

# Global Analyses of Fragmentation Functions from NPC

沈晓民

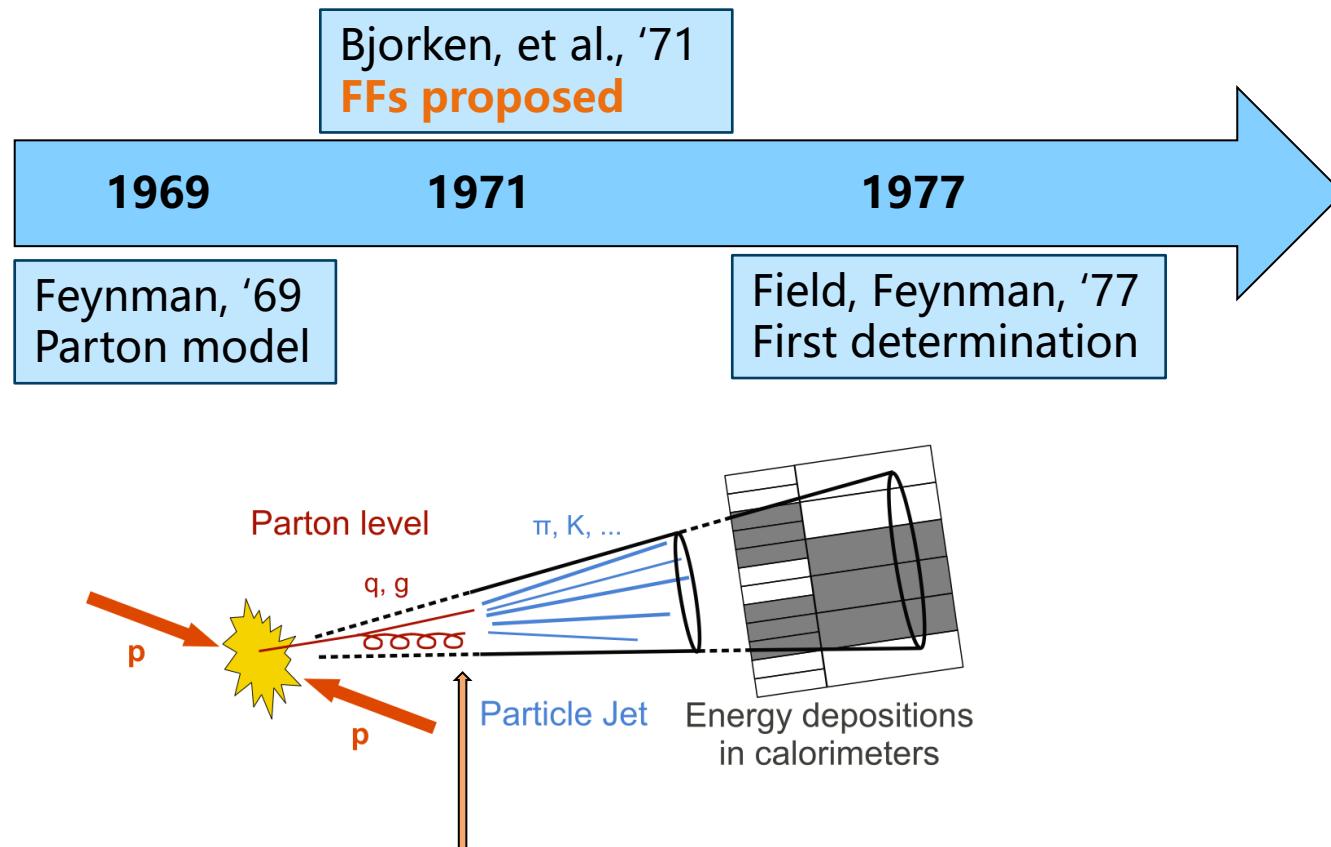
中国科学院 近代物理研究所

on behalf of  
the Non-Perturbative Physics Collaboration

碎裂函数与能量关联研讨会, 兰州  
Aug. 09, 2025



# Fragmentation functions (FFs) as extension of the parton model



Leading Quark TMDFFs

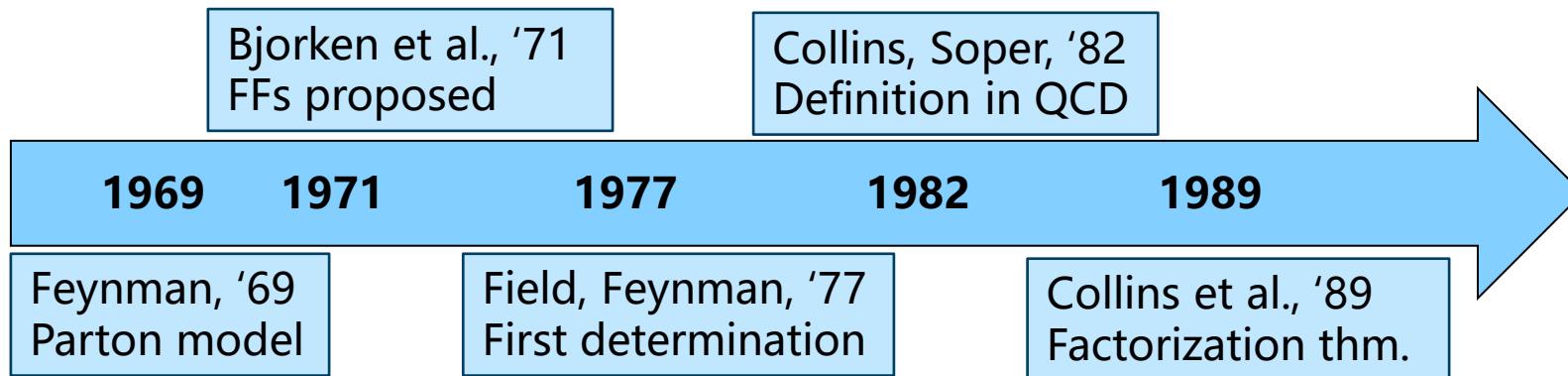
Hadron Spin → Quark Spin

		Quark Polarization		
		Un-Polarized (U)	Longitudinally Polarized (L)	Transversely Polarized (T)
Unpolarized (or Spin 0) Hadrons	U	$D_1 = \text{Unpolarized}$		$H_1^\perp = \text{Collins}$
	L		$G_1 = \text{Helicity}$	$H_{1L}^\perp = \text{Helicity}$
Polarized Hadrons	T	$D_{1T}^\perp = \text{Polarizing FF}$	$G_{1T}^\perp = \text{Polarizing FF}$	$H_1^\perp = \text{Transversity}$ $H_{1T}^\perp = \text{Transversity}$

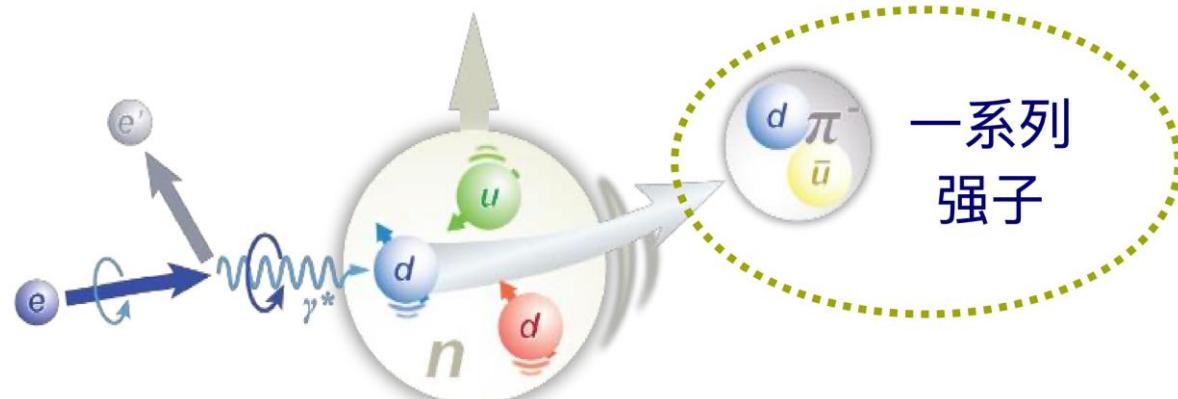
[2304.03302]

$D_{h \leftarrow i}(z)$ : number density of hadron  $h$  carrying a fraction of momentum  $z$  of parton  $i$

# Why FFs: key ingredients of QCD factorization framework



➤ Semi-Inclusive DIS (SIDIS) :  $e + N \rightarrow e + \textcolor{red}{h} + X$ :

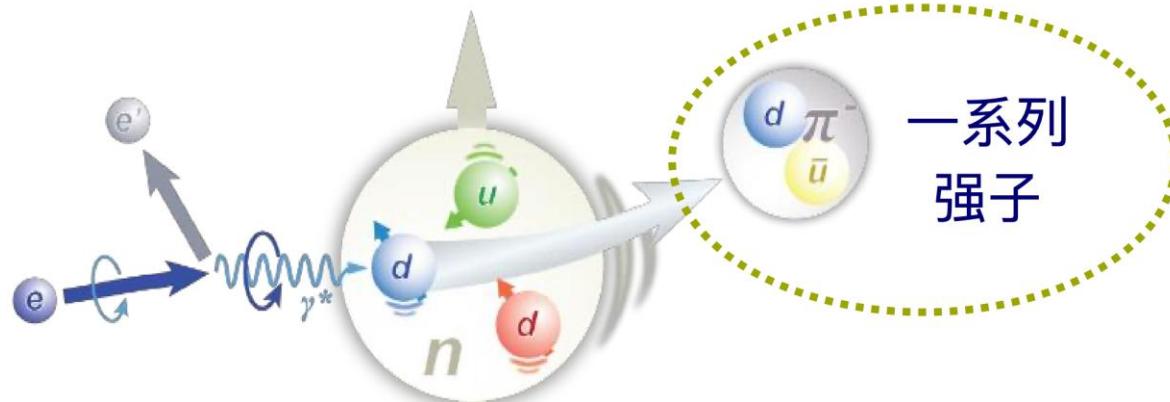


$$\frac{d^3\sigma_h}{dx dy dz_h} = f_{i/p}(x) \otimes \hat{\sigma}_{j \leftarrow i}(x, y, z) \otimes D_{h/j}(z_h) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}\right)$$

PDF    FF

# Why FFs: phenomenological application

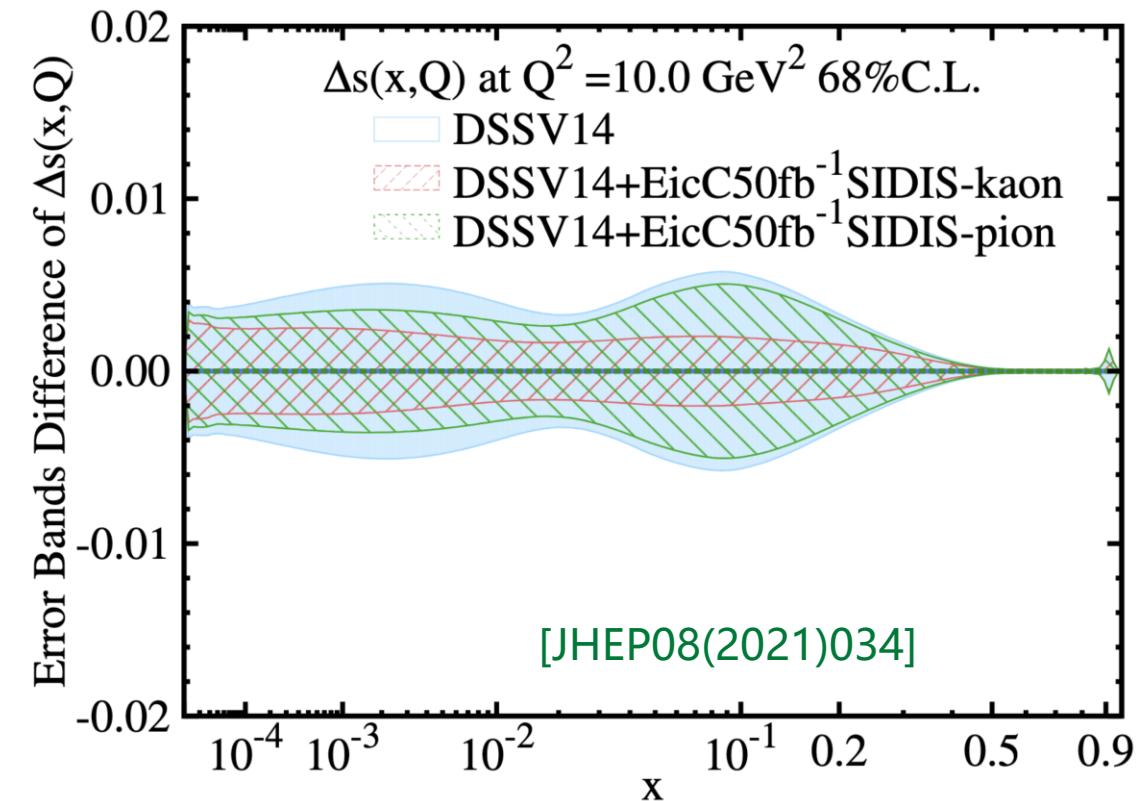
Identified hadron helps discriminate the initial parton



