



Study of $D^0 \rightarrow K^+ K^- \omega$ and $K_S^0 - K_L^0$ asymmetry in $D^0 \rightarrow \omega K_S^0 / K_L^0$ decays on BESIII

Haoran Zhang

Supervisor: Yingchun Zhu, Wenbiao Yan

Thesis Proposal Talk

2025.10.14



Outline



- Introduction
- Partial wave analysis of $D^0 \rightarrow K^+ K^- \omega$
- Study of $K_S^0 - K_L^0$ asymmetry in the decays $D^0 \rightarrow \omega K_S^0$ and $D^0 \rightarrow \omega K_L^0$
- Summary and Prospects

Standard Model (SM)

- SM describes the **fundamental particles** that make up the visible world of matter and **how they interact with each other**.

- Still need to explain:

- Dark matter and dark energy

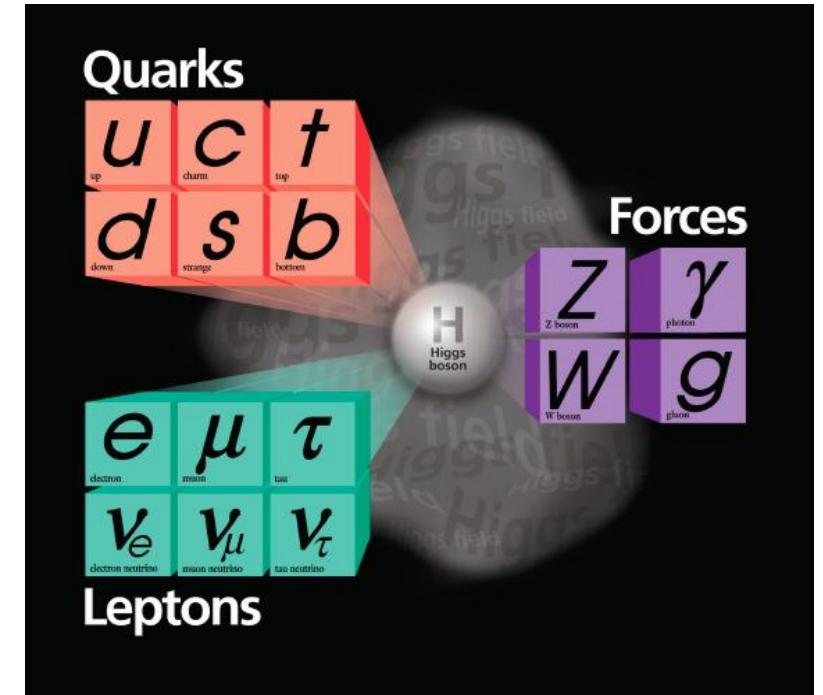
- Mass of neutrinos

-

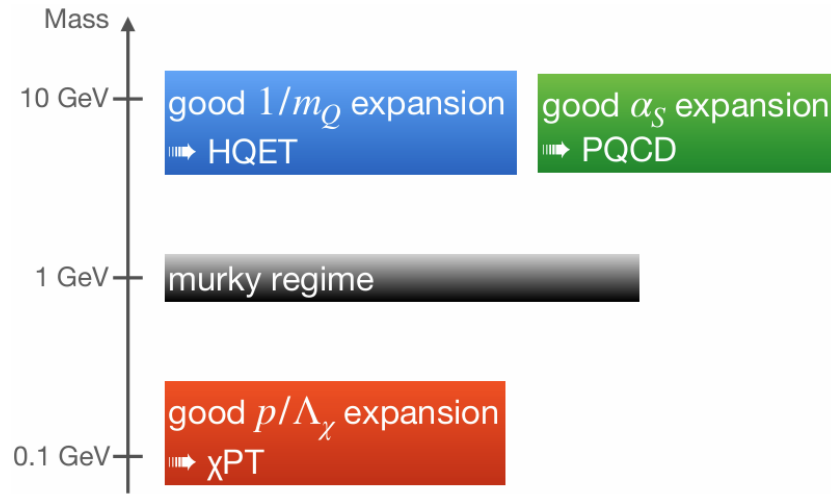


Experiments: test of SM, search for new physics beyond SM

SM phenomenology: Bridge between SM and experiments



Peculiarities of Charm



□ $m_c \sim 1.3 \text{ GeV}/c^2$, neither heavy enough to allow for a sensible heavy quark mass expansion nor light enough for an application of chiral perturbation theory.

□ (semi-)leptonic D decays: lattice QCD

□ D decay dominantly into hadronic final states but no D meson hadronic decay theory based on QCD yet....

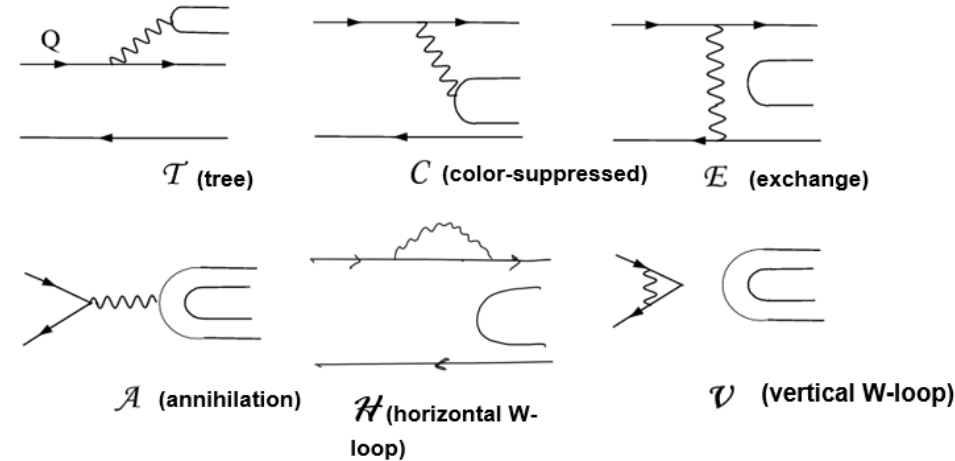
Mode	BR
PP	$\sim 10\%$
VP	$\sim 28\%$
VV	$\sim 10\%$
SP	$\sim 4.2\%$
AP	$\sim 10\%$
TP	$\sim 0.3\%$
2-body	$\sim 63\%$
hadronic	$\sim 84\%$
semileptonic	$\sim 16\%$

P: pseudoscalar meson
V: vector meson
A: axial vector meson
T: tensor meson

D Meson Decays

- Powerful theoretical models for charm hadronic decays: factorization-assisted topological-amplitude(FAT)approach, flavor or topological diagram approach (TDA)

- Topological information → Extract from experiments
- FSIs and non-factorizable contribution
- Predictions of observable quantities → Test by experiments



- Precise measurements to test theories and further understanding:

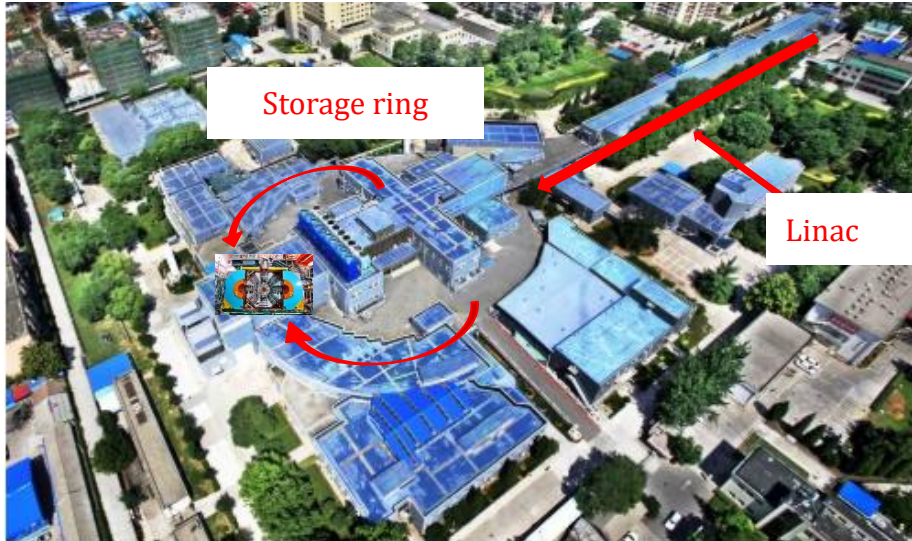
- **Polarization puzzles** in $D \rightarrow VV$
- **$K_S^0 - K_L^0$ asymmetries** in $D \rightarrow K^0 M$

... ..

- $D^0 \bar{D}^0$ samples produces at BESIII

- 20.3 fb^{-1} @ 3.773 GeV
- Near DD threshold
- $\sim 73.4\text{M}$ $D^0 \bar{D}^0$ pairs

BEPCII and BESIII



Double ring: e^+ and e^-
 Cross angle: 22 mrad
 E_{cm} : 1.84 – 4.95 GeV
 Peak luminosity: $1.1 \times 10^{33} \text{ cm}^{-2} \text{ s}^{-1}$ @ $\psi(3770)$

Electromagnetic Calorimeter

CsI(Tl): $L = 28 \text{ cm}$

- Barrel $\sigma_E/E = 2.5\%$ @ 1 GeV
- Endcap $\sigma_E/E = 5.0\%$ @ 1 GeV

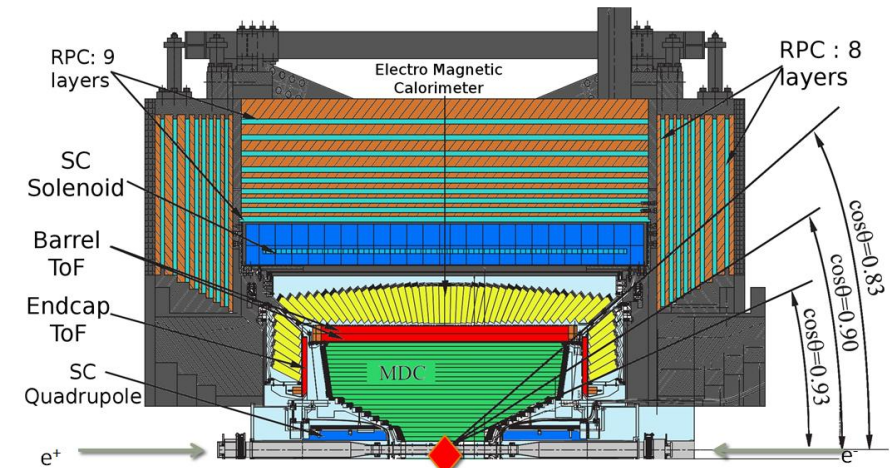
Muon Counter

RPC

Barrel: 9 layers

Endcaps: 8 layers

- $\sigma_{\text{spatial}} = 1.48 \text{ cm}$



• BESIII Detector

Main Drift Chamber

Small cell, 43 layer

- $\sigma_{xy} = 130 \mu\text{m}$
- $dE/dx \sim 6\%$
- $\sigma_p/p = 0.5\%$ @ 1 GeV/c

Time Of Flight

Plastic scintillator

- $\sigma_T(\text{barrel}) = 68 \text{ ps}$
- $\sigma_T(\text{endcap}) = 110 \text{ ps}$
 (update to 60 ps with MRPC)

Partial wave analysis of $D^0 \rightarrow K^+ K^- \omega$

Motivation

□ Polarization puzzles in $M \rightarrow VV$:

➤ $B \rightarrow VV[1][2]$: ($V = \phi, K^*, \rho$ and ω)

- Tree-dominated: Transverse polarization amplitudes suffer from the helicity-flipping suppression at the order of Λ_{QCD}/m_b . $f_L^{\text{theor.}} \sim 1 - O(\Lambda_{QCD}/m_b)$ confirmed by $B^0 \rightarrow \rho^+ \rho^-, B^+ \rightarrow \rho^+ \rho^0$; indicated the helicity conservation in $B \rightarrow VV$.
- Penguin-dominated or FSI: $f_L^{B \rightarrow \phi K^*} \sim 0.5$.

