



中国科学技术大学硕士学位论文答辩

5.2 GeV金核金核碰撞中超氚的实验测量与轻核产生的系统依赖模型研究

姓名: 鄢雨楼

学号: SA22004055

专业: 物理学 (粒子物理与原子核物理)

导师:张一飞教授

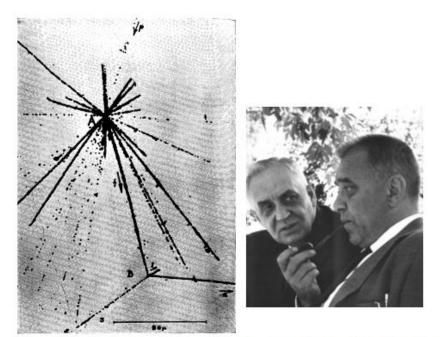
2025.10.19

- Experimental measurement of $^3_{\Lambda} H$ of AuAu fxt 5.2 GeV at STAR
 - ⇒ Introduction
 - ⇒ Experimental Setup
 - ⇒ Yield Analysis, Results and Discussion
- Models calculation about system dependence of light nucleus generation
 - ⇒ Motivation
 - ⇒ Results
- Summary and Outlook

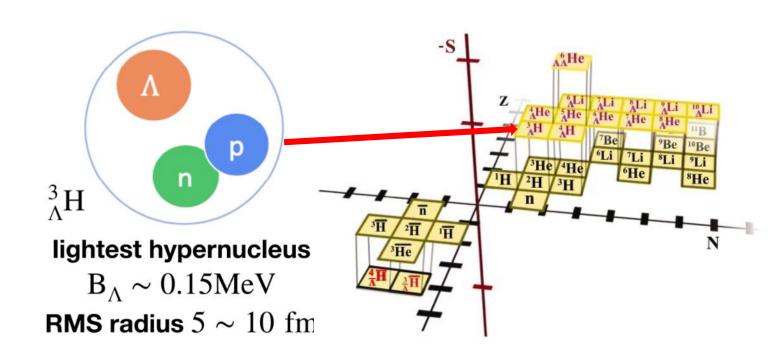
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Introduction: hypernuclei

- Hypernuclei : bound nuclear systems of non-strange and strange baryons
 - -Natural hyperon-baryon correlation system



The first discovery of hypernucleus by Marian Danysz (right) and Jerzy Pniewski (left) in 1952



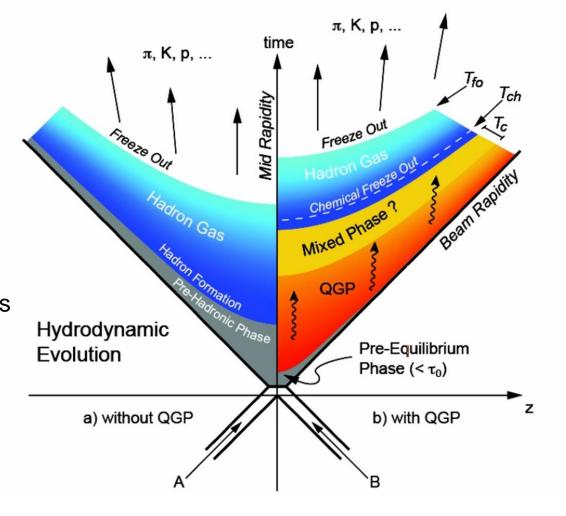
M. Danysz, J. Pniewski, Philos. Mag. 44 (1953) 348.

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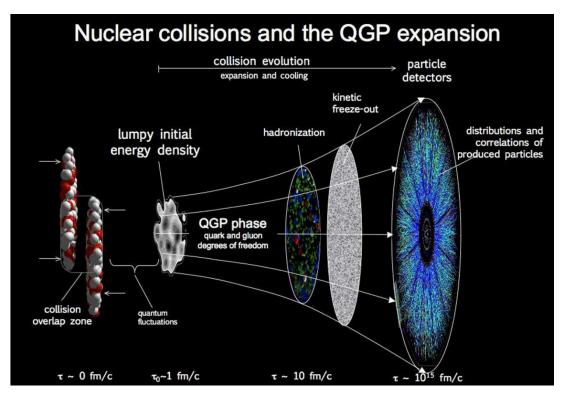
Production mechanism of hypernuclei in nature is still not well understood.

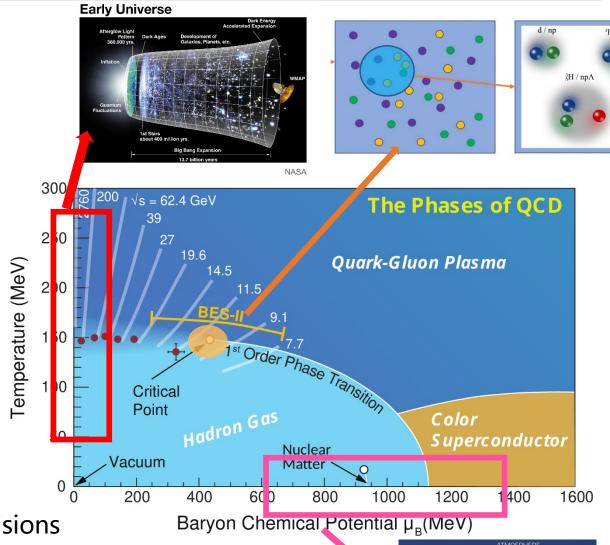
• In low- and intermediate-energy nuclear physics ,hypernuclei produced via strangeness exchange reaction.

In high-energy heavy ions → microcosm
 How such a loosely bound state as a super-nucleus can be formed and survive in high-energy heavy ions remains unknown.



Introduction: HI collisions and QCD Phase Diagram



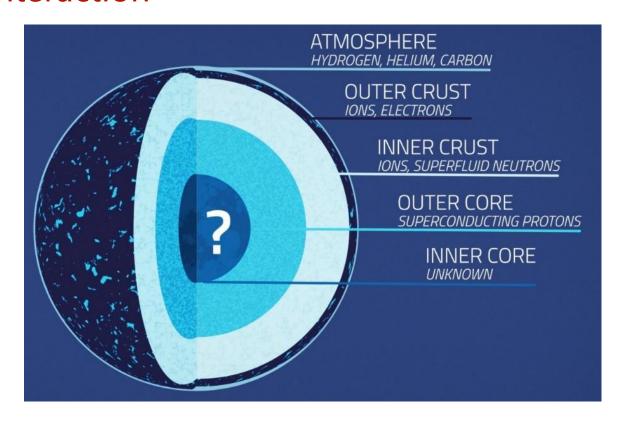


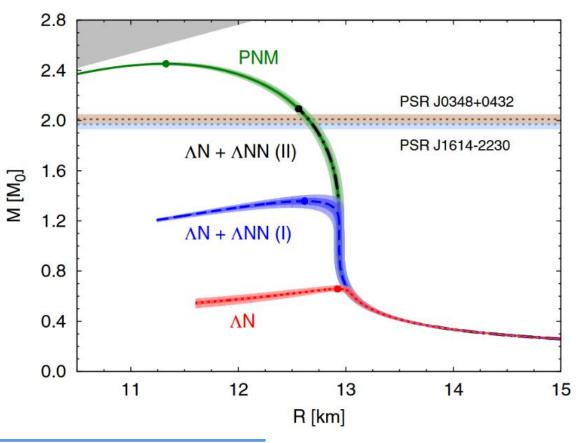
Varying centre-of mass energy of heavy-ion collisions

OUTER CRUST

Introduction: Y-N interaction in dense matter

- Hyperon puzzle: do hyperons exist in the dense inner core of neutron stars?
- Hypernuclei serve as a laboratory to study the hyperon-nucleon (YN) interaction

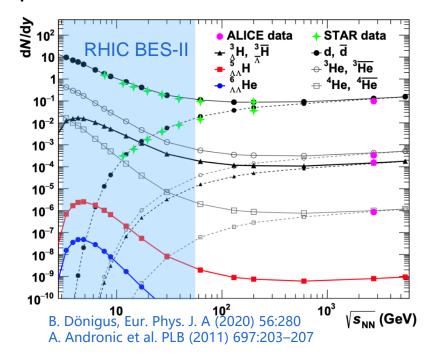


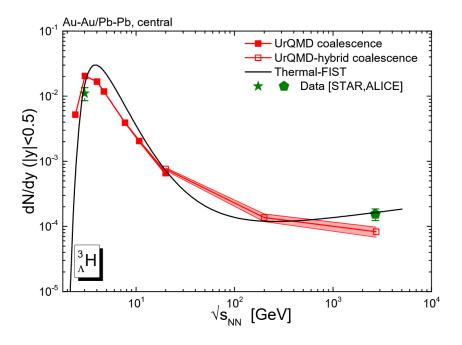


Production mechanism of hypernuclei is still not well understood.

Hypernuclei formation process in relativistic heavy-ion (HI) collisions can be studied through measurements related to spectra and collective flow.