FFs are key inputs of pPDFs determination

$$g_1(x, Q^2, z) = \frac{1}{2} \sum_q e_q^2 [ \Delta q(x, Q^2) D^{q \rightarrow h}(Q^2, z) + \Delta \bar{q}(x, Q^2) D^{\bar{q} \rightarrow h}(Q^2, z) ]$$

pPDF      FF

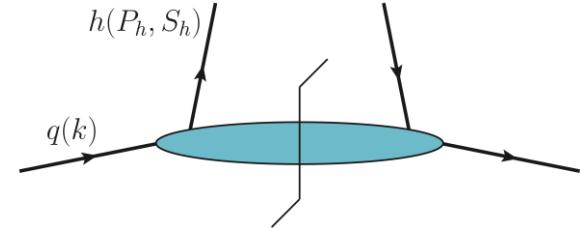


[JHEP08(2021)034]

# Extraction of FFs

- ❖ Field theory definition of the collinear (integrated) quark FFs [Collins, Soper '82]

$$D_{h/q}(z) = \frac{z}{4} \sum_X \int \frac{d\xi^+}{2\pi} e^{iP_h^- \xi^+/z} \text{Tr} \left[ \langle 0 | \mathcal{W}(\infty^+, \xi^+) \psi_q(\xi^+, 0^-, \vec{0}_T) | P_h, S_h; X \rangle \right. \\ \times \left. \langle P_h, S_h; X | \bar{\psi}_q(0^+, 0^-, \vec{0}_T) \mathcal{W}(0^+, \infty^+) | 0 \rangle \gamma^- \right]$$



- ❖ Global analyses of ee(SIA), ep(SIDIS) and pp data based on factorization formula.

$$\frac{d^3\sigma_h}{dx dy dz_h} = f_{i/p}(x) \otimes \hat{\sigma}_{j \leftarrow i}(x, y, z) \otimes D_{h/j}(z_h) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}\right)$$

↑  
measurement      ↑  
input      ↑  
pQCD      ↓  
to be determined. Universal !

# NPC collaboration gathering on July 19th 2025

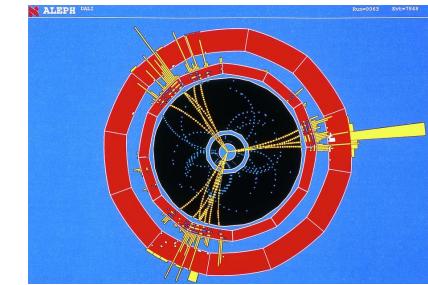
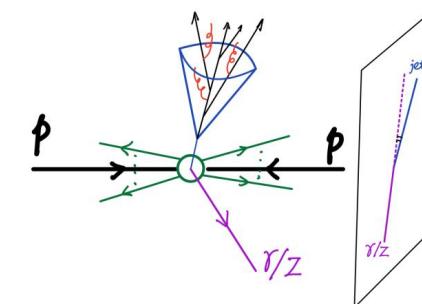
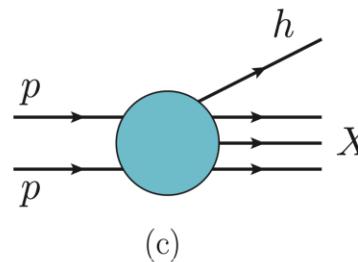
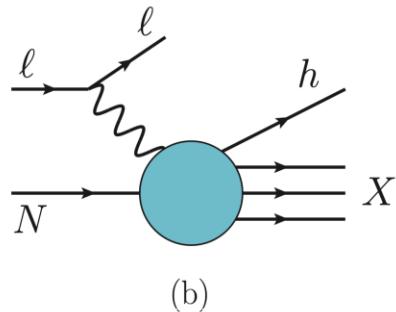
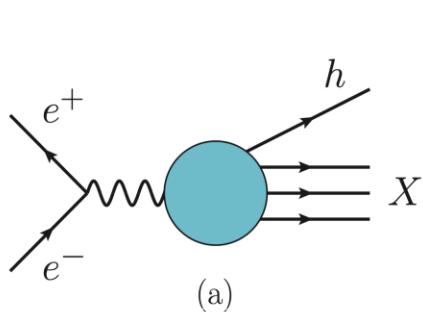


in neighborhood of Huizhou city (host of EICc)

**Jun Gao, ChongYang Liu, Meng Yang Li, XiaoMin Shen, HongXi Xing, YuXiang Zhao, Bin Zhou, YiYu Zhou**  
Shanghai JiaoTong Univ., South China Normal Univ., Institute of Modern Physics, CAS

# Automated calculation tool: FMNLO

- a hybrid scheme of phase-space slicing method and local subtraction
- **automation** of fragmentation calculations for arbitrary hard processes up to NLO, interfaced to MG5\_aMC@NLO



Hadron in jet (pp)

Hadron in jet (ee)

- partonic cross sections saved as interpolation tables for **fast** convolution with FFs
- code publicly available [Liu, XS, Zhou, Gao, 2305.14620 (JHEP)]

# NPC analyses of FFs to light charged hadrons ( $\pi^\pm, K^\pm, p/\bar{p}$ )

Gao, Liu, **XS**, Xing, Zhao, **PRL 132, 261903, '24**

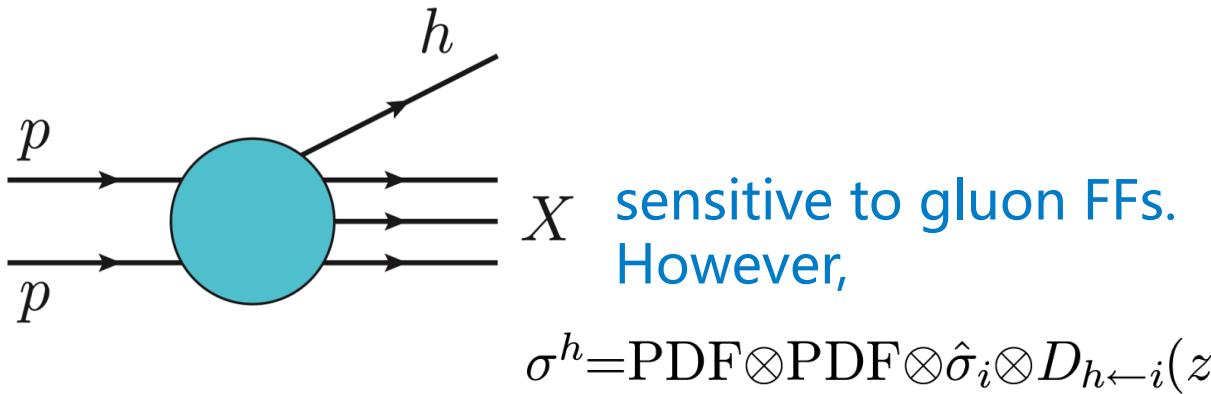
Gao, Liu, **XS**, Xing, Zhao, **PRD 110, 114019, '24** (Editors' suggestion)

collaboration	NNFF	JAM	DSS+	BDSSV	MAP	<b>NPC</b>
SIA ( $ee$ )	✓	✓	✓	✓	✓	✓
SIDIS ( $ep$ )	✗	✓	✓	✓	✓	✓
$pp$ incl. hadron	✗	✗	✓	✗	✗	✓
$pp$ hadron in jet	✗	✗	✗	✗	✗	✓
FFs	$\pi^\pm, K^\pm, p$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $\eta$	$\pi^\pm$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p$
pQCD order	<b>NNLO</b>	<b>NLO</b>	<b>NLO</b>	appr. <b>NNLO</b>	appr. <b>NNLO</b>	<b>NLO</b>

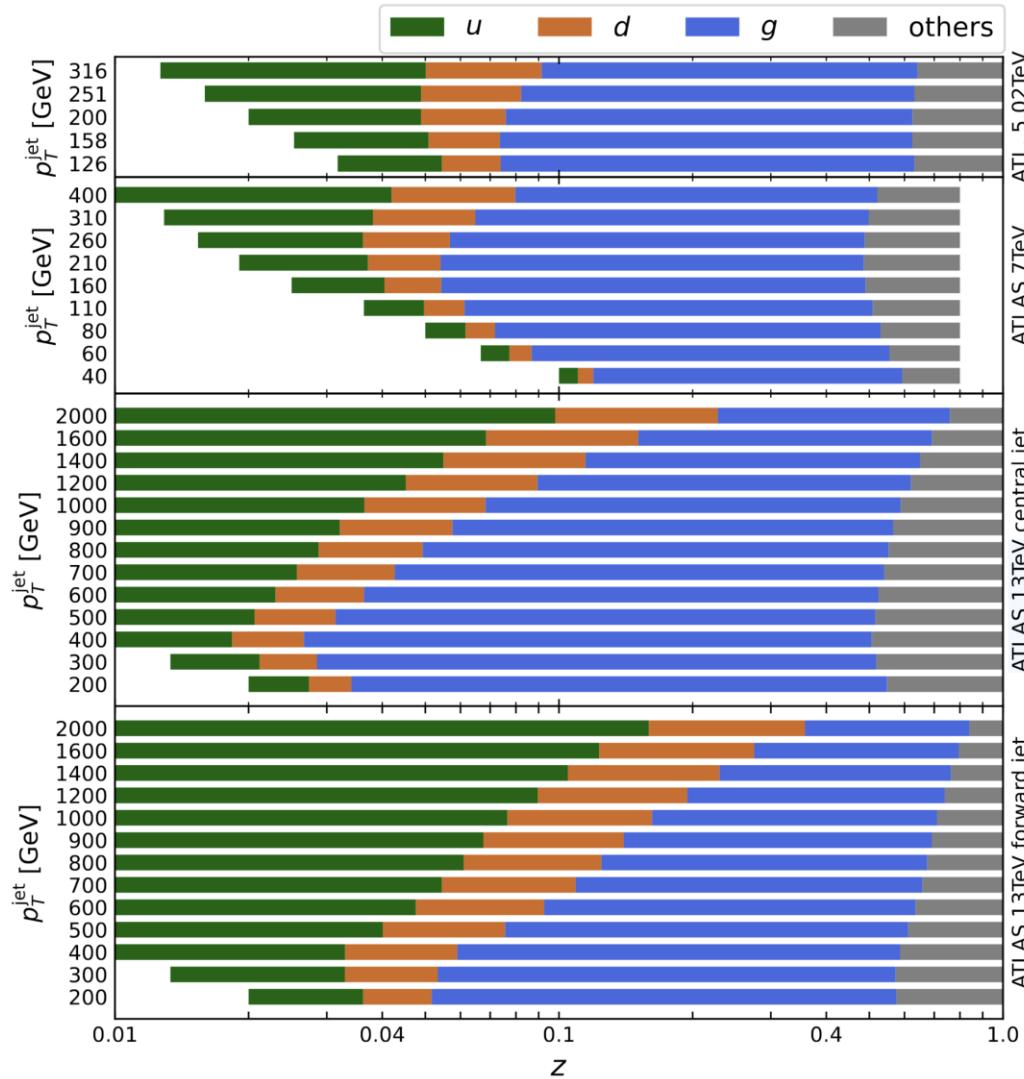
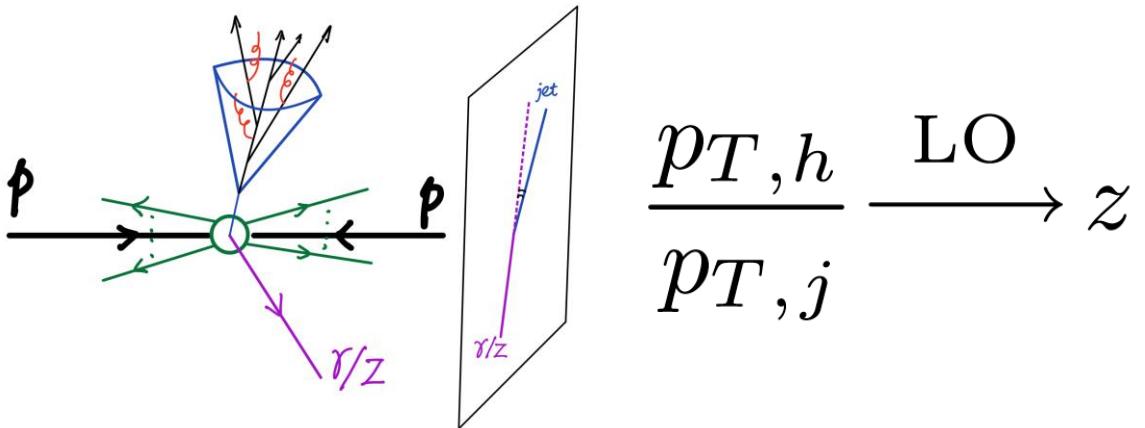
Only some of the recent global analyses are shown here.

