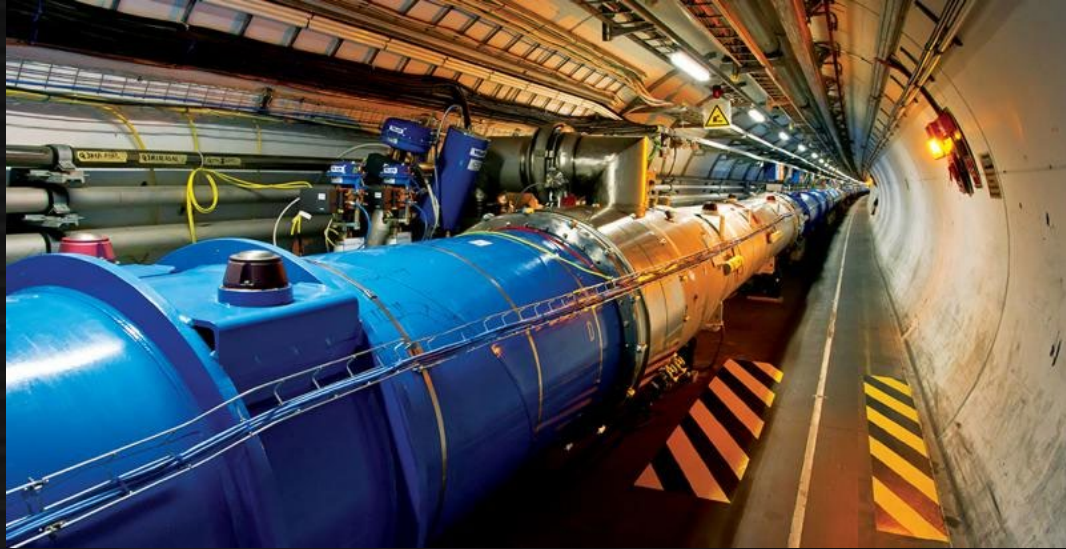


# DNN-based Particle Flow and jet flavor tagging at Higgs factories

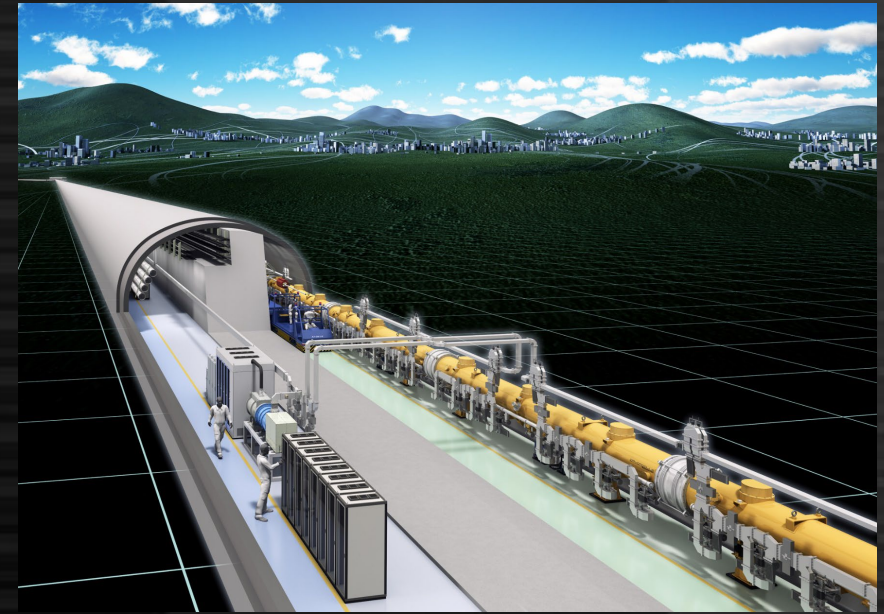
Taikan Suehara / 末原 大幹  
(ICEPP, The University of Tokyo)

R. Tagami, T. Murata, T. Kawahara (ICEPP, UTokyo),  
P. Wahlen, S. Barbu (ILANCE, UTokyo),  
T. Tanabe (MI-6 Ltd.)

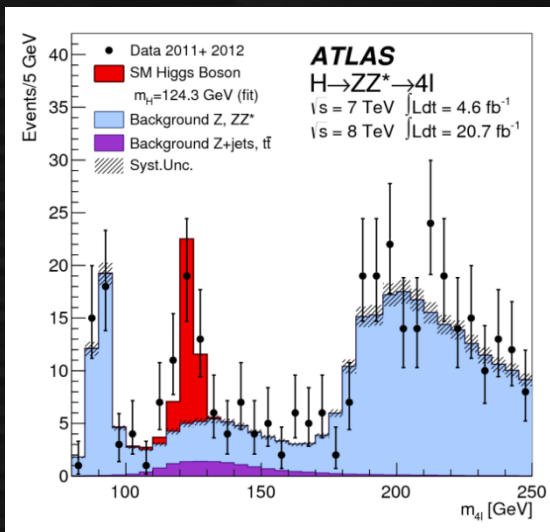
# Higgs factory!



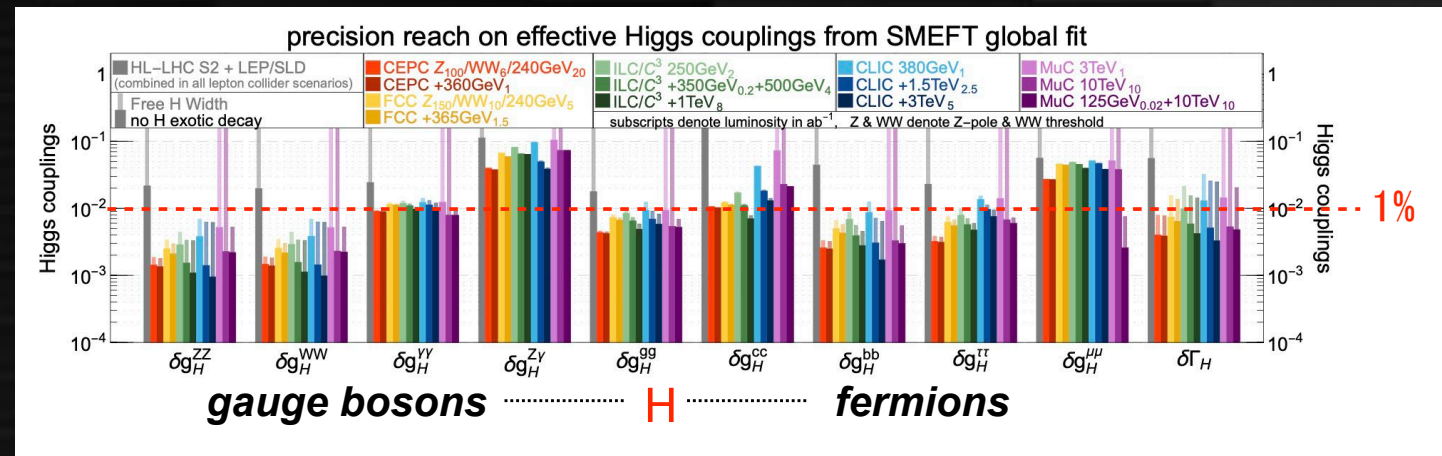
LHC discovered Higgs in 2012



~10 times better Higgs measurements  
at Higgs factories  
to observe effect from new physics!

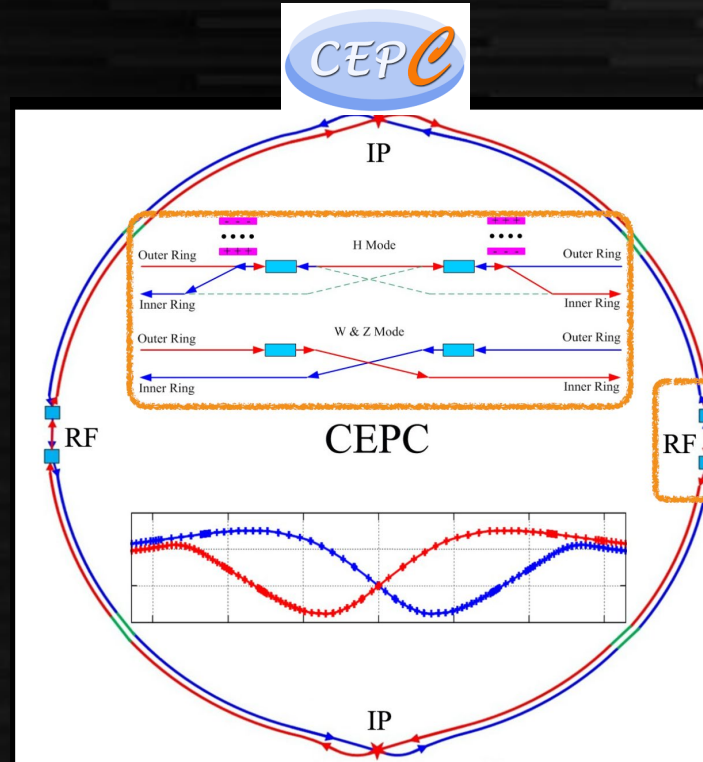
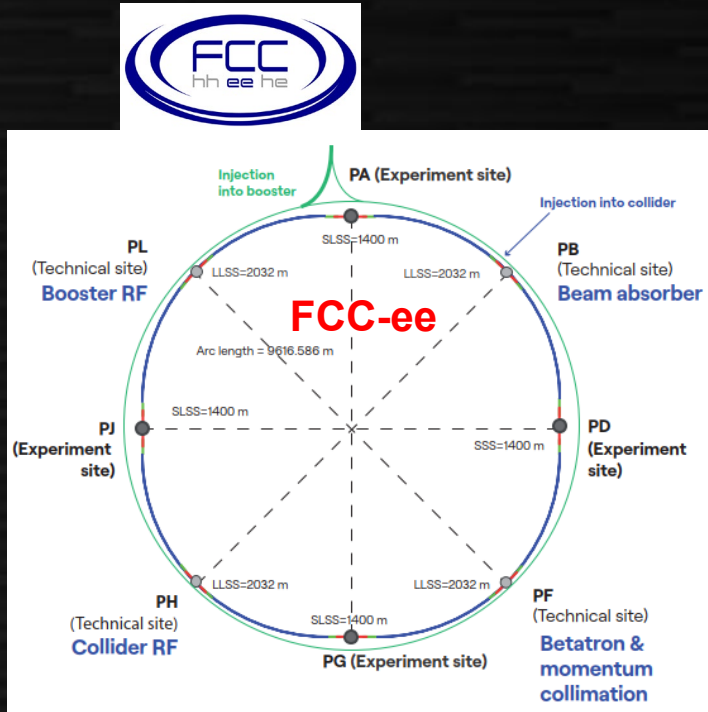


LHC and HL-LHC  
will pin-down  
Higgs properties  
up to ~2041





# Higgs factory proposals in discussion



Linear e<sup>+</sup>e<sup>-</sup> Higgs factory projects  
 ILC: 20 km tunnel, 1IP, global project  
 LCF@CERN: 31 km tunnel, 2IP

Will operate from 250 GeV CME  
 for Higgs factory + upgrade to  
 ~550 GeV for Higgs self coupling (~10%)

Path to multi-TeV e<sup>+</sup>e<sup>-</sup> collider  
 with various technology (NC, Plasma...)

Circular e<sup>+</sup>e<sup>-</sup> collider at CERN  
 with 90 km tunnel  
 91 to 365 GeV CM energy  
 Operation target: 2045-48

Similar design to FCCee  
 with a little conservative  
 parameter

Hope to upgrade to hh collider  
 with 85 TeV (in ~2070?)