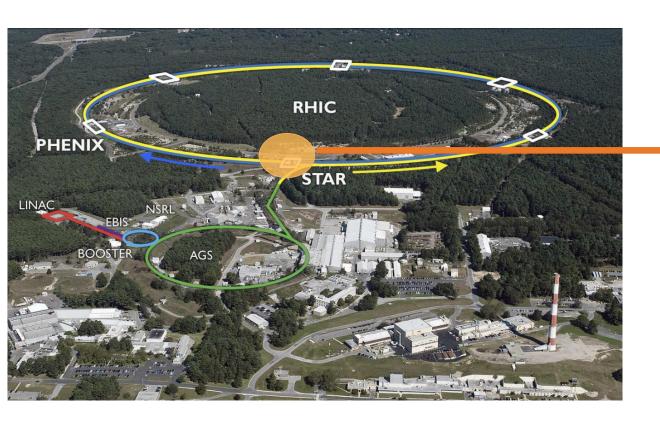
- Thermal model and UrQMD+coalescence
- At **low beam energies**, both in thermal model and UrQMD+coalescence model, hypernuclei production is expected to be **enhanced**



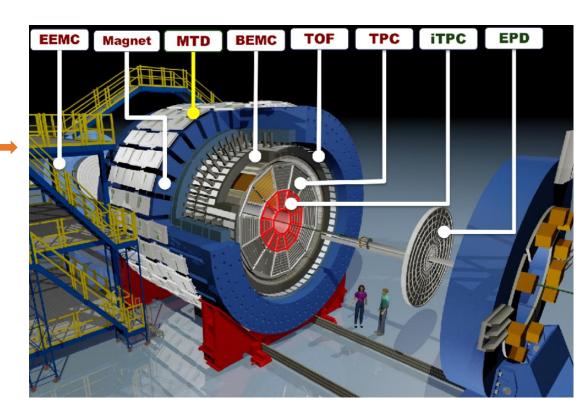


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Experimental Setup: Relativistic Heavy Ion Collider (RHIC)



- Collide ion from p, d, He to Cu, Au, Zr, Ru, U
- Central-of-mass energy for Au+Au collisions 3—200 GeV



- Wide and uniform acceptance, full azimuthal coverage, excellent PID capability
- TPC(Time Projection Chamber)+TOF (Time of Flight): particle identification

$$n_{\sigma} = R^{-1} \ln \frac{\langle (dE/dx)_{\rm exp} \rangle}{\langle (dE/dx)_{\rm th} \rangle} \qquad \beta = \frac{L}{ct} \quad \frac{m^2}{q^2} = \frac{p^2}{q^2} \left(\frac{1}{\beta^2} - 1 \right)$$

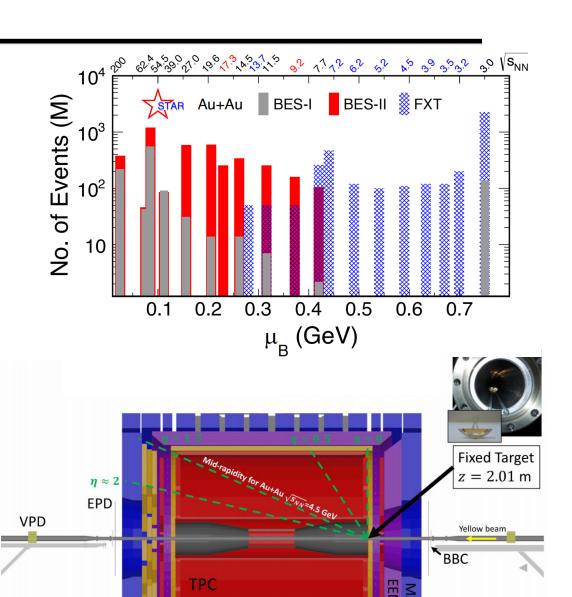
Experimental Setup: RHIC BES-II

- RHIC beam energy scan Phase II (BES-II)
 - Specific focus on low $\sqrt{s_{NN}}$

Include fixed target (FXT) mode extends down to 3.0 GeV, increase μ_B range from ~400 MeV to ~700 MeV

- High statistics data
- Improve systematics
 - Detector upgrade: iTPC, EPD, eTOF

Enhances the capability of various measurements with excellent precision



Yulou Yan 11

WEST

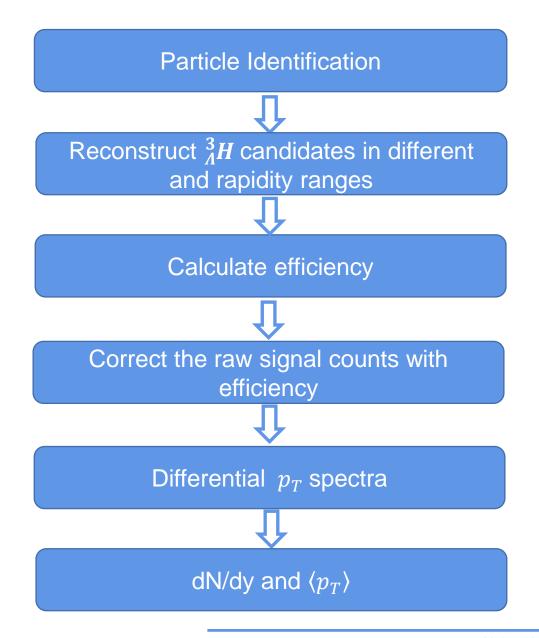
EAST

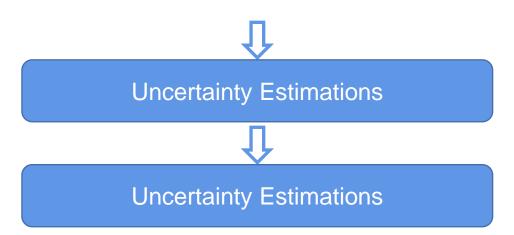
ETOF-

BTOF

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Yield Analysis Flow





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Dataset and event selections

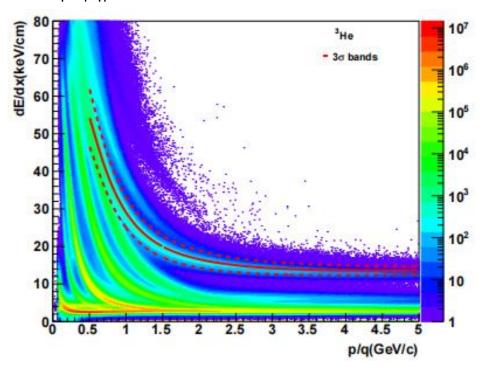
	information	
Dataset	Data: production_13p5GeV_fixedTarget_2020 Star Library:P21id	
Trigger	750000	
Badrun	21034002,21034007 https://drupal.star.bnl.gov/STAR/pwg/common/bes-ii-run-qa/FXT-datasets	
Rapidity convention	y = $-1*(y_{lab} - y_{beam})$, $y_{beam} = -1.68$ at FXT 5.2 GeV	
Vertex cuts	$198 < Vz < 202 \text{ cm}$ $\sqrt{(Vx + 0.3)^2 + (Vy + 2)^2} < 2$	
Centrality definition& pileup rejection	https://drupal.star.bnl.gov/STAR/system/files/2023_0718_AuAu5p2Cent.pdf	
Number of events	~ 89M good events(0-80% centrality)	
Embedding request id	0223001	
Track Cuts	pion $p_T > 0.1$ GeV/c He3 p > 0.4 GeV/c nHitsFit>15 nHitsDedx>5 nHitsFit/nHitsMax ≥ 0.52	

PID based on TPC dE/dx

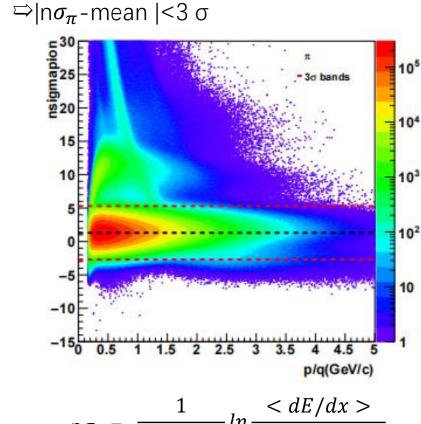
$$^3_{\Lambda}H \rightarrow ^3_2He + \pi^-$$

• He3

 \Rightarrow p/|q|>0.4GeV/c: $\pm 3\sigma$ dE/dx band



• Pion:



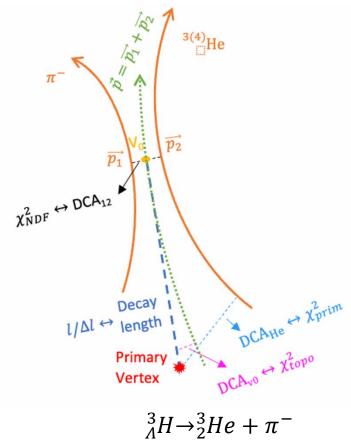
$$n\sigma_{\pi} = \frac{1}{(\frac{dE}{dx})_{error}} ln \frac{\langle dE/dx \rangle}{(dE/dx)_{bichsel}}$$

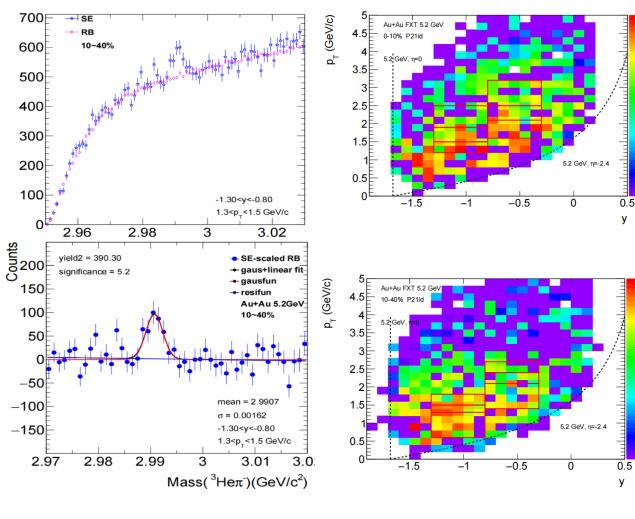
Reconstruct ³H candidates

- Reconstructed by KFParticle package
 XY. Ju et al. Nucl.Sci.Tech. 34 (2023) 10, 158
- Background reconstruction
 ⇒Rotate He3 10°~350° randomly, 20 times statistics
- Topological cuts