# New opportunities in probing FFs at the LHC

- Inclusive hadron production (STAR, ALICE)



- Hadron in jet (ATLAS, CMS, LHCb)



Hadron-inside-jet measurements offer direct probe of z dependence

# Simultaneous fit of $\pi^\pm, K^\pm, p/\bar{p}$ FFs

Experiments	$N_{pt}$	$\chi^2$	$\chi^2/N_{pt}$
ATLAS jets <sup>†</sup>	446	350.8	0.79
ATLAS $Z/\gamma + \text{jet}$ <sup>†</sup>	15	31.8	2.12
CMS $Z/\gamma + \text{jet}$ <sup>†</sup>	15	17.3	1.15
LHCb $Z + \text{jet}$	20	30.6	1.53
ALICE inc. hadron	147	150.6	1.02
STAR inc. hadron	60	42.2	0.70
<b><i>pp</i> sum</b>	<b>703</b>	<b>623.3</b>	<b>0.89</b>
TASSO	8	7.0	0.88
TPC	12	11.6	0.97
OPAL	20	16.3	0.81
OPAL (202 GeV) <sup>†</sup>	17	24.2	1.42
ALEPH	42	31.4	0.75
DELPHI	78	36.4	0.47
DELPHI (189 GeV)	9	15.3	1.70
SLD	198	211.6	1.07
<b>SIA sum</b>	<b>384</b>	<b>353.8</b>	<b>0.92</b>
H1 <sup>†</sup>	16	12.5	0.78
H1 (asy.) <sup>†</sup>	14	12.2	0.87
ZEUS <sup>†</sup>	32	65.5	2.05
COMPASS (06 <i>I</i> )	124	107.3	0.87
COMPASS (16 <i>p</i> )	97	56.8	0.59
<b>SIDIS sum</b>	<b>283</b>	<b>254.4</b>	<b>0.90</b>
<b>Global total</b>	<b>1370</b>	<b>1231.5</b>	<b>0.90</b>

$$z D_i^h(z, Q_0) = z^{\alpha_i^h} (1-z)^{\beta_i^h} \exp \left( \sum_{n=0}^m a_{i,n}^h (\sqrt{z})^n \right)$$

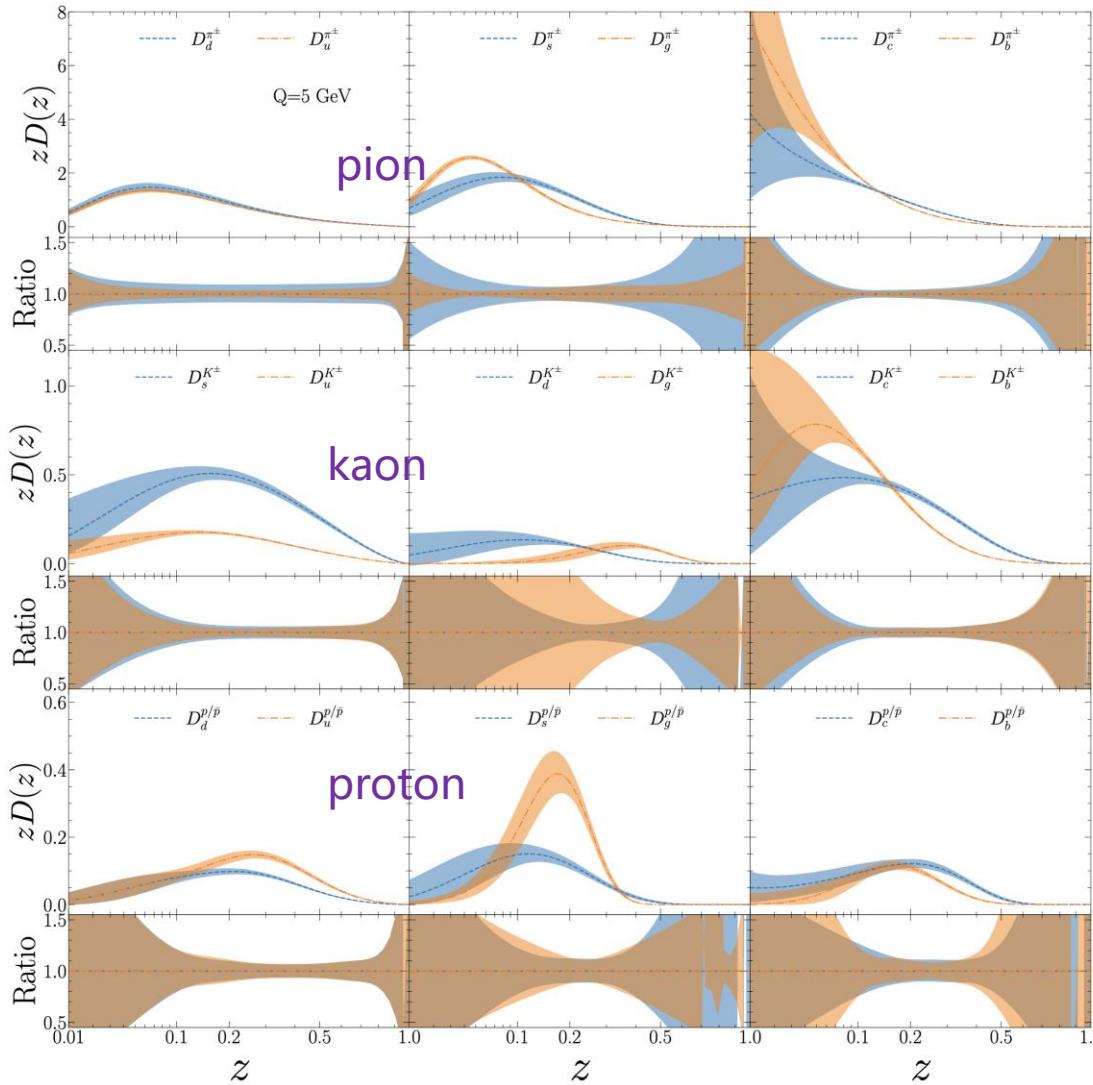
parton-to- $\pi^+$	favored	$\alpha$	$\beta$	$a_0$	$a_1$	$a_2$	d.o.f.
$u$	Y						5
$\bar{d} \simeq u$	Y	-	-	-	-	-	1
$\bar{u} = d$	N				x		4
$s = \bar{s} \simeq \bar{u}$	N	-			x		3
$c = \bar{c}$	N				x		4
$b = \bar{b}$	N				x		4
$g$	N		F				4

parton-to- $K^+$	favored	$\alpha$	$\beta$	$a_0$	$a_1$	$a_2$	d.o.f.
$u$	Y				x		4
$\bar{s} \simeq u$	Y	-	-	-	x		1
$\bar{u} = d = \bar{d} = s$	N				x		4
$c = \bar{c}$	N				x		4
$b = \bar{b}$	N				x		4
$g$	N		F		x		3

parton-to- $p$	favored	$\alpha$	$\beta$	$a_0$	$a_1$	$a_2$	d.o.f.
$u = 2d$	Y				x		4
$\bar{u} = \bar{d} = s = \bar{s}$	N			x	x		3
$c = \bar{c}$	N				x		4
$b = \bar{b}$	N				x		4
$g$	N		F		x		3



# The NPC23 FF sets



Gao, Liu, **XS**, Xing, Zhao, PRL '24,  
Gao, Liu, **XS**, Xing, Zhao, PRD '24(Editors' suggestion)

- High precision determination of FFs for charged hadrons.
- Resulting FFs are publicly available:

LHAPDF 6.5.5

Main page	PDF sets	Class hierarchy	Examples	More...	
2070000	NPC23_Plip_nlo		(tarball) (info file)	127	
2070200	NPC23_KAp_nlo		(tarball) (info file)	127	
2070400	NPC23_PRp_nlo		(tarball) (info file)	127	
2070600	NPC23_Plm_nlo		(tarball) (info file)	127	
2070800	NPC23_KAm_nlo		(tarball) (info file)	127	
2071000	NPC23_PRm_nlo		(tarball) (info file)	127	
2071200	NPC23_Plsum_nlo		(tarball) (info file)	127	
2071400	NPC23_KAsum_nlo		(tarball) (info file)	127	
2071600	NPC23_PRsum_nlo		(tarball) (info file)	127	
2071800	NPC23_CHHAp_nlo		(tarball) (info file)	127	
2072000	NPC23_CHHAm_nlo		(tarball) (info file)	127	
2072200	NPC23_CHHAsum_nlo		(tarball) (info file)	127	