Comparison of the FAT approach
experimental results

Mode	$\mathcal{B}(10^{-6})$	$f_L(\%)$	$f_\perp(\%)$	$\phi_\parallel$ (rad)	$\phi_\perp$ (rad)
$B^- \rightarrow \rho^- \rho^0$	21.7 ± 5.1	95.5 ± 1.5	2.22 ± 0.64	-0.09 ± 0.05	-0.09 ± 0.05
Expt.	24.0 ± 1.9	95 ± 1.6			
$\bar{B}_0 \rightarrow \rho^+ \rho^-$	29.5 ± 6.5	92.6 ± 1.6	3.65 ± 0.91	-0.27 ± 0.08	-0.27 ± 0.08
Expt.	27.7 ± 1.9	$99.0^{+2.1}_{-1.9}$			
$\bar{B}^0 \rightarrow \rho^0 \rho^0$	0.94 ± 0.49	81.7 ± 10.8	9.21 ± 5.50	-0.04 ± 0.44	-0.03 ± 0.44
Expt.	0.96 ± 0.15	71^{+8}_{-9}			

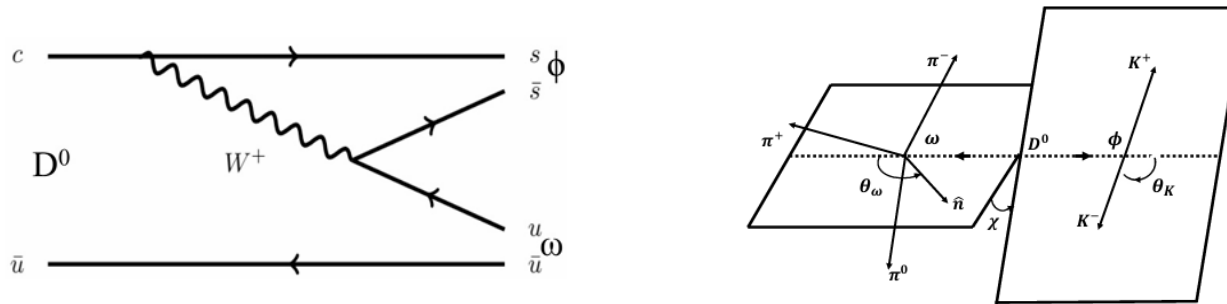
- consist between experiment measurements and theoretical predictions

[1] [PRL 91,171802\(2003\)](#), [2] [PRL 91,201801\(2003\)](#)

Motivation

➤ $D \rightarrow VV$:

- Perturbative QCD not valid, naive factorization model [3] and Lorentz invariant-based symmetry model [4] predict f_L not dominant in $D \rightarrow VV$ process: $f_L^{\text{theor.}} \sim 0.5^{[3]} 0.33^{[4]}$: $D^0 \rightarrow \bar{K}^{*0} \rho^0$.
- $f_L^{D^0 \rightarrow \rho^0 \rho^0} = 0.71 \pm 0.04 \pm 0.02$ (FOCUS Collaboration) $\rightarrow$ [D] wave dominant.
- In $D^0 \rightarrow \omega \phi$ [6], $f_L = 0.00 \pm 0.10 \pm 0.08$, $f_L^{U.L.} < 0.24$ (95% C.L.) are given by fitting to angular distributions (BESIII Collaboration) and shows larger difference from $D^0 \rightarrow \rho^0 \phi$.



- FSI through $K^{*+} K^{*-}$ or flavor-singlet contributions can lead to different, a more precise PWA result can help to confirm the theoretical models.

[3] [PRD 59,114013\(1999\)](#), [4] [JHEP 03,042 \(2014\)](#), [5] [PRD 75,052003\(2007\)](#), [6] [PRL 128,011803\(2022\)](#).

Motivation

- ❑ Still lack of knowledge about some crucial pieces of dynamics in D decay mechanisms. Polarization of $D \rightarrow VV$ provide valuable information to further understand D decays and non-perturbative QCD. Longitudinal polarization fraction(f_L) is important to test models.
- ❑ $20\text{ fb}^{-1} e^+e^-$ annihilation data @ 3.773 GeV.
 - Larger $D^0\bar{D}^0$ samples $\rightarrow$ more precise results
 - Double-tag method $\rightarrow$ lower background level
 - Extract the S,P,D amplitudes of $D^0 \rightarrow \omega\phi$
- ❑ Other resonant on invariant mass structures

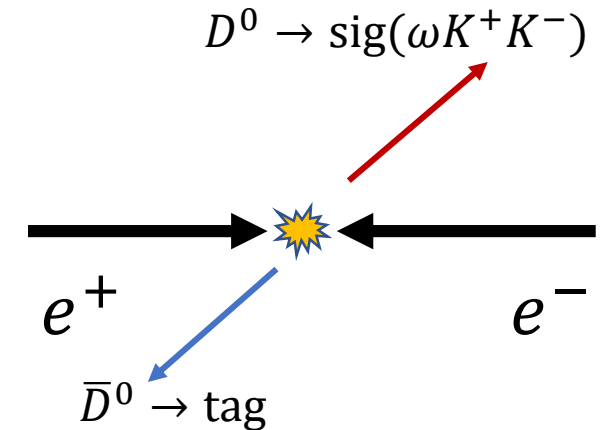
Dataset

❑ Quantum correlated $D^0\bar{D}^0$ produced at BESIII

- Data: 20.3 fb^{-1} @ $E_{cm} = 3.773 \text{ GeV}$
- Inclusive MC: 40× for background study
- Signal PHSP MC: 100× for amplitude analysis
- Signal DIY MC: 200× for amplitude analysis

❑ Double tag method

- Reconstruct both of $D^0\bar{D}^0$
- Tag mode: $\bar{D}^0 \rightarrow K^+\pi^-, K^+\pi^-\pi^0, K^+\pi^+\pi^-\pi^-$ (~25% of D^0 decay)





Event Selection - Preliminary Selection

➤ Good charged tracks:

- $|R_{xy}| \leq 1 \text{ cm}, |R_z| \leq 10 \text{ cm}, |\cos \theta| \leq 0.93$

➤ Good Photons :

- Barrel: $E > 25 \text{ MeV}, |\cos \theta| \leq 0.8$
- Endcap: $E > 50 \text{ MeV}, 0.86 \leq |\cos \theta| \leq 0.92$
- EMC time cut: $0 \leq T \leq 14 \text{ (50ns)}$
- Angle between shower and charged tracks larger than 10°

➤ PID(SimplePID package):

- π : $\text{Prob}(\pi) > \text{Prob}(K)$
- K : $\text{Prob}(K) > \text{Prob}(\pi)$

➤ π^0 Candidates:

- $0.115 < M_{\gamma\gamma} < 0.150 \text{ GeV}/c^2, \chi^2_{1c} < 50$

➤ Cosmic rays rejection for $\bar{D}^0 \rightarrow K^+ \pi^-$

➤ Tag D Reconstruction:

- $\Delta E = E_D - E_{Beam}, M_{BC} = \sqrt{(E_{Beam}^2 - p_D^2)};$
- Minimum $|\Delta E|$ is used to selected best candidate

➤ Ks veto for $\bar{D}^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$ tag mode:

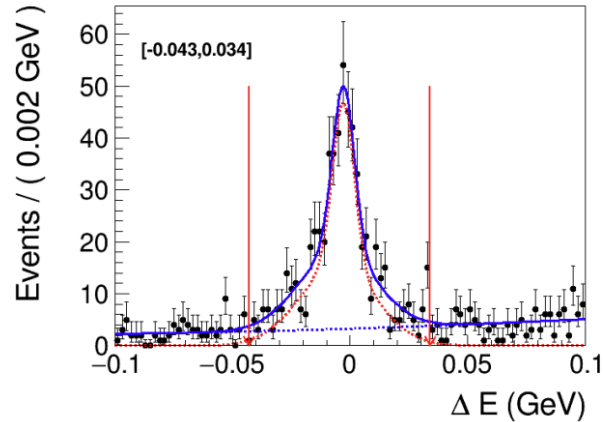
- $|M_{\pi^+ \pi^-} - 0.4976| > 0.03 \text{ GeV}/c^2.$

➤ The ST requirement follow the official document ([MEMO_D_ST_v4.0.pdf \(ihep.ac.cn\)](#))

Event Selection - Further Selection

Case 1(Full Reconstruction)

- π^0 candidate: Minimum $|\Delta E|$ to selected best candidate
- $1C(M_{D^0}^{PDG})$ kinematic fit performed



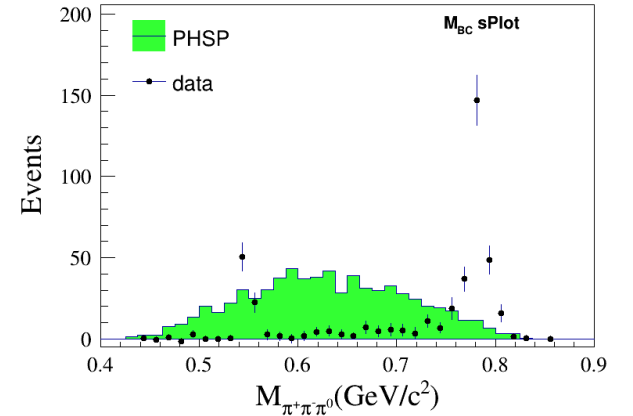
- ΔE requirement: $(-0.043, 0.035)$ GeV

Case 2(Miss one Kaon)

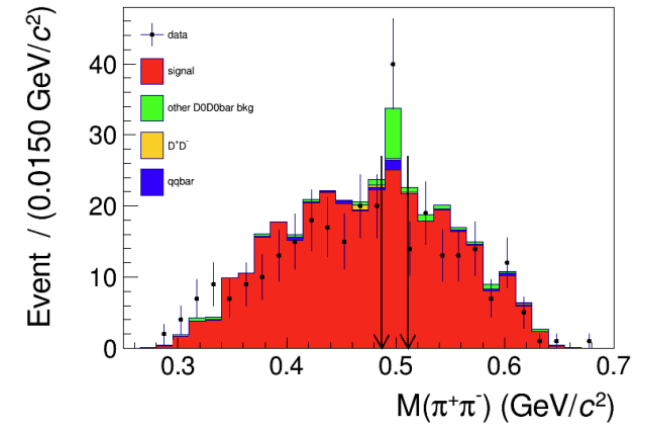
- π^0 candidate: Minimum χ_{1c}^2 to selected best candidate
- M_{BC}^{tag} requirement: $(1.859, 1.873)$ GeV/c^2
- Recoiled D^0 :
 $\vec{P}_{D^0}$ direction is opposite to ST $\vec{P}_{\bar{D}^0}$

$$|\vec{P}_{D^0}| = \sqrt{(E_{beam}^2 - M_{D^0}^2)}, E_{D^0} = E_{beam}$$

- $4C(\vec{P}_{D^0}, E_{D^0})$ kinematic fit performed
- $M_{D^0} - M_{found} > 0$



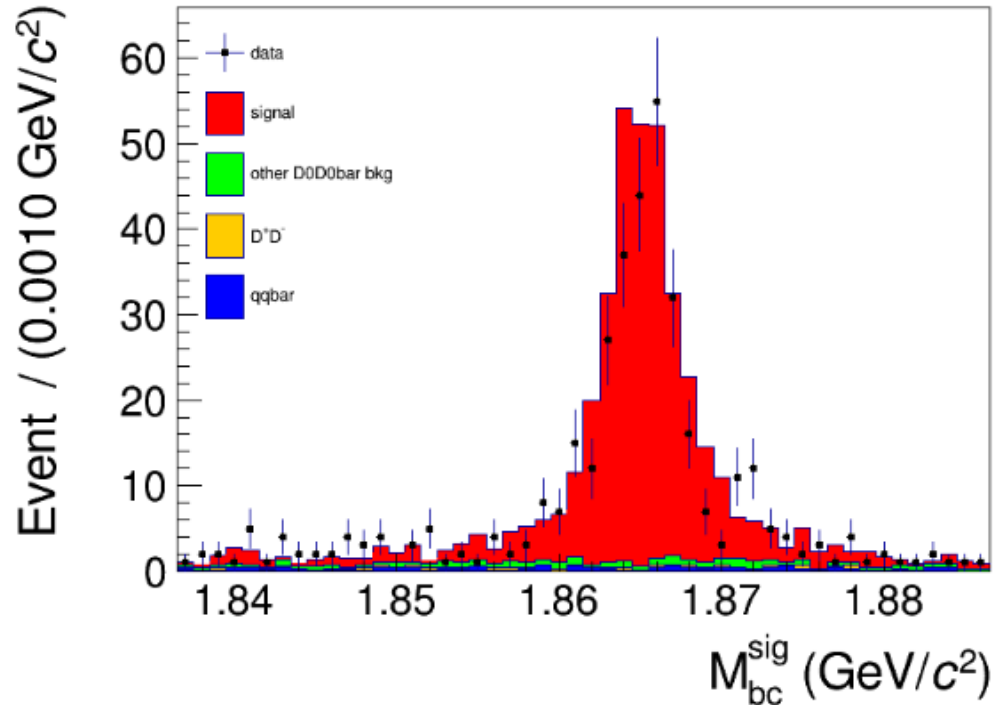
- $M_{\pi^+\pi^-\pi^0} > 0.69 \text{ GeV}/c^2$



- Reject $M_{\pi^+\pi^-} \in (0.487, 0.511) \text{ GeV}/c^2$

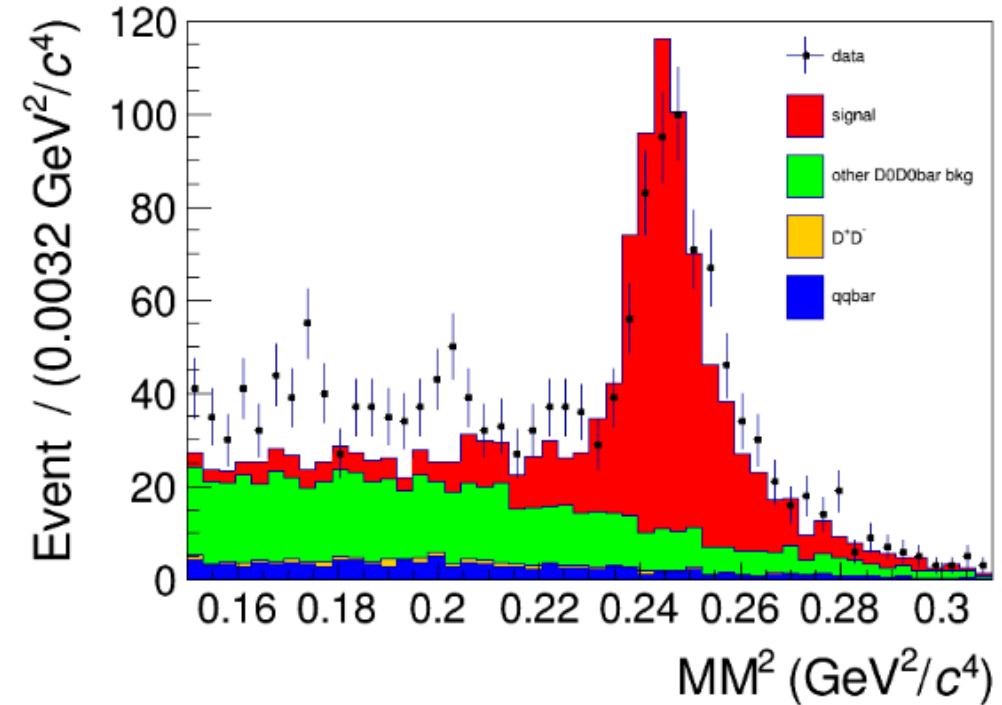
Background Analysis

Case 1(Full Reconstruction)



- Signal region: (1.859,1.873); purity~90%
- Extract signal from M_{BC} .