0~10% I>1 I/dI>6 chi2topo<10 chi2ndf<2.2 chi2primary_pi>5

10~40% I>1 I/dI >1, chi2topo<10 chi2ndf<4 chi2prim_pi>11

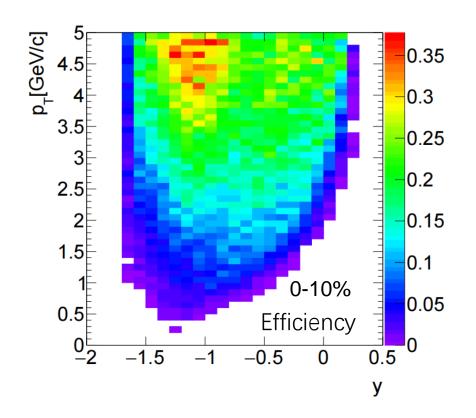


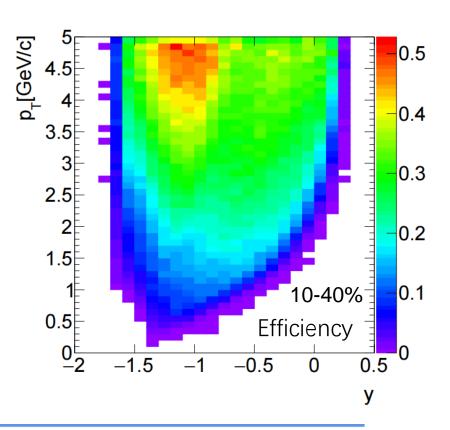


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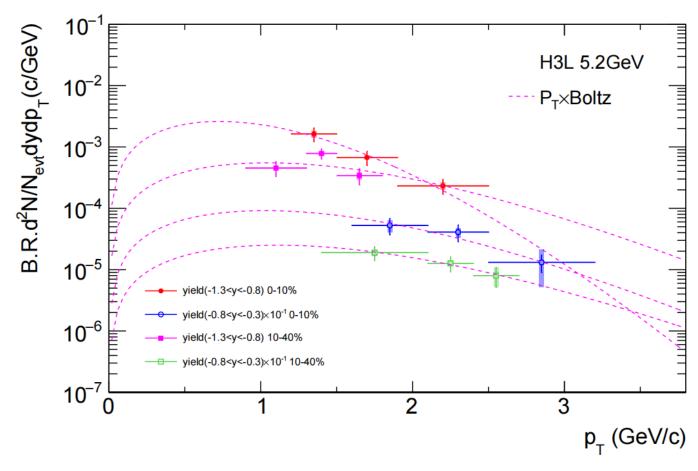
Efficiency calculation

- Reconstructing the embedded H3L signals via the same procedure as data
- •To make a fair comparison, weights are added to the embedding simulations
- Calculate efficiency





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• p_T spectra in 0~10% and 10~40.

Differential p_T spectra:

• Corrected p_T spectra:

$$\frac{1}{2\pi p_T} \frac{d^2N}{dp_T dy} \propto = \frac{N^{Raw}/(\epsilon^{reco} \times \epsilon^{PID})}{2\pi p_T \Delta p_T \Delta y}$$

dN/dy and $\langle p_T \rangle$:

dN/dy:

- Sum of yields in measured p_T region + unmeasured p_T region from function extrapolation
- Stat error: measured region * Fraction,Fraction =

Integral($p_T > 0$)/Integral(measured p_T range) from fitting function

$$\langle \boldsymbol{p_T} \rangle$$
:
$$\langle p_{\mathrm{T}} \rangle = \frac{\int p_{\mathrm{T}} \cdot \frac{d^2 N}{d p_{\mathrm{T}} d y} d p_{\mathrm{T}}}{\int \frac{d^2 N}{d p_{\mathrm{T}} d y} d p_{\mathrm{T}}}$$

Systematic uncertainty sources

- NHitsFit: nHitsFit>15, 17, 13
- Global average lifetime: H3L= 228.3±12.5 [ps]
- Raw count extraction
- Extrapolation function
- The topological cuts
- Vary raw count extraction
- ⇒ Vary the Gaussian+Linear fitting range [2.97,3.02], [2.97,3.01], [2.96,3.02]
- Vary the topological cuts

 for default,var1,var2 \Rightarrow sys.err = (max-min)*0.5 (max: maximum value of default, var1, var2)

(min: minimum value of default, var1, var2)

- Total sys.err added quadratically
- Vary extrapolation function
- ⇒ function styles: $C \cdot m_T exp(-\frac{m_T}{T})$ boltzmann(default)

$$C \cdot exp(-rac{m_T}{T})$$
 $m_T \exp$
 $C \cdot exp(-rac{p_T^2}{\mu})$ p_T Gaus
 $C \cdot exp(-rac{p_T^{3/2}}{\mu})$ $p_T^{3/2} \exp$

$$C \cdot exp(-\frac{p_T^{3/2}}{u})$$
 $p_T^{3/2} \exp$

$$\int_0^R r dr m_T I_0(\frac{p_T sinh \rho(r)}{T_{kin}}) * K_1(\frac{m_T cosh \rho(r)}{T_{kin}}) \quad \text{blast wave}$$

⇒ function parameters: Bootstrap

Red denotes cuts variations in systematic uncertainty study

Systematic Uncertainties of dN/dy and $\langle p_T \rangle$

Dataset	Au+Au co	ollisions at $\sqrt{s_{_{\rm NN}}} = 5.2 \text{ GeV}$
Centrality	0 – 10%	10 – 40%
Tracking efficiency	10%	10%
$\frac{3}{\Lambda}$ H lifetime	5%	2%
Topological cuts	11%	8%
Raw count extraction	10%	0%
Extrapolation	30%	14%
/- / NINL F 2C - \ / II /		1 2 O O D

在√sNN =5.2GeV时,目标速度 –1.3 < y < –0.8时,Au+Au碰撞中dN/dy的 相对系统不确定度

Dataset	Au+Au collisions at $\sqrt{s_{_{\rm NN}}} = 5.2 \text{ GeV}$	
Centrality	0 – 10%	10 – 40%
Tracking efficiency	0%	0%
³ _A H lifetime	0%	1%
Topological cuts	4%	6%
Raw count extraction	0%	0%
Extrapolation	6%	4%

在√sNN =5.2GeV时,目标速度-1.3< y<-0.8时,Au+Au碰撞中 $⟨p_T⟩$ 的 相对系统不确定度

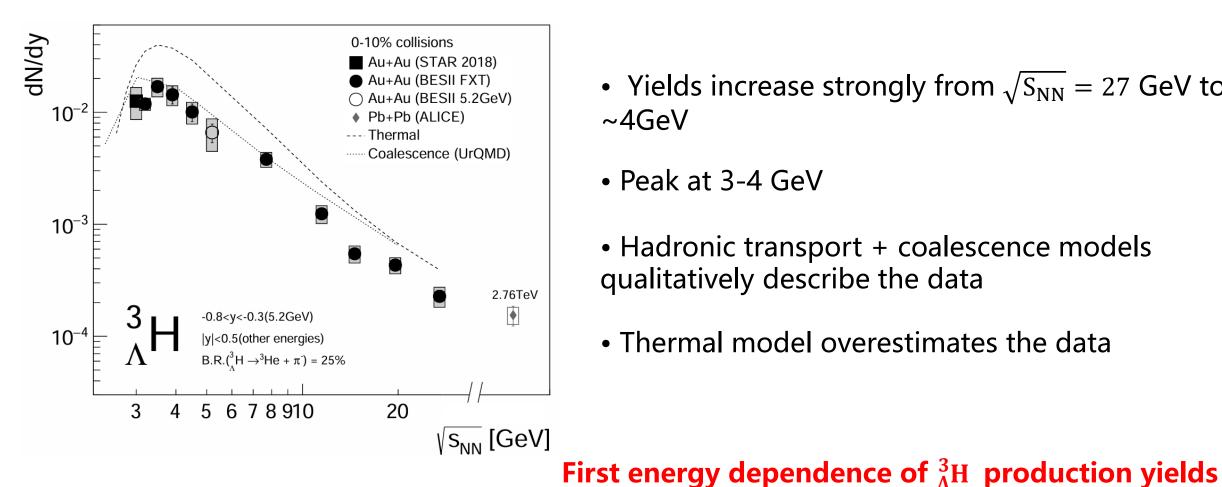
Dataset	Au+Au collisions at $\sqrt{s_{_{\rm NN}}} = 5.2 \text{ GeV}$	
Centrality	0 – 10%	10 – 40%
Tracking efficiency	11%	10%
$^{3}_{\Lambda}$ H lifetime	3%	0%
Topological cuts	12%	0%
Raw count extraction	0%	0%
Extrapolation	27%	18%

在√sNN =5.2GeV时,目标速度 – 0.8 < y < – 0.3时,Au+Au碰撞中dN/dy的 相对系统不确定度

Dataset	Au+Au collisions at $\sqrt{s_{_{\rm NN}}} = 5.2 \text{ GeV}$	
Centrality	0 – 10%	10 – 40%
Tracking efficiency	0%	0%
³ H lifetime	0%	0%
Topological cuts	3%	5%
Raw count extraction	0%	1%
Extrapolation	4%	2%