# Application: momentum sum rule

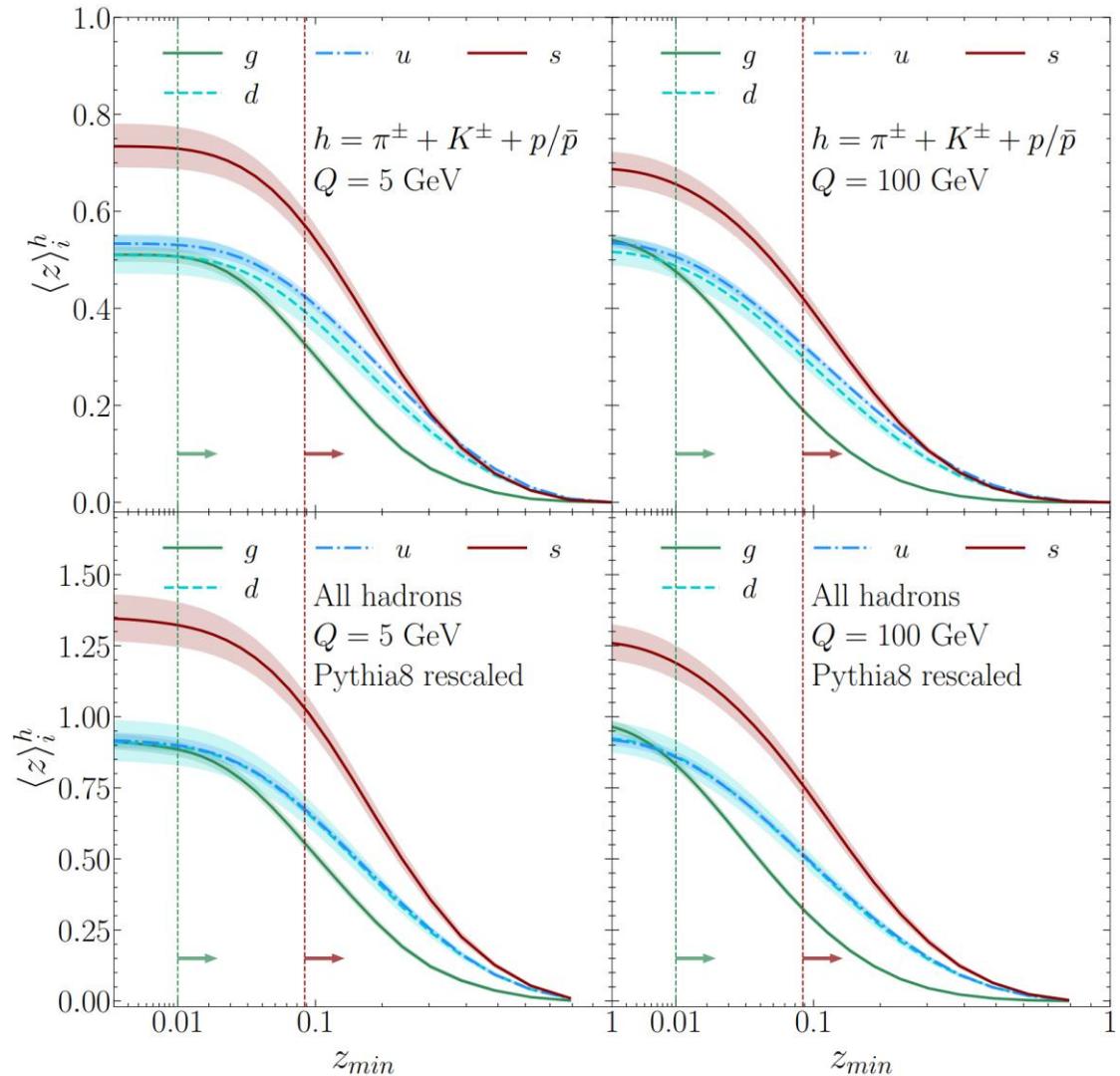
sum rule

$$\sum_h \int_0^1 [z D_{h \leftarrow i}(z)] dz = 1$$

$$\lim_{z_{\min} \rightarrow 0} \left( \sum_h \int_{z_{\min}}^1 [z D_{h \leftarrow i}(z)] dz \right)$$

$\langle z \rangle_i^h$	$g(z > 0.01)$	$u(z > 0.01)$	$d(z > 0.01)$	$s(z > 0.088)$
$\pi^+$	$0.200^{+0.008}_{-0.008}$	$0.262^{+0.017}_{-0.016}$	$0.128^{+0.020}_{-0.019}$	$0.161^{+0.013}_{-0.013}$
$K^+$	$0.018^{+0.004}_{-0.003}$	$0.058^{+0.005}_{-0.004}$	$0.019^{+0.004}_{-0.004}$	$0.015^{+0.002}_{-0.002}$
$p$	$0.035^{+0.006}_{-0.005}$	$0.044^{+0.004}_{-0.004}$	$0.022^{+0.002}_{-0.002}$	$0.015^{+0.002}_{-0.002}$
$\pi^-$	$0.200^{+0.008}_{-0.008}$	$0.128^{+0.020}_{-0.019}$	$0.299^{+0.054}_{-0.049}$	$0.161^{+0.013}_{-0.013}$
$K^-$	$0.018^{+0.004}_{-0.003}$	$0.019^{+0.004}_{-0.004}$	$0.019^{+0.004}_{-0.004}$	$0.205^{+0.014}_{-0.013}$
$\bar{p}$	$0.035^{+0.006}_{-0.005}$	$0.019^{+0.003}_{-0.003}$	$0.019^{+0.003}_{-0.003}$	$0.015^{+0.002}_{-0.002}$
Sum	$0.507^{+0.014}_{-0.013}$	$0.531^{+0.015}_{-0.013}$	$0.506^{+0.042}_{-0.037}$	$0.572^{+0.029}_{-0.028}$

Gao, Liu, XS, Xing, Zhao, PRL '24



# NPC analyses of FFs to light neutral hadrons ( $K^0, \eta, \Lambda$ )

Gao, Liu, Li, XS, Xing, Zhao, Zhou, '25

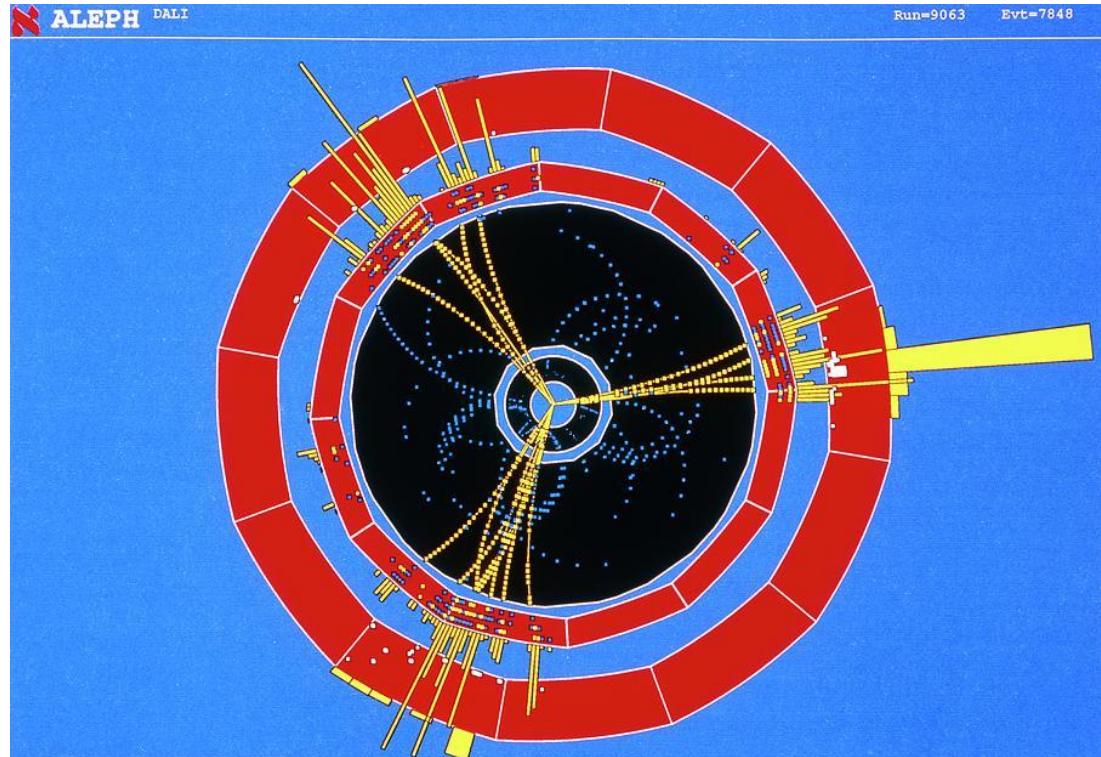
collaboration	NNFF	JAM	DSS+	BDSSV	MAP	NPC
SIA ( $ee$ )	✓	✓	✓	✓	✓	✓
SIDIS ( $ep$ )	✗	✓	✓	✓	✓	✓
$pp$ incl. hadron	✗	✗	✓	✗	✗	✓
$pp$ hadron in jet	✗	✗	✗	✗	✗	✓
FFs	$\pi^\pm, K^\pm, p$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $\eta$	$\pi^\pm$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $K^0, \eta, \Lambda$
pQCD order	NNLO	NLO	NLO	appr. NNLO	appr. NNLO	NLO

Only some of the recent global analyses are shown here.

# Data included in global analyses for the first time

collaboration	year	$\sqrt{s}$ [GeV]	observable	$N_{\text{pt}}(K_0^S)$	$N_{\text{pt}}(\Lambda)$	$N_{\text{pt}}(\eta)$	$N_{\text{pt}}(\pi^0)$
ZEUS [85]	2012	318	$\frac{1}{N_{\text{DIS}}} \frac{dN_h}{dz_p}$	5, 5 & 2	5, 3 & 1	—	—
CMS [125]	2011	900	$\frac{dN_h}{dp_T} / \frac{dN_{K_S^0}}{dp_T}$	—	4	—	—
STAR [126]	2010	200	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	8
PHENIX [127]	2011	200	$\frac{Ed^3\sigma_h}{d^3\vec{p}} / \frac{Ed^3\sigma_{\pi^0}}{d^3\vec{p}}$	—	—	14	—
PHENIX [128]	2007	200	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	17
PHENIX [129]	2016	510	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	22
ALICE [130]	2017	2760	$\frac{Ed^3\sigma_h}{d^3\vec{p}} / \frac{Ed^3\sigma_{\pi^0}}{d^3\vec{p}}$	—	—	6	—
ALICE [130]	2017	2760	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	16
ALICE [131]	2012	7000	$\frac{Ed^3\sigma_h}{d^3\vec{p}} / \frac{Ed^3\sigma_{\pi^0}}{d^3\vec{p}}$	—	—	4	—
ALICE [131]	2012	7000	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	13
ALICE [132]	2018	8000	$\frac{Ed^3\sigma_h}{d^3\vec{p}} / \frac{Ed^3\sigma_{\pi^0}}{d^3\vec{p}}$	—	—	13	—
ALICE [132]	2018	8000	$\frac{Ed^3\sigma_h}{d^3\vec{p}}$	—	—	—	24
ALICE [133]	2021	13000 & 7000	$\frac{dN_h^{13 \text{ TeV}}}{dp_T} / \frac{dN_h^{7 \text{ TeV}}}{dp_T}$	10	7	—	—
ALICE [133]	2021	13000	$\frac{dN_h}{dp_T} / \frac{dN_{\pi^\pm}}{dp_T}$	15	—	—	—
ALICE [134]	2024	13000	$\frac{Ed^3\sigma_h}{d^3\vec{p}} / \frac{Ed^3\sigma_{\pi^0}}{d^3\vec{p}}$	—	—	14	—

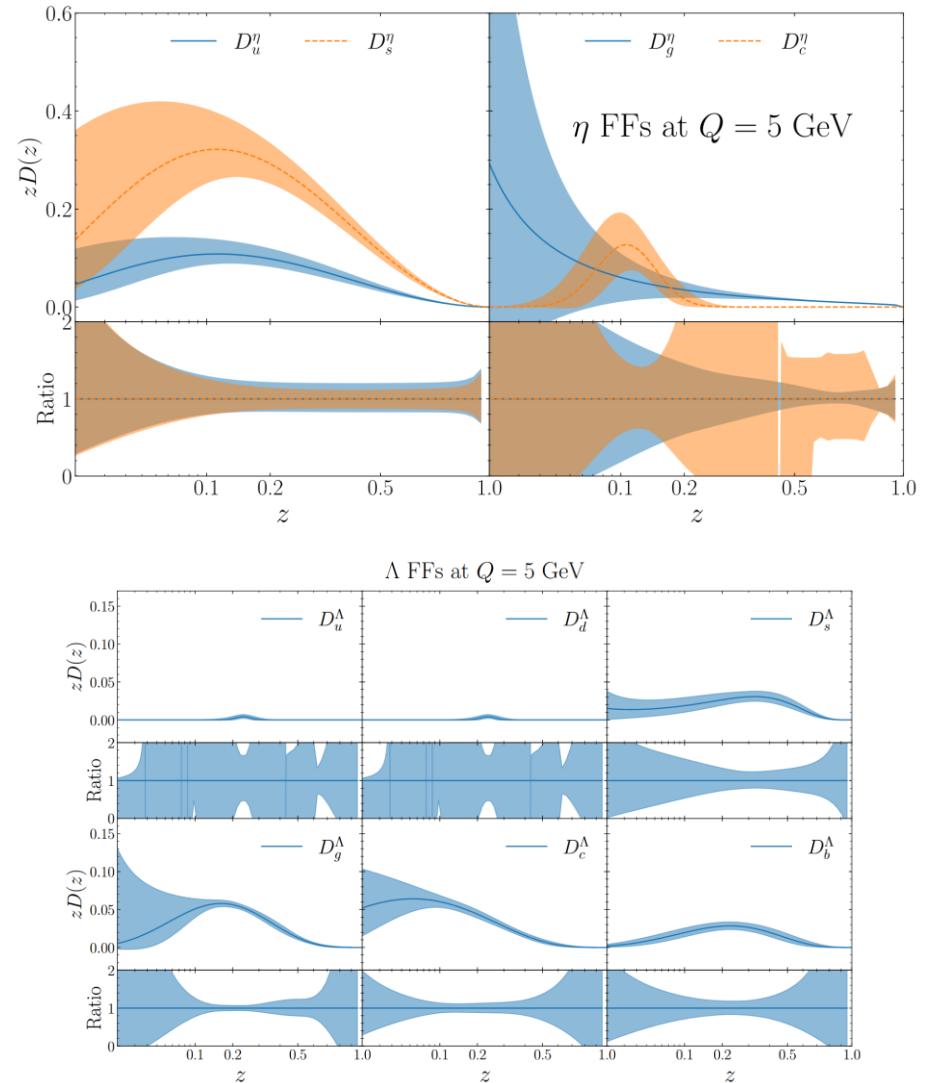
- SIDIS data from ZEUS
- pp data from LHC
- hadron-in-jet data (ALEPH three-jet events)



