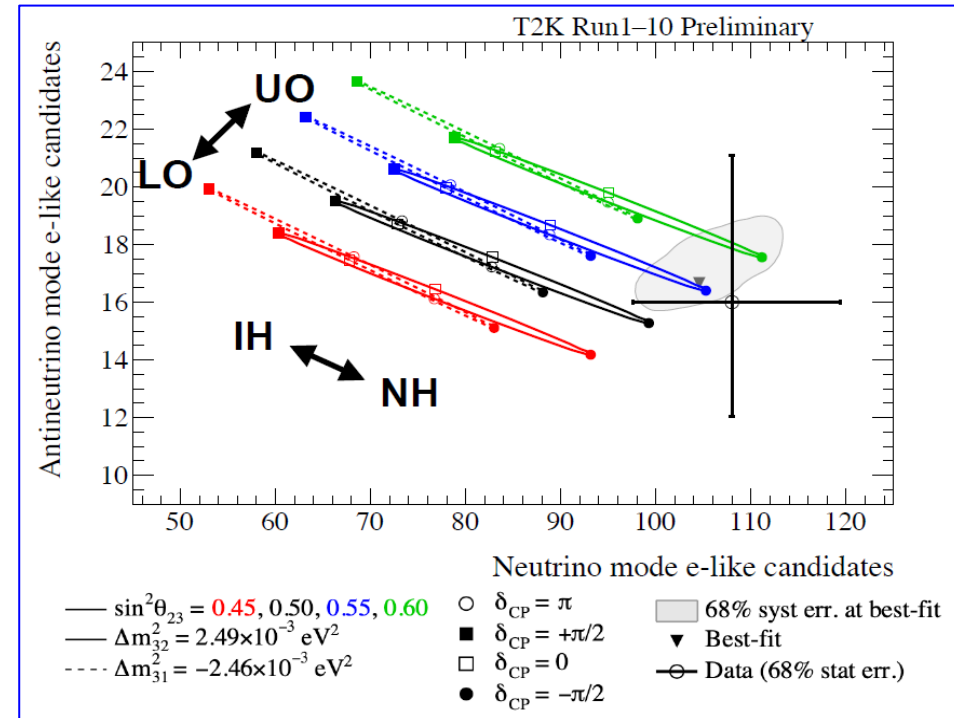
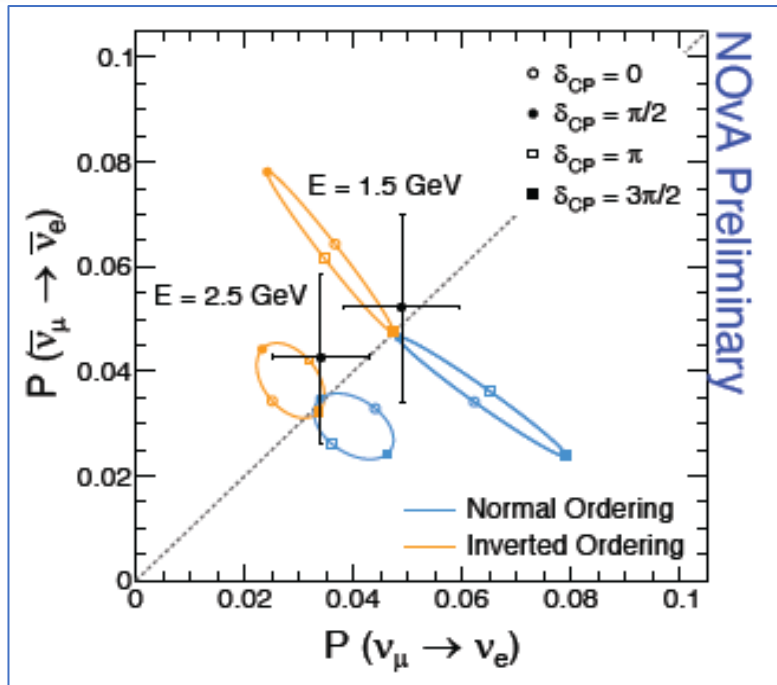
Inverted ordering
Exclude $\delta = \pi/2$ at $> 3\sigma$