在√sNN = 5.2GeV时,目标速度 – 0.8 < y < -0.3时,Au+Au碰撞中 $⟨p_T⟩$ 的 相对系统不确定度

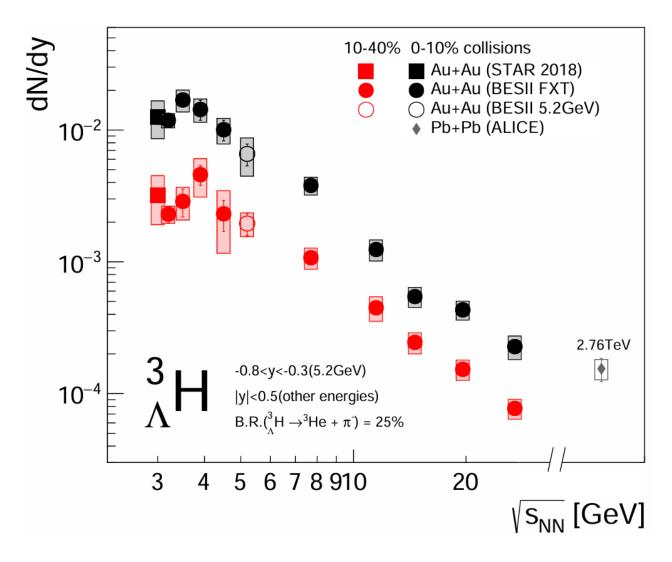
Energy dependence of $^3_{\Lambda}$ H production



- Yields increase strongly from $\sqrt{S_{NN}} = 27$ GeV to ~4GeV
- Peak at 3-4 GeV
- Hadronic transport + coalescence models qualitatively describe the data
- Thermal model overestimates the data

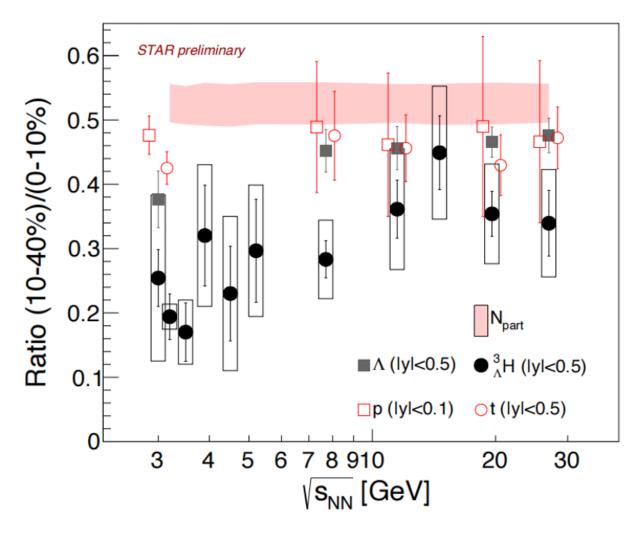
in the high-baryon-density region

STAR, PRL 128 (2022) 202301 ALICE, PLB 754 (2016) 360 T. Reichert, et al, PRC 107 (2023) 014912



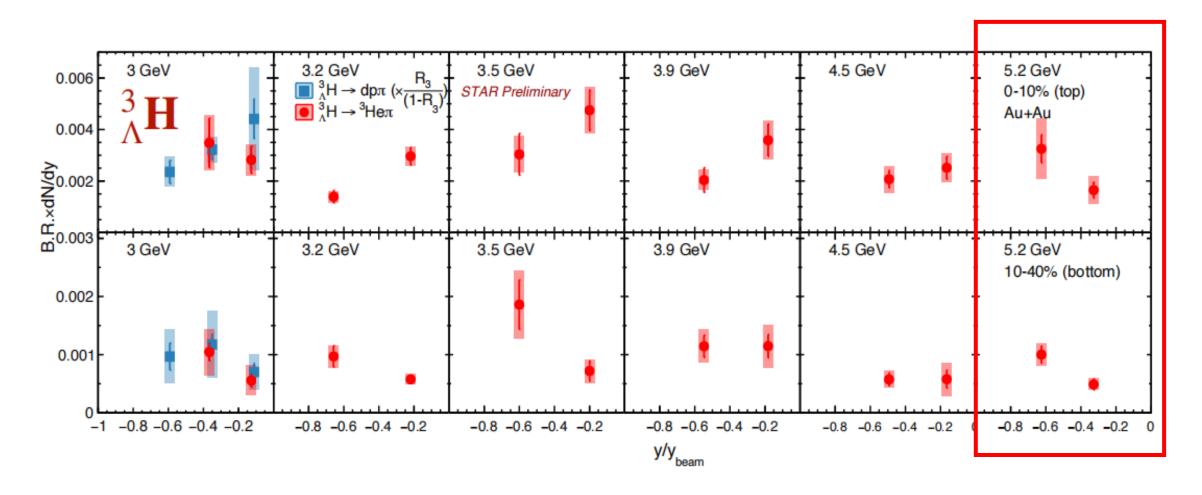
• Similar trend in central (0-10%) and mid-central (10-40%) collisions

Centrality dependence of $^3_{\Lambda}$ H production

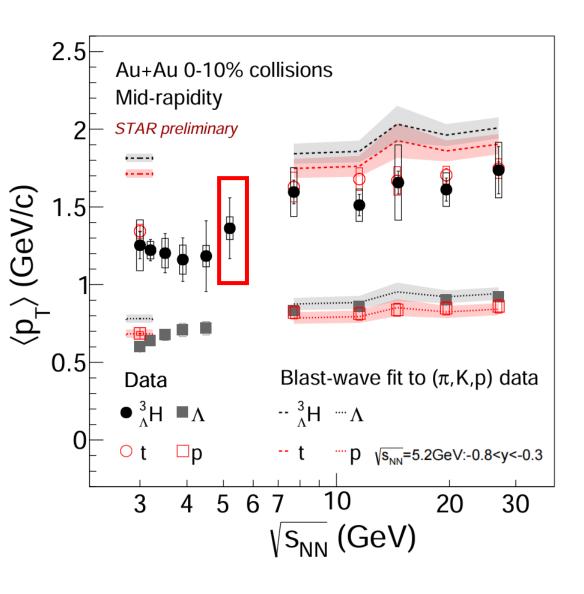


• For ${}^{3}_{\Lambda}$ H, a significant depression conpared to was observed compared to the Npart result and it was more pronounced where the system collision energy was less than 7.7 GeV.

This might imply that the hypernucleus yield is related to the geometry of the system.



- The yields at 5.2 GeV and other energies are comparable.
- \Rightarrow The tendency rapidity dependence of ${}^3_{\Lambda}{\rm H}$ yields at 5.2 GeV is similar to those of other energies in 10-40%.



- Similar $\langle p_T \rangle$ for $^3_\Lambda \mathrm{H}$ and t
- Hint of ${}_{\Lambda}^{3}H$ and t $\langle p_{T}\rangle < \langle p_{T}\rangle ^{BW}$ especially >7.7GeV Blast-wave expectation calculated using measured kinetic freeze-out parameters from light hadrons (π , K, p)spectra

 $^3_\Lambda H$ and t might do not follow same collective expansion as light hadrons. Can be interpreted as $^3_\Lambda H$ and t decoupling at different times compared to light hadrons

- Different trend around $\sqrt{S_{NN}} = 4.5 \text{ GeV}$
 - This might imply the existence of a critical point between them or suggest different expansion dynamics?

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Motivation

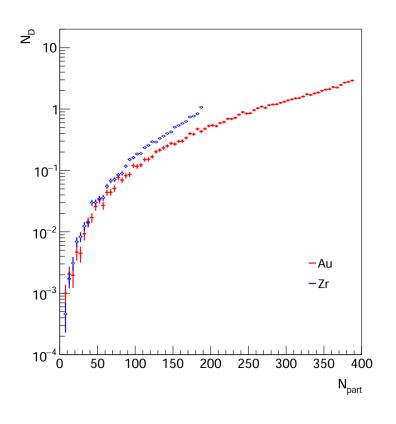
- UrQMD combined coalescence model can give a good description of $^3_{\Lambda}{\rm H}$ yields
- The yield ratio of ³_ΛH at different centers was significantly lower than that of the hadron, indicating that the production of loosely bound ³_ΛH is related to the system geometry
- Looking forward to using this model to conduct a collision system-dependent model study on light nuclei under different collision systems (Ru+Ru, Zr+Zr system and Au+Au collision system)

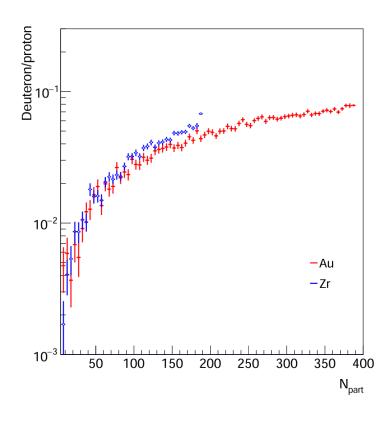
UrQMD via phase-space coalescence

- (i) During the evolution of the system, we follow the protons and neutrons until their individual space-timepoints of last interaction.
- (ii) For each p-n pair, the momentum and position of proton and neutron is boosted to the two-particle rest frame of this p-n pair.
- (iii) We calculate the relative momenta $\Delta p=|\vec{p}_1-\vec{p}_2|$ and the relative distances $\Delta r=|\vec{x}_1-\vec{x}_2|$ of the p-n pair in the two-particle rest frame at equal times. The yield of deuteron candidates is then given by the condition of $\Delta p < \Delta p_{max}$ and $\Delta r < \Delta r_{max}$. Here we use the parameter set of $\Delta p_{max} = 0.285$ GeV/c and $\Delta r_{max} = 3.575$ fm
- (iv) the chosen p-n pair is marked as a deuteron and its constituent nucleons are removed from the phase-space distribution. PHYSICAL REVIEW C 99, 014901 (2019)

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Deuteron and Deuteron/Proton vs Npart