# The NPC23 FF sets

$$zD_i^h(z, Q_0) = z^{\alpha_i^h} (1-z)^{\beta_i^h} \exp\left(\sum_{n=0}^m \alpha_{i,n}^h z^{n/2}\right)$$

parton-to- $K_S^0$	favored	$a_0$	$\alpha$	$\beta$	$a_1$
$u = \bar{u}$	$\times$	✓	✓	✓	✓
$d = \bar{d}$	✓	✓	$= \alpha_u$	✓	✓
$s = \bar{s}$	✓	✓	$= \alpha_u$	$= \beta_d$	$= a_{1,d}$
$c = \bar{c}$	$\times$	✓	✓	✓	✓
$b = \bar{b}$	$\times$	✓	✓	✓	✓
$g$	$\times$	✓	✓	✓	✓
parton-to- $\Lambda$	favored	$a_0$	$\alpha$	$\beta$	$a_1$
$u = \bar{u} = d = \bar{d}$	✓	✓	✓	✓	✓
$s = \bar{s}$	✓	✓	✓	✓	✓
$c = \bar{c}$	$\times$	✓	✓	✓	$\times$
$b = \bar{b}$	$\times$	✓	✓	✓	$\times$
$g$	$\times$	✓	✓	✓	✓
parton-to- $\eta$	favored	$a_0$	$\alpha$	$\beta$	$a_1$
$u = \bar{u} = d = \bar{d}$	✓	✓	✓	✓	✓
$s = \bar{s}$	✓	✓	$= \alpha_u$	$= \beta_u$	$= a_{1,u}$
$c = \bar{c} = b = \bar{b}$	$\times$	✓	✓	✓	$\times$
$g$	$\times$	✓	✓	✓	✓



# What can we learn from neutral + charged hadron FFs

## Momentum sum rule revisited

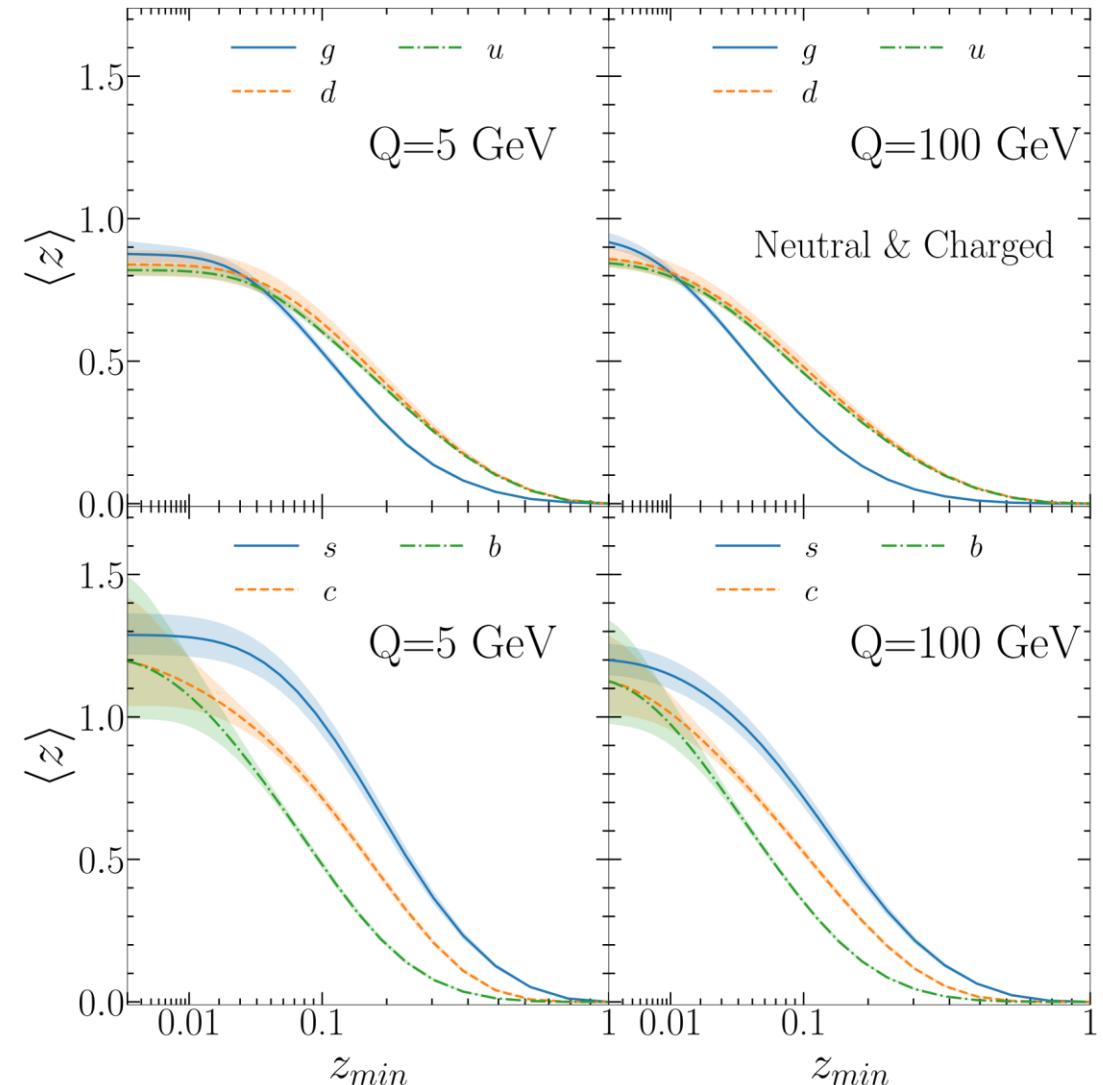
sum rule

$$\sum_h \int_0^1 [z D_{h \leftarrow i}(z)] dz = 1$$

$$\lim_{z_{\min} \rightarrow 0} \left( \sum_h \int_{z_{\min}}^1 [z D_{h \leftarrow i}(z)] dz \right)$$

hadron	$g$ ( $z_{\min} = 0.05$ )	$d$ ( $z_{\min} = 0.05$ )	$u$ ( $z_{\min} = 0.05$ )	$s$ ( $z_{\min} = 0.05$ )	$c$ ( $z_{\min} = 0.05$ )	$b$ ( $z_{\min} = 0.05$ )
$K_S^0 + K_L^0$	$0.0922^{+0.0051}_{-0.0040}$	$0.0940^{+0.0278}_{-0.0223}$	$0.0155^{+0.0046}_{-0.0047}$	$0.1486^{+0.0280}_{-0.0243}$	$0.1829^{+0.0112}_{-0.0105}$	$0.0685^{+0.0092}_{-0.0082}$
$\Lambda + \bar{\Lambda}$	$0.0385^{+0.0049}_{-0.0037}$	$0.0006^{+0.0006}_{-0.0004}$	$0.0006^{+0.0006}_{-0.0004}$	$0.0296^{+0.0070}_{-0.0059}$	$0.0321^{+0.0038}_{-0.0034}$	$0.0228^{+0.0052}_{-0.0044}$
$\eta$	$0.0192^{+0.0083}_{-0.0077}$	$0.0420^{+0.0092}_{-0.0068}$	$0.0420^{+0.0092}_{-0.0068}$	$0.1247^{+0.0189}_{-0.0174}$	$0.0119^{+0.0071}_{-0.0056}$	$0.0119^{+0.0071}_{-0.0056}$
$\pi^0$	$0.1577^{+0.0072}_{-0.0072}$	$0.1829^{+0.0076}_{-0.0065}$	$0.1829^{+0.0076}_{-0.0065}$	$0.1954^{+0.0160}_{-0.0156}$	$0.1674^{+0.0076}_{-0.0076}$	$0.1636^{+0.0060}_{-0.0057}$
<b>sum</b>	$0.3076^{+0.0131}_{-0.0119}$	$0.3194^{+0.0303}_{-0.0242}$	$0.2409^{+0.0128}_{-0.0105}$	$0.4984^{+0.0380}_{-0.0342}$	$0.3944^{+0.0157}_{-0.0146}$	$0.2668^{+0.0141}_{-0.0123}$

Gao, Liu, Li, XS, Xing, Zhao, Zhou, '25

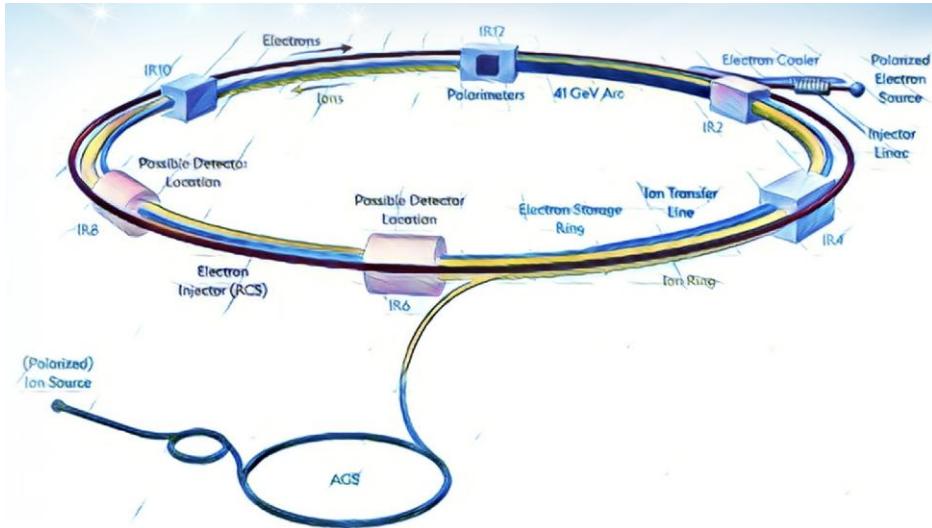


# NPC analyses of FFs at NNLO + constraints on PDFs

[Gao, XS, Xing, Zhao, Zhou, *PRL 135, 041902, 2025*]

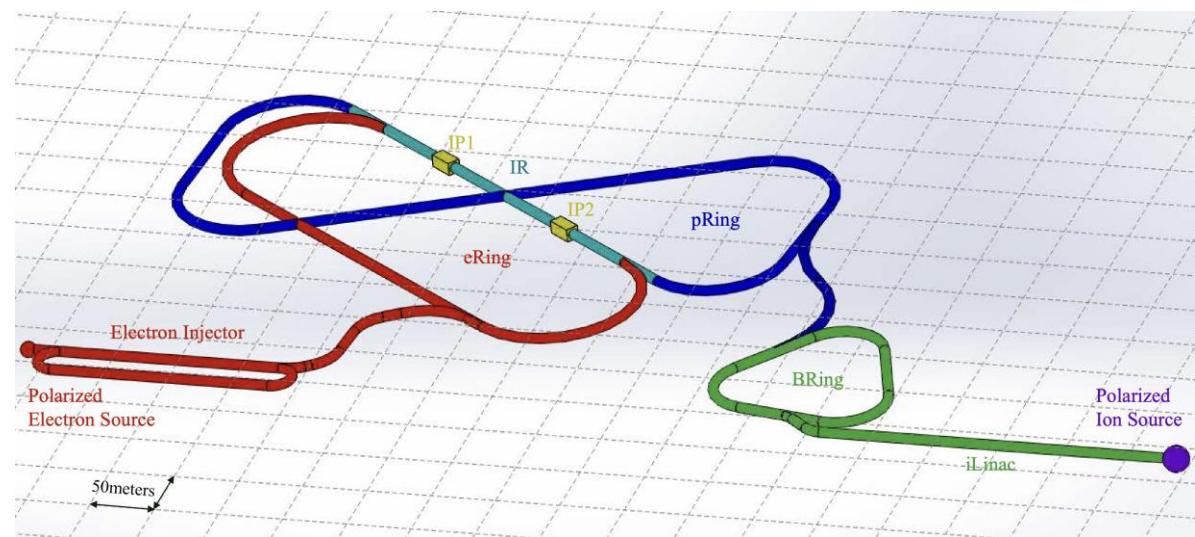
collaboration	NNFF	JAM	DSS+	BDSSV	MAP	<b>NPC</b>	<b>NPC</b>
SIA ( $ee$ )	✓	✓	✓	✓	✓	✓	✓
SIDIS ( $ep$ )	✗	✓	✓	✓	✓	✓	✓
$pp$ incl. hadron	✗	✗	✓	✗	✗	✓	✗
$pp$ hadron in jet	✗	✗	✗	✗	✗	✓	✗
FFs	$\pi^\pm, K^\pm, p$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $\eta$	$\pi^\pm$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $K^0, \eta, \Lambda$	$\pi^\pm, K^\pm$
pQCD order	NNLO	NLO	NLO	appr. NNLO	appr. NNLO	NLO	NNLO