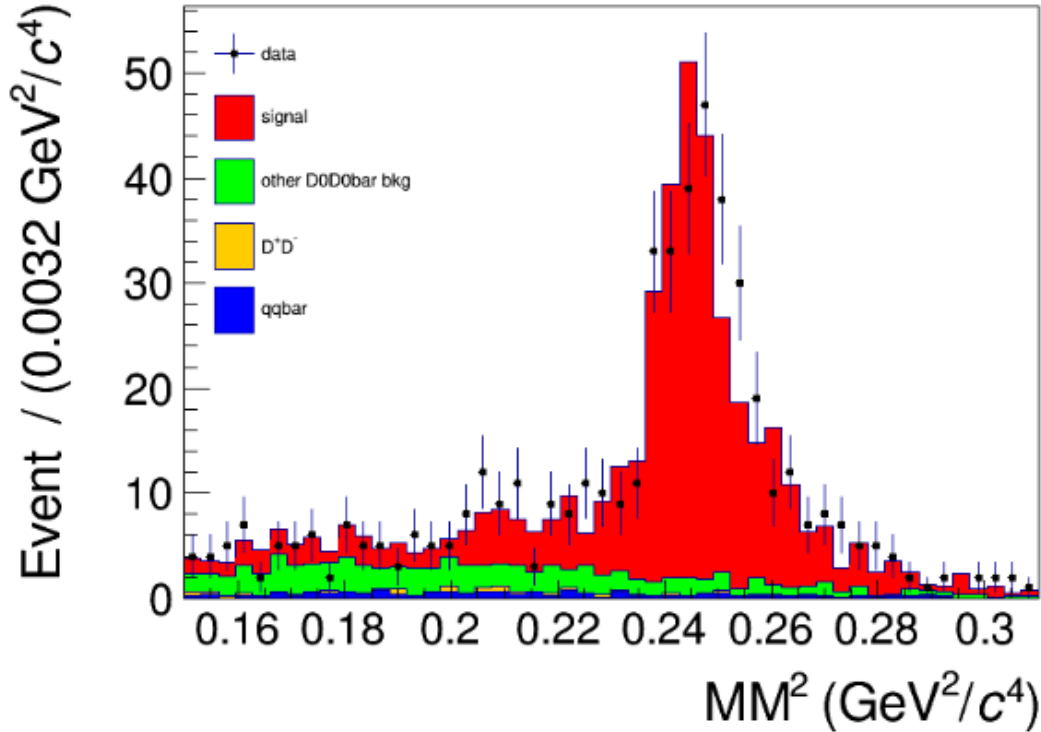
Case 2(Miss one Kaon)



- Signal region: (0.215,0.275); purity~50%
- Extract signal from M_{miss}^2 .

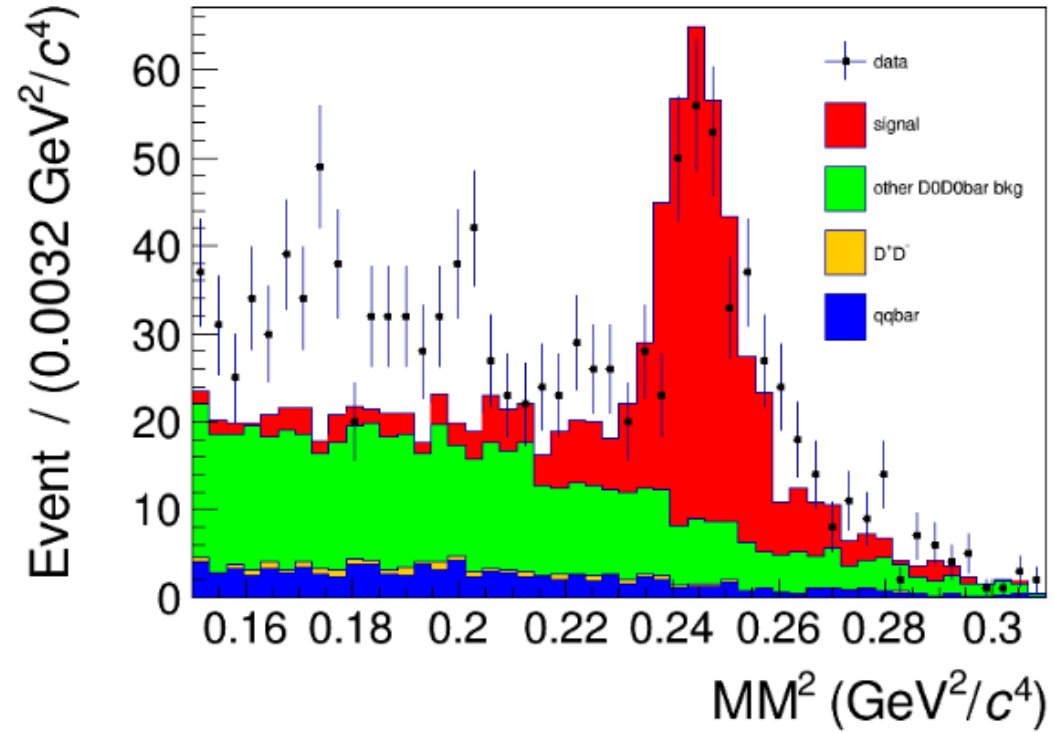
Background Analysis

Case 2_A(Miss one Kaon)



- Charge of founded $K(C_{\text{found } K}^{\text{sig}})$ = charge of tag side $K(C_K^{\text{tag}})$
- Signal region: (0.215,0.275); purity~80%

Case 2_B(Miss one Kaon)



- Charge of founded $K(C_{\text{found } K}^{\text{sig}}) \neq$ charge of tag side $K(C_K^{\text{tag}})$
- Signal region: (0.215,0.275); purity~40%

Introduction of PWA

□ Likelihood Formula:

➤
$$\ln \mathcal{L} = \sum_{i=1}^3 \sum_k^{N_{Data,i}} \ln [\omega_{sig}^i f_s(p_j^k) + (1 - \omega_{sig}^i) f_B^i(p_j^k)]$$

- f_s : signal p.d.f
- ω_{sig} : fraction of signal (signal purity)
- p_j : four-momenta of daughter particles
- f_B : background p.d.f

□ Signal PDF:

➤
$$f_s(p) = \frac{\epsilon_s(p) |A_D(p)|^2 R_3(p)}{\int \epsilon_s(p) |A_D(p)|^2 R_3(p) dp}$$

MC integral

- $\epsilon_s(p)$: signal efficiency
- $R_3(p)$: phase space factor

□ Amplitude Construction:

➤ Constructed by covariant tensor method

$$A_{D^0}(p) = \sum_i \Lambda_i U_i(p)$$

- Λ_i : coupling factor

➤
$$U_i(p) = B_{L_D}(p) P_{R_1}(p) B_{L_{R_1}}(p) P_{R_2}(p) B_{L_{R_2}}(p) S_i(p)$$

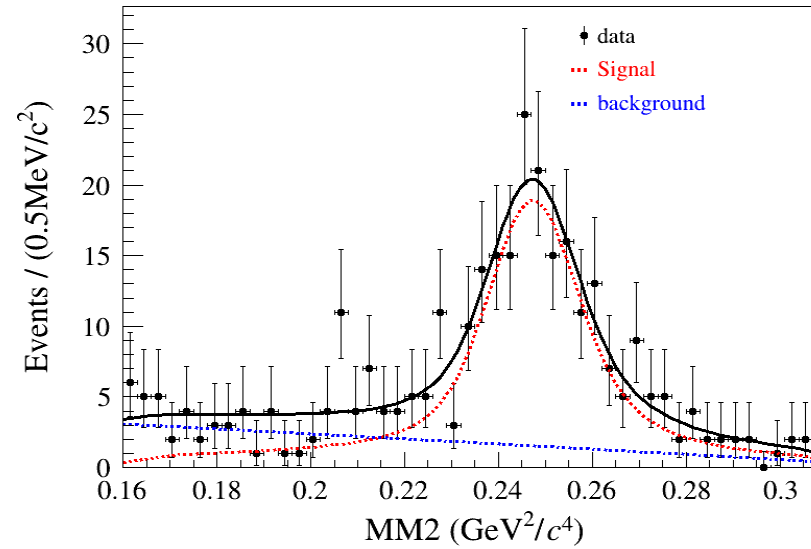
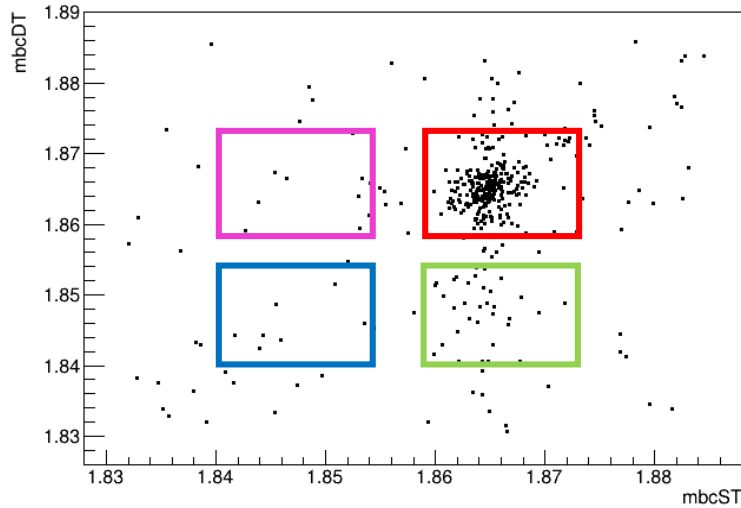
- B_L : Blatt-Weisskopf barrier factor
- P_R : Propagator of resonance R
- S_i : Spin factor of i -th decay amplitude

➤
$$A_{\bar{D}^0}(p) = \sum_i \Lambda_i \bar{U}_i(p) = \sum_i \Lambda_i U_i(\bar{p})$$

- $\bar{p}$: CP-conjugate of phase space point p

Background Estimation

- ❑ **BKG Consistency** between Data and Inclusive MC in side-bands. And consistency of inclusive MC(without signal process) in signal region and side-bands is obtained.