# Target Energies of $e^+e^-$ colliders

91~250 GeV

Oblique parameters, W/Z mass,  $b/\tau$  rare decays

250 GeV

Higgs couplings ( $\sim 1\%$ ), Higgs rare decay (light BSM)  
(TeV BSM indirect search)

350 GeV

Top mass  $\rightarrow$  vacuum stability

↓ Only possible with Linear Colliders

500–550 GeV

Higgs self coupling ( $\sim 10\%$ ),  $ttH$  coupling  
 $\rightarrow$  EW baryogenesis

1 TeV

Higgs self coupling ( $< 10\%$ )

250 GeV – a few TeV

TeV BSM direct search

Natural SUSY (250 GeV – 1 TeV)

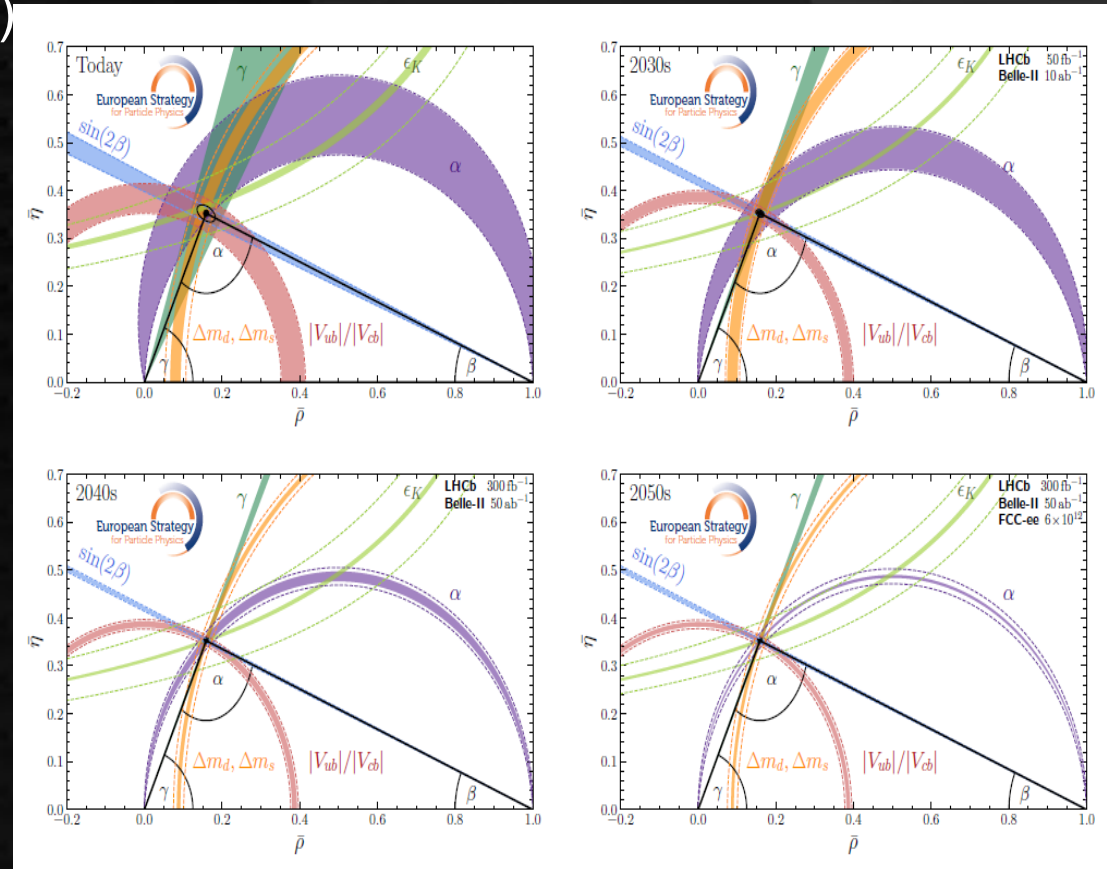
1 TeV Higgsino

3 TeV Wino



# Flavor physics and Higgs factories

- Flavor physics is one of the targets in circular Higgs factories
  - $\sim 10$  times more B-mesons wrt. Belle II (at FCCee)
  - Flavor physics at CEPC: arXiv:2412.19743v1
- Flavor physics at Linear Higgs factories
  - $H \rightarrow bs, \tau\mu$  ( $H \rightarrow bs$  is especially difficult in LHC)
  - W inclusive hadronic BR  $\rightarrow$  CKM unitarity (LEP  $\sim$  b-factory on  $V_{cs}$ )



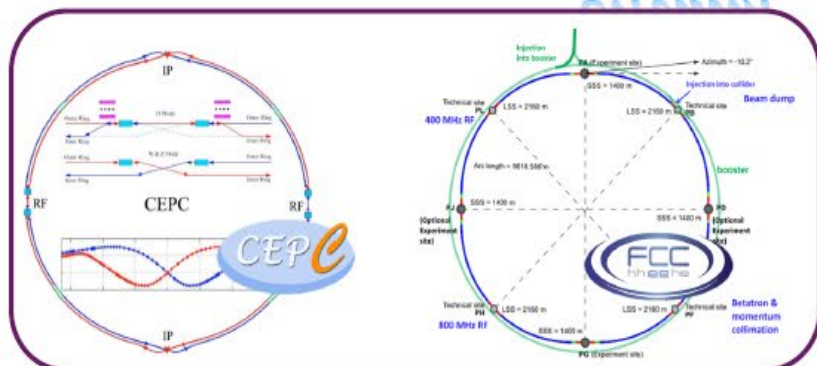
Accuracy of CKM matrix by future experiments

$\Gamma(W^+ \rightarrow \text{hadrons})/\Gamma_{\text{total}}$				
OUR FIT value is obtained by a fit to the lepton branching ratio data as				
LHC: systematics dominant				
VALUE ( $10^{-2}$ )		EVTS	DOCUMENT ID	TECN
<b><math>67.41 \pm 0.27</math></b>	OUR FIT			
$67.32 \pm 0.02 \pm 0.23$			TUMASYAN 2022F	CMS
$67.41 \pm 0.37 \pm 0.23$		16438	ABBIENDI 2007A	OPAL
$67.45 \pm 0.41 \pm 0.24$		13600	ABDALLAH 2004G	DLPH
$67.50 \pm 0.42 \pm 0.30$		11246	ACHARD 2004J	L3
$67.13 \pm 0.37 \pm 0.15$		16116	SCHAELE 2004A	ALEP

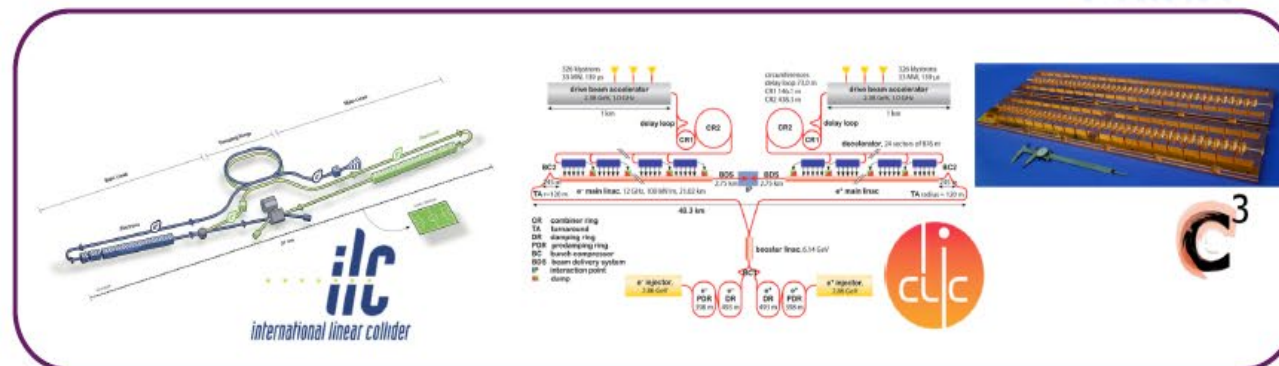
# Detectors for Higgs factories

## $e^+e^-$ colliders

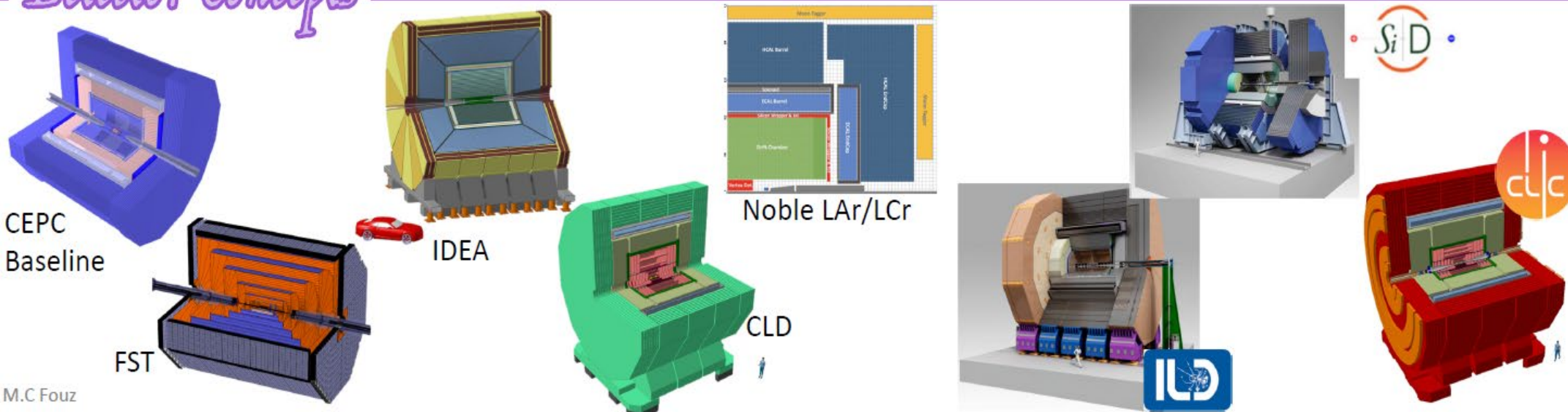
### Circular



### Linear



## Detector Concepts



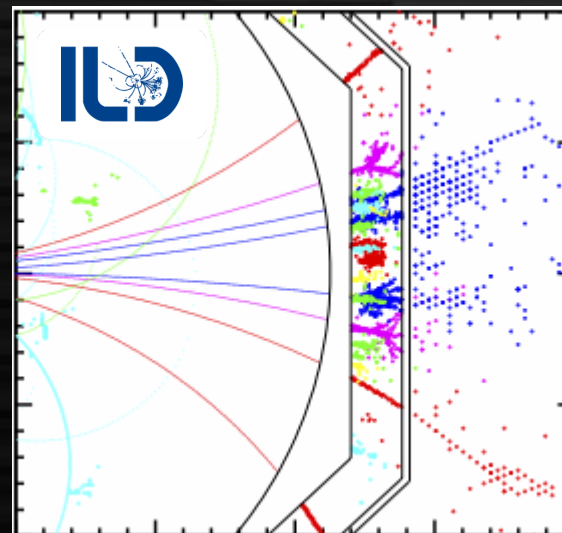


# Particle flow concept

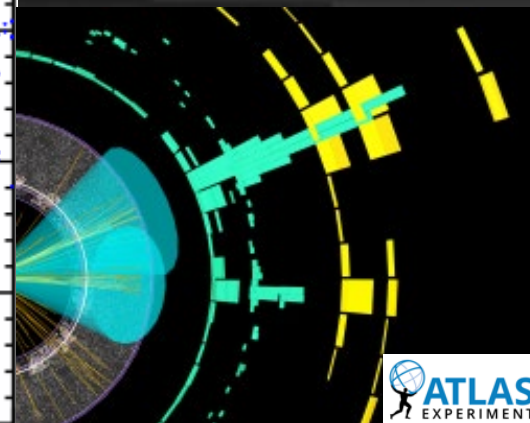
Separating particles inside jets to do track-cluster matching

Requiring

- Highly-granular calorimeters
- Intelligent pattern recognition

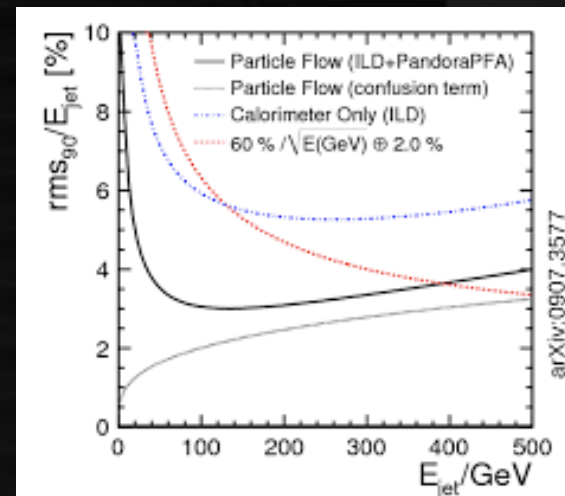


Different granularity on ILD - ATLAS



LC detectors (e.g. ILD) are fully based on Particle-flow design

- 3D pixelated calo (~100M readout ch)
- Low material tracker
- 3.5 Tesla solenoid outside HCAL