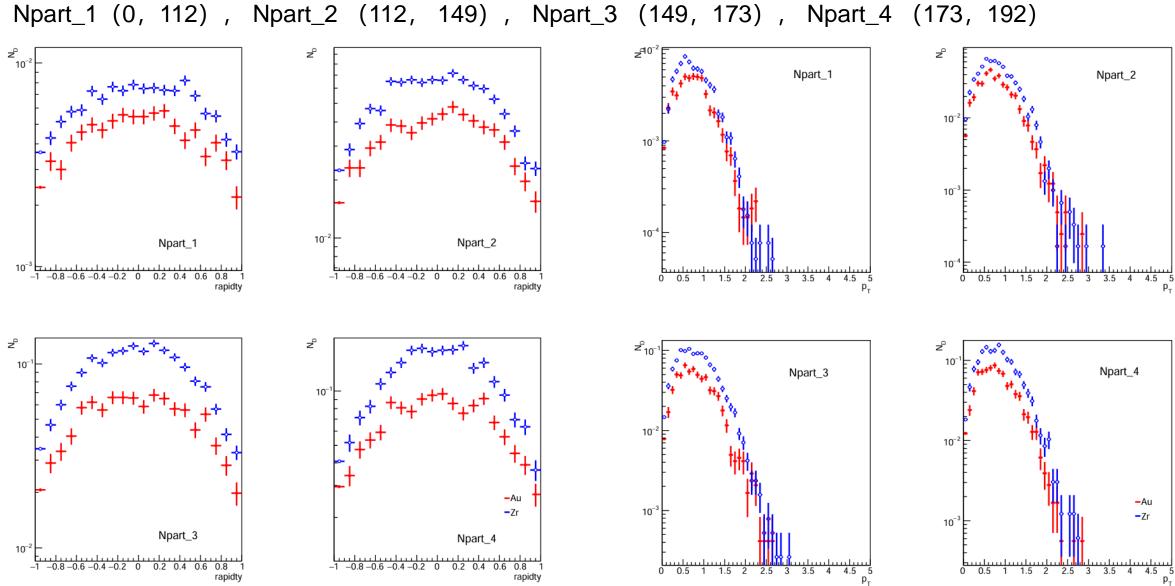




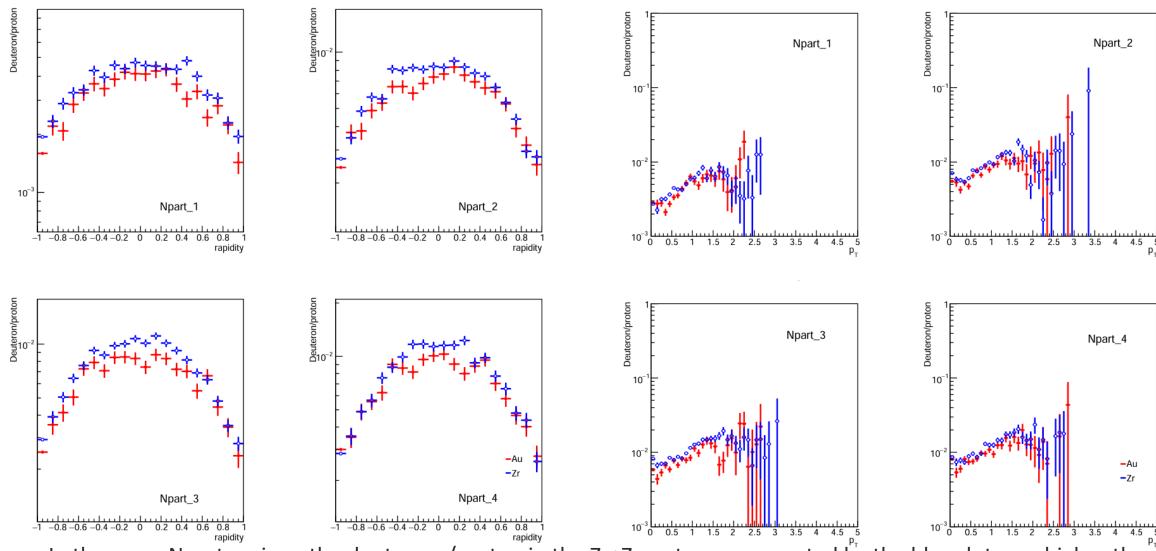
• In the high Npart region, the deuterons in the Zr+Zr system represented by the blue dots under the same Npart are higher than that of the Au+Au system represented by the red dot.

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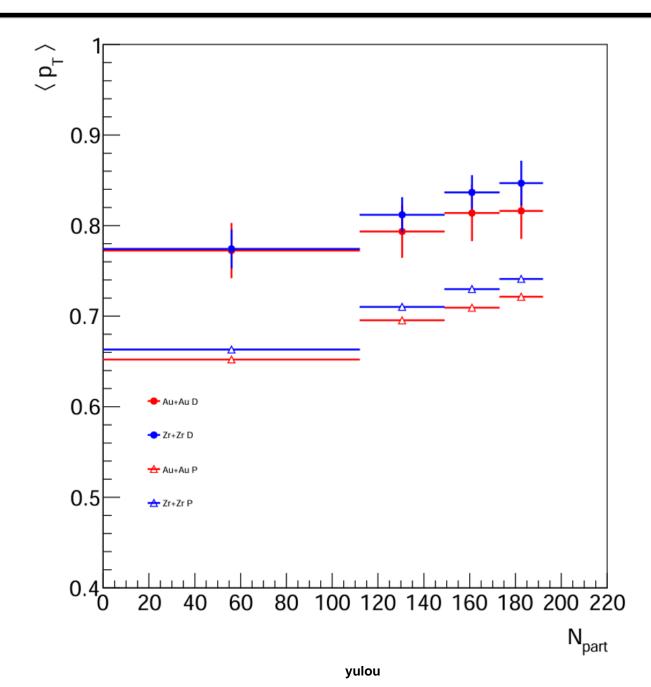
Deuteron vs rapidity and $\langle p_T \rangle$



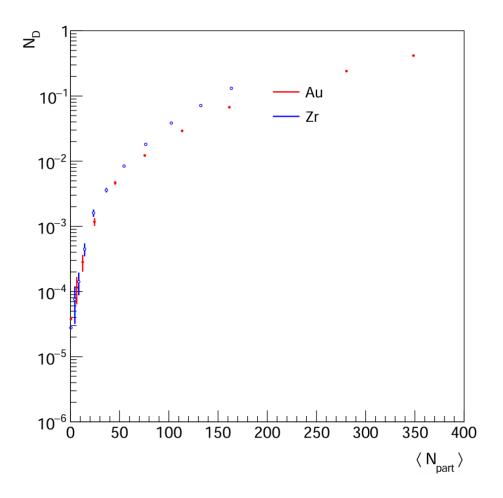
• In the same Npart region, the deuterons in the Zr+Zr system represented by the blue dots are higher than that of the Au+Au system represented by the red dot.

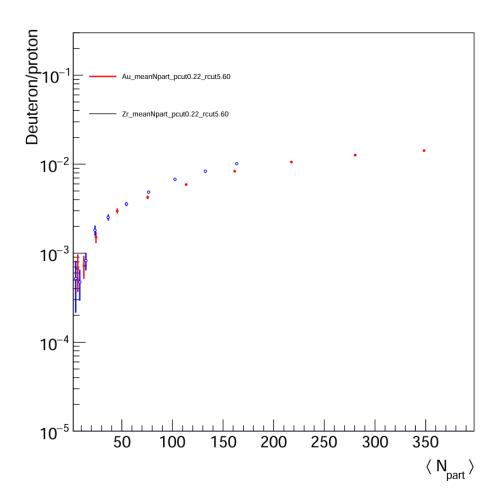


• In the same Npart region, the deuterons/proton in the Zr+Zr system represented by the blue dots are higher than that of the Au+Au system represented by the red dot.



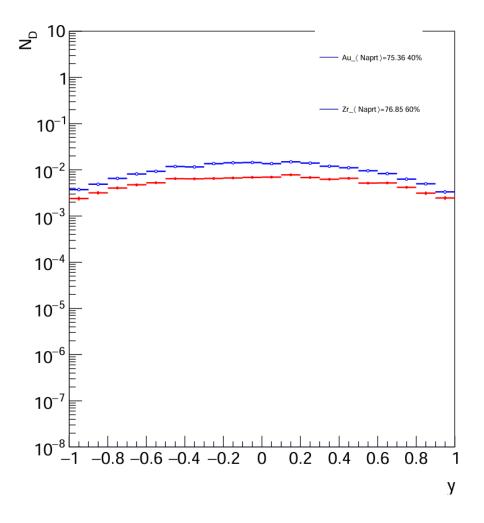
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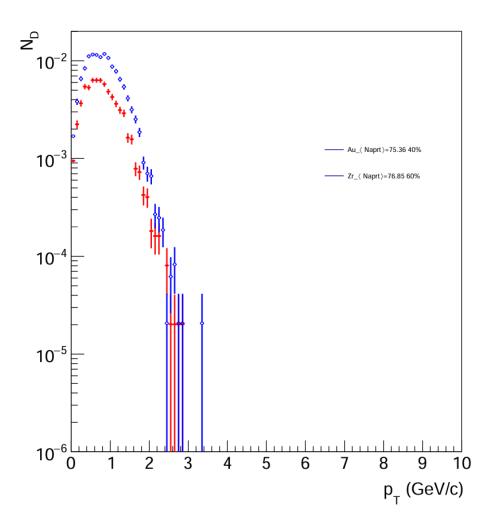




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Deuteron vs rapidity and $\langle p_T \rangle$