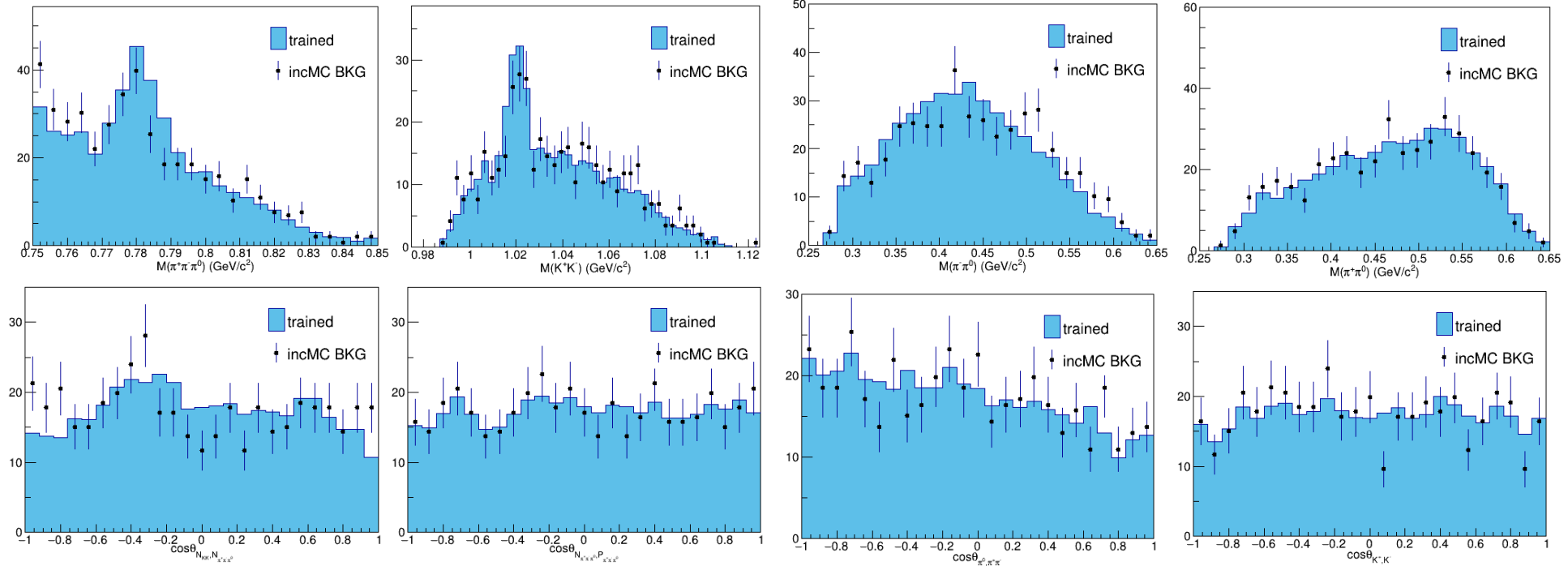
- ❑ **By Mixed-sample Method:**

$$\begin{aligned} &\triangleright M_{K^+K^-}, M_{\pi^+\pi^-\pi^0}, M_{\pi^+\pi^0}, M_{\pi^-\pi^0}, \\ &\theta_{N_{KK}, N_{\pi^+\pi^-\pi^0}}, \theta_{N_{\pi^+\pi^-\pi^0}, P_{\pi^+\pi^-\pi^0}}, \theta_{P_{\pi^0}, P_{\pi^+\pi^-}}, \theta_{P_{K^+}, P_{K^-}} \end{aligned}$$

χ of Data & IncMC	Full reconstruction	Miss one K
$\bar{D}^0 \rightarrow K^+\pi^-$	-	0.84
$\bar{D}^0 \rightarrow K^+\pi^-\pi^0$	0.67	-0.33
$\bar{D}^0 \rightarrow K^+\pi^-\pi^+\pi^-$	1.93	-0.83

Background Estimation

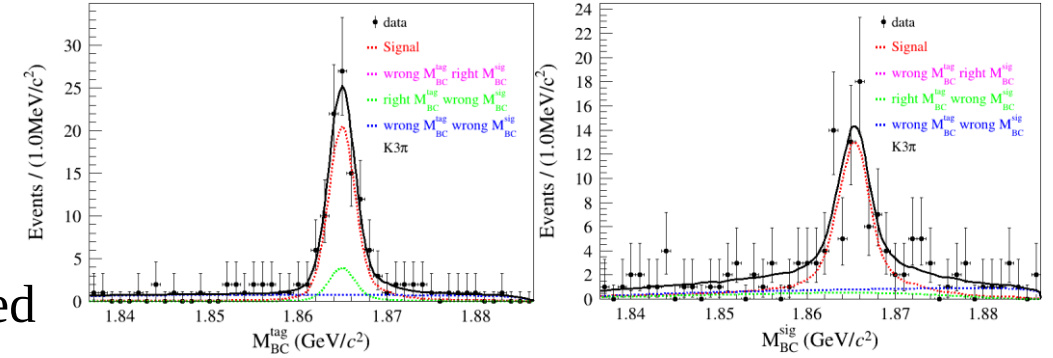
- ❑ p.d.f of background $f_B(p)$ is described with Inclusive MC, by performing Machine Learning on DIY MC.
- ❑ Eight Variables used :
 - $M_{K^+K^-}, M_{\pi^+\pi^-\pi^0}, M_{\pi^+\pi^0}, M_{\pi^-\pi^0}, \theta_{N_{KK}, N_{\pi^+\pi^-\pi^0}}, \theta_{N_{\pi^+\pi^-\pi^0}, P_{\pi^+\pi^-\pi^0}}, \theta_{P_{\pi^0}, P_{\pi^+\pi^-}}, \theta_{P_{K^+}, P_{K^-}}$



Signal Purity

- **Signal**: right tag and right signal D^0 , described by DIY MC shape.
- **BKGI**: background with right tag and wrong signal, described by $A_{x1}(x1; m_{x1}, z_{x1}, \rho_{x1}) \times S_{x2}$.
- **BKGI**: background with wrong tag and right signal, described by $A_{x2}(x2; m_{x2}, z_{x2}, \rho_{x2}) \times S_{x1}$
- **BKGI**: background in diagonal region. $T(x_1 - x_2; \mu, \sigma(x_1 + x_2), n) \times A_{x1}(x1; m_{x1}, z'_{x1}, \rho'_{x1}) \times A_{x2}(x2; m_{x2}, z'_{x2}, \rho'_{x2})$

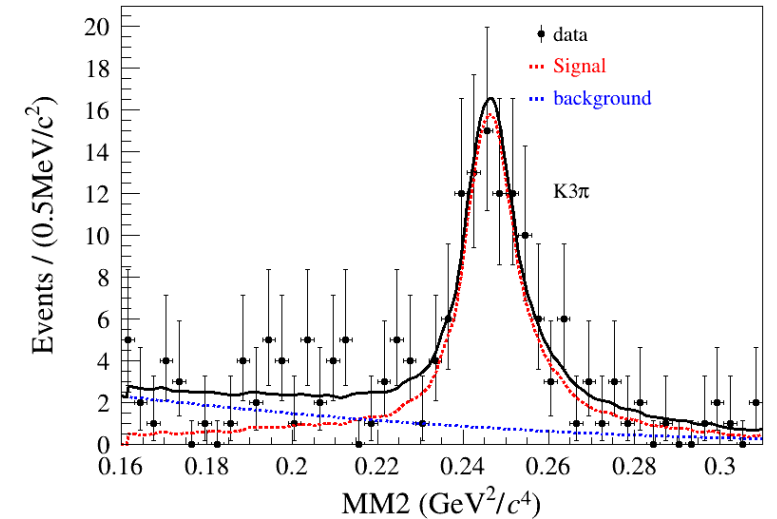
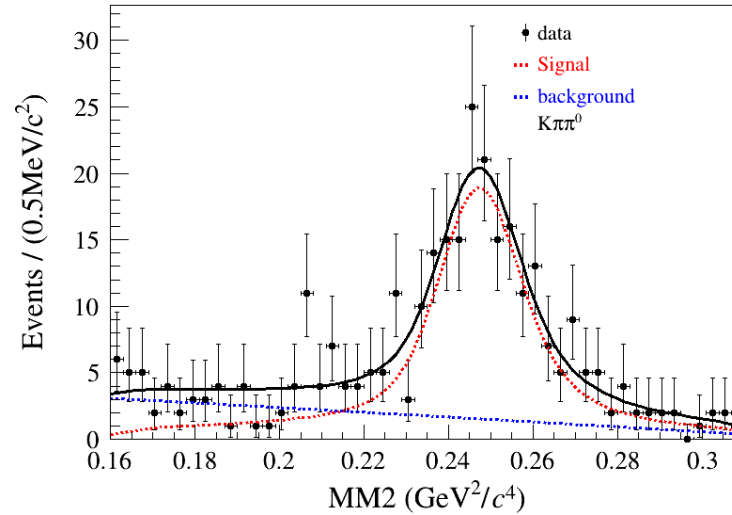
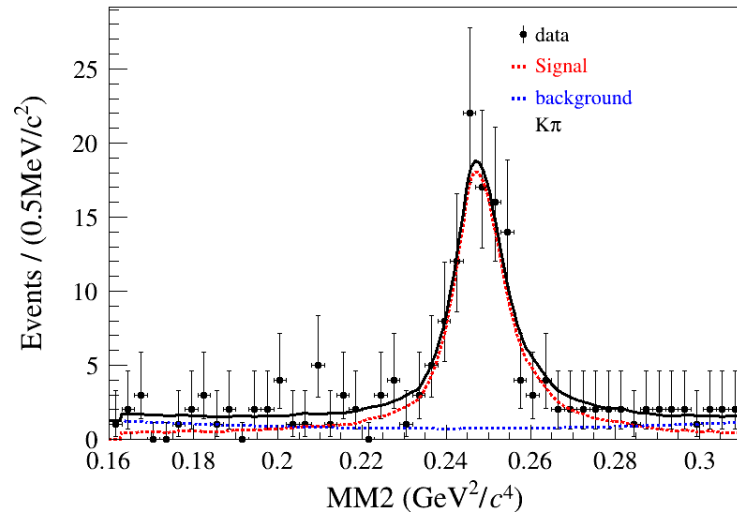
$$T(y; \mu, \sigma, n) = \frac{\Gamma(n/2+0.5)}{\sigma\sqrt{n\pi}\Gamma(n/2)} \left[1 + \frac{1}{2} \left(\frac{y-\mu}{\sigma} \right)^2 \right]^{-\frac{n+1}{2}}$$



Tag Mode	N^{sig} (signal region)	Purity(%)
$K^+\pi^-$	93.5 ± 9.6	99.9 ± 0.0
$K^+\pi^-\pi^0$	154.0 ± 14.2	92.0 ± 6.4
$K^+\pi^-\pi^+\pi^-$	70.8 ± 8.4	85.4 ± 10.6

Signal Purity

- **Signal:** DIY MC shape $S \otimes$ Gauss function G .
- **Background:** continuum background, described by 2nd –order Chebyshev polynomials.



Tag Mode	$K^+ \pi^-$	$K^+ \pi^- \pi^0$	$K^+ \pi^- \pi^+ \pi^-$
N^{sig} (signal region)	114.7 ± 14.0	181.3 ± 26.2	104.9 ± 14.8
Purity(%)	88.3 ± 5.4	85.2 ± 6.6	87.2 ± 5.8

Amplitude Construction

□ Spin factor of $\omega \rightarrow \pi^+ \pi^- \pi^0$:

$$A_\mu(\omega) = \varepsilon_{\mu\nu\rho\sigma} p_\omega^\sigma [t^\rho(\pi^+ \pi^0, \pi^-) t^\nu(\pi^+ \pi^0) - t^\rho(\pi^+ \pi^-, \pi^0) t^\nu(\pi^+ \pi^-) + t^\rho(\pi^0 \pi^-, \pi^+) t^\nu(\pi^0 \pi^-)]$$

$D \rightarrow S\omega, S \rightarrow P_1 P_2$	$t^\mu(D) A_\mu(\omega)$
$D[S] \rightarrow V\omega, V \rightarrow P_1 P_2$	$P^{\mu\nu}(D) t_\mu(V) A_\nu(\omega)$
$D[P] \rightarrow V\omega, V \rightarrow P_1 P_2$	$\varepsilon_{\mu\nu\rho\sigma} p_D^\sigma t^\rho(D) t_\mu(V) A_\nu(\omega)$
$D[D] \rightarrow V\omega, V \rightarrow P_1 P_2$	$t_{\mu\nu}(D) t^\mu(V) A^\nu(\omega)$
$D[P] \rightarrow T\omega, T \rightarrow P_1 P_2$	$P^{\mu\nu\rho\sigma}(D) t_{\mu\nu}(T) t_\rho(D) A_\sigma(\omega)$
$D[D] \rightarrow T\omega, T \rightarrow P_1 P_2$	$\varepsilon_{\mu\nu\rho\sigma} p_D^\sigma t^{\rho\alpha}(D) t_\alpha^\nu(T) A^\mu(\omega)$
$D[F] \rightarrow T\omega, T \rightarrow P_1 P_2$	$t_{\mu\nu\rho}(D) t^{\mu\nu}(T) A^\rho(\omega)$
$D \rightarrow PP_1, P[P] \rightarrow \omega P_2$	$t^\mu(P) A_\mu(\omega)$
$D \rightarrow AP_1, A[S] \rightarrow \omega P_2$	$t^\mu(D) P_{\mu\nu}(A) A^\nu(\omega)$
$D \rightarrow AP_1, A[D] \rightarrow \omega P_2$	$t^\mu(D) t_{\mu\nu}(A) A^\nu(\omega)$
$D \rightarrow VP_1, V[P] \rightarrow \omega P_2$	$t^\mu(D) \varepsilon_{\mu\nu\rho\sigma} p_V^\sigma t^\nu(V) A^\rho(\omega)$
$D \rightarrow PT P_1, PT[P] \rightarrow \omega P_2$	$t^{\mu\nu}(D) P_{\mu\nu\rho\sigma}(PT) t^\rho(PT) A^\sigma(\omega)$
$D \rightarrow PT P_1, PT[F] \rightarrow \omega P_2$	$t^{\mu\nu}(D) t_{\mu\nu\rho}(PT) A^\rho(\omega)$
$D \rightarrow TP_1, T[D] \rightarrow \omega P_2$	$t^{\mu\alpha}(D) \varepsilon_{\mu\nu\rho\sigma} p_T^\sigma t_\alpha^\rho(T) A^\nu(\omega)$