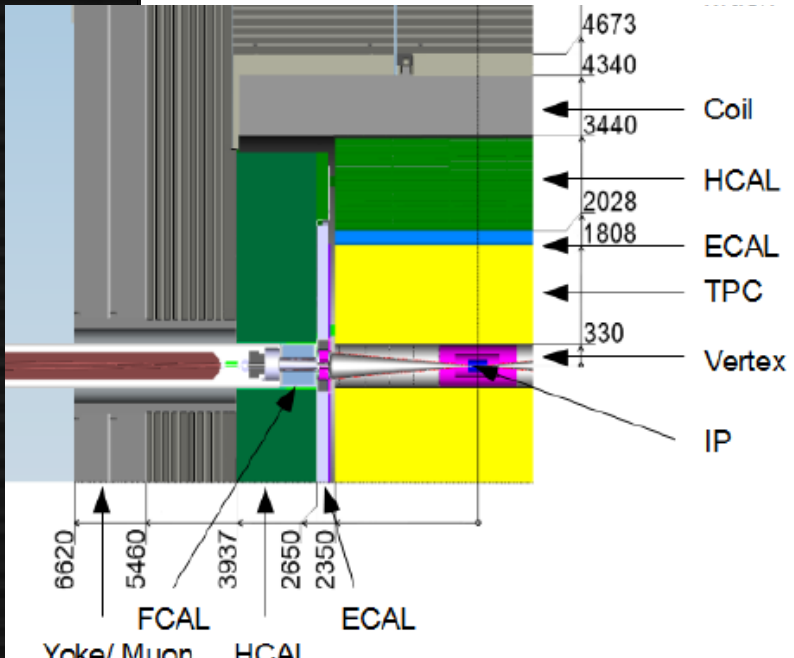
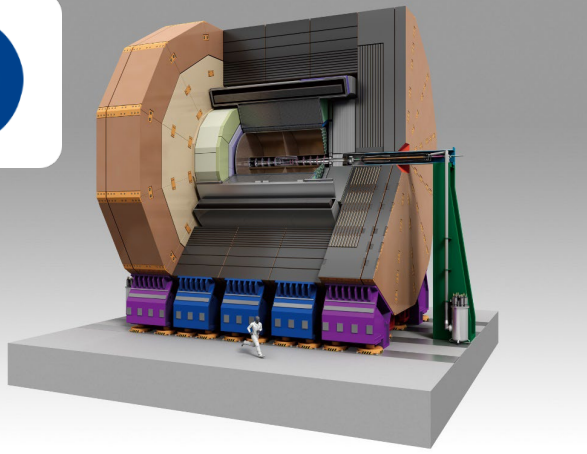
Possible to obtain jet energy resolution of

$$\frac{\delta E_{\text{jet}}}{E_{\text{jet}}} \cong \frac{30\%}{\sqrt{E_{\text{jet}}[\text{GeV}]}}$$

~2 times better than calo-only



# ILD: A detector for Higgs factories

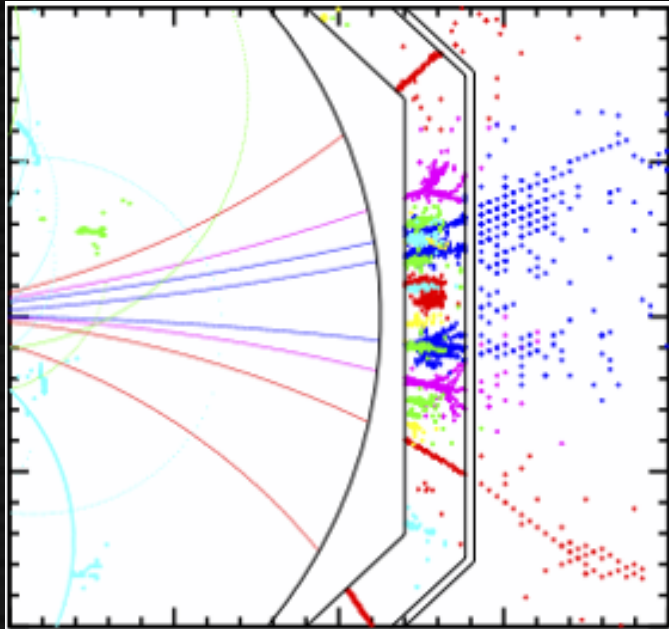


- Fully designed for Particle Flow
  - Highly-granular calorimeters
    - $5 \times 5 \text{ mm}^2 \times 30$  layer ECAL,  $3 \times 3 \text{ cm}^2 \times 48$  layer HCAL
  - Particle inside jets separated 1-by-1
    - Giving 2x better JER ( $\sim 30\%/\sqrt{E [\text{GeV}]}$ )
  - Optional ToF at calorimeter ( $\sim 100 \text{ psec/hit}$ )
- Tracker: silicon + TPC combined
  - Vertex: a few  $\mu\text{m}$  resolution at  $r \sim 15 \text{ mm}$ 
    - Significant impact on c-tagging (wrt. LHC)
  - TPC: good for  $dE/dx$  (discussed later)
    - Important for strange tagging
- Magnet (3.5T) outside HCAL
  - Minimal material before calorimeters

# Today's topics

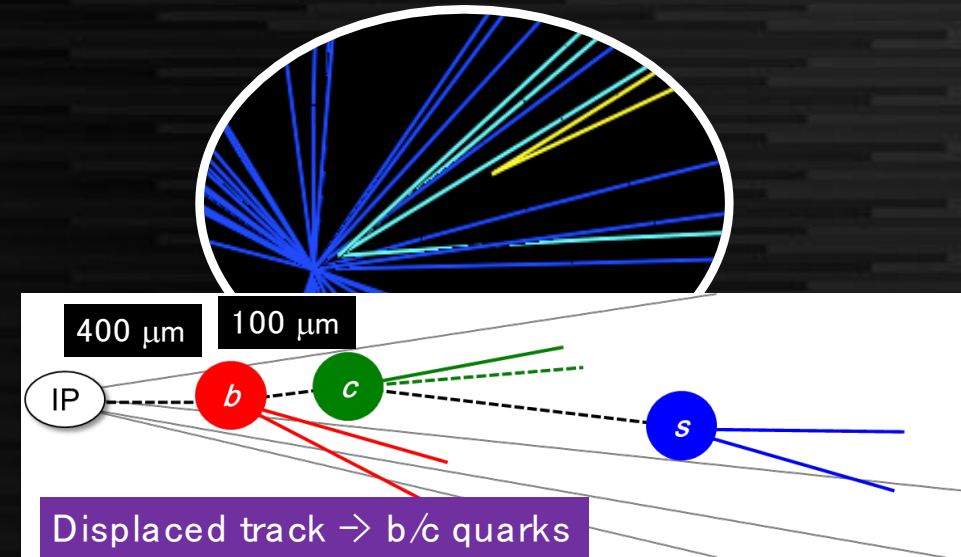
All works done with **ILD full simulation** (plus FCCee Delphes for comparison)

## Particle flow with DNN



Key algorithm for particle flow detectors  
Essential for detector optimization

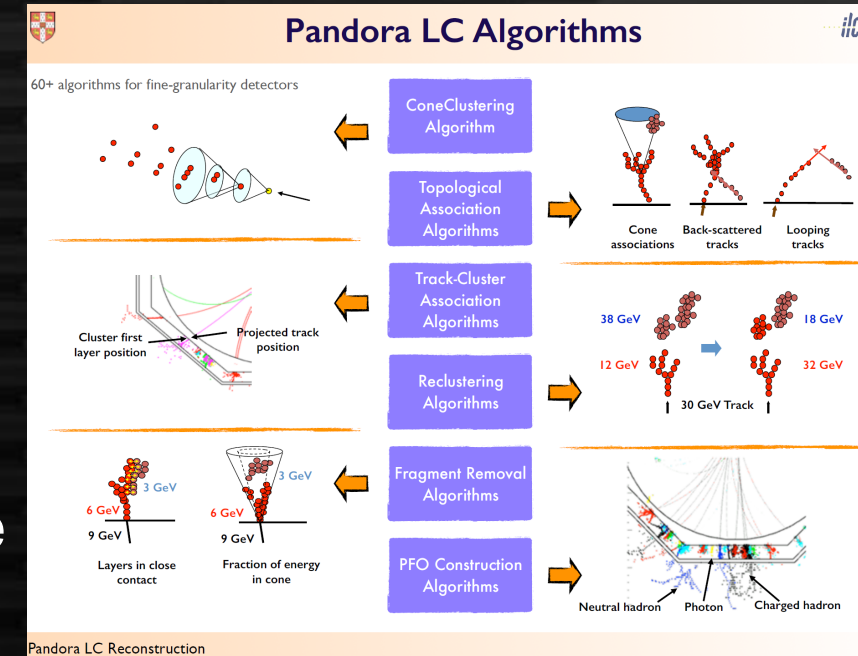
## Flavor tagging with Particle Transformer (ParT)



Big impact on Higgs studies  
including **self coupling**  
**Strange tagging** is also a scope

# Particle flow in Higgs factories

- PandoraPFA is used since 2008 as standard for >15 years
  - Good-old technology but fully tuned only minor modifications since 2008
  - Exceeding PandoraPFA is a long-lasting target for development of PFA
    - Several algorithms gave challenge but no algorithm significantly exceeds the performance and thus not replaced
- Our primary target is to exceed PandoraPFA
  - In addition, DNN-based algorithm has many benefits
    - eg. Easier adaptation to geometries and additional features



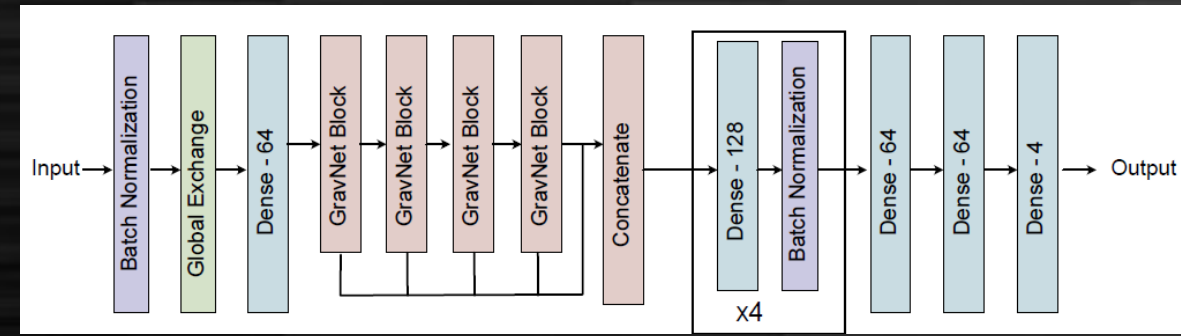
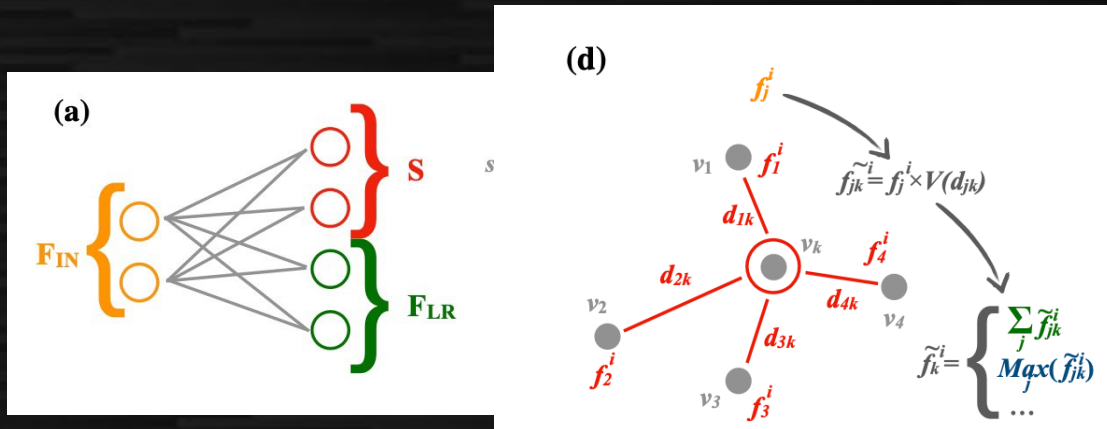


# GNN-based PFA

- Originally developed for CMS HGCAL
- Input:** position/energy/timing of **each hit**
- Output:** virtual coordinate and  $\beta$  for **each hit**

**GravNet** arXiv:1902.07987

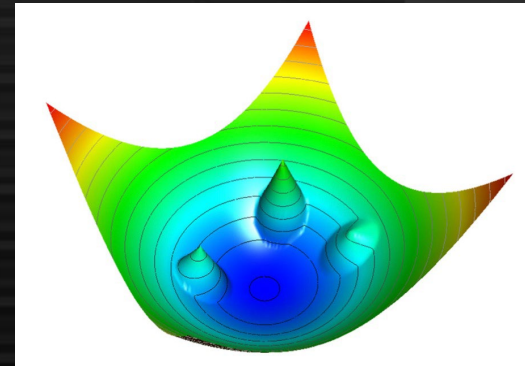
- The virtual coordinate (S) is derived from input variables with simple MLP
- Convolution using “**distance**” at S (bigger convolution with nearer hits)
- Concatenate the output with MLP