- Weak preference for normal ordering
- No significant CP asymmetry was observed



CP: T2K and NOvA

T2K Preliminary



NOVA ($\nu + \bar{\nu}$) prefers:
NO
CP conservation
octants ~degenerate

T2K →

NOvA →

$\delta = -\pi/2$ favored
 Large range of values of δ around $+\pi/2$ excluded at 99.7%

 Best fit $\delta = 0.82\pi$
 Exclude IH $\delta = \pi/2$ at $> 3\sigma$
 Disfavor NH $\delta = 3\pi/2$ at $\sim 2\sigma$

T2K ($\nu + \bar{\nu}$) prefers:
NO
 $\delta \sim 3\pi/2$ (~max CPV)
2nd octant

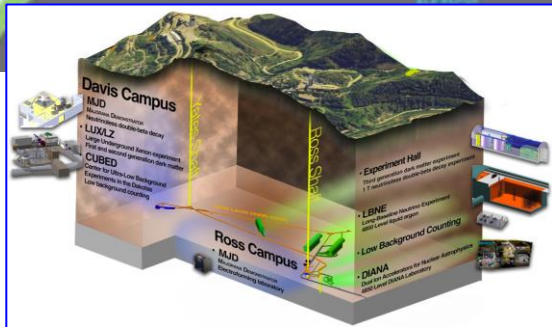
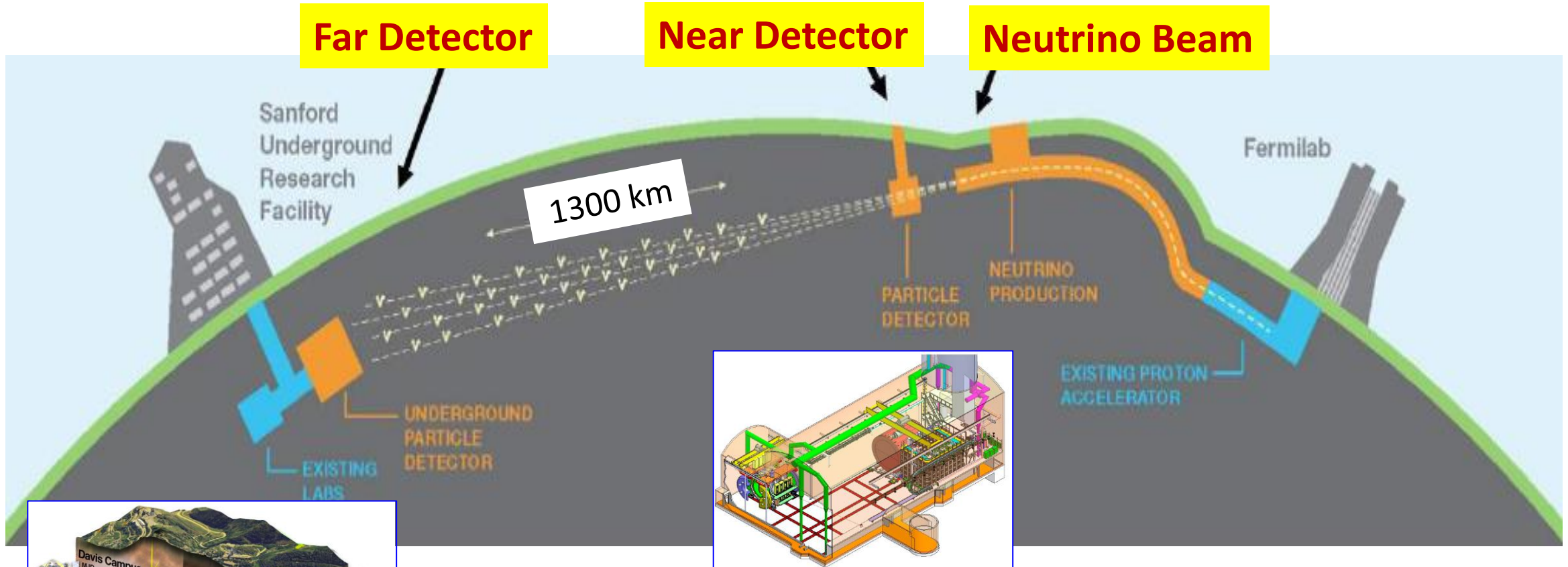
Future projects DUNE and Hyper-Kamiokande