➤ **Spin projection operator**

$$P^{(0)}(p_a) = 1,$$

$$P_{\mu\mu'}^{(1)}(p_a) = -g_{\mu\mu'} + \frac{p_{a\mu} p_{a\mu'}}{p_a^2},$$

$$P_{\mu\nu\mu'\nu'}^{(2)}(p_a) = \frac{1}{2} [P_{\mu\mu'}^{(1)}(p_a) P_{\nu\nu'}^{(1)}(p_a) + P_{\mu\nu}^{(1)}(p_a) P_{\nu\mu'}^{(1)}(p_a)] - \frac{1}{3} P_{\mu\nu}^{(1)}(p_a) P_{\mu'\nu'}^{(1)}(p_a).$$

➤ **Blatt-Weisskopf barrier factor**

$$B_0(q) = 1$$

$$B_1(q) = \sqrt{\frac{2}{q^2 + q_0^2}}$$

$$B_2(q) = \sqrt{\frac{13}{q^4 + 3q^2 q_0^2 + 9q_0^4}}$$

q: breakup momentum

$$q_0 = 0.197321/R \text{ GeV}/c$$

$$R = 5 * 0.197321 \approx 1 \text{ fm for } D0$$

$$R = 3 * 0.197321 \approx 0.6 \text{ fm for other resonance}$$

➤ **Propagator**

Gounaris-Sakurai(GS) formula: $\rho(770)$

Relative Breit-Wigner(RBW): $f_2(1270)$

RBW with constant width $\Gamma(s)$: Other resonances

QC Correction

➤ Neglect $D^0 - \bar{D}^0$ mixing and CPV, the decay rates:

$$\frac{d\Gamma(f;g)}{d\Phi} \propto |A_{f\bar{g}}|^2 = |A_f\bar{A}_g - \bar{A}_fA_g|^2$$

➤ g Tag mode, f Signal mode, the decay rate of signal $D \rightarrow f$:

$$\frac{d\Gamma(D^0 \rightarrow f)}{d\Phi_f} \propto |A_f|^2 \int |\bar{A}_g|^2 d\Phi_g + |\bar{A}_f|^2 \int |A_g|^2 d\Phi_g - A_f\bar{A}_f^* \int \bar{A}_gA_g^* d\Phi_g - A_f^*\bar{A}_f \int \bar{A}_g^*A_g d\Phi_g$$

[1] Eur. Phys. J. C 81, no.3, 226 (2021)
[2] JHEP 05, 164 (2021)

➤ Decay rate of $D \rightarrow f$ can be rewritten:

$$\begin{aligned} \frac{d\Gamma(D^0 \rightarrow f)}{d\Phi_f} &\propto |A_f|^2 + r_{\bar{g}}^2 |\bar{A}_f|^2 - r_{\bar{g}} R_{\bar{g}} e^{i\delta_{\bar{g}}} A_f \bar{A}_f^* - r_{\bar{g}} R_{\bar{g}} e^{-i\delta_{\bar{g}}} A_f^* \bar{A}_f \\ &= |A_f - r_{\bar{g}} R_{\bar{g}} e^{-i\delta_{\bar{g}}} \bar{A}_f|^2 + r_{\bar{g}}^2 (1 - R_{\bar{g}}^2) |\bar{A}_f|^2. \end{aligned}$$

$$r_g^2 = \frac{\int |\bar{A}_g|^2 d\Phi_g}{\int |A_g|^2 d\Phi_g}, \quad R_g e^{-i\delta_g} = \frac{\int A_g^* \bar{A}_g d\Phi_g}{\sqrt{\int |A_g|^2 d\Phi_g \int |\bar{A}_g|^2 d\Phi_g}}$$

Mode	$r(\%)$	R	$\delta(^{\circ})$
$K^-\pi^+$	5.86 ± 0.02 [1]	1	$192.1^{+8.6}_{-10.2}$ [1]
$K^-\pi^+\pi^0$	4.41 ± 0.11 [2]	0.79 ± 0.04 [2]	196 ± 11 [2]
$K^-\pi^+\pi^-\pi^+$	5.50 ± 0.07 [2]	$0.44^{+0.09}_{-0.10}$ [2]	161^{+28}_{-18} [2]

Fitting Results

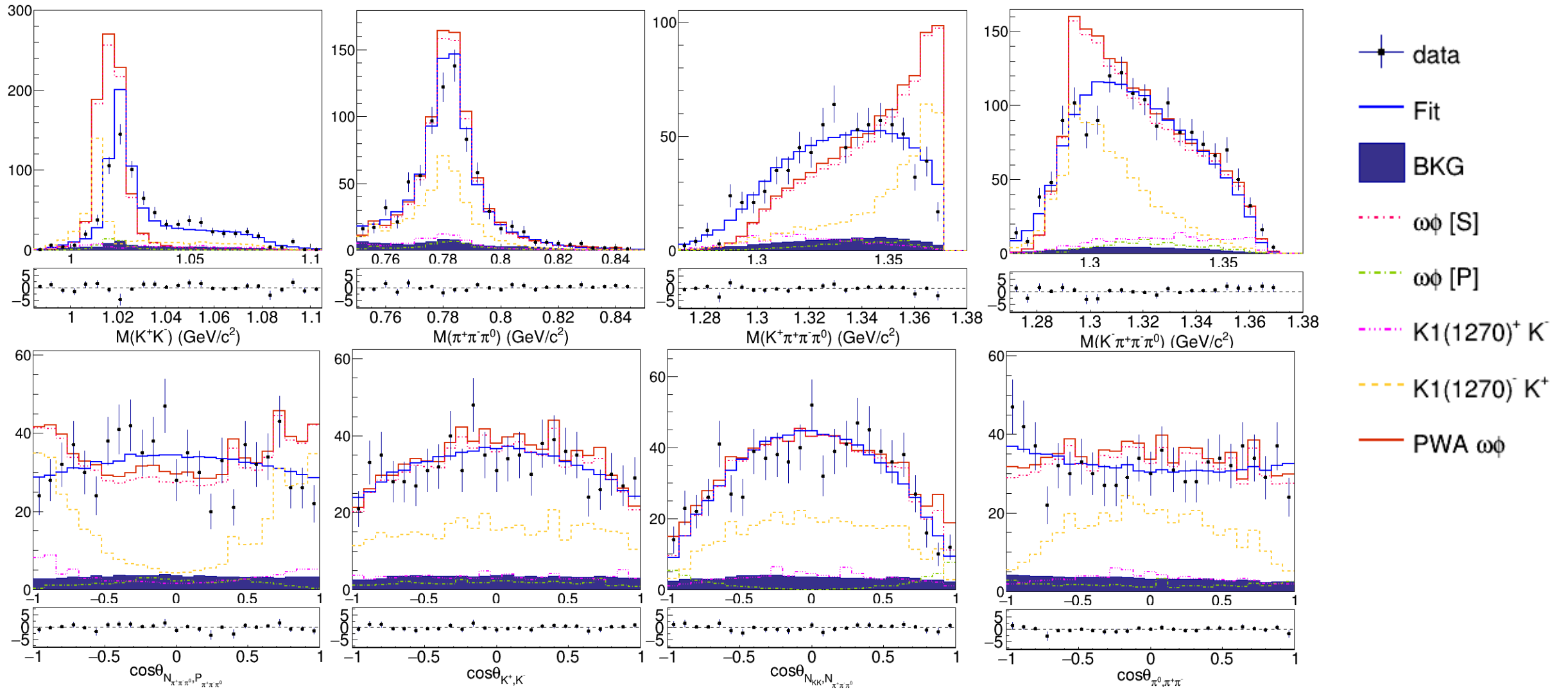
J^{PC}	$K^+ K^-$ resonance
0^{++}	$a_0(980), f_0(980), f_0(1370), KK$
1^{--}	$\phi(1020), \rho(1450), KK$
2^{++}	$f_2(1270)$

J^P	$K\omega$ resonance
0^-	$K(1460)$
1^+	$K_1(1270), K_1(1400), K_1(1650)$
1^-	$K_{st}(1410)$
2^-	$K_2(1580)$
2^+	$K_{st}(1430)$

Amplitude	significance
$\omega\phi[S]$	$> 10\sigma$
$\omega\phi[P]$	3.5σ
$\omega\phi[D]$	2.0σ
$K_1(1270)^+ K^- [S]$	5.1σ
$K_1(1270)^- K^+ [S]$	8.1σ

Resonance	FF(%)	mag	phase
$\omega\phi[S]$	85.35	1	0
$\omega\phi[P]$	2.11	0.052	0.022
$K_1(1270)^+ K^- [S]$	3.70	0.999	-1.602
$K_1(1270)^- K^+ [S]$	10.69	1.978	1.286

Fitting Results



Polarization of $D \rightarrow VV$

- For $D \rightarrow VV$ decay modes, these decays have a richer structure, as they have three partial-wave or helicity states.
- The polarized decay amplitudes can be expressed in several different but equivalent bases **helicity basis** (H_0, H_+, H_-), **transverse basis** ($A_0, A_\perp, A_\parallel$) and can be related to the partial wave amplitudes $[S], [P], [D]$.

$$A_0 = H_0 = -\frac{1}{\sqrt{3}} S + \sqrt{\frac{2}{3}} D ,$$

$$A_\parallel = \frac{1}{\sqrt{2}} (H_+ + H_-) = \sqrt{\frac{2}{3}} S + \frac{1}{\sqrt{3}} D$$

$$A_\perp = \frac{1}{\sqrt{2}} (H_+ - H_-) = P ,$$

$$S = \frac{1}{\sqrt{3}} (-A_0 + \sqrt{2} A_\parallel) = \frac{1}{\sqrt{3}} (-H_0 + H_+ + H_-)$$

$$P = A_\perp = \frac{1}{\sqrt{2}} (H_+ - H_-),$$

$$D = \frac{1}{\sqrt{3}} (\sqrt{2} A_0 + A_\parallel) = \frac{1}{\sqrt{6}} (2H_0 + H_+ + H_-),$$

Polarization of $D^0 \rightarrow \omega \phi$

$$\square \quad H_{\pm} = \sqrt{\frac{1}{3}} g_{00} \pm \sqrt{\frac{1}{2}} W r g_{11} + \sqrt{\frac{1}{6}} r^2 g_{22}, F_0 = \gamma_1 \gamma_2 \left(-\sqrt{\frac{1}{3}} g_{00} + \sqrt{\frac{2}{3}} r^2 g_{22} \right)$$

Longitudinal polarization fraction: $f_L = \frac{|H_0|^2}{|H_+ + H_0 + H_-|^2}$ $r = 2|q|$

$|q|$: momentum of V in D rest frame

γ : Lorentz factor of V in D rest frame

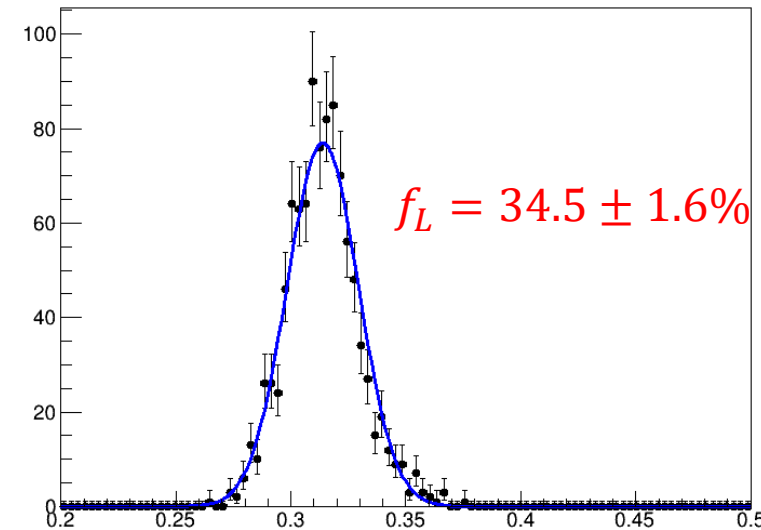
W : mass of D

The LS coupling parameters α_i in **covariant tensor** formula can be used by **covariant helicity** formula.