## Object Condensation (loss function)

$$L = L_p + s_c(L_\beta + L_V)$$

arXiv:2002.03605



- Condensation point:** The hit with largest  $\beta$  at each (MC) cluster
- $L_V$ : **Attractive potential** to the condensation point of the **same cluster** and **repulsive potential** to the condensation point of **different clusters**
- $L_\beta$ : Pulling up  $\beta$  of the condensation point
- $L_p$ : Regression to output features

# What we implemented: track-cluster matching

- PFA is essentially a problem “to subtract hits from tracks”
- HGICAL algorithm does not utilize track information
  - Only calorimeter clustering exists
- Putting tracks as “virtual hits”
  - Located at entry point of calorimeter
  - Having “track” flag (1=track, 0=hit)
  - Energy deposit = 0
- Modification on object condensation to forcibly treat tracks as condensation points

$$L = L_p + s_c(L_\beta + L_v)$$

$L_v$ : attractive/repulsive potential to condensation points / tracks

$L_\beta$ : Pulling up  $\beta$  of the condensation points / tracks

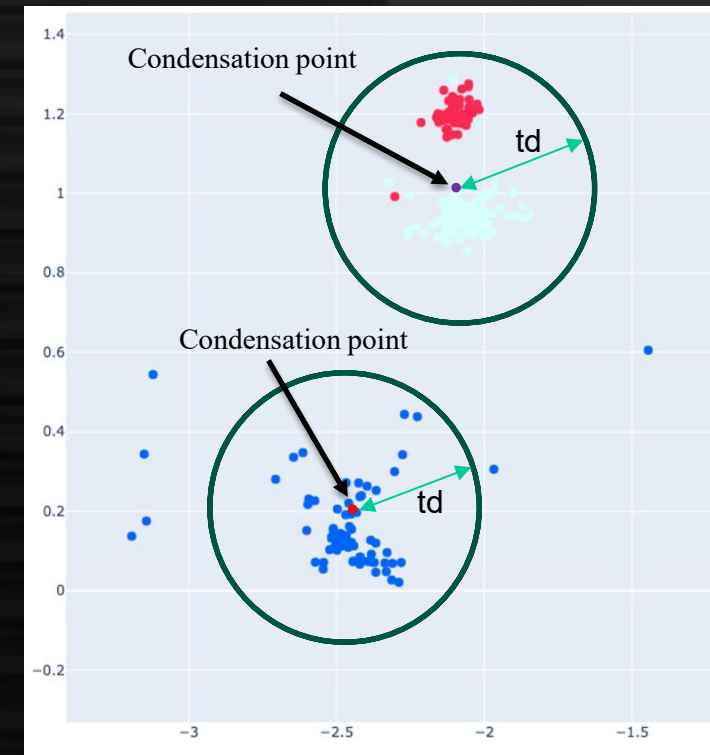
Tracks are prioritized over other condensation points

Current number of parameters: ~420K

# Clustering algorithm

- Output of the network is position and  $\beta$  of each hit  $\rightarrow$  need clustering
- List all condensation points with  $\beta > \text{tbeta}$
- Associate hits to condensation points if they are within a distance ( $\text{td}$ ) from the condensation point at the output coordinate
  - If hits can be associated to multiple condensation points, the nearest one is taken
- Take the highest  $\beta$  point from the remaining hits, and cluster neighbor hits as similar to the previous step
- $\text{td}/\text{tbeta}$  are tunable parameters

Model output  
virtual y



Model output  
virtual x



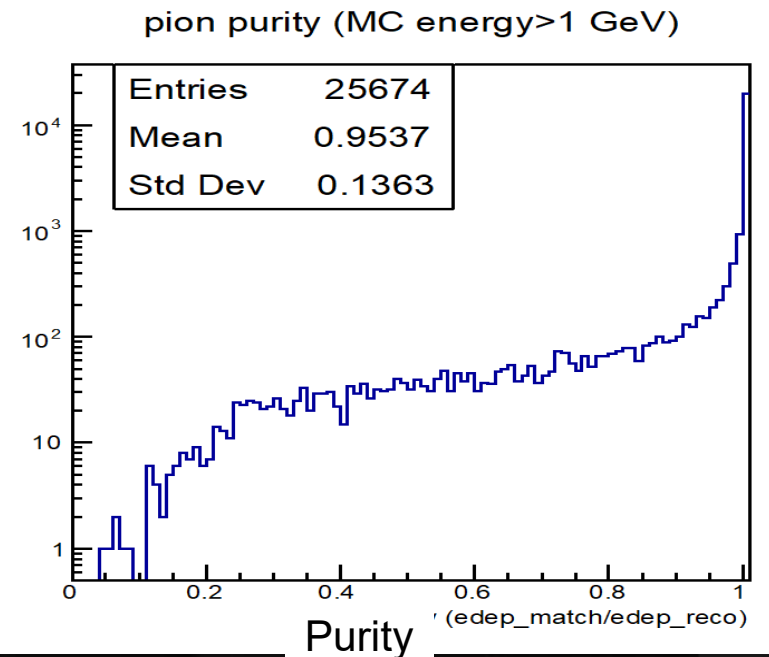
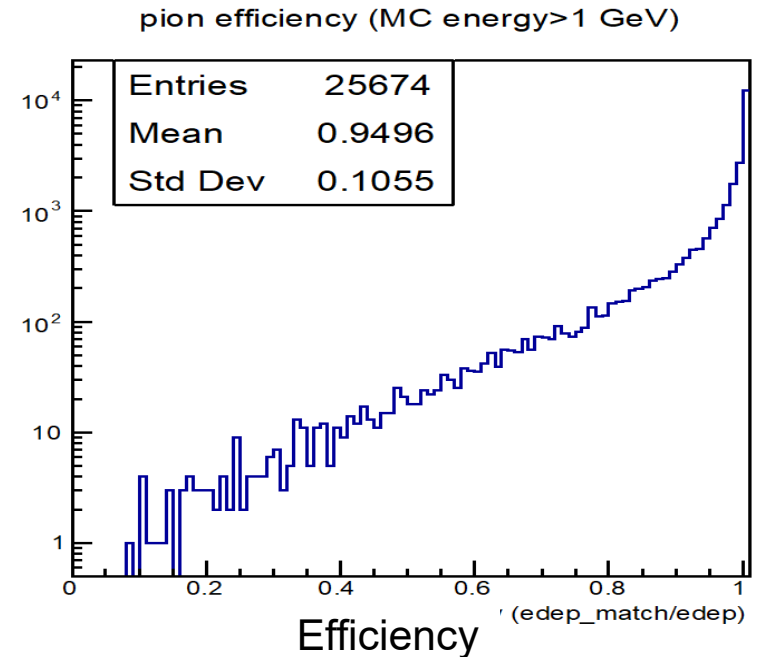
# Our samples for performance evaluation

- ILD full simulation with SiW-ECAL and AHCAL
  - ECAL:  $5 \times 5 \text{ mm}^2$ , 30 layers, HCAL:  $30 \times 30 \text{ mm}^2$ , 48 layers
  - Taus overlayed with random direction
    - 100k events,  $10 \text{ GeV} \times 10 \text{ taus / event} \rightarrow 1 \text{ million taus}$
  - qq (q=u, d, s) sample at 91 GeV
    - ~75k events
    - Official sample for PFA calibration (other energies available)
  - ZH  $\rightarrow \nu\nu qq$  (q=u/d) sample at 250 GeV
    - For energy regression

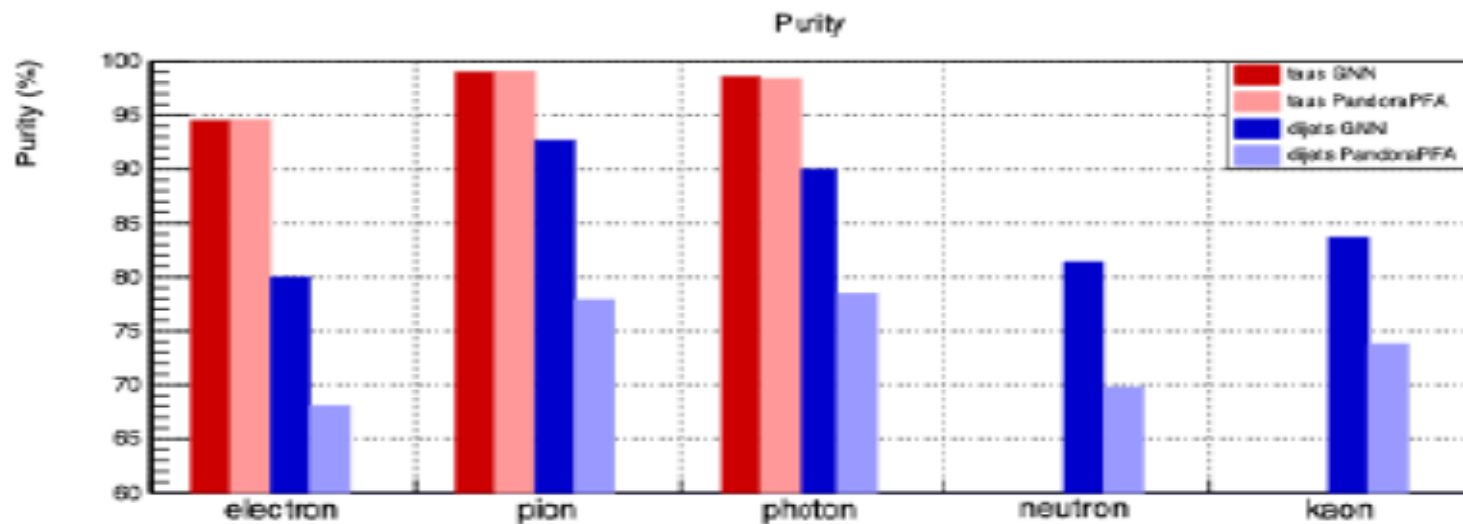
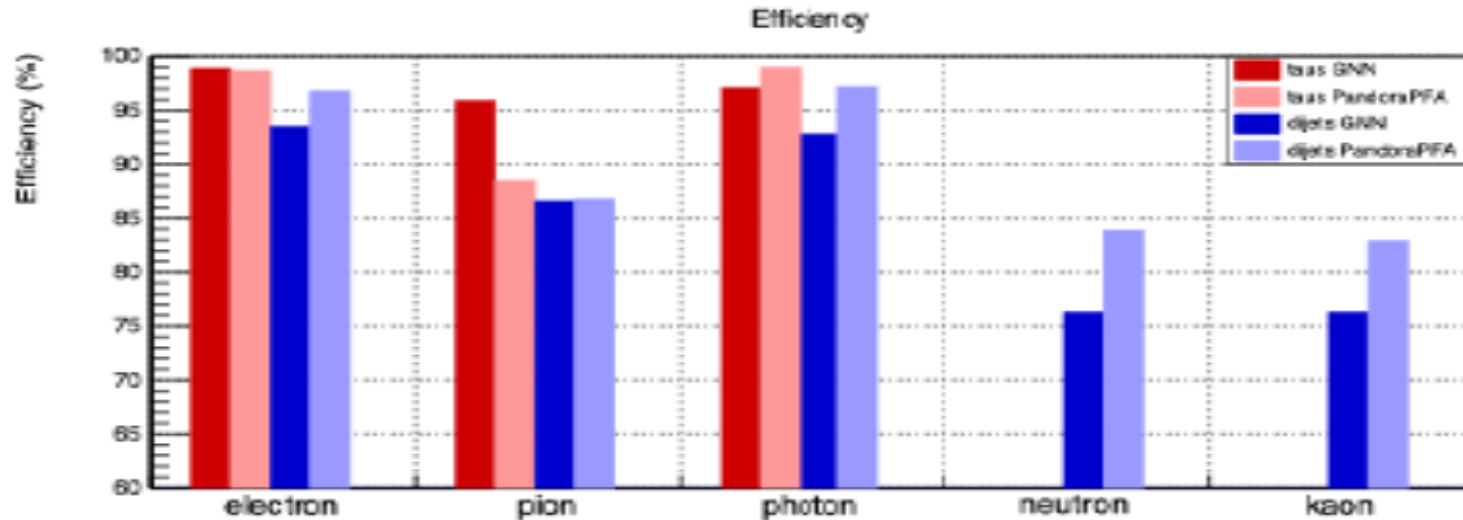
Taus: good mixture of hadrons, leptons and photons with some isolation  
Good for training