LBNF/DUNE

USA, Fermilab

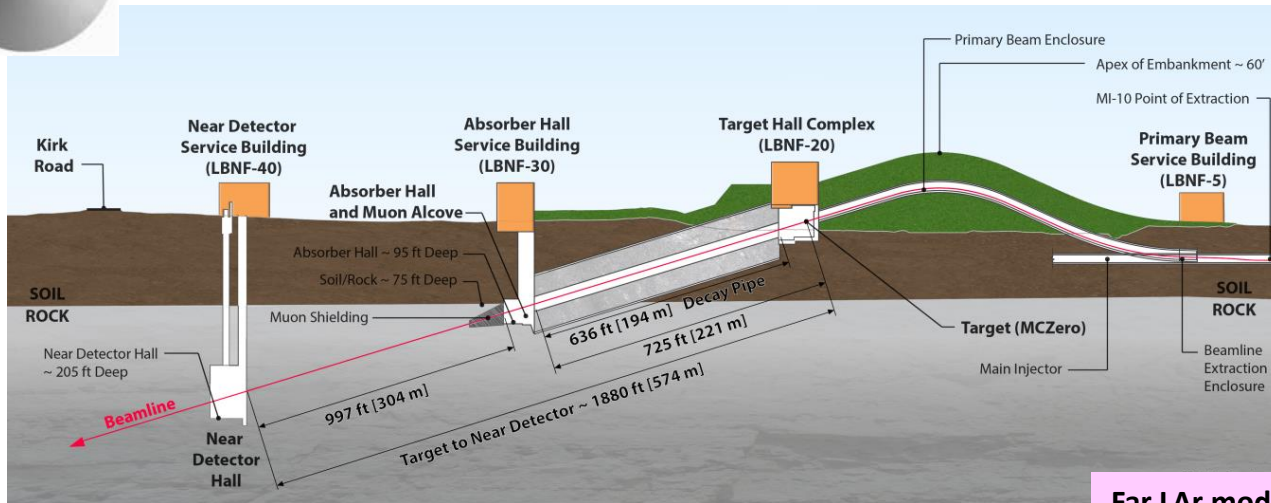
>1400 collaborators from 200 institutions



Phase I: 2x17kt modules in late 2020s, ND, proton beam 1.2 MW by **2031**
Phase II: 4x17 kt (>40 kt fiducial) modules, ND, proton beam 1.2 → 2.4 MW



LBNF/DUNE

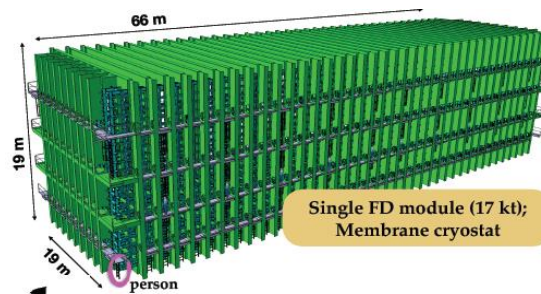


$E_p = 60-120 \text{ GeV}$
 Beam power 1.2 -> 2.4 MW
 On axis neutrino beam
 $E_\nu \sim 1-6 \text{ GeV}$
 L=1300 km from FNAL to SURF, S.Dakota

Near Detector Complex:
LAR, GAR, SAND (tracker, Ecal)

Far detector: 4 modules, total mass $\sim 70 \text{ kt}$
 fiducial 40 kt (4 x 10kt) LAr TPC
 1.5 km underground