# The need for high-precision FFs extractions



## ❖ The Electron-Ion Collider (EIC)

- start operation in the early 2030s
- unprecedented access to structure of the proton
- **FFs** as keys ingredients of SIDIS at the EIC



## ❖ Efforts from China

- ep collisions: EicC
- ee collisions: BESIII measurements  
See Linqin Huang & Wenbiao Yan's talk

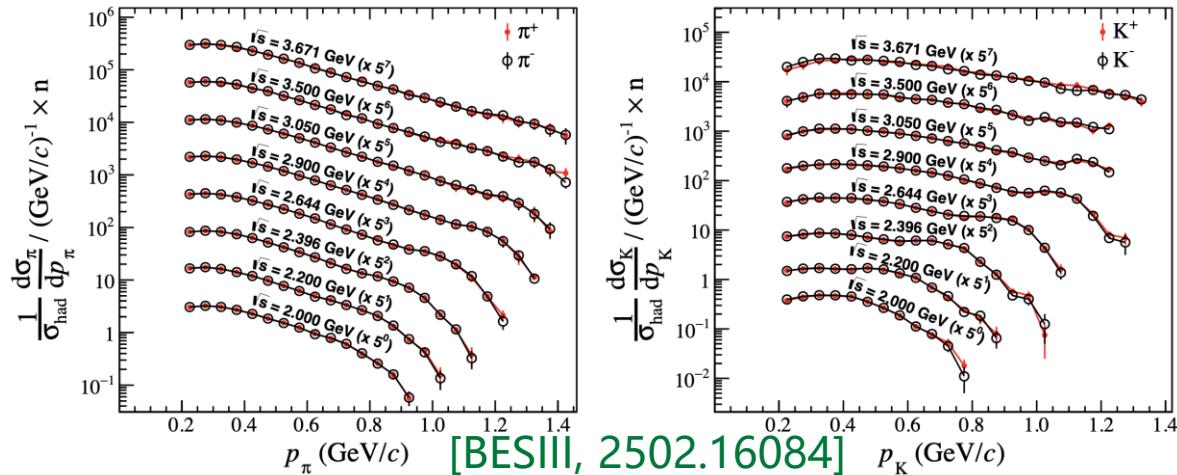
High-precision (NNLO, N3LO) FFs as key output & input

# First global analysis of FFs at NNLO

[Gao, XS, Xing, Zhao, Zhou, *PRL* 135, 041902, 2025]

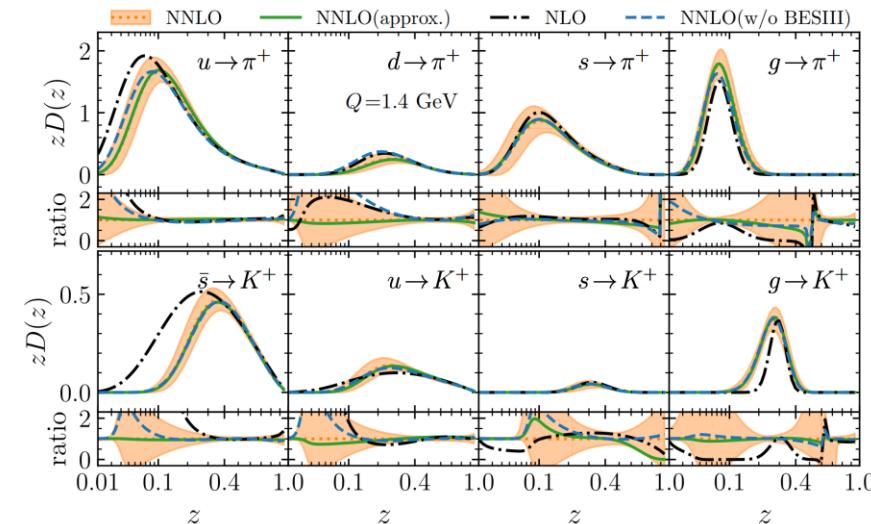
## ❖ Data

- $\pi^\pm, K^\pm$ : SIA(ee) and SIDIS(lepton+N) data with  $Q > 3 \& 2$  GeV
- recent BESIII measurement included  
See Wenbiao Yan & Linqin Huang's talk



## ❖ First global analysis of FFs at NNLO

- SIDIS: coefficient functions at NNLO from [Bonino+, '24] and [Goyal+, '24]
- SIA: NNLO
- 3-loop DGLAP evolution [Mitov, Moch, Vogt, Almasy], [Chen, Yang, Zhu, Zhu, '20]
- include scale variations into the covariance matrix



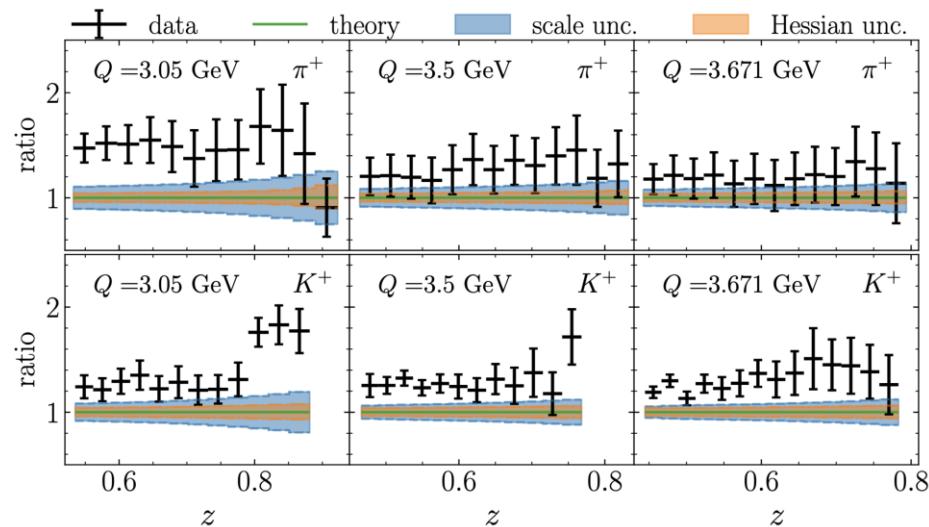
LHAGrids of our FFs available in the LHAPDF repository

# Test on universality of FFs at low Q

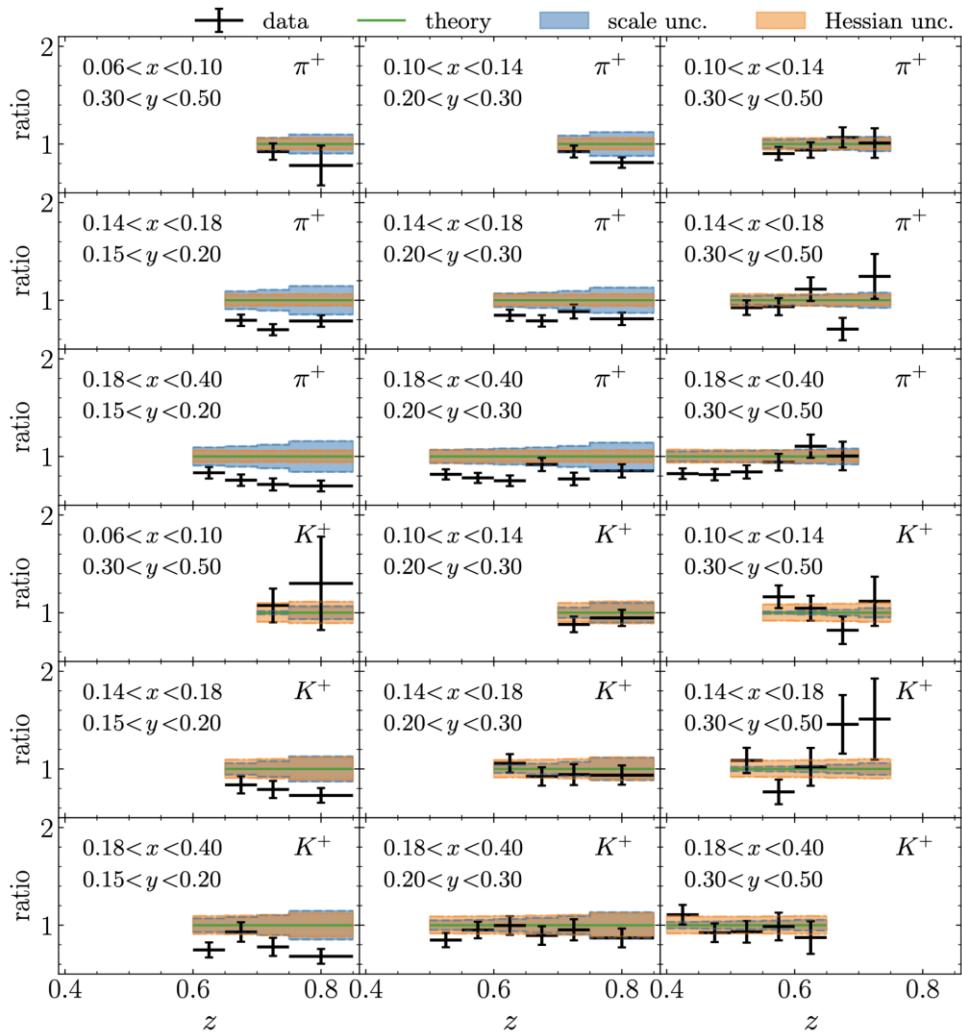
## Quality of NNLO fit of SIA and SIDIS data

$E_{h,\min} [\text{GeV}]$	BESIII		COMPASS		B-factories		HE-SIA		global		
	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$	$N_{\text{pt}}$	$\chi^2$	$\chi^2/N_{\text{pt}}$
0.5	242	1.26	358	1.65	233	1.06	426	1.19	1259	1650.2	1.31
0.6	212	1.21	290	1.59	228	0.92	423	0.97	1153	1338.8	1.16
0.7	182	1.11	214	1.47	223	0.61	413	0.84	1032	997.2	0.97
0.8	152	0.98	142	1.30	218	0.53	407	0.82	919	781.8	0.85
0.9	122	1.05	94	1.29	213	0.52	407	0.80	836	687.1	0.82
1.0	98	1.14	54	0.97	209	0.49	403	0.80	764	587.2	0.77

## Theory v.s. data for BESIII (SIA)



## Theory v.s. data for COMPASS06(SIDIS)



Satisfactory agreements found for both SIA and SIDIS data with  $Q \sim 3 \text{ GeV}$