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Summary

- This paper presents the yield measurement results of $^3_\Lambda H$ in a fixed-target Au+Au collision with an energy of $\sqrt{S_{NN}}$ =5.2 GeV in 2020
- > The results at 5.2 GeV follows the trend of dN/dy varying with energy from 3 GeV to 27 GeV
- \succ Combined with other energy points, they present first measurement of $^3_\Lambda H$ energy dependence in the high-baryon-density region
 - ✓ Yield of ${}^{3}_{\Lambda}$ H increases rapidly as the collision energy decreases and peaks around 3-4 GeV
 - \checkmark The yields ratios of $^3_\Lambda H$ between different centralities of 10-40% and 0-10% is significantly smaller than the result of $\langle Npart \rangle$ especially $\sqrt{S_{NN}}$ <7.7GeV seems imply that hypernucleus yield is related to the geometry of the system
 - ✓ UrQMD+ coalescence model show a better description than thermal statistical model
 - \checkmark $^3_{\Lambda}$ H $\langle p_T \rangle$ seems in contradiction to that freeze out at the same time as light hadrons
 - ✓ Different ${}_{\Lambda}^{3}$ H $\langle p_{T} \rangle$ trend around $\sqrt{S_{NN}} = 4.5$ GeV seems imply the existence of a critical point or different expansion dynamics
- models calculation about system dependence of light nucleus generation
- ➤ The same number of participant nucleons (Npart or (Npart)), the deuteron yields and deuteron/proton ratios in Zr+Zr collisions are higher than those in Au+Au collisions
 - ✓ the large radius of loosely bound nuclei may make their yields possibly related to the geometry of the emission source

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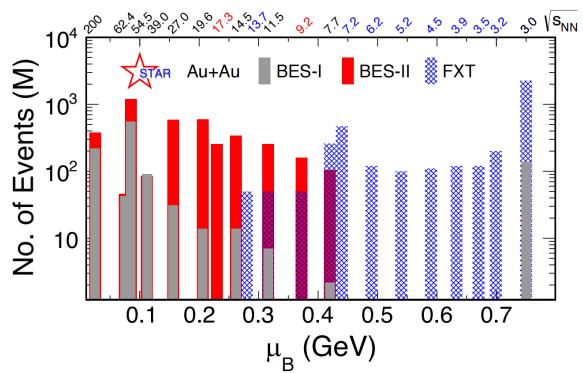
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- Huge datasets enable precision hypernuclei measurements
 - Run 21, Au+Au 3 GeV, ~2 billion events
 - Run 18, Isobar 200 GeV, ~6 billion events
- Opportunities for heavier hypernuclei: ${}^4_{\Lambda}H$, ${}^4_{\Lambda}He$, ${}^5_{\Lambda}He$, ${}^6_{\Lambda}H$, ${}^A_{\Lambda}H$, ${}^A_{\Lambda}He$
- For the model research of light nuclei, attempt to change the nuclear density distribution for further exploration

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Publications and Presentations

Publications

Analysis Note: Hypertriton production in FXT Au+Au collisions at \$\snn\$=5.2GeV, PSN=0864, https://drupal.star.bnl.gov/STAR/starnotes/private/PSN0864

Prepare to publish:

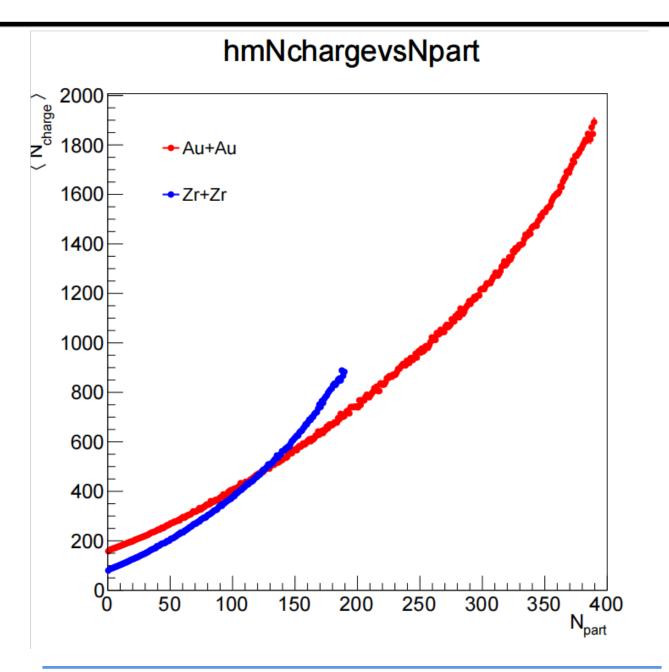
Collision energy dependence of H3L production in Au+Au collisions at RHIC (Principal Author, under STAR GPC review)

Presentations

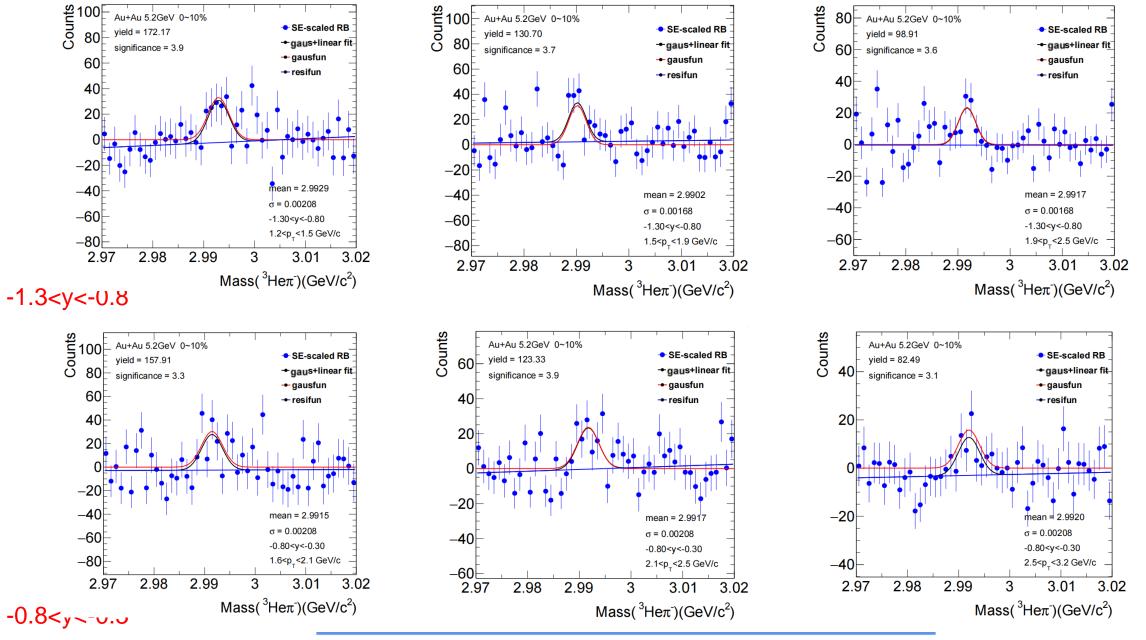
1. Talk, "Collision Energy Dependence of Hypertriton Production in Au+Au Collisions at RHIC", 中国物理学会高能物理分会第十四届全国粒子物理学术会议,2024,08, Qingdao, China (talk)

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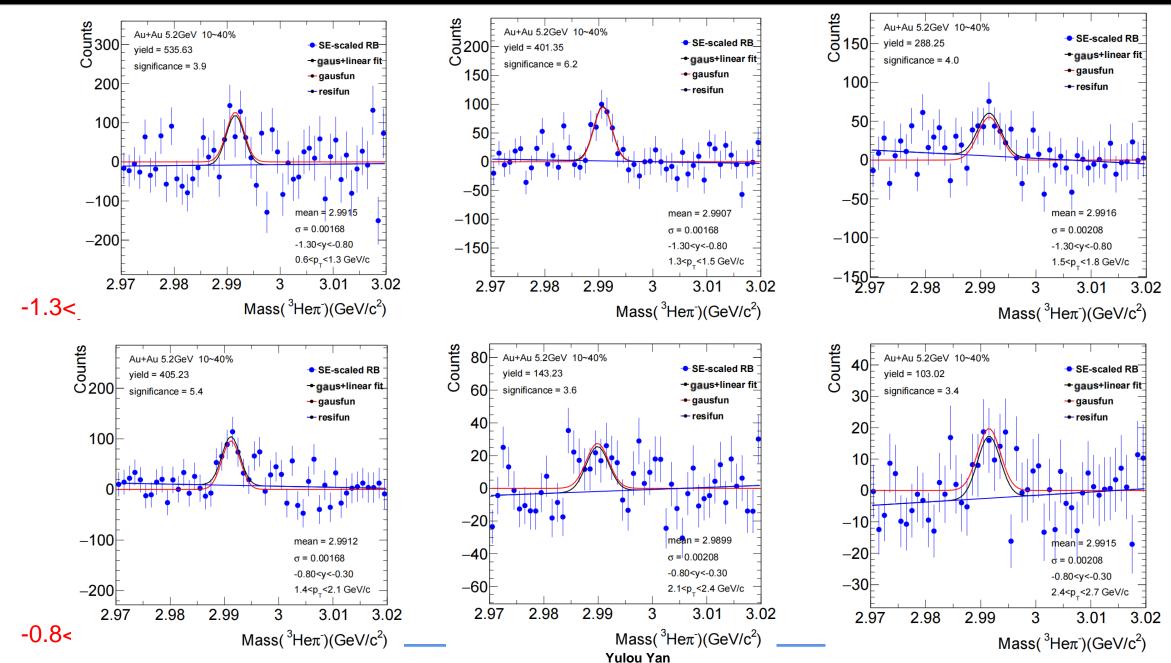
Back up