Covariant tensor: $\Sigma_{\sigma_1 \sigma_2} |\alpha_0 A(0,0) + \alpha_1 A(1,1) + \alpha_2 A(2,2)|^2$

Covariant helicity : $g_{ii} = f_i \alpha_i \rightarrow H_{\pm} = \sqrt{\frac{1}{3}} f_0 \alpha_0 \pm \sqrt{\frac{1}{2}} W r f_1 \alpha_1 + \sqrt{\frac{1}{6}} r^2 f_2 \alpha_2,$

$$H_0 = \gamma_1 \gamma_2 \left(-\sqrt{\frac{1}{3}} f_0 \alpha_0 + \sqrt{\frac{2}{3}} r^2 f_2 \alpha_2 \right)$$





Summary and next to do

□ Summary:

- ✓ Event Selection and Background estimation
- ✓ Simultaneous Fit with DIY MC
- ✓ Calculation of f_L based on PWA result

□ Next to do:

- Iteration of DIY MC
- Finish IO check
- Study of systematic uncertainty

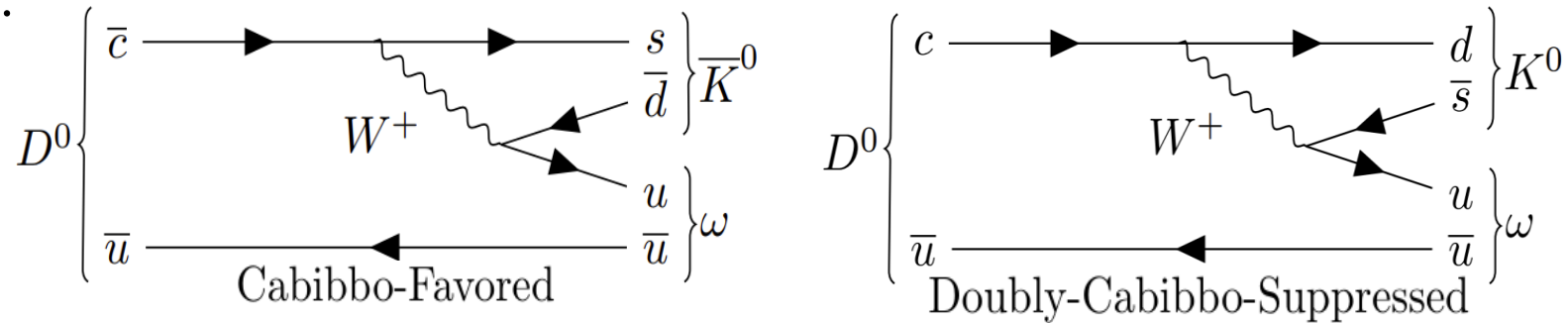
Study of $K_S^0 - K_L^0$ asymmetry in the decays
 $D^0 \rightarrow \omega K_S^0$ and $D^0 \rightarrow \omega K_L^0$

Motivation

□ The (quasi-)two-body D meson decays are important for understanding D decay mechanism, according to Glashow-Iliopoulos-Maiani (GIM): $V_{cd}^* V_{ud} + V_{cs}^* V_{us} + V_{cb}^* V_{ub} = 0 \not\Rightarrow$ CPV in D decays.

[PLB 349,363366\(1995\)](#)

- For the processes that involving a neutral K meson, Bigi and Yamamoto pointed out that interference between CF and DCS amplitudes in $D \rightarrow K^0 M$ can lead to experimentally observable $K_S^0 - K_L^0$ asymmetries .



- CPV associated with those interference between CF and DCS amplitudes is studied to be $\sim 10^{-3}$. [PRL 119.181802\(2017\)](#)
- Measurement of $K_S^0 - K_L^0$ asymmetry $R(D^0) = \frac{\mathcal{B}(D^0 \rightarrow K_S^0 X) - \mathcal{B}(D^0 \rightarrow K_L^0 X)}{\mathcal{B}(D^0 \rightarrow K_S^0 X) + \mathcal{B}(D^0 \rightarrow K_L^0 X)} \simeq 2r_f \cos \delta_f$, can enable us to determine the amplitude of DCS processes and promote study of CPV.

Motivation

□ Compare of $R(D^0; X)$ from theories and experiments

- Different theoretical predictions (FAT, TDA...) of $R(D^0; X)$ show good consist with $D \rightarrow PP$ process but differ from $D \rightarrow VP$ process.
- $R(D^0; \omega)$ is calculated with $\mathcal{B}(D^0 \rightarrow K_L^0 \omega)$ measured by BESIII using 2.93 fb^{-1} data and $\mathcal{B}(D^0 \rightarrow K_S^0 \omega)$ from CLEO, the result shows large difference from FAT and TDA predictions.
- Measurement of $\mathcal{B}(D^0 \rightarrow K_S^0 \omega)$ and $\mathcal{B}(D^0 \rightarrow K_L^0 \omega)$ using a larger data sample can provide more precise results and provide important test of theoretical models.

	$D \rightarrow PP$		$D \rightarrow VP$	
$X = \pi^0, \eta, \eta', \phi, \omega$	π^0	η/η'	ϕ	ω
$R(D^0; X)_{\text{FAT}}^{[1]}(\%)$	11.3 ± 0.1			
$R(D^0; X)_{\text{F4}}^{[2]}(\%)$	10.7		10.1 ± 2.9	10.6 ± 3.4
$R(D^0; X)_{\text{F1}'}^{[2]}(\%)$	10.7		4.1 ± 2.9	8.9 ± 3.5
$R(D^0; X)_{\text{exp.}}(\%)$	$10.8 \pm 3.5^{[3]}$	$8 \pm 2.3^{[4]}$	$-0.1 \pm 4.7^{[4]}$	$-2.4 \pm 3.1^{[4]}$

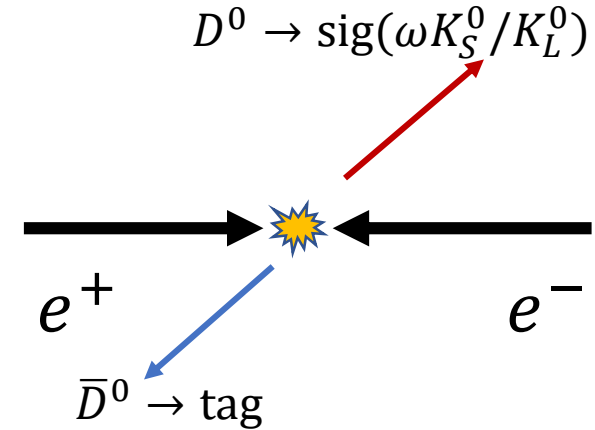
Large difference between theory predictions and experiment measurement

[1] [PRD 95,073007\(2017\)](#), [2] [PRD 109,073008\(2024\)](#), [3] [PRL 100,091801\(2008\)](#), [4] [PRD 105,092010\(2022\)](#).

Analysis Strategy

□ Data set: 7.93 fb^{-1} at 3.773 GeV:

- High precise measure of $\omega K_S^0 / K_L^0$.
- Cancel part systematic uncertainties.



□ Double Tag Method: $D^0 \bar{D}^0$ pair production.

- $\bar{D}^0$ reconstructed by tag mode i . (ST)
- D^0 reconstructed by sig mode $f(\omega K_S^0 / K_L^0)$. (DT)

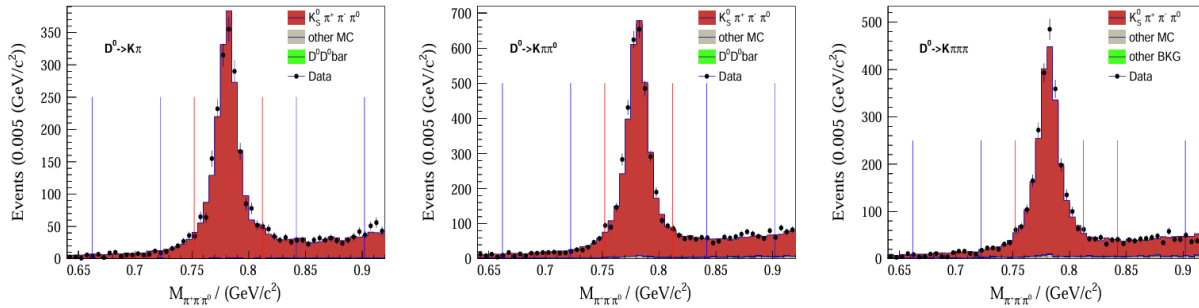
$$\text{➤ } \mathcal{B}_f^i = \frac{N_{\text{DT}}^i \cdot \epsilon_{\text{tag}}^i}{N_{\text{ST}}^i \cdot \epsilon_{\text{tag,sig}}^i \cdot [1 - \frac{2r_i R_i \cos \delta^i}{1+r_i^2} (2F_+^f - 1)]}; \quad f_{\text{QC}}^f = \frac{1}{1 - \frac{2r_i R_i \cos \delta^i}{1+r_i^2} (2F_+^f - 1)}.$$

- Tag mode: $\bar{D}^0 \rightarrow K^+ \pi^-$, $\bar{D}^0 \rightarrow K^+ \pi^- \pi^0$, $\bar{D}^0 \rightarrow K^+ \pi^- \pi^+ \pi^-$.

Background Analysis

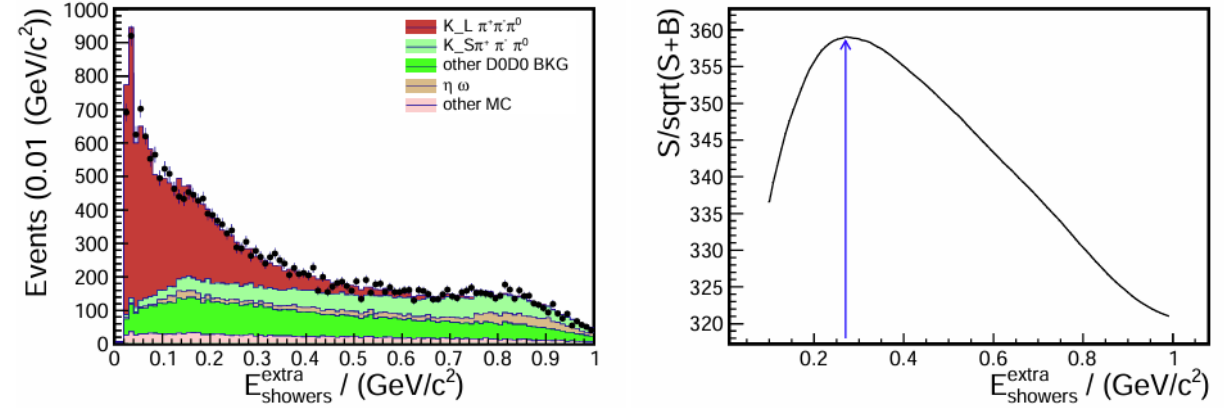
□ $D^0 \rightarrow \omega K_S^0$

➤ Low background level



- Peaking backgrounds non- ω resonance $K_S^0 \pi^+ \pi^- \pi^0$ processes
- Peaking backgrounds: wrong reconstruction of a soft γ of π^0

□ $D^0 \rightarrow \omega K_L^0$

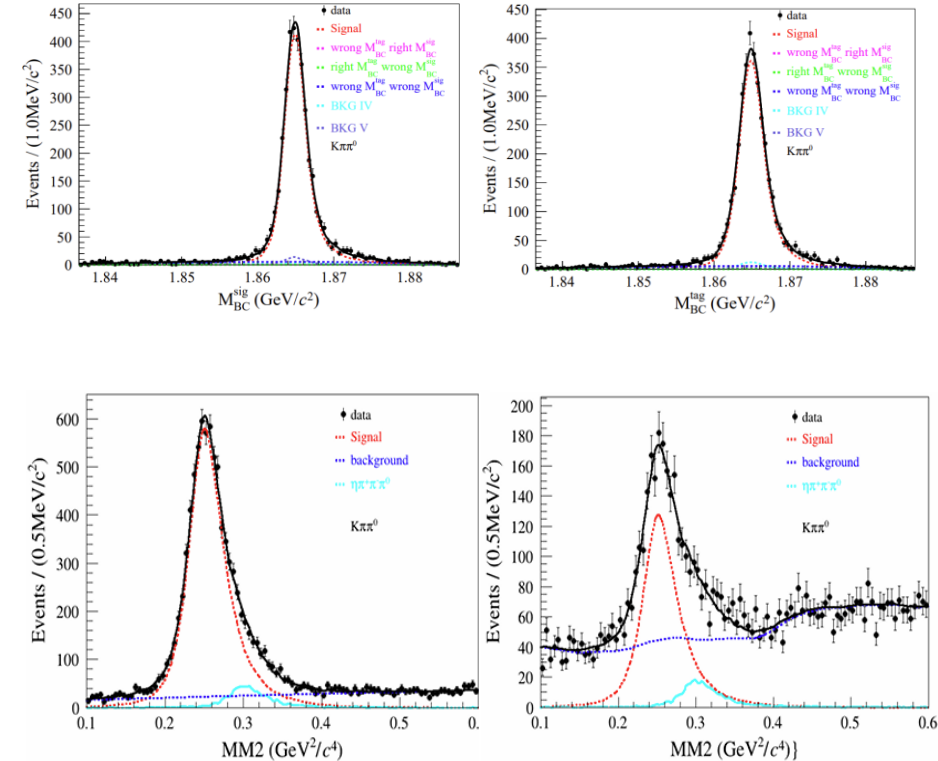


- Suppress background by requiring $E_{showers}^{extra}$
- Peaking backgrounds $\omega \eta$
- Peaking backgrounds ωK_S^0
- Peaking backgrounds non- ω resonance $K_{S/L}^0 \pi^+ \pi^- \pi^0$ processes
- Peaking backgrounds from $q\bar{q}$ process

DT Fitting Method & Results

□ $D^0 \rightarrow \omega K_S^0$: Fully reconstructed; **2D-fit** to M_{BC}^{sig} v. s. M_{BC}^{tag} .