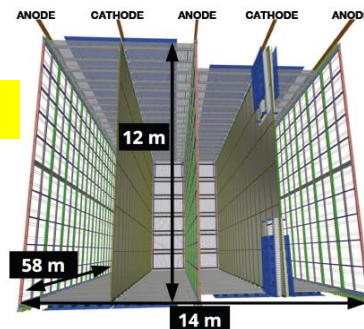
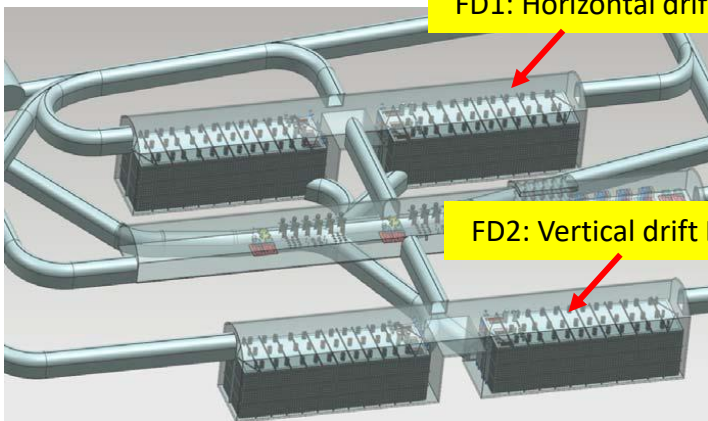
Far LAr module



Single FD module (17 kt);
Membrane cryostat

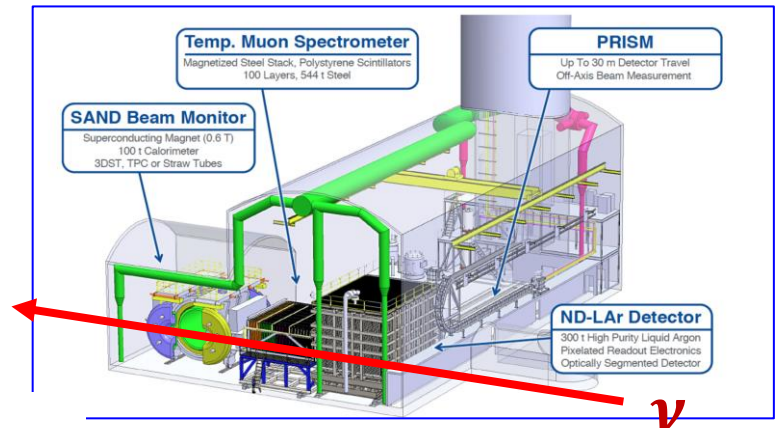
FD1: Horizontal drift LAr TPC

FD2: Vertical drift LAr TPC



12 m x 14 m x 58 m active volume
 Each Anode-Cathode chamber has 3.5 m drift
 Cathode at -180 kV

150 Anode Plane Assemblies (APAs) with 384,000 readout wires
 Anode planes have wrapped wires (readout on both sides)
 6000 photon detection system (PDS) channels for light readout



574 m from target
 - Characterization of ν beam
 - Constraining of cross-section
 - Systematics uncertainties