# Application: constraining proton PDFs at NNLO

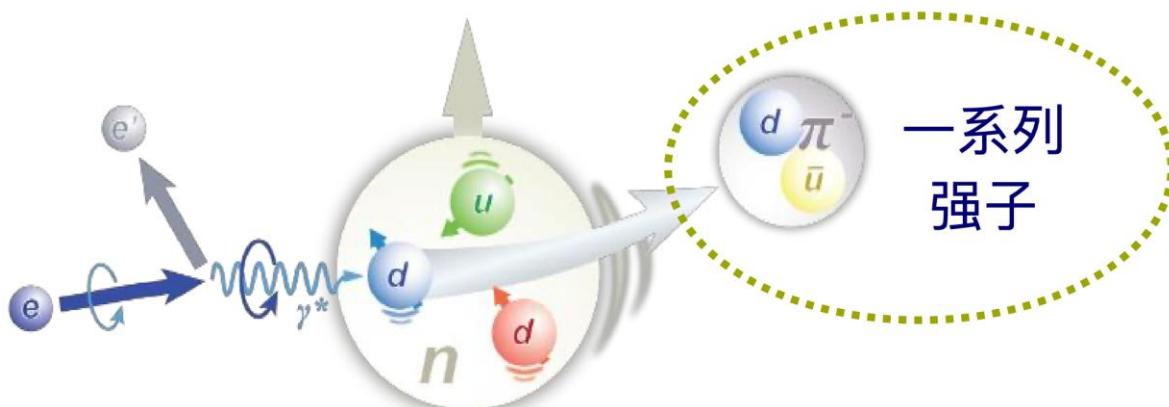
SIDIS measurement depends on both PDFs and FFs

$$\frac{d^3\sigma_h}{dxdydz_h} = f_{i/p}(x) \otimes \hat{\sigma}_{j \leftarrow i}(x, y, z) \otimes D_{h/j}(z_h) + \mathcal{O}\left(\frac{\Lambda_{\text{QCD}}}{Q}\right)$$

Unpol. PDF                                    FF

$$g_1(x, Q^2, z) = \frac{1}{2} \sum_q e_q^2 [\Delta q(x, Q^2) D^{q \rightarrow h}(Q^2, z) + \Delta \bar{q}(x, Q^2) D^{\bar{q} \rightarrow h}(Q^2, z)]$$

Pol.PDF    FF



LO cross section difference of  $K^\pm$  with iso-scalar target

$$\begin{aligned} & \frac{d\sigma^{K^+}}{dxdydz} - \frac{d\sigma^{K^-}}{dxdydz} \\ & \sim 2(u_v(x) + d_v(x)) \left( D_u^{K^+}(z) - D_{\bar{u}}^{K^+}(z) \right) \\ & + (s(x) - \bar{s}(x)) \left( D_s^{K^+}(z) - D_{\bar{s}}^{K^+}(z) \right) + \dots \end{aligned}$$

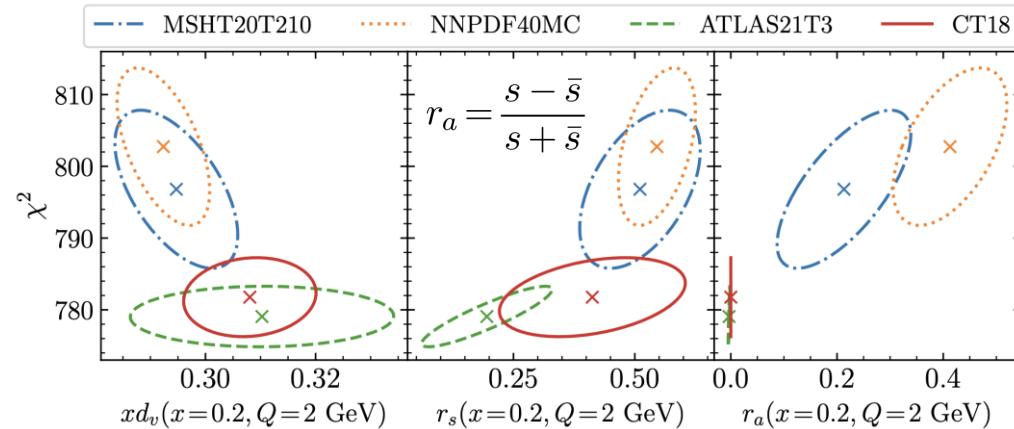
PDF    FF

sensitive to strangeness asymmetry

$$r_a = \frac{s - \bar{s}}{s + \bar{s}}$$

# Application: constraining proton PDFs at NNLO

## ➤ Correlation between chi2 and PDFs

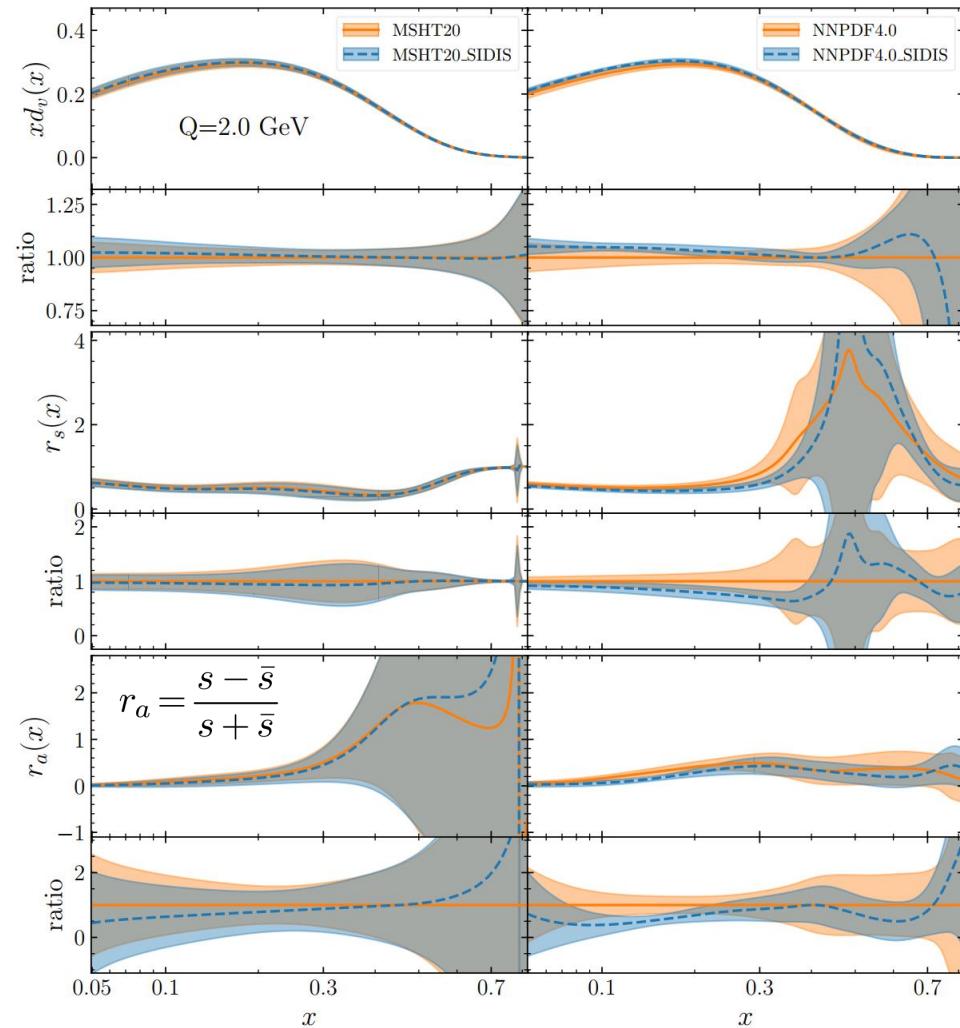


## ➤ Modified PDFs with the inclusion of SIDIS data

- Reweighting of the [NNPDF4.0](#) PDF set
- Profiling of the [MSHT20](#) PDF set

[ref: Phys.Rept.742(2018)1]

## PDF variables before/after reweighting/profiling



[Gao, XS, Xing, Zhao, Zhou, [PRL 135, 041902, 2025](#)]

# Summary

- FFs are essential non-perturbative inputs for precision calculations of hadron production cross sections from **first principle of QCD**.
- NPC23 fit framework: developed based on FMNLO [Liu, **XS**, Zhou, Gao, 2305.14620 (JHEP)]
- NPC23 FF sets: the **most precise and complete** FF sets to date

collaboration	NNFF	JAM	DSS+	BDSSV	MAP	NPC	NPC
SIA ( $ee$ )	✓	✓	✓	✓	✓	✓	✓
SIDIS ( $ep$ )	✗	✓	✓	✓	✓	✓	✓
$pp$ incl. hadron	✗	✗	✓	✗	✗	✓	✗
$pp$ hadron in jet	✗	✗	✗	✗	✗	✓	✗
FFs	$\pi^\pm, K^\pm, p$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $\eta$	$\pi^\pm$	$\pi^\pm, K^\pm$	$\pi^\pm, K^\pm, p, h^\pm$ $K^0, \eta, \Lambda$	$\pi^\pm, K^\pm$
pQCD order	<b>NNLO</b>	<b>NLO</b>	<b>NLO</b>	appr. NNLO	appr. NNLO	<b>NLO</b>	<b>NNLO</b>