- Peaking BKGs from $D^0 \rightarrow K_S^0 \pi^+ \pi^- \pi^0$: $M_{\pi^+ \pi^- \pi^0}$ side-band.
- Wrong reconstruction of a soft γ of π^0 : MC simulation.
- Signal shape modeled by MC.



□ $D^0 \rightarrow \omega K_L^0$: Missing mass method; fitting to M_{miss}^2 (MM2).

- Lateral peaks from η : MC simulation.
- Peaking BKGs from $D^0 \rightarrow K_{S/L}^0 \pi^+ \pi^- \pi^0$: $M_{\pi^+ \pi^- \pi^0}$ side-band.
- Peaking BKGs from $q\bar{q}$: estimated by M_{BC}^{tag} side-band and MC simulation.

Systematic Uncertainty

Source	$D^0 \rightarrow K_S^0 \omega(\%)$	$D^0 \rightarrow K_L^0 \omega(\%)$
$\pi^\pm$ tracking	1.0	0.8
$\pi^\pm$ PID	0.2	0.2
π^0 reconstruction	1.1	1.1
K_S^0 reconstruction	1.1	—
ST Yields	0.1	0.1
DT Fit	0.5	0.7
QC-correction	0.6	0.5
ω Mass window	0.5	0.7
$N_{\text{charged}}^{\text{extra}} = 0$	—	0.9
$E_\gamma^{\text{extra}} < 0.27 \text{ GeV}$	—	0.4
MC Statistics	0.3	0.3
Quoted BF's	0.7	0.7
Total	2.2	2.2

- Interference between the processes with and without ω intermediate state are not considered.
- A MC study indicates that this interference will affect the BF's of $D^0 \rightarrow \omega K_{S/L}$ with a factor of 3.96%, which will be regarded as the third uncertainties in the measurement.

Summary of $D^0 \rightarrow \omega K_{S\backslash L}^0$

Results	$\mathcal{B}(D^0 \rightarrow K_S^0 \omega) \times 10^{-3}$	$\mathcal{B}(D^0 \rightarrow K_L^0 \omega) \times 10^{-3}$	$R(D^0; \omega) \times 10^{-2}$
This work	$11.91 \pm 0.19 \pm 0.26 \pm 0.47$	$10.97 \pm 0.15 \pm 0.28 \pm 0.44$	$4.21 \pm 0.99 \pm 0.88 \pm 2.80$
Previous results	$11.2 \pm 0.4 \pm 0.5$	$11.64 \pm 0.22 \pm 0.28$	-2.4 ± 3.1
F1'	12.7 ± 0.6	10.3 ± 0.5	10.6 ± 3.4
F4	12.5 ± 0.6	10.5 ± 0.5	8.9 ± 3.5

□ Summary

- ✓ Measurements of $\mathcal{B}(D^0 \rightarrow K_S^0 \omega)$, $\mathcal{B}(D^0 \rightarrow K_L^0 \omega)$ and $R(D^0; \omega)$.
- ✓ Draft already finished and under BESIII referees reviewing

□ Next to do

- Finish the draft review and submit



Summary and Prospects

- ❑ Work I: PWA of $D^0 \rightarrow \omega K^+ K^-$
 - ✓ Obtained amplitude model
 - IO Check & systemic uncertainty ongoing
- ❑ Work II: $D^0 \rightarrow \omega K_S^0$ and $D^0 \rightarrow \omega K_L^0$
 - ✓ Draft under BESIII reviewing.
- ❑ Prospects:
 - Study of other $D \rightarrow VV$ process, e.g. $D^0 \rightarrow \omega\omega$

Thank you !



Back UP

➤ **Covariant helicity amplitude** for $D \rightarrow \phi \omega \rightarrow (K^+ K^-)(\rho \pi) \rightarrow (K^+ K^-)(\pi \pi_2 \pi_3)$:

$$H_{\lambda_\phi, \lambda_\omega} = F_{\lambda_\phi, \lambda_\omega}^{JD} D_{\lambda_D, \lambda_\phi - \lambda_\omega}^{JD}(\Omega_D) \cdot T_\phi(m_\phi) F_{\lambda_{K^+}, \lambda_{K^-}}^{J_\phi}(\Omega_\phi^{\{D\}}) \cdot T_\omega(m_\omega) F_{\lambda_\rho, \lambda_\pi}^{J_\omega}(\Omega_\omega^{\{D\}}) D_{\lambda_\omega, \lambda_\rho - \lambda_\pi}^{J_\omega}(\Omega_\omega^{\{D\}}) \cdot T_\rho(m_\rho) F_{\lambda_{\pi_2}, \lambda_{\pi_3}}^{J_\rho} D_{\lambda_\rho, \lambda_{\pi_2} - \lambda_{\pi_3}}^{J_\rho}$$

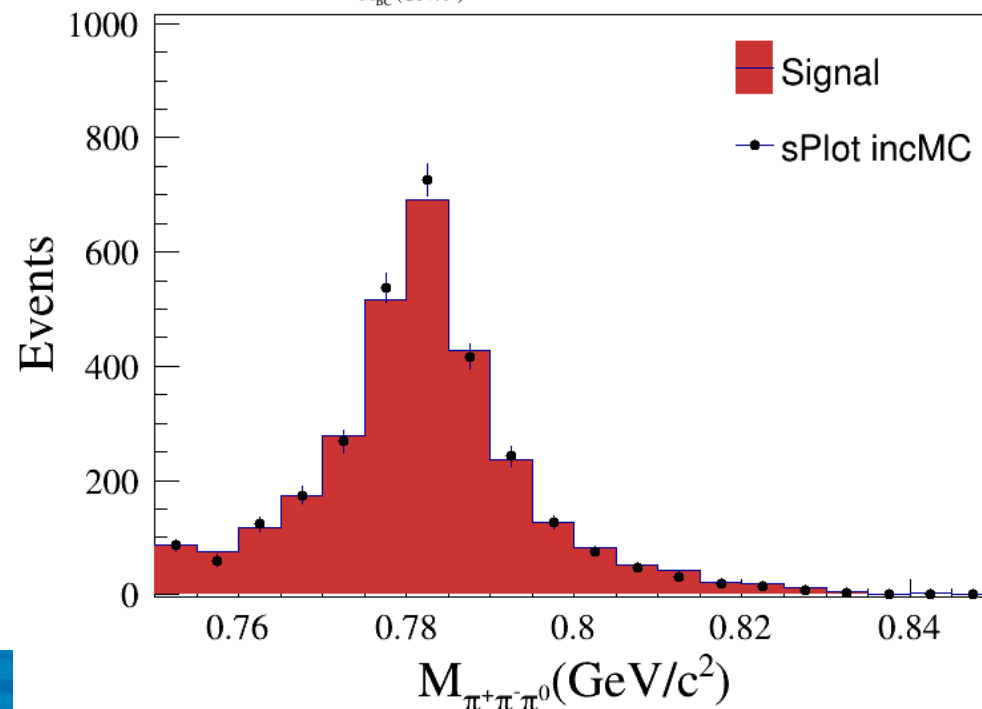
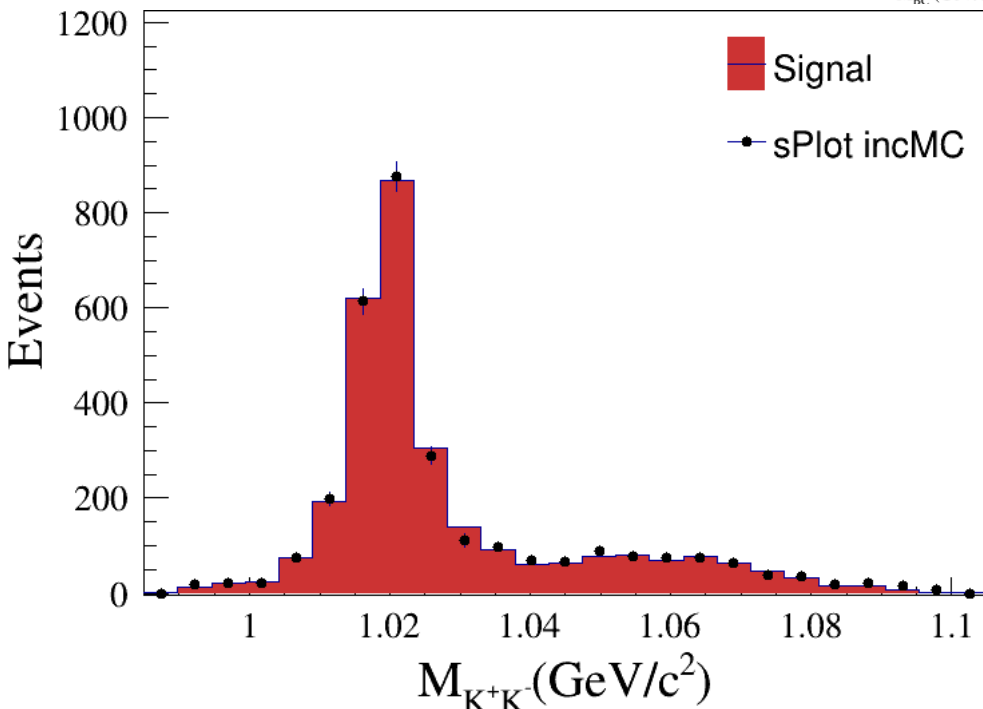
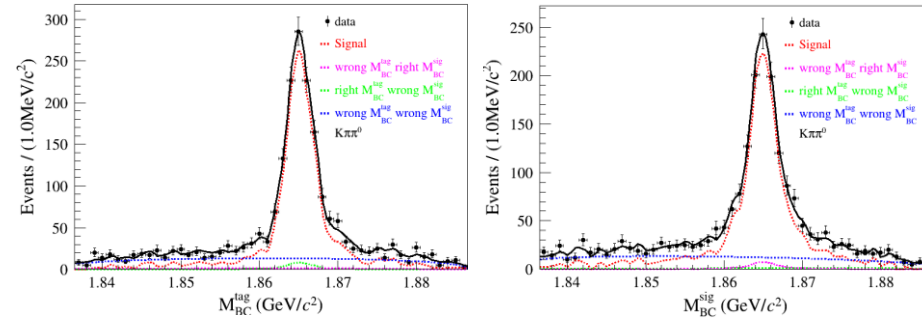
$$J_D = 0 \rightarrow \lambda_\phi = \lambda_\omega \rightarrow |A|^2 = \left| \sum_{\lambda_\phi \lambda_\omega} H_{\lambda_\phi \lambda_\omega} \right|^2 = |H_{11} + H_{-1-1} + H_{00}|^2$$

$$F_{\pm 1 \pm 1}^{JD} = \sqrt{\frac{1}{3}} g_{00} \pm \sqrt{\frac{1}{2}} W r g_{11} + \sqrt{\frac{1}{6}} r^2 g_{22}, \quad F_{00}^{JD} = \gamma_1 \gamma_2 \left(-\sqrt{\frac{1}{3}} g_{00} + \sqrt{\frac{2}{3}} r^2 g_{22} \right), \quad r + 2|q|$$