DUNE: CP sensitivity

DUNE Collaboration, 2006.16043

Staging approach

Sensitivity to δ_{CP}

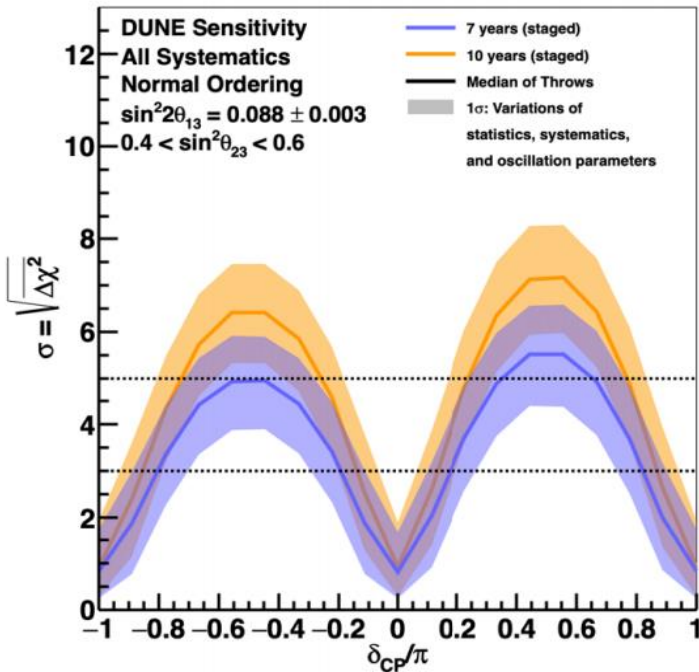
- 7 years data taking
- 10 years data taking

$$\nu : \bar{\nu} = 50\% : 50\%$$

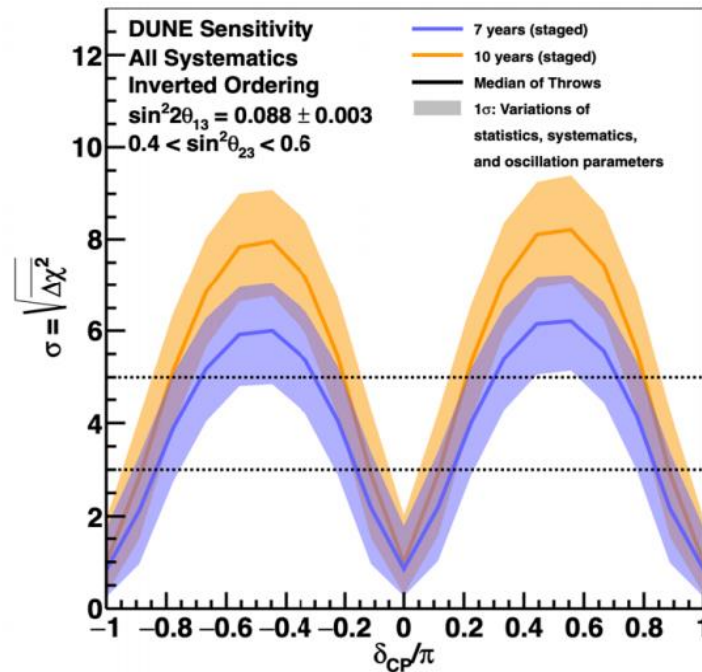
3.5 years, staged exposure

Sample	Expected Events			
	$\delta_{CP} = 0$		$\delta_{CP} = -\frac{\pi}{2}$	
	NH	IH	NH	IH
ν mode				
Oscillated ν_e	1155	526	1395	707
$\bar{\nu}$ mode				
Oscillated ν_e	81	39	95	53
Oscillated $\bar{\nu}_e$	236	492	164	396