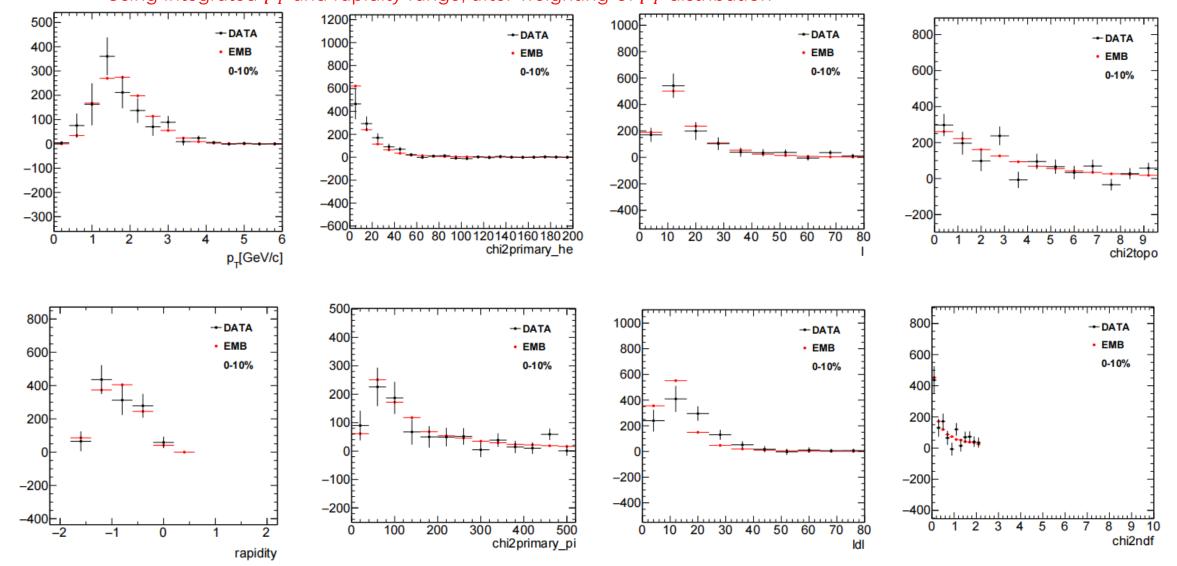
Signals extraction 0-10% (Run2020 5.2 GeV)



Signals extraction 10-40% (Run2020 5.2 GeV)

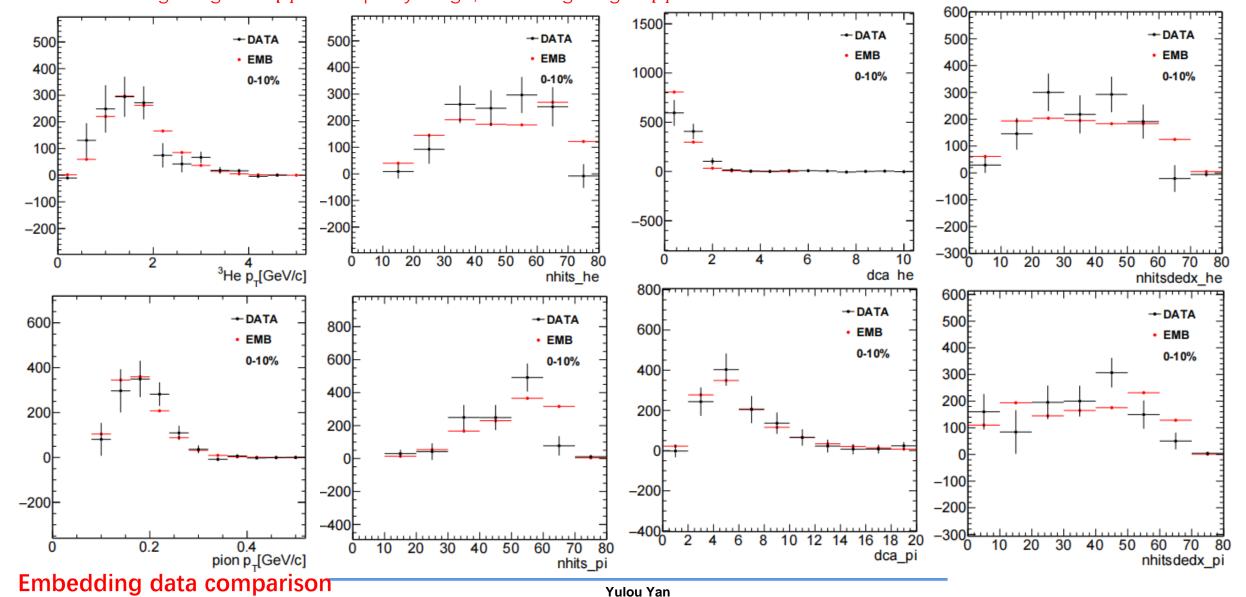


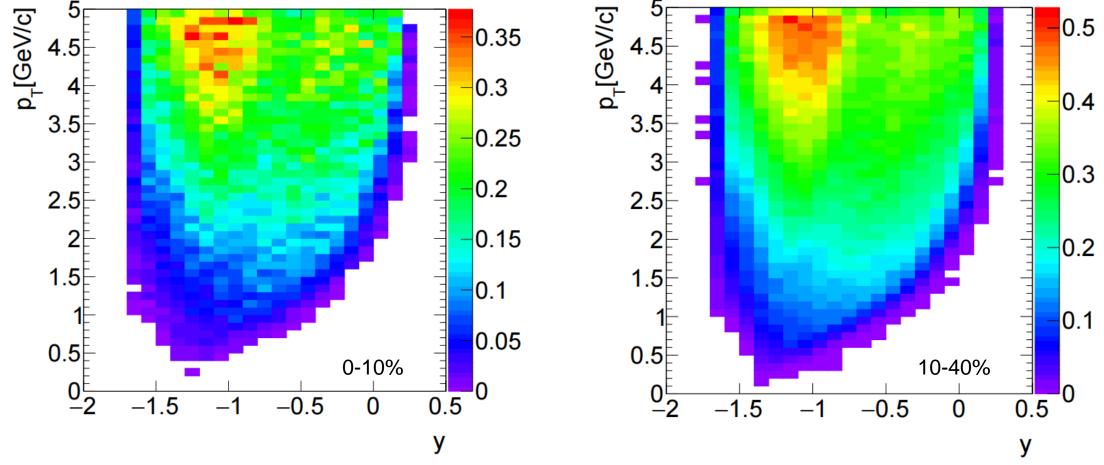
• 3.2 GeV(2019) H3L embedding: https://drupal.star.bnl.gov/STAR/starsimrequests/2022/Jul/30/hypernuclei-FXT-AuAu-32-GeV Using integrated p_T and rapidity range; after weighting of p_T distribution



Embedding data comparison

• 3.2 GeV(2019) H3L embedding: https://drupal.star.bnl.gov/STAR/starsimrequests/2022/Jul/30/hypernuclei-FXT-AuAu-32-GeV Using integrated p_T and rapidity range; after weighting of p_T distribution

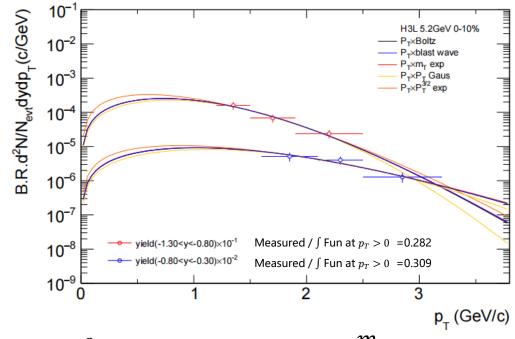




- ullet MC particles' p_T distribution is weighted with an boltzmann function
- MC particles' y distribution is weighted with a quadratic function
- Lifetime is weighted to H3L world average lifetime 228.3ps

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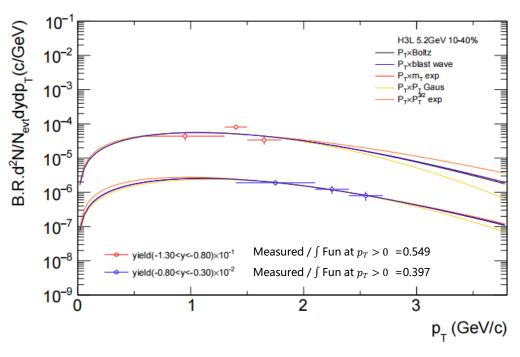


$$\frac{1}{2\pi p_{T}}\frac{d^{2}N}{dp_{T}dy} \propto C \cdot m_{T}exp(-\frac{m_{T}}{T}) \text{ boltzmann(default)}$$

$$= \frac{N^{Raw}/(\epsilon^{reco} \times \epsilon^{PID})}{2\pi p_{T}\Delta p_{T}\Delta y} \frac{C \cdot exp(-\frac{m_{T}}{T})}{C \cdot exp(-\frac{p_{T}}{\mu})} p_{T} \text{ Gaus}$$

$$C \cdot exp(-\frac{p_{T}^{3/2}}{\mu}) p_{T}^{3/2} \exp$$

$$\int_{0}^{R} rdrm_{T}I_{0}(\frac{p_{T}sinh\rho(r)}{T}) * K_{1}(\frac{m_{T}cosh\rho(r)}{T})$$

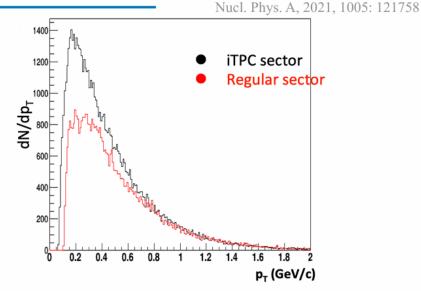


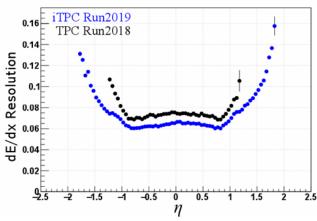
- •When fitting, option "I" is added.(fit range:0-4GeV/c)
- •dN/dy
- \Rightarrow Sum of yields in measured p_T region + unmeasured region p_T from function extrapolation.
- \Rightarrow Stat error: measured region * Fraction, Fraction = Integral($p_T > 0$)/Integral(measured p_T range) from fitting function.