Gao, Liu, **XS**, Xing, Zhao, *PRL* 132, 261903, 2024

Gao, Liu, **XS**, Xing, Zhao, *PRD* 110, 114019, (Editors' suggestion), 2024

Gao, **XS**, Xing, Zhao, Zhou, *PRL* 135, 041902, 2025

Gao, Liu, Li, **XS**, Xing, Zhao, Zhou, 2503.21311

*Thank you for your attention!*

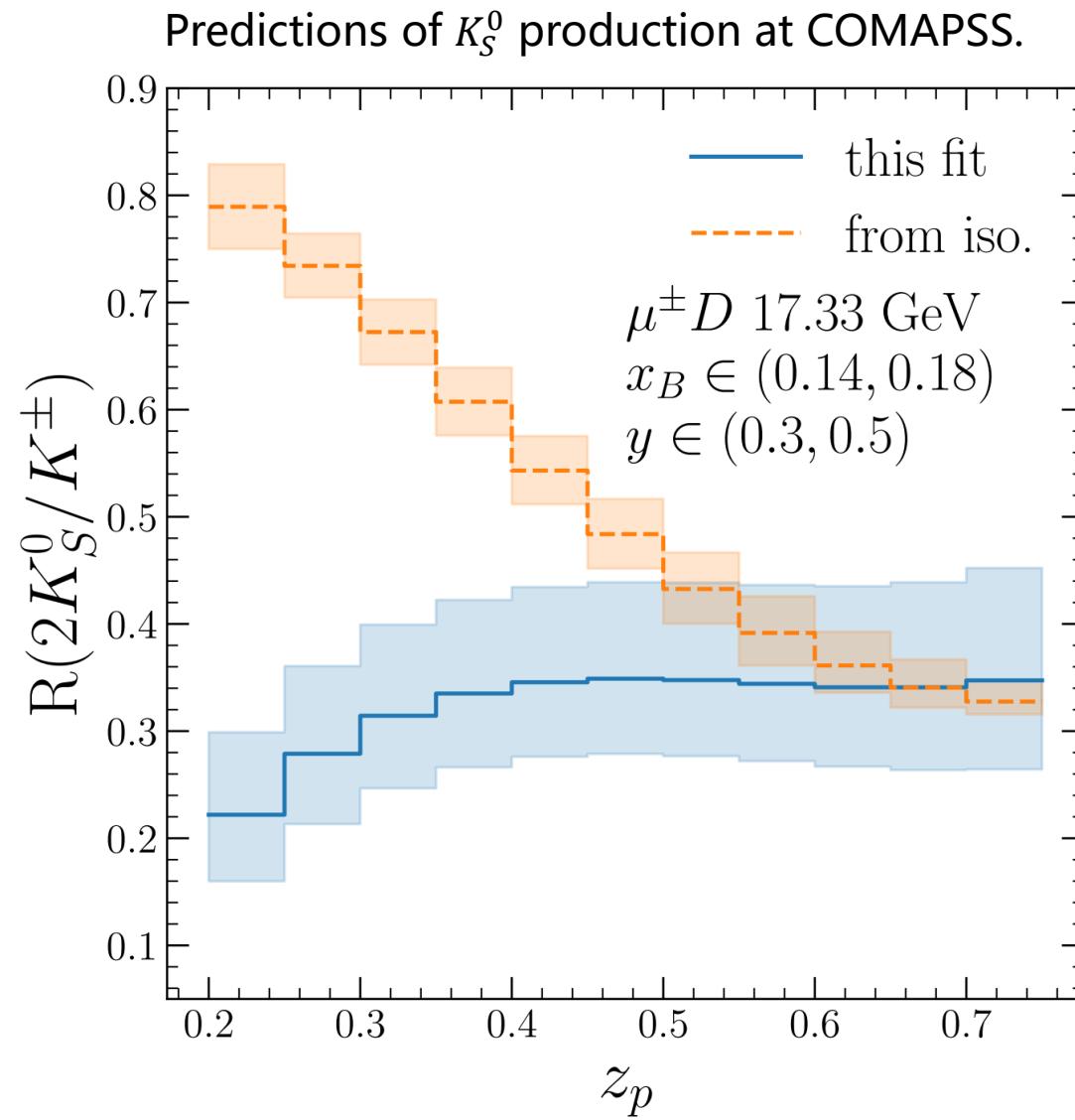
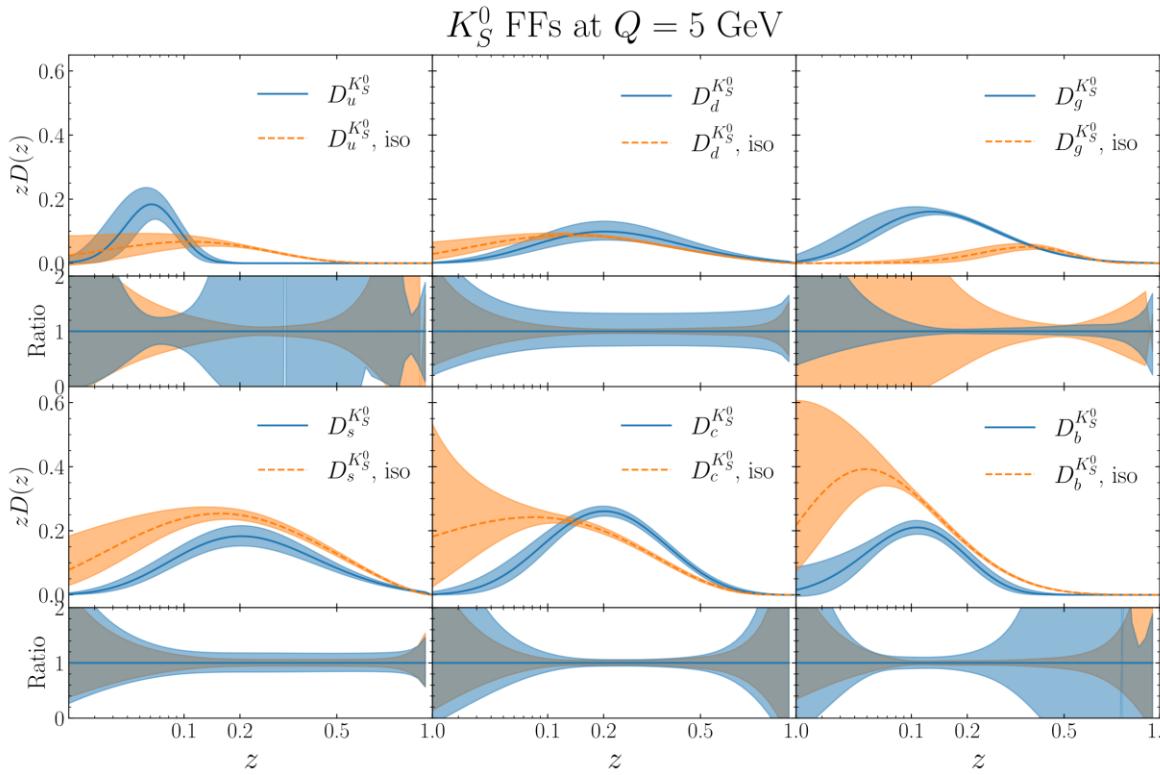
# Backup slides

# What can we learn from neutral + charged hadron FFs

Test the isospin symmetry

$$D_q^{K_S^0, \text{iso}} = \frac{1}{2} (D_{q'}^{K^+} + D_{q'}^{K^-}), q(q') = u(d) \text{ or } d(u)$$

$$D_i^{K_S^0, \text{iso}} = \frac{1}{2} (D_i^{K^+} + D_i^{K^-}), i = g, s, c, b$$



# Fit quality

collaboration	year	$\sqrt{s}$ [GeV]	$\chi^2$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$
Belle [100]	2024	10.58	14.9	35	0.43
TASSO [95]	1985	14	5.12	9	0.57
TASSO [95]	1985	22	5.54	6	0.92
TASSO [95]	1985	34	14.86	13	1.14
TASSO [96]	1990	14.8	10.89	9	1.21
TASSO [96]	1990	21.5	3.40	6	0.57
TASSO [96]	1990	34.5	19.54	13	1.50
TASSO [96]	1990	35	13.52	13	1.04
TASSO [96]	1990	42.6	32.01	13	2.46
TPC [93]	1984	29	3.02	8	0.38
MARK II [94]	1985	29	11.06	17	0.65
HRS [97]	1987	29	36.33	12	3.03
CELLO [98]	1990	35	3.48	9	0.39
TOPAZ [99]	1995	58	0.18	4	0.05
OPAL [87]	1991	91.2	8.03	7	1.15
OPAL [88]	1995	91.2	12.97	16	0.81
OPAL [89]	2000	91.2	8.98	16	0.56
ALEPH [90]	1998	91.2	7.22	16	0.45
ALEPH [101]	2000	91.2	12.24	14	0.95
ALEPH jet 1 [101]	2000	91.2	14.85	12	1.24
ALEPH jet 2 [101]	2000	91.2	9.34	13	0.72
ALEPH jet 3 [101]	2000	91.2	9.28	11	0.84
DELPHI [91]	1995	91.2	8.12	13	0.62
SLD [92]	1999	91.2	7.61	9	0.85
SLD c-tagged [92]	1999	91.2	23.23	9	2.58
SLD b-tagged [92]	1999	91.2	11.27	9	1.30
SIA sum			308.43	312	0.99
ZEUS $Q^2 \in (160, 640) \text{ GeV}^2$ [85]	2012	318	4.38	5	0.88
ZEUS $Q^2 \in (640, 2560) \text{ GeV}^2$ [85]	2012	318	2.97	5	0.59
ZEUS $Q^2 \in (2560, 10240) \text{ GeV}^2$ [85]	2012	318	3.00	2	1.50
SIDIS sum			10.35	12	0.86
ALICE $(N_{K_S^0}^{13 \text{ TeV}} / N_{K_S^0}^{7 \text{ TeV}})$ [133]	2021	13000 & 7000	3.19	10	0.32
ALICE $(N_{K_S^0}^0 / N_{\pi^\pm})$ [133]	2021	13000	5.96	15	0.40
$pp$ sum			9.15	25	0.37
total sum			327.93	349	0.94

collaboration	year	$\sqrt{s}$ [GeV]	$\chi^2$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$
ARGUS [106]	1990	9.46	5.94	6	0.99
HRS [107]	1988	29	18.07	13	1.39
JADE [110]	1985	34.4	2.29	2	1.14
JADE [112]	1990	35	3.29	3	1.09
CELLO [113]	1990	35	3.47	5	0.69
L3 [123]	1992	91.2	5.83	4	1.46
L3 [124]	1994	91.2	10.46	10	1.05
ALEPH [117]	1992	91.2	1.48	8	0.18
ALEPH [101]	2000	91.2	18.39	18	1.02
ALEPH jet 1 [101]	2000	91.2	11.26	7	1.61
ALEPH jet 2 [101]	2000	91.2	1.95	6	0.33
ALEPH jet 3 [101]	2000	91.2	10.49	4	2.62
ALEPH [120]	2002	91.2	17.18	5	3.44
OPAL [116]	1998	91.2	7.12	11	0.65
SIA sum			117.20	102	1.15
PHENIX [127]	2011	200	7.61	14	0.51
ALICE [130]	2017	2760	5.37	6	0.90
ALICE [131]	2012	7000	1.26	4	0.32
ALICE [132]	2018	8000	12.64	13	0.97
ALICE [134]	2024	13000	7.11	14	0.51
$pp$ sum			33.99	51	0.67
total sum			151.21	153	0.99

collaboration	year	$\sqrt{s}$ [GeV]	$\chi^2$	$N_{\text{pt}}$	$\chi^2/N_{\text{pt}}$
TASSO [95]	1985	14	1.25	3	0.42
TASSO [95]	1985	22	2.81	4	0.70
TASSO [95]	1985	34	4.37	7	0.62
SLAC [108]	1985	29	22.46	15	1.50
HRS [97]	1987	29	6.05	8	0.76
TASSO [109]	1981	33	4.48	5	0.90
TASSO [111]	1989	34.8	16.23	10	1.62
TASSO [111]	1989	42.1	1.49	5	0.30
CELLO [98]	1990	35	3.43	7	0.49
DELPHI [121]	1993	91.2	10.49	7	1.50
ALEPH [118]	1994	91.2	6.36	14	0.45
ALEPH [90]	1998	91.2	3.60	16	0.22
ALEPH jet 1 [101]	2000	91.2	16.58	13	1.28
ALEPH jet 2 [101]	2000	91.2	3.53	12	0.29
ALEPH jet 3 [101]	2000	91.2	3.38	9	0.38
OPAL [115]	1997	91.2	6.23	12	0.52
SLD [92]	1999	91.2	4.19	9	0.47
SLD c-tagged [92]	1999	91.2	11.41	5	2.28
SLD b-tagged [92]	1999	91.2	1.17	5	0.23
SIA sum			129.52	166	0.78
ZEUS $Q^2 \in (160, 640) \text{ GeV}^2$ [85]	2012	318	13.30	5	2.66
ZEUS $Q^2 \in (640, 2560) \text{ GeV}^2$ [85]	2012	318	1.13	3	0.38
ZEUS $Q^2 \in (2560, 10240) \text{ GeV}^2$ [85]	2012	318	0.004	1	0.004
SIDIS sum			14.43	9	1.60
CMS $(N_A / N_{K_S^0})$ [125]	2011	900	2.97	4	0.74
ALICE $(N_A^{13 \text{ TeV}} / N_A^7 \text{ TeV})$ [133]	2021	13000 & 7000	1.71	7	0.24
$pp$ sum			4.68	11	0.43
total sum			148.63	186	0.80

$K_S^0$

$\eta$

$\Lambda$

# NPC NNLO FFs analysis: the framework

[Gao, XS, Xing, Zhao, Zhou, 2502.17837]

- Joint determination of FFs to charged pion, kaon at NNLO in QCD
- Parameterization at  $Q_0 = 1.4$  GeV for light flavors, and threshold for heavy quarks.

$$zD_i^h(z, Q_0) = z^{\alpha_i^h} (1-z)^{\beta_i^h} \exp\left(\sum_{n=0}^m a_{i,n}^h z^{n/2}\right)$$

- Charge conjugation symmetry

$$D_{u \rightarrow \pi^+}(z, Q) = D_{\bar{u} \rightarrow \pi^-}(z, Q)$$

- Isospin symmetry

$$D_{u \rightarrow \pi^+}(z, Q_0) = D_{\bar{d} \rightarrow \pi^+}(z, Q_0)$$

flavor	favored	$a_0$	$\alpha$	$\beta$	$a_1$	$a_2$
$u = \bar{d}$	✓	✓	✓	✓	✓	✓
$d = \bar{u}$	✗	✓	✓	✓	✓	✓
$s = \bar{s}$	✗	✓	$= \alpha_d$	✓	✓	✓
$c = \bar{c}$	✗	✓	✓	✓	✓	✓
$b = \bar{b}$	✗	✓	✓	✓	✓	✓
$g$	✗	✓	✓	✓	✓	✗

flavor	favored	$a_0$	$\alpha$	$\beta$	$a_1$	$a_2$
$u$	✓	✓	✓	✓	✓	✓
$\bar{s}$	✓	✓	$= \alpha_u$	$= \beta_u$	✓	✓
$s = \bar{u} = d = \bar{d}$	✗	✓	✓	✓	✓	✗
$c = \bar{c}$	✗	✓	✓	✓	✓	✓
$b = \bar{b}$	✗	✓	✓	✓	✓	✓
$g$	✗	✓	✓	✓	✓	✗