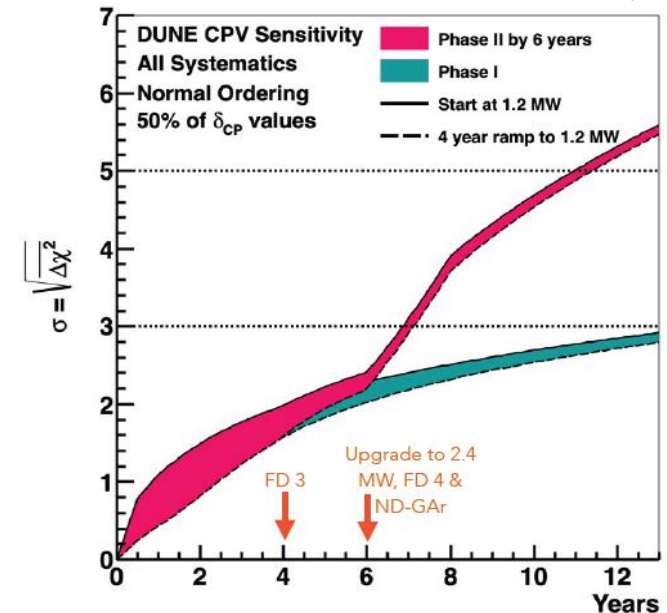
True Normal Ordering



True Inverted Ordering



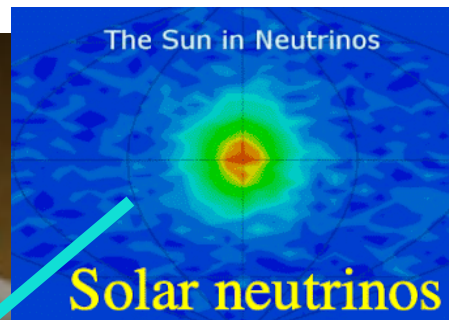
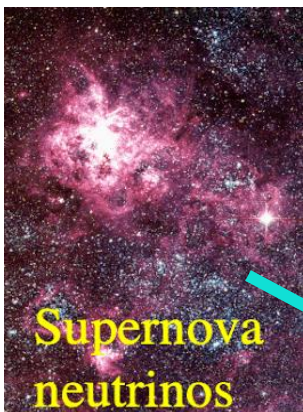
A.Booth, ICHEP2022





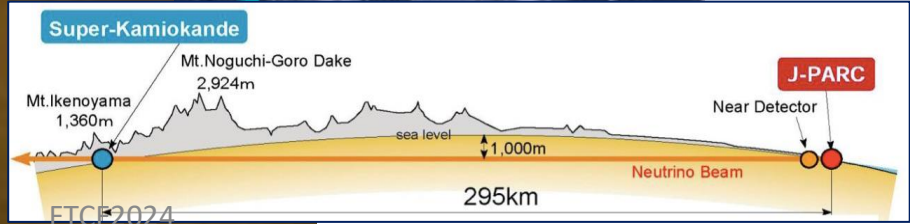
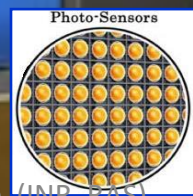
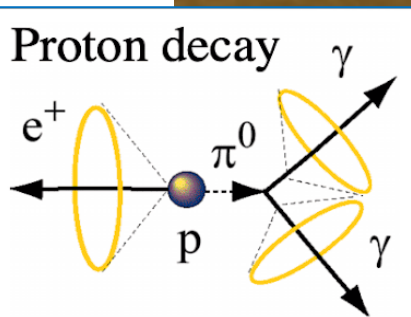
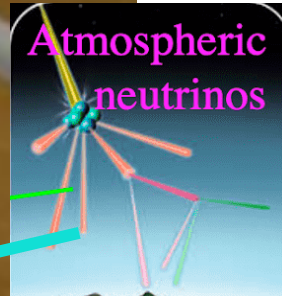
Hyper-Kamiokande

Japan. Project approved in 2020, construction begun in 2021, operation should start in 2027
> 500 collaborators, 20 countries



- Physics program:**
- Search for CP violation
 - Neutrino oscillations
 - Proton decay
 - Neutrino astrophysics

Water Cherenkov detector
 71 m (height) x 68 m (diameter)
 Total mass about 260 kt
Inner Detector:
 20000 50 cm PMTs + mPMTs
Outer Detector:
 8000 7.5 cm PMTs + WLS plates





Near Detectors

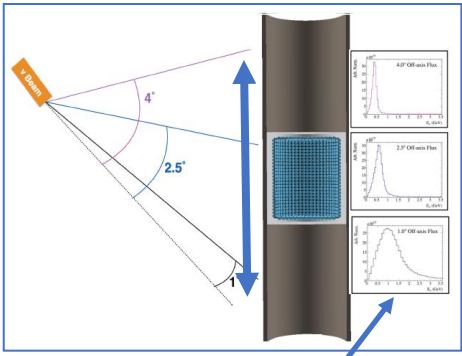
- measure and control neutrino beam before oscillations
- neutrino cross sections
- systematics