# Quantitative evaluation

- Make 1-by-1 connection of MC and reconstructed cluster
  - Reconstructed cluster with highest fraction of hits from the MC is taken
  - Multiple reconstructed clusters may connect to one MC cluster → encourage splitting too much
- Quantitative comparison with PandoraPFA
  - Compared “efficiency” and “purity” of particle flow
    - **Efficiency** : (reconstructed cluster energy that matches the MC cluster) / (MC cluster energy)
    - **Purity** : (reconstructed cluster energy that matches the MC cluster) / (reconstructed cluster energy )



# Efficiency and purity: comparison with Pandora



Charged

Neutral

Red: 10 taus

Blue: di-jets

Thick color: GNN-based PFA

Thin color: PandoraPFA

Pion: GNN > Pandora

Electron, photon, neutron, kaon:

Efficiency: GNN < Pandora

Purity: GNN > Pandora

Overall:

competitive performance achieved

Pion reconstruction is especially

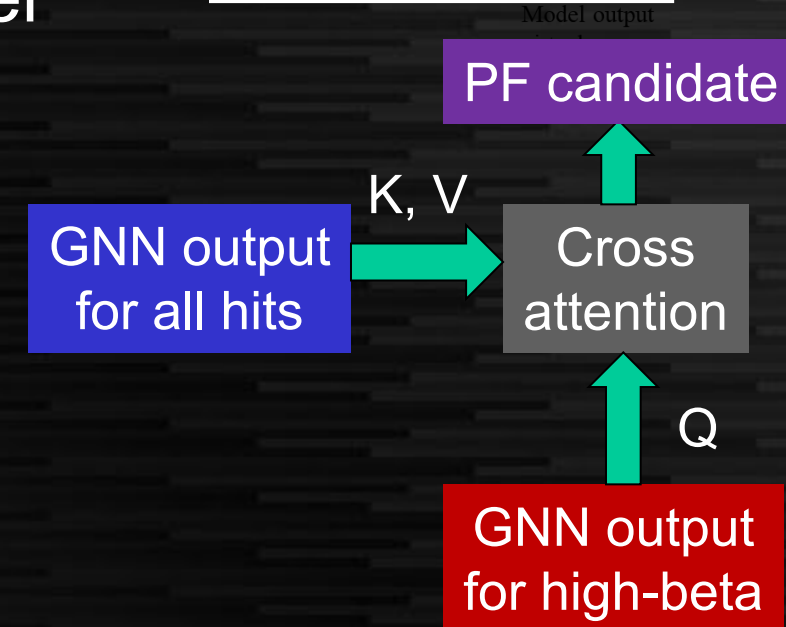
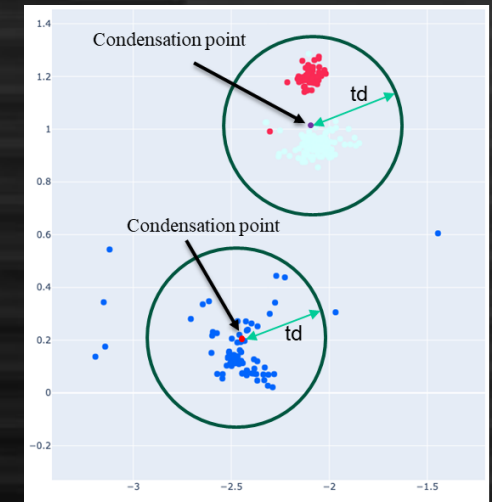
important in jet reconstruction

→ good expectation

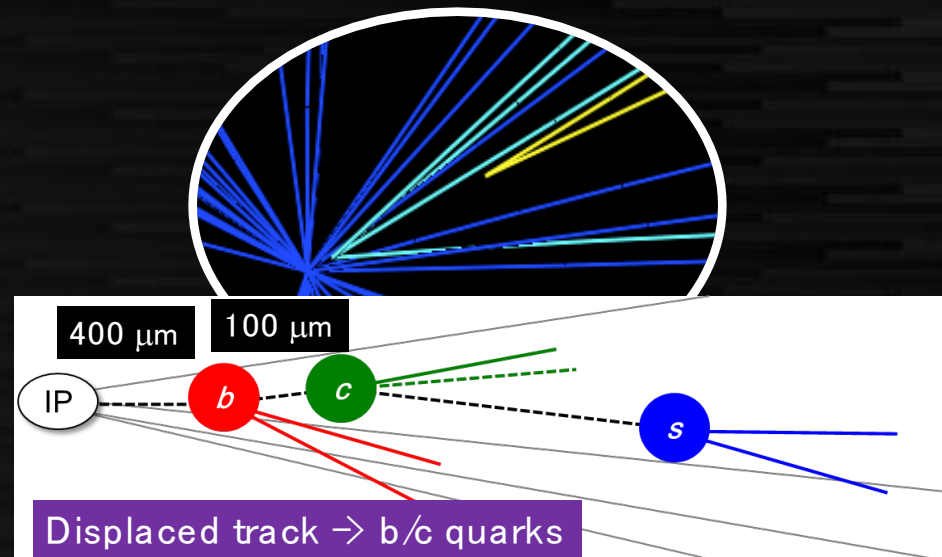


# Ongoing work: DNN-based clustering

- Current issue: clustering not intelligent
  - Simply gathering hits around cond-point
  - Not based on ML – issue on energy regression
- Implementing ML-based clustering
  - Use high-beta points as “query” of transformer
    - particle candidate (pfcand)
  - Cross attention of hits to pfcand
  - Derive particle properties (or tagged as fake)
    - Attention weights used for hit-particle mapping
  - Eventually unified to single network
- Under investigation



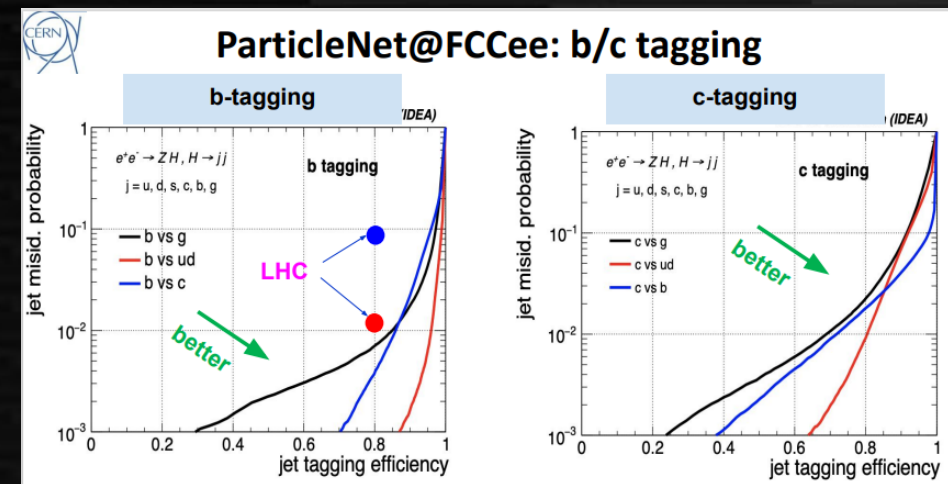
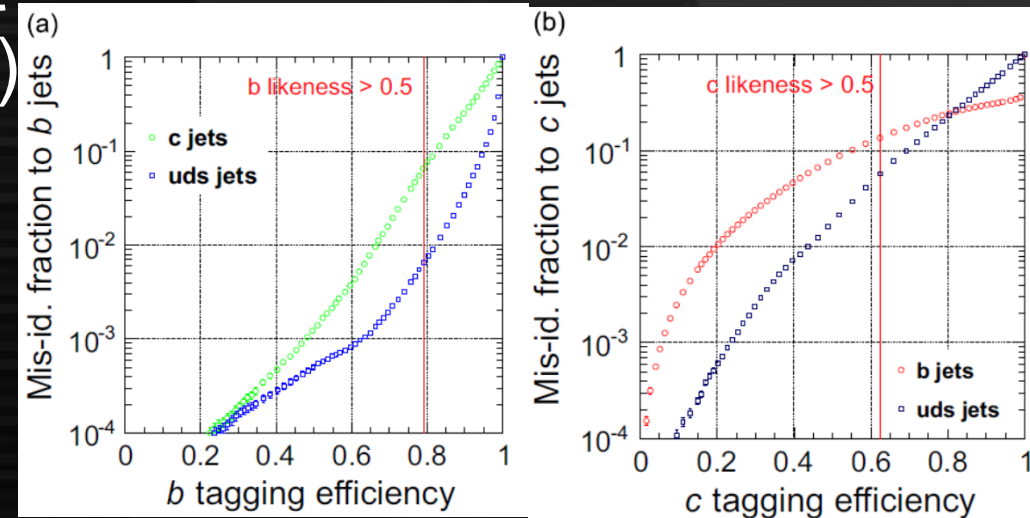
# Flavor tagging with Particle Transformer (ParT)



# Flavor tagging for Higgs factories

- Jet flavor tagging is essentially important for Higgs studies (including self coupling)
- **LCFIPlus** (published 2013) was long used for flavor tagging
  - All physics performance in ILD/SiD/CLIC are based on LCFIPlus
- FCCee reported  $>10\times$  better rejection using ParticleNet (GNN) in 2022
  - **Delphes** is used for simulation
- We studied DNN-based flavor tag with **ILD full simulation** to confirm it
  - Using latest algorithm: Particle Transformer (ParT)

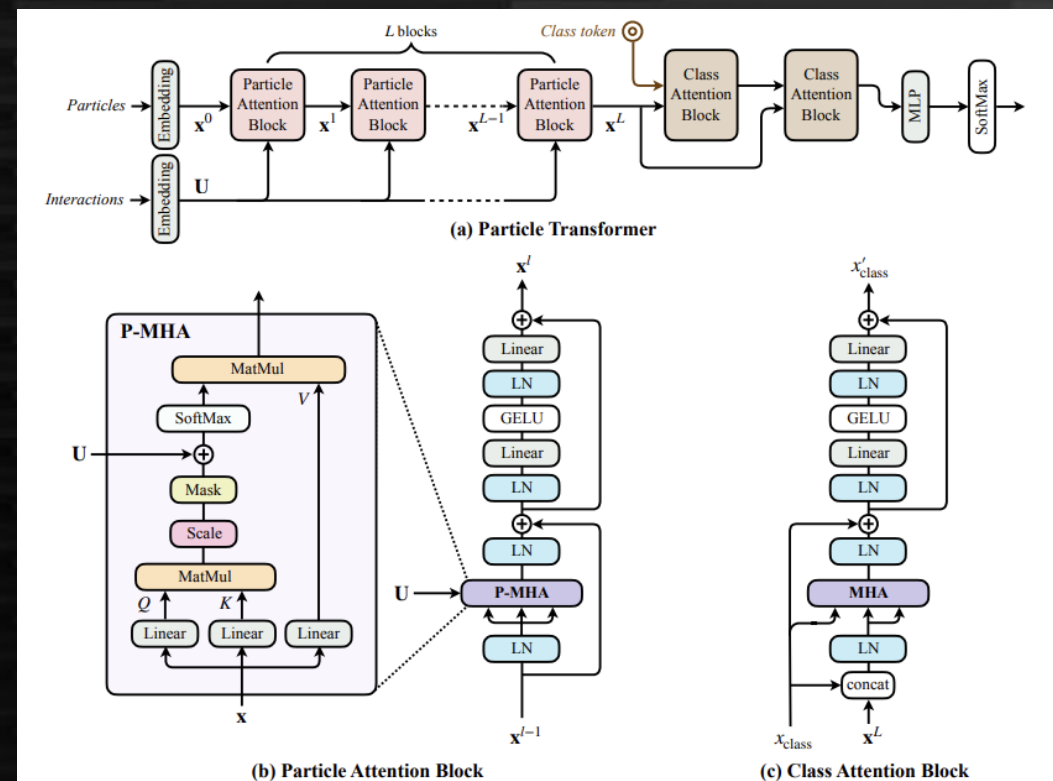
LCFIPlus performance plots





# Particle Transformer (ParT)

- Transformer: self-attention-based algorithm intensively used for NLP (e.g. chatGPT)
  - Weak biasing**: possible to train big samples efficiently (with more learnable weights) but demanding big training sample for high performance
- ParT is a new Transformer-based architecture for Jet tagging, published in 2022.
  - Pair-wise variable (angle, mass etc.) is added to plain Transformer encoder to boost attention
- Surpasses the performance of ParticleNet
  - ParticleNet only looks “neighbor” particles while Transformer uses attention to learn where to look



	All classes	
	Accuracy	AUC
PFN	0.772	0.9714
P-CNN	0.809	0.9789
ParticleNet	0.844	0.9849
<b>ParT</b>	<b>0.861</b>	<b>0.9877</b>

Performance  
with JetClass  
event classification  
(100M sample)