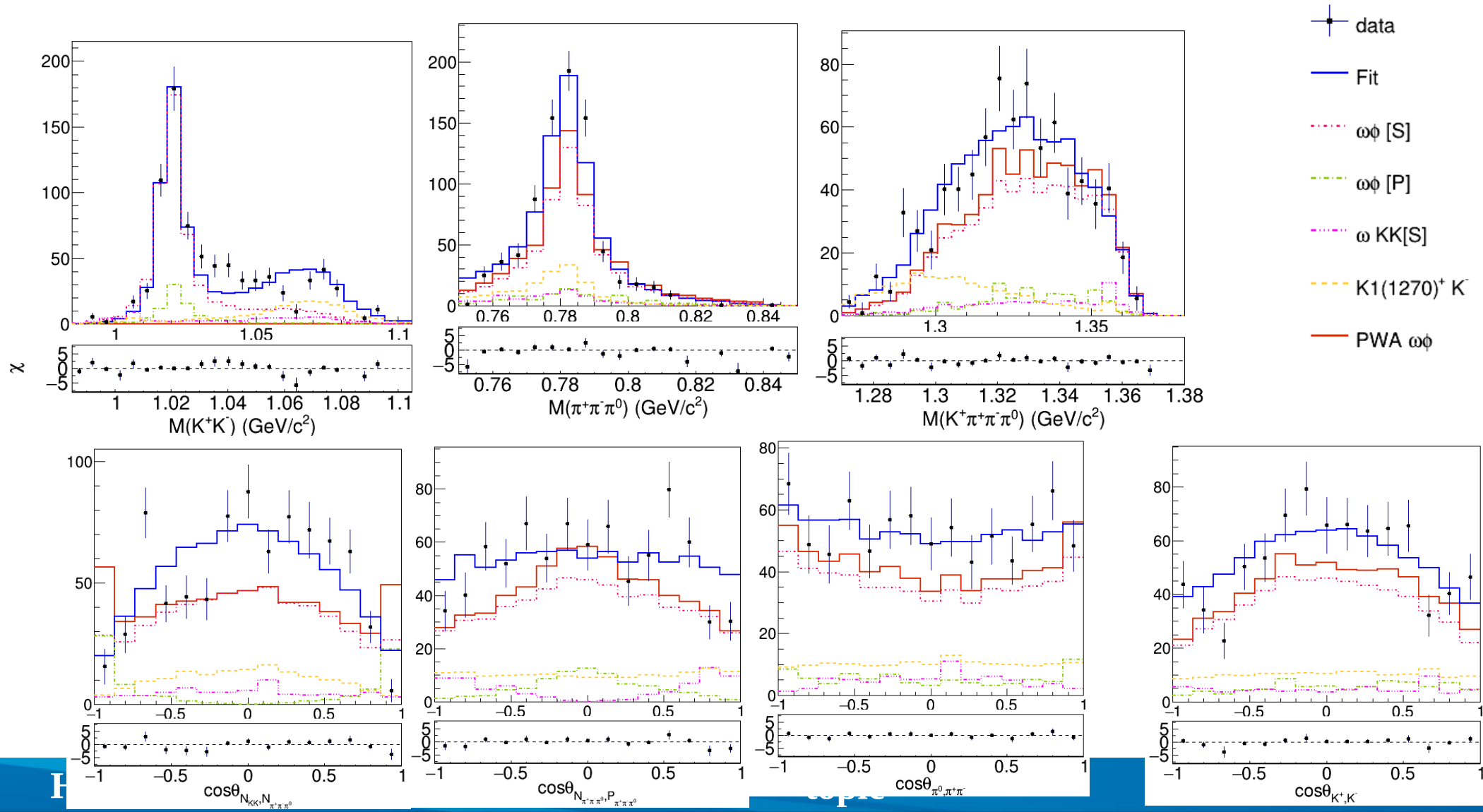
$|q|$: momentum of V in D rest frame γ : Lorentz factor of V in D rest frame W : mass of D

sPlosPlot on MC — Full Reconstruction

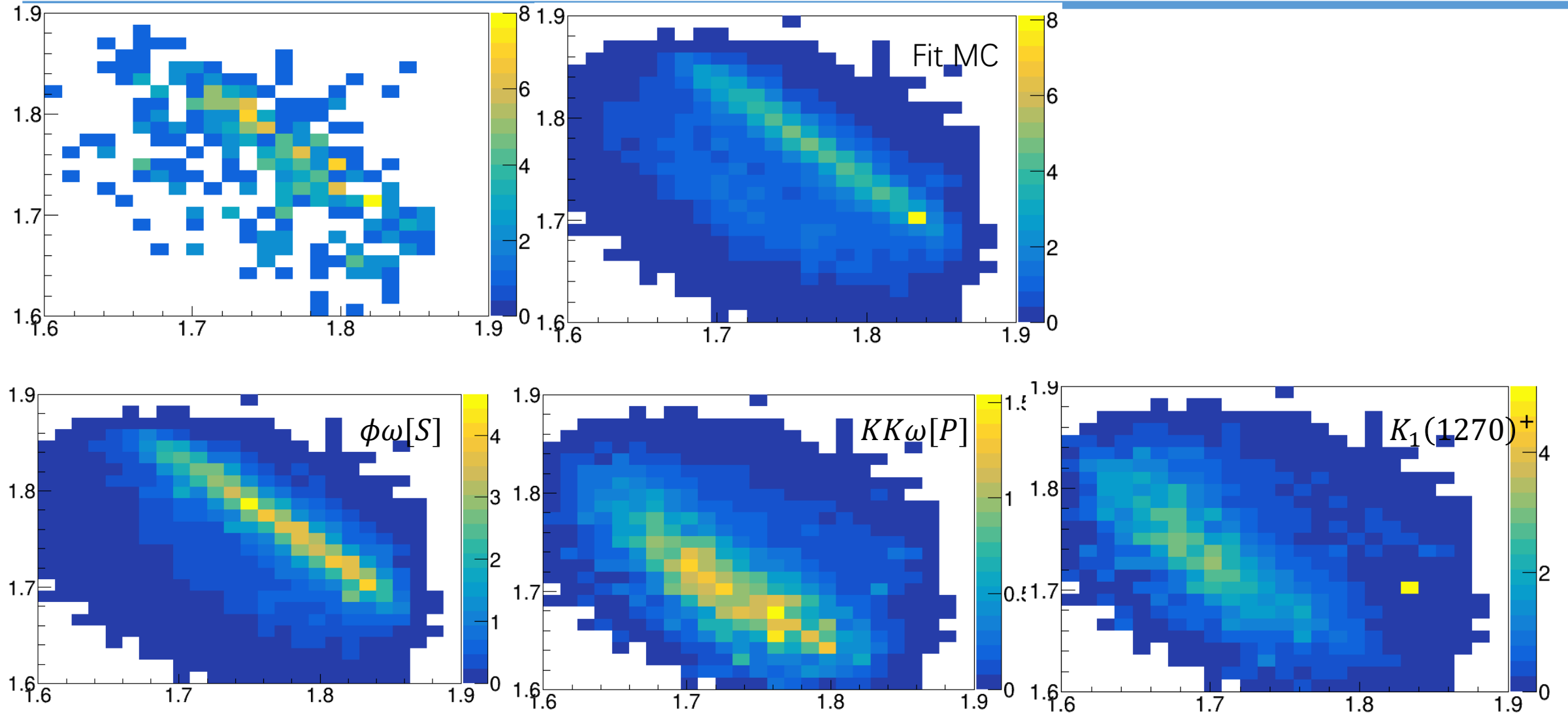
- To check the reliability of sPlot, 2-D M_{BC} fits are performed on inclusive MC samples. The comparisons between the signal components of inclusive MC and sPlot are shown below.



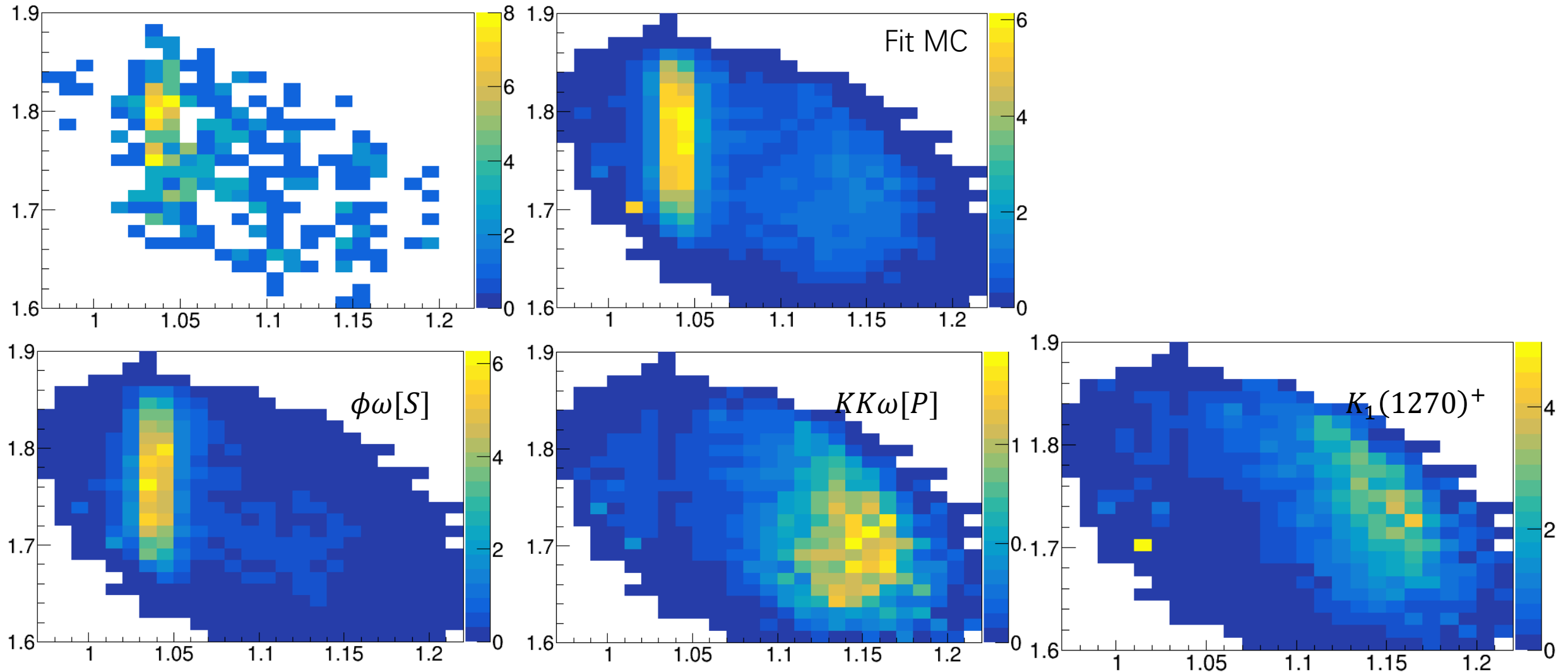
Fit on sPlot — Full reconstruction



$$M_{K^-\pi^+\pi^-\pi^0}^2 \text{ v.s. } M_{K^+\pi^+\pi^-\pi^0}^2$$



$M_{K^-\pi^+\pi^-\pi^0}^2$ v.s. $M_{K^+K^-}^2$



Amplitude	$ \tilde{c}_k $	$\arg(\tilde{c}_k)$ [rad]	Fit fraction [%]
$D^0 \rightarrow [\phi(1020)\rho(770)^0]_{L=0}$	1 (fixed)	0 (fixed)	$92.55 \pm 0.46 \pm 0.28$
$D^0 \rightarrow [\phi(1020)\omega(782)]_{L=0}$	$0.114 \pm 0.004 \pm 0.003$	$1.30 \pm 0.04 \pm 0.04$	$1.42 \pm 0.11 \pm 0.04$
Sum of fit fractions			$93.96 \pm 0.40 \pm 0.28$
$D^0 \rightarrow [\phi(1020)\rho(770)^0]_{L=1}$	1 (fixed)	0 (fixed)	$83.11 \pm 4.11 \pm 1.70$
$D^0 \rightarrow [\phi(1020)\omega(782)]_{L=1}$	$0.254 \pm 0.052 \pm 0.018$	$1.32 \pm 0.19 \pm 0.07$	$4.33 \pm 1.58 \pm 0.52$
Sum of fit fractions			$87.45 \pm 2.99 \pm 1.78$
$D^0 \rightarrow [\phi(1020)\rho(770)^0]_{L=2}$	1 (fixed)	0 (fixed)	$94.64 \pm 1.69 \pm 0.78$
$D^0 \rightarrow [\phi(1020)\omega(782)]_{L=2}$	$0.162 \pm 0.032 \pm 0.014$	$1.50 \pm 0.17 \pm 0.06$	$0.71 \pm 0.27 \pm 0.12$
Sum of fit fractions			$95.35 \pm 1.54 \pm 0.79$
$D^0 \rightarrow [K^+K^-]_{L=0}\rho(770)^0$	1 (fixed)	0 (fixed)	$85.41 \pm 5.89 \pm 3.49$
$D^0 \rightarrow [K^+K^-]_{L=0}\omega(782)$	$0.494 \pm 0.098 \pm 0.098$	$-0.95 \pm 0.19 \pm 0.15$	$9.24 \pm 3.26 \pm 3.64$
Sum of fit fractions			$94.65 \pm 5.03 \pm 5.04$
$K_1(1270)^+ \rightarrow [\rho(770)^0 K^+]_{L=0}$	1 (fixed)	0 (fixed)	$139.03 \pm 1.98 \pm 3.81$
$K_1(1270)^+ \rightarrow [\omega(782) K^+]_{L=0}$	$0.159 \pm 0.012 \pm 0.011$	$1.36 \pm 0.07 \pm 0.06$	$1.52 \pm 0.22 \pm 0.19$
Sum of fit fractions			$140.55 \pm 1.90 \pm 3.81$

AMPs from LHCb
J. High Energy Phys. 02
(2019) 126.

confirm that $Br(D^0 \rightarrow \omega\phi) < Br(D^0 \rightarrow \rho^0\phi)$