J-RARC beam
30 GeV
1.3 MW

New ND ~1 km from target

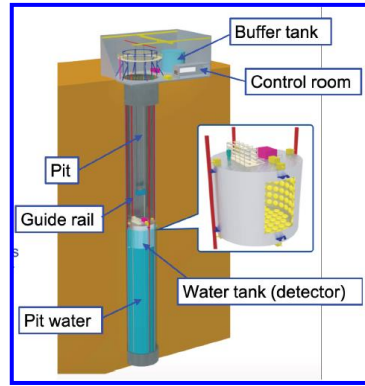
Existing (T2K+upgrade) ND at 280 m from target

IWCD: Movable water Cherenkov detector



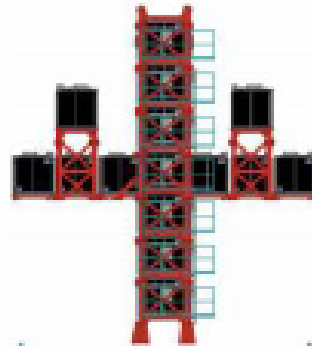
Neutrino spectra

IWCD



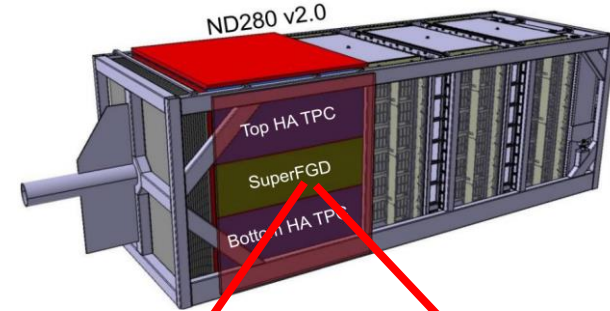
IWCD
 ~1 kt water Cherenkov detector
 Photocensors: multi-PMT modules