# Software implementation

- Training done in python/weaver framework
  - New LCFIPlus algorithm (MLMakeNtuple) to create input ROOT files
  - ROOT files used for training ParT
    - nnqq 250 GeV, ~1M jets / each flavor
    - MC/jet matching inside LCFIPlus (only for q/qbar training)
      - Color-singlet tagging by RecoMCTruthLink, q/g identified based on angle
        - » If multiple jets assigned to the same q/g, jet with highest energy taken
  - Training with GPU (~a half day for 20 epochs with Tesla V100)
- Weights (checkpoint) converted to onnx
  - Using onnx 1.15.0, onnxruntime 1.17.1 (to be compatible with key4hep)
- Inference with CPU in LCFIPlus framework
  - New processor MLInferenceWeaver with onnx files (uploaded in LCFIPlusConfig)
- Currently on private repository (pulling to official repository being processed)
  - LCFIPlus github with ParT, <https://github.com/suehara/LCFIPlus/tree/onnx>
  - LCFIPlusConfig with weight/steering files, <https://github.com/suehara/LCFIPlusConfig>

# Data Samples and Input Variables

## Data samples

- ILD full simulation
  - $e^+ e^- \rightarrow \nu \nu H \rightarrow \nu \nu jj$  (at 250 GeV)  
1M jets for each flavor
- ILD fast simulation (SGV)
  - Real tracking + smearing on calo
  - $e^+ e^- \rightarrow \nu \nu H \rightarrow \nu \nu jj$  (at 250 GeV)  
20M jets each flavor

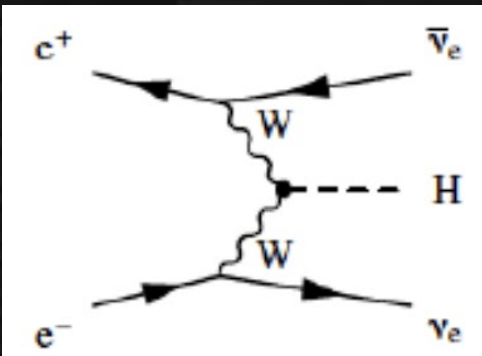
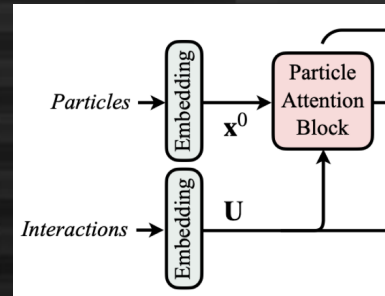
$q = b, c, u, d, s$   
 $j = b, c, u, d, s, g$

## Input variables

Particles: for every track/**neutral**

- Impact parameters (6)
  - 2D/3D, from primary vertex
- Jet distance (2)
  - Displacement from jet axis
- Covariant matrix (15)
- Kinematics (4)
  - Energy fraction, angles, charge
- Particle ID (6)
  - Probability (or binary selection) of  $e, \mu, \text{hadron}, \text{gamma}, \text{neutral hadron}$

Input of ParT



80% for training  
5% for validation  
15% for test

Interactions: for every particle pair

- $\delta R^2, k_t, Z, \text{mass}$



# Improvements wrt. LCFIPlus

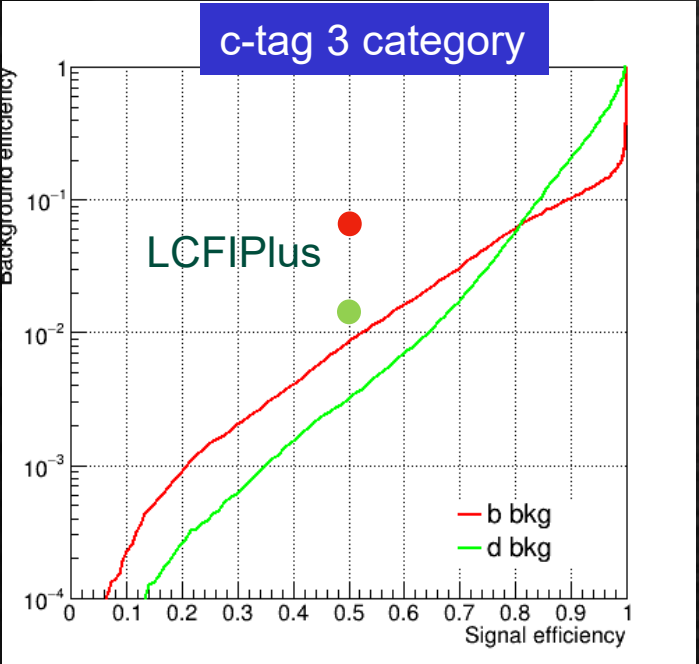
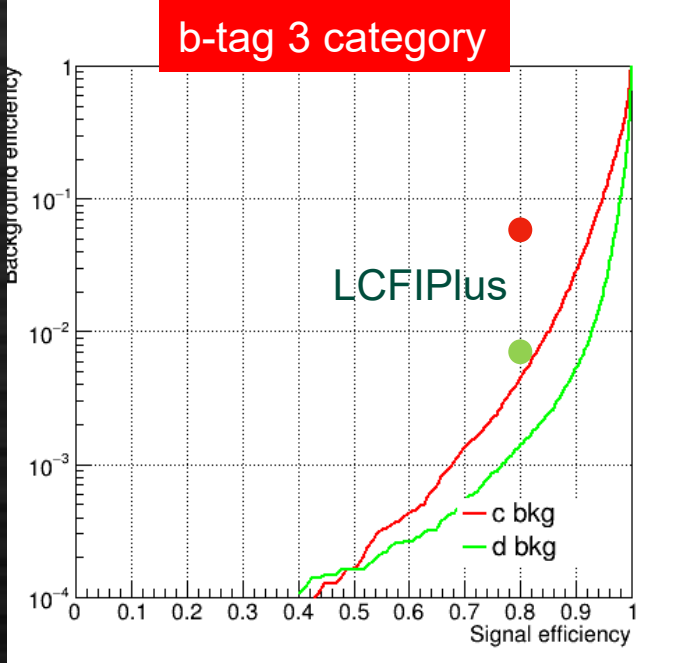
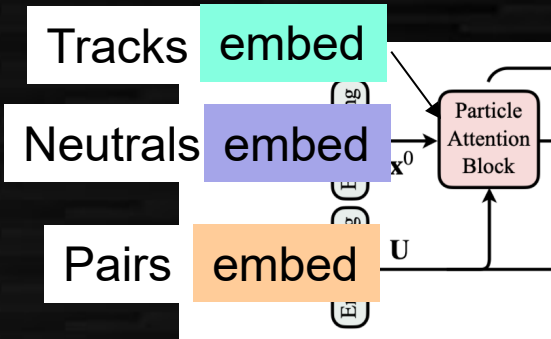
- Factor (3-9) improvement at ParT from LCFIPlus without any tuning
- Another factor (max 3) improvement by tuning
  - Optimizing input variables
  - Separate embedding for tracks/neutrals

	b-tag 80% eff.		c-tag 50% eff.	
background	c jets	uds jets	b jets	uds jets
+LCFIPlus (BDT)	6.3%	0.79%	7.4%	1.2%
*ParT (initial)	1.3%	0.25%	1.0%	0.43%
**ParT (improved)	0.48%	0.14%	0.86%	0.34%

+LCFIPlus (BDT) 250 GeV nnqq

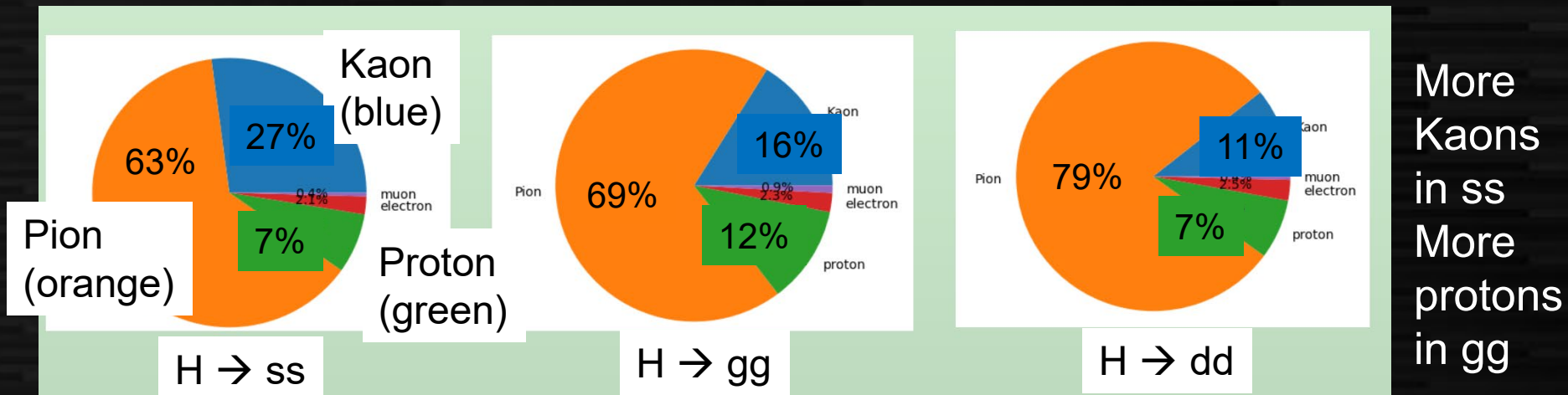
\*ParT (initial) 91 GeV qq, default settings

\*\*ParT (improved) 250 GeV nnqq, b/c/d separation



# Strange tagging

- Recently focused as “new probe”
  - AFB studies in  $e^+e^- \rightarrow qq$  (LEP anomaly)
  - $H \rightarrow ss$  (Br: 0.02%): nearly accessible at high-luminosity Higgs factories
- High-momentum kaon in jet is a clue to strange jets
  - Contamination from  $g \rightarrow ss$  give relatively low momentum
- Strange tagging with hadron ID and ParT has been implemented

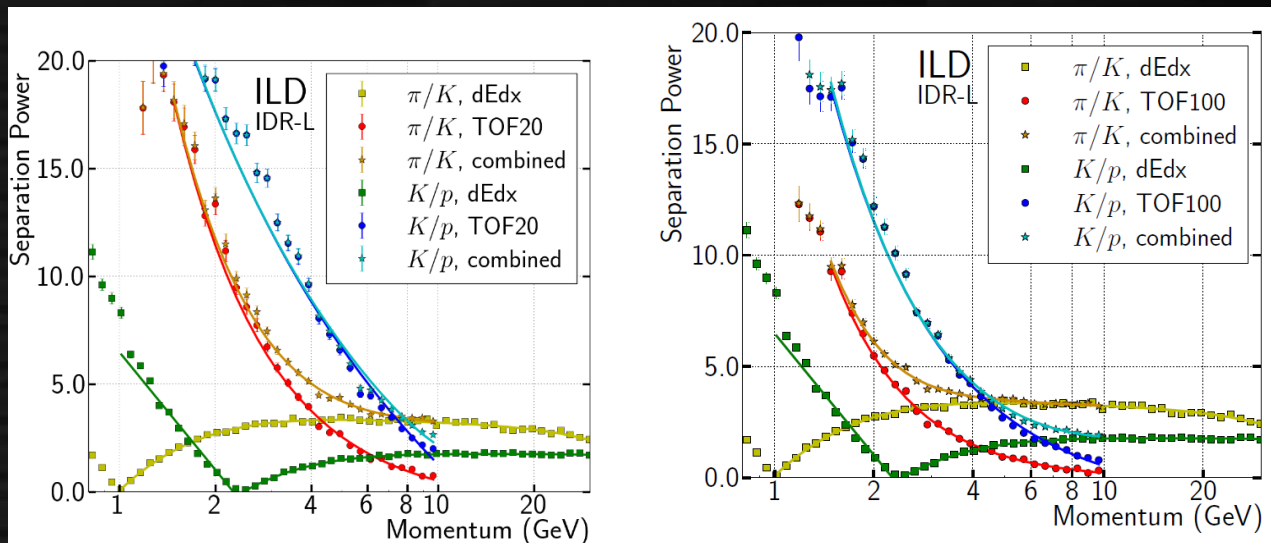


Fractions of tracks having > 5 GeV

More  
Kaons  
in ss  
More  
protons  
in gg

# Hadron ID for strange tagging

- Hadron ID at Higgs factories are possible at
  - dE/dx (or dN/dx) at gas tracker (TPC / drift chamber)
    - Not possible in with silicon
    - dN/dx gives better (but high-granular readout necessary)
- Time-of-flight at calorimeter (or outer silicon)
  - Currently 100 psec assumed (average over 10 hits)
  - More optimization necessary
- Comprehensive PID: BDT-based PID algorithm



Fraction of true particles

True particle

CPID prediction

	K	$\pi$	p	e	$\mu$
K	0.65	0.04	0.20	0.04	0.10
$\pi$	0.08	0.90	0.04	0.32	0.28
p	0.26	0.04	0.76	0.09	0.08
e	0.00	0.00	0.00	0.53	0.01
$\mu$	0.01	0.02	0.00	0.01	0.53

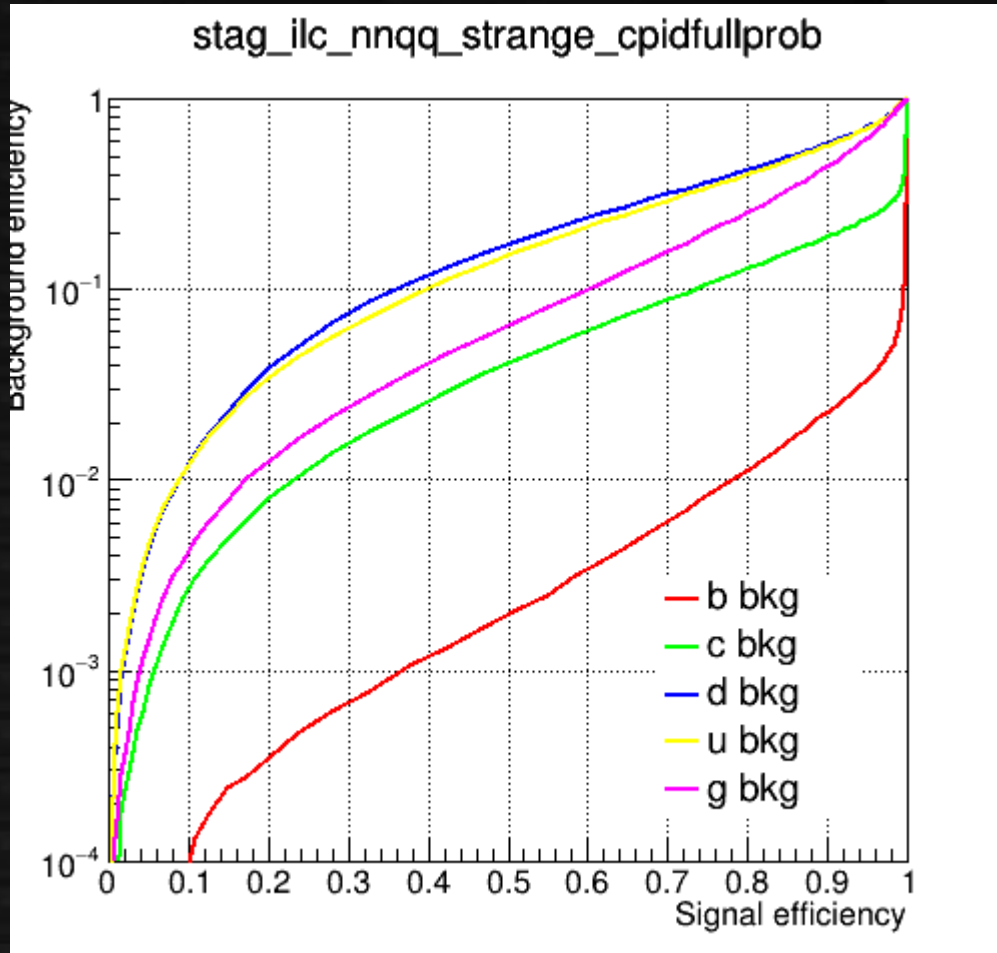
↑  $3 < p < 5$  GeV

K	0.74	0.07	0.20	0.13	0.16
$\pi$	0.07	0.89	0.03	0.40	0.37
p	0.18	0.03	0.76	0.09	0.06
e	0.00	0.00	0.00	0.38	0.01
$\mu$	0.01	0.01	0.00	0.01	0.40

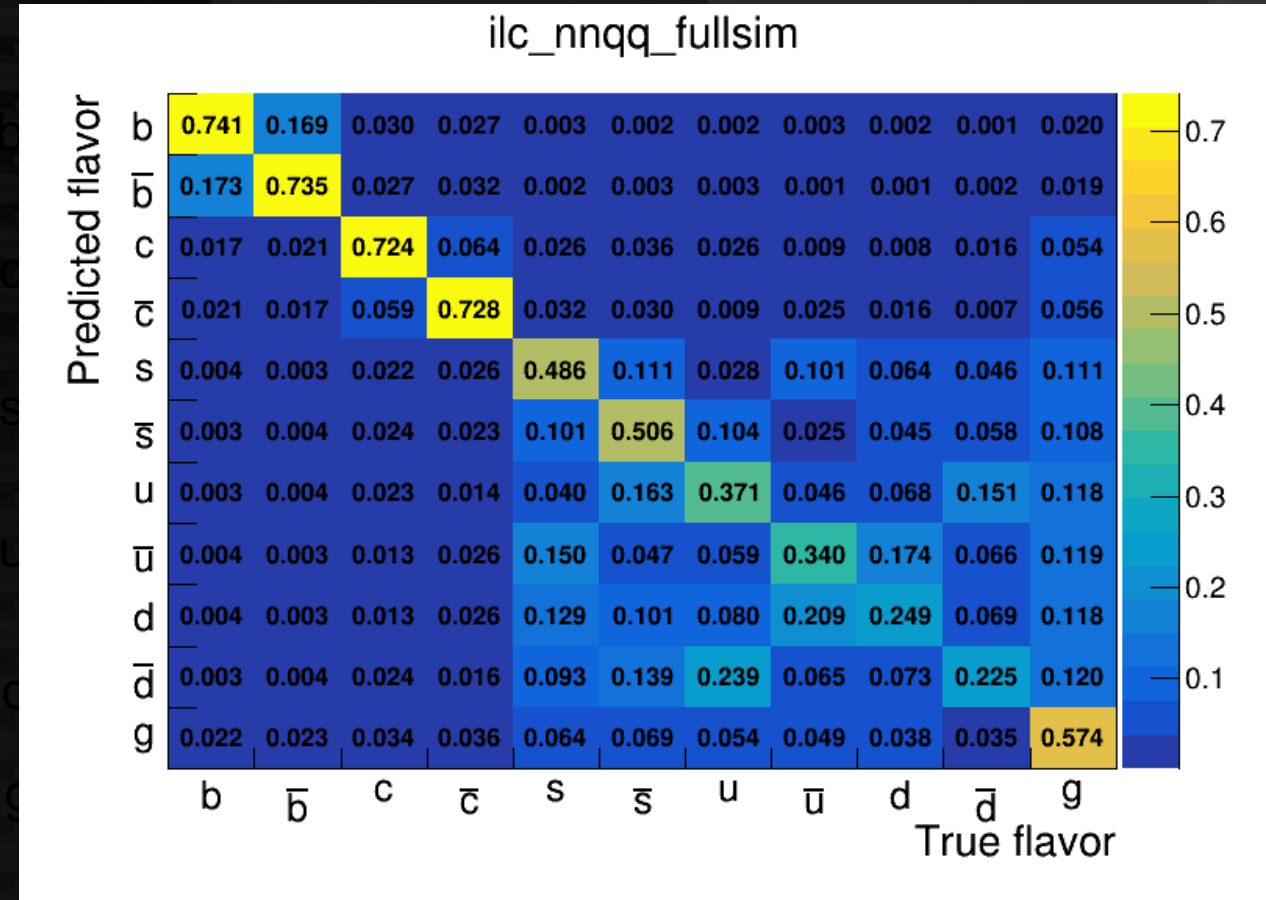
↑  $p > 5$  GeV



# 11-category q/qbar tag (nnqq sample)



CPID ( $K/\pi/p$  probability)  
with 100 ps TOF (x 10 hits) + dE/dx

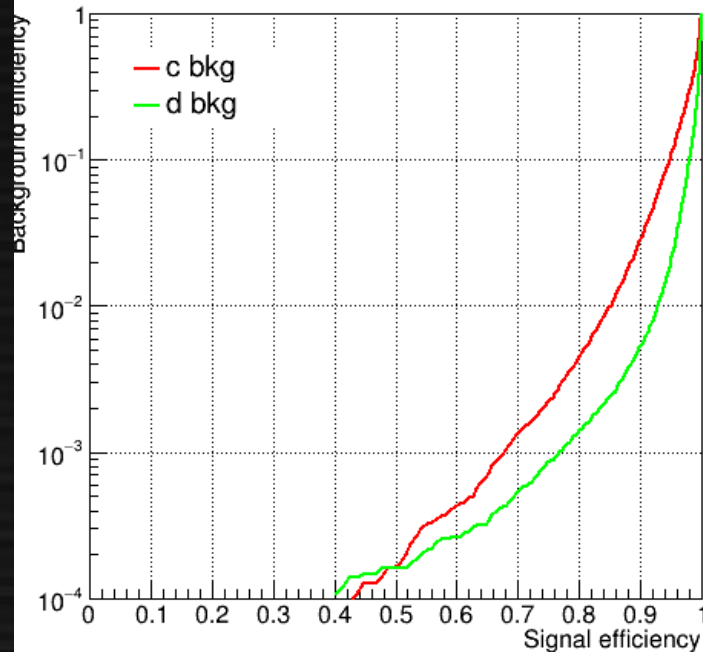


Vertical: truth jet PDG, horizontal: predicted jet PDG  
PDG with highest score taken

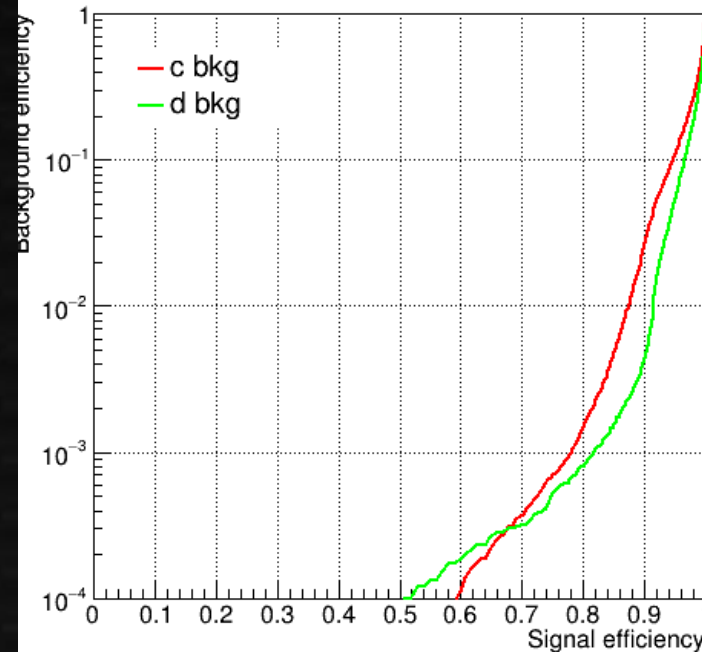
# Comparison of fast/full sim and scaling law

B-tag performance, 3-category

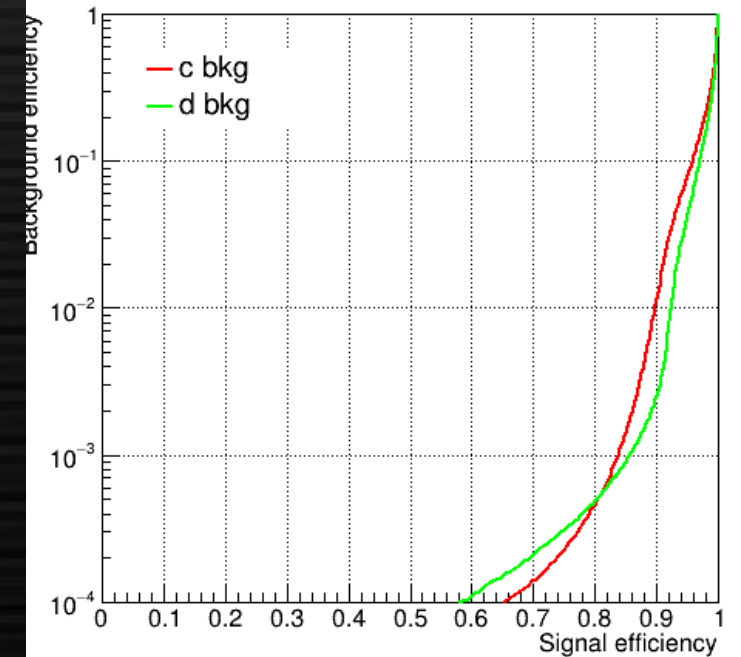
Full sim 1M sample



Fast sim (SGV) 1M sample



SGV 10M sample



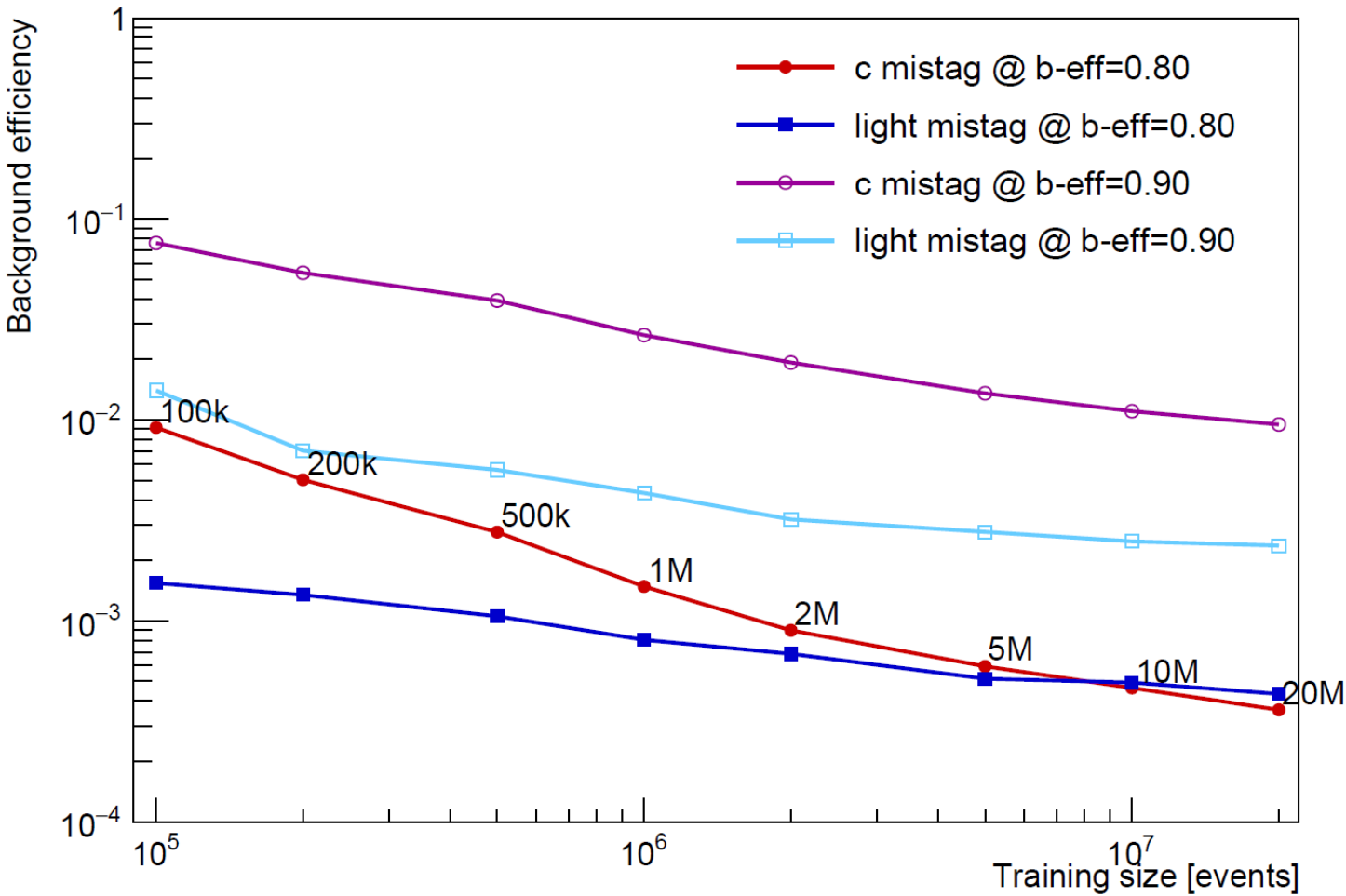
b-tag 90% eff.	c bkg.	d bkg.
Full sim 1M	2.77%	0.521%
Fast sim 1M	2.74%	0.447%
Fast sim 10M	1.16%	0.256%

b-tag 80% eff.	c bkg.	d bkg.
Full sim 1M	0.454%	0.142%
Fast sim 1M	0.142%	0.080%
Fast sim 10M	0.047%	0.050%

- Full  $\leftrightarrow$  fast: to be corrected
  - 1M  $\leftrightarrow$  10M:  $\sim 2x$  difference
- Significant for physics analysis  
Fullsim needs to be checked

# Testing scaling law with SGV

Background efficiency at fixed b-tag efficiency



Same network, same parameter  
Do not see clear saturation  
until 20M sample

C-mistag rate @ b-eff = 80% shows  
clear improvements at sample > 1M  
→ To be checked with full simulation

Further investigation on scaling law

- Up to 100M sample
- Hyperparameter tuning



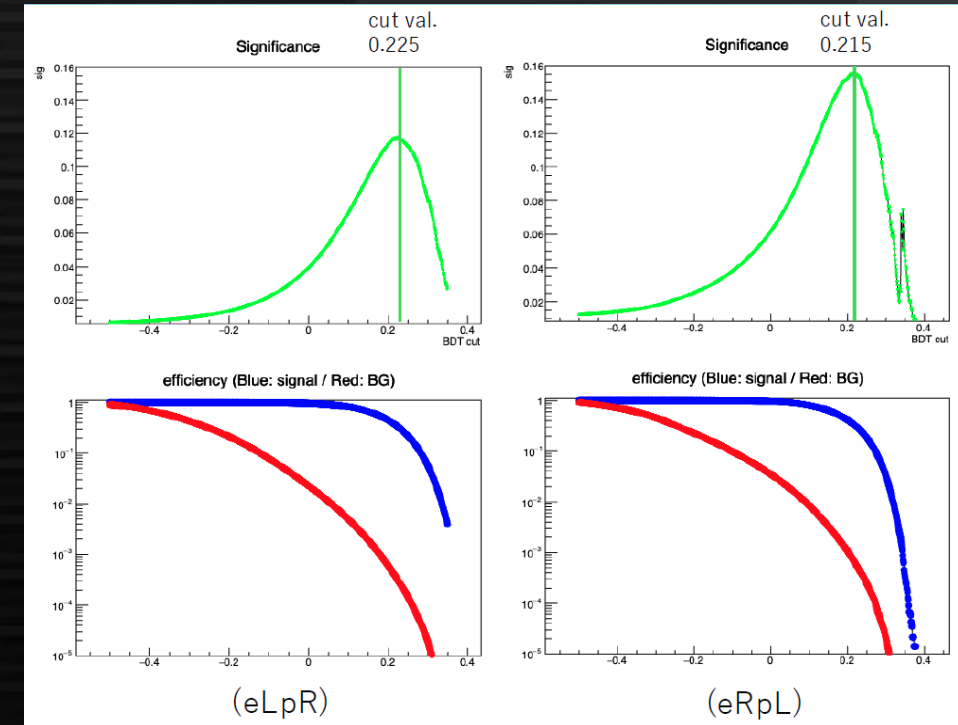
# Physics analysis on Higgs to ss (ongoing)

$H \rightarrow ss$  search is one of the primary target of s-tag  
but  $Br \sim 0.02\% \rightarrow \sim 10$  events /  $ab^{-1}$

Analysis with ParT being done on all Z decay modes

BDT analysis done after preselection ( $\sim 50$  variables)

- 11-category flavor tagging probabilities
- Kinematics of jets (masses etc.) / leptons
- Jet clustering values



BDT selection on  $Z \rightarrow \nu\nu$  channel

We can probe  $\mu \sim 5$  at ILC  
(with FCCee,  $\mu \sim 1$  reported)  
Background with strange quarks  
significant  $\rightarrow$  strange tagging  
is not the only performance driver

ILC	$Z \rightarrow \nu\nu$ eLpR	$Z \rightarrow \nu\nu$ eRpL	$Z \rightarrow ee$ eLpR	$Z \rightarrow ee$ eRpL	$Z \rightarrow \mu\mu$ eLpR	$Z \rightarrow \mu\mu$ eRpL	$Z \rightarrow qq$ eLpR	$Z \rightarrow qq$ eRpL
S	3.86	2.19	0.506	0.406	0.584	0.434	3.29	5.58
B	1074	197	104	59	103	46	7957	13361
sig.	0.1176	0.1552	0.0494	0.0527	0.0573	0.0634	0.0369	0.0483

# Summary

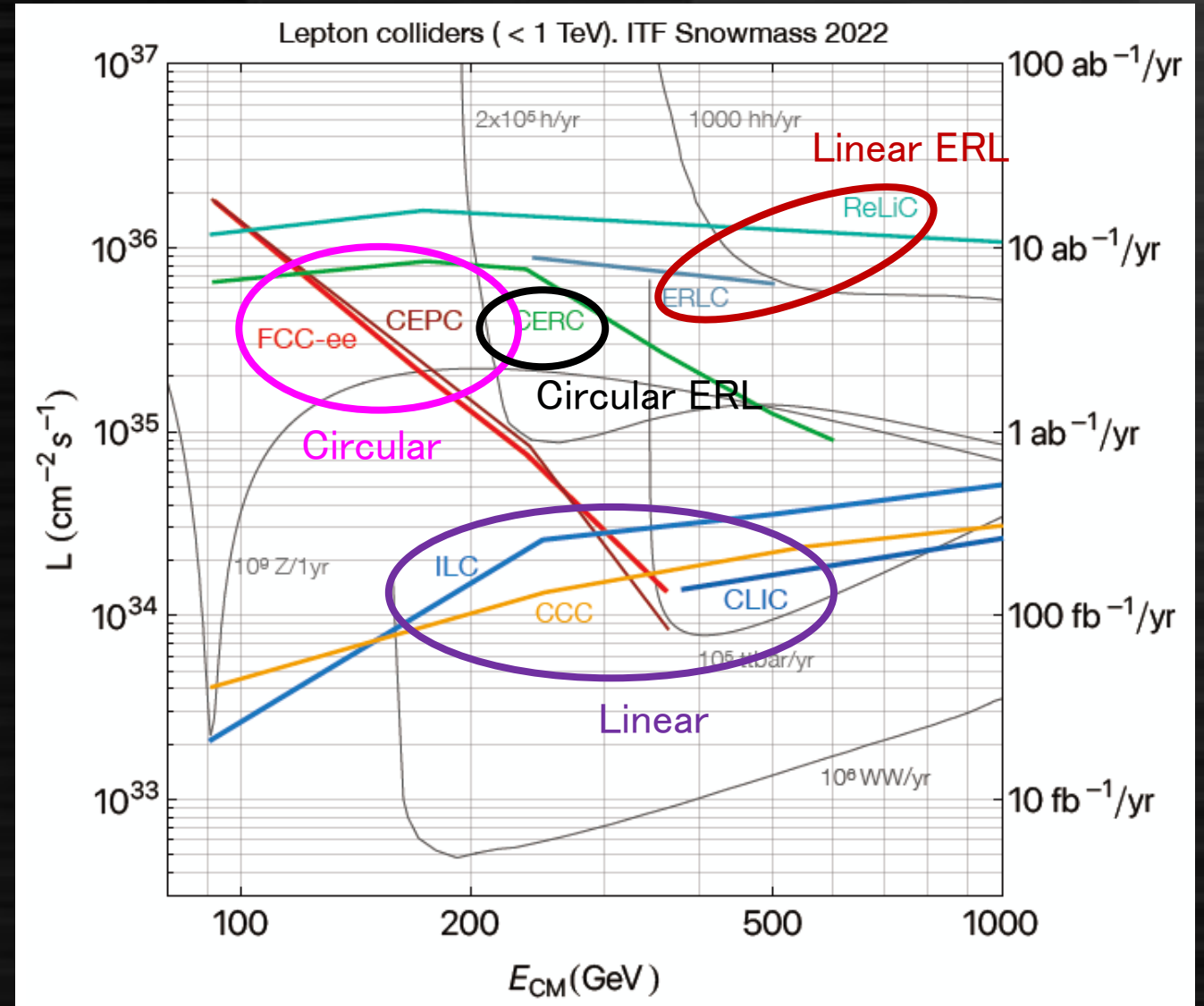
- AI-based reconstruction became **essential** for collider analyses
  - Higgs factory studies as well as LHC studies
- **Flavor tag**: already a game changer
  - ~10x better performance (both in LHC and HF)
  - Want to know “ultimate performance” with sufficient data/parameters
  - Combination with jet clustering / physics analyses to be pursued
- **Particle flow**: progress towards application
  - Working on energy regression with several ways
  - Important for detector optimization/modelling

# Backup