blast wave

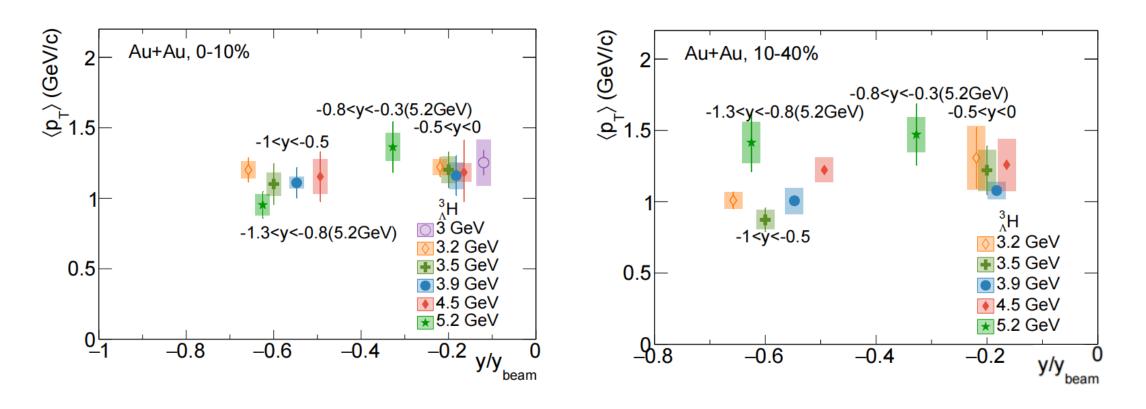
STAR iTPC Upgrades

- Rebuild the inner sectors of the TPC (iTPC)
 - Fully installed in 2019
 - Continuous coverage
 - Improve dE/dx
 - Extends η acceptance from 1.0 to 1.5
 - Lower p_T cut-off



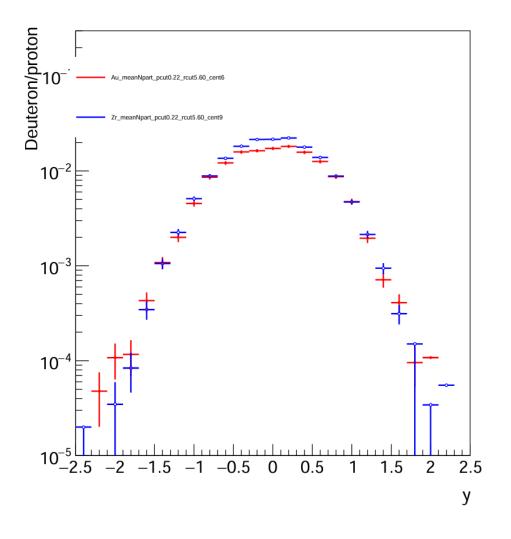


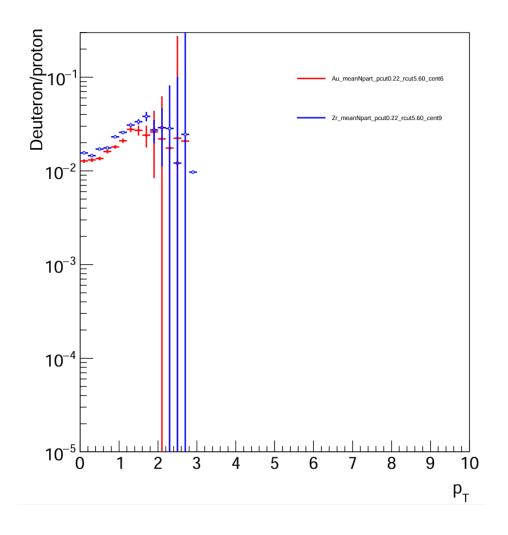
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ullet The $\langle p_T \rangle$ at 5.2 GeV and other energies are comparable

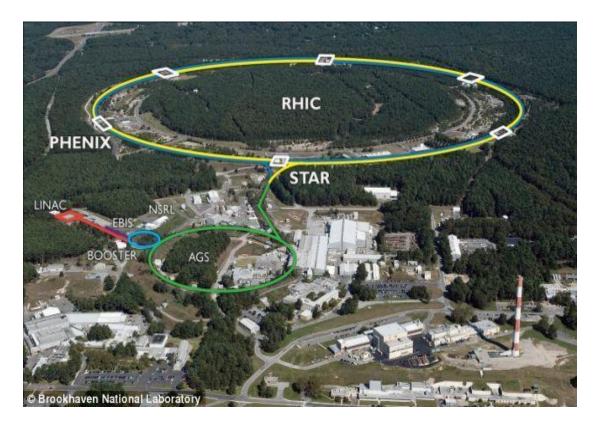
Deuteron/Proton vs rapidity and $\langle p_T \rangle$

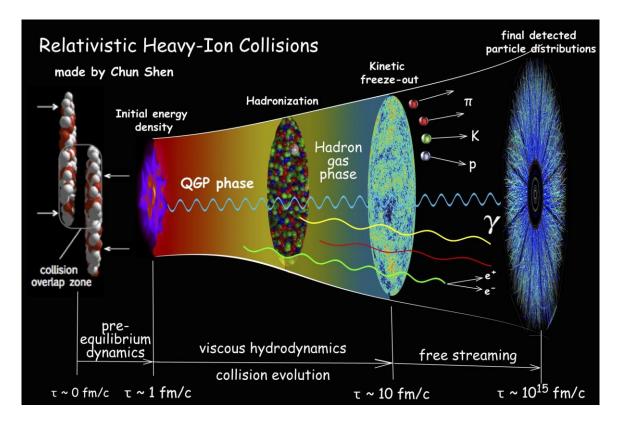




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Motivation: RHIC BES-II





Relativistic heavy ion collisions

High temperature and high baryon number density

Hypernuclei

Hypernuclei

We can measure directly reconstruct

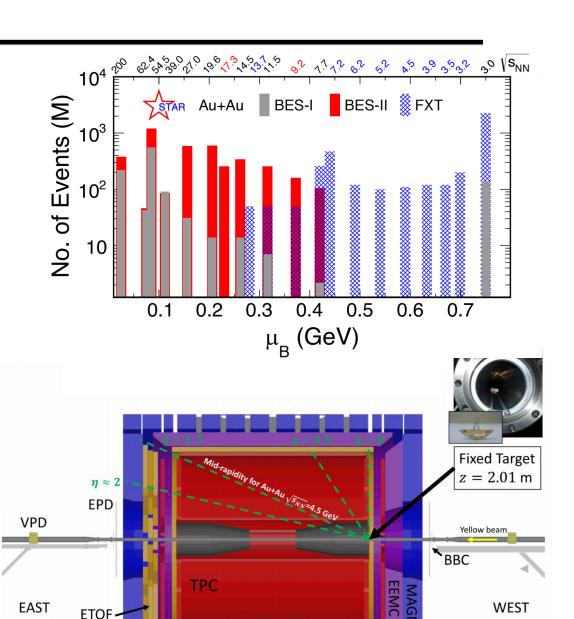
Motivation: RHIC BES-II

- RHIC beam energy scan Phase II (BES-II)
 - Specific focus on low $\sqrt{S_{NN}}$

Include fixed target (FXT) mode extends down to 3.0 GeV, increase μ_B range from ~400 MeV to ~700 MeV

- High statistics data
- Improve systematics
 - Detector upgrade: iTPC, EPD, eTOF

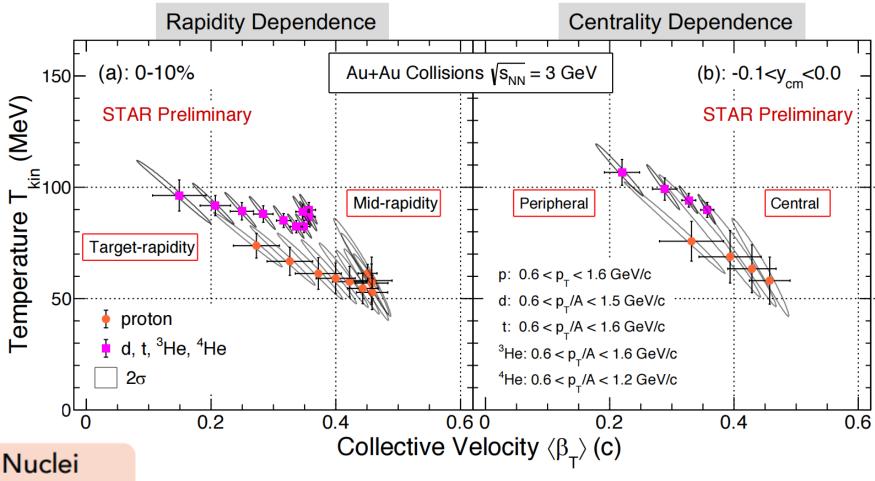
Enhances the capability of various measurements with excellent precision



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BTOF

Kinetic Freeze-out Dynamics at 3 GeV



Light Nuclei freeze out earlier?

J. Adams et al. [STAR Collaboration] Nucl.Phys.A 757 (2005) 102-183

- \triangleright The kinetic freeze-out parameters (T_{kin} and $\langle \beta_T \rangle$) show rapidity and centrality dependence
- \triangleright The freeze-out parameter (T_{kin}) of the light nuclei is systematically higher than that of the protons

Hui Liu, QM2022 @ Krakow, Poland

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Summary

• First measurements of $^3_\Lambda H$ yields vs. energy at high baryon density region presented, consistent with coalescence model

• Suppression of ${}^{3}_{\Lambda}{}$ H in 10-40% collisions at low collisions energies observed

- ${}_{\Lambda}^{3}$ H $\langle p_{T} \rangle$ overestimated by Blast-wave fit parameterization from light hadrons
 - \rightarrow $^3_\Lambda H$ are likely formed at or decouples from the system at a different time compared to the light hadrons