INGRID



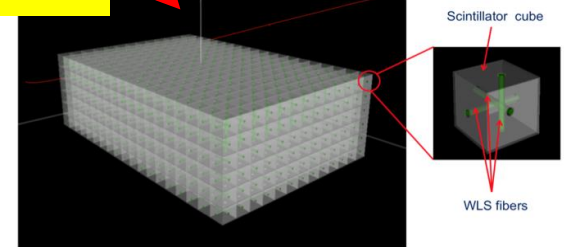
Neutrino on/off axis beam monitor

ND280 upgraded
 Magnetized off-axis detector



3D detector SuperFGD:
 2x10⁶ scintillator cubes
 each of 1cm³ with
 WLS readout

SuperFGD





Sensitivity to CP violation

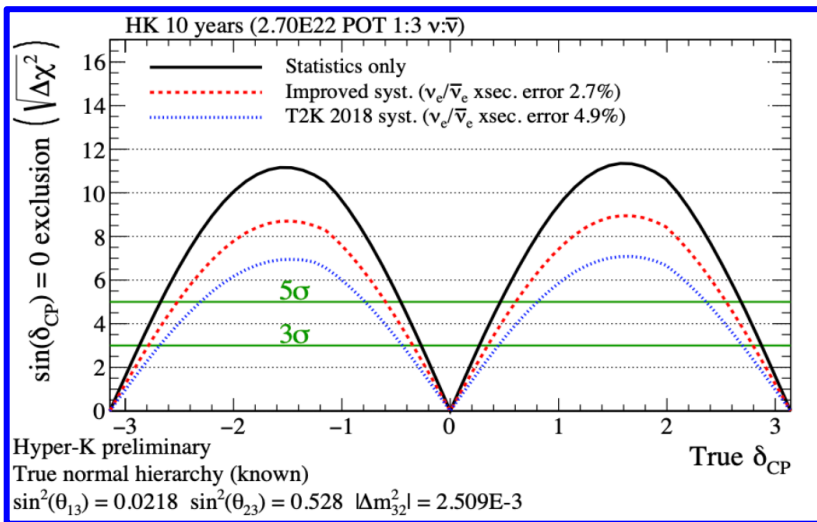
arXiv:1805.04163

Projected HyperK sensitivity to CP violation

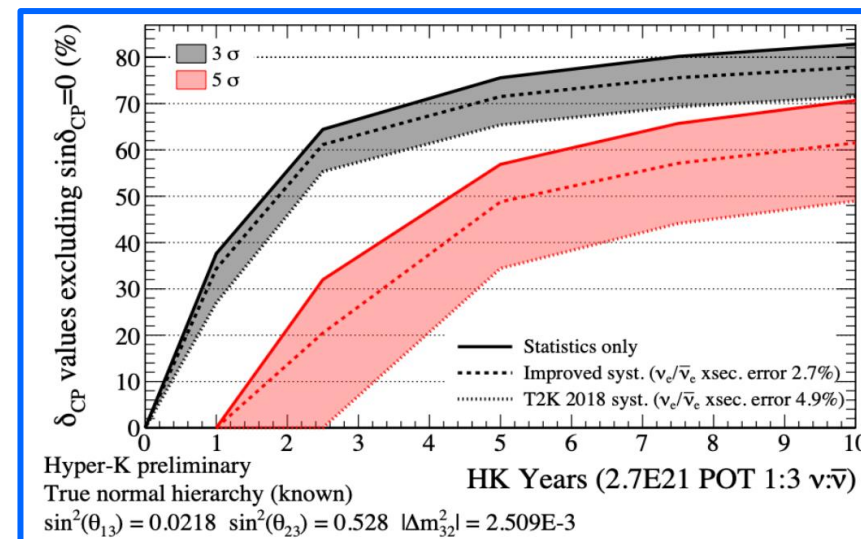
- 10 years of data taking,
- 1.3 MW beam power $\rightarrow 2.7 \times 10^{22}$ POT

Expected number of events at HyperK
for $\nu_e : \bar{\nu}_e = 1:3$ and $\sin \delta_{CP} = 0$

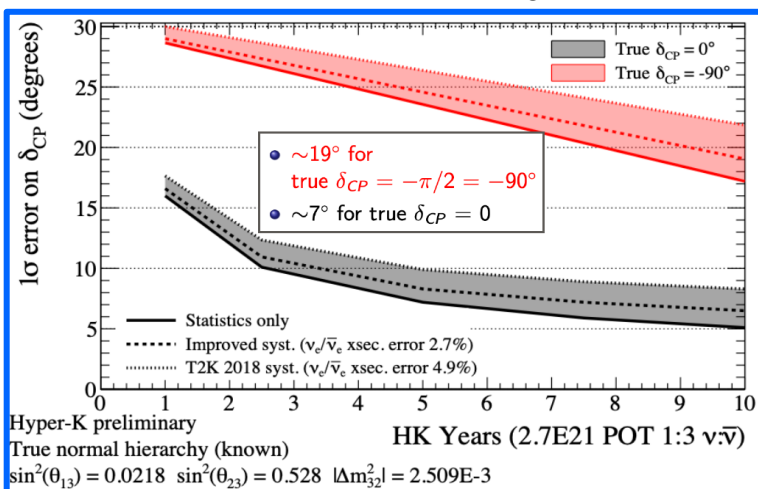
2300 ν_e **1300 $\bar{\nu}_e$**



Exclusion of CP conservation



Measurement of δ_{CP}





Conclusion

- **Search for CP violation in lepton (neutrino) sector of SM is one of main goals of neutrino physics**
- **CP asymmetries in neutrino mixing at low energy and in leptogenesis at high energy are linked in some scenarios**
- **T2K excludes CP conservation at about 2σ and favors near-maximal CP violation**
- **NOvA: no strong CP asymmetry observed**
- **DUNE and Hyper-Kamiokande aimed at the discovery of CP violation and δ_{CP} measurement**

**Thank you very much
for your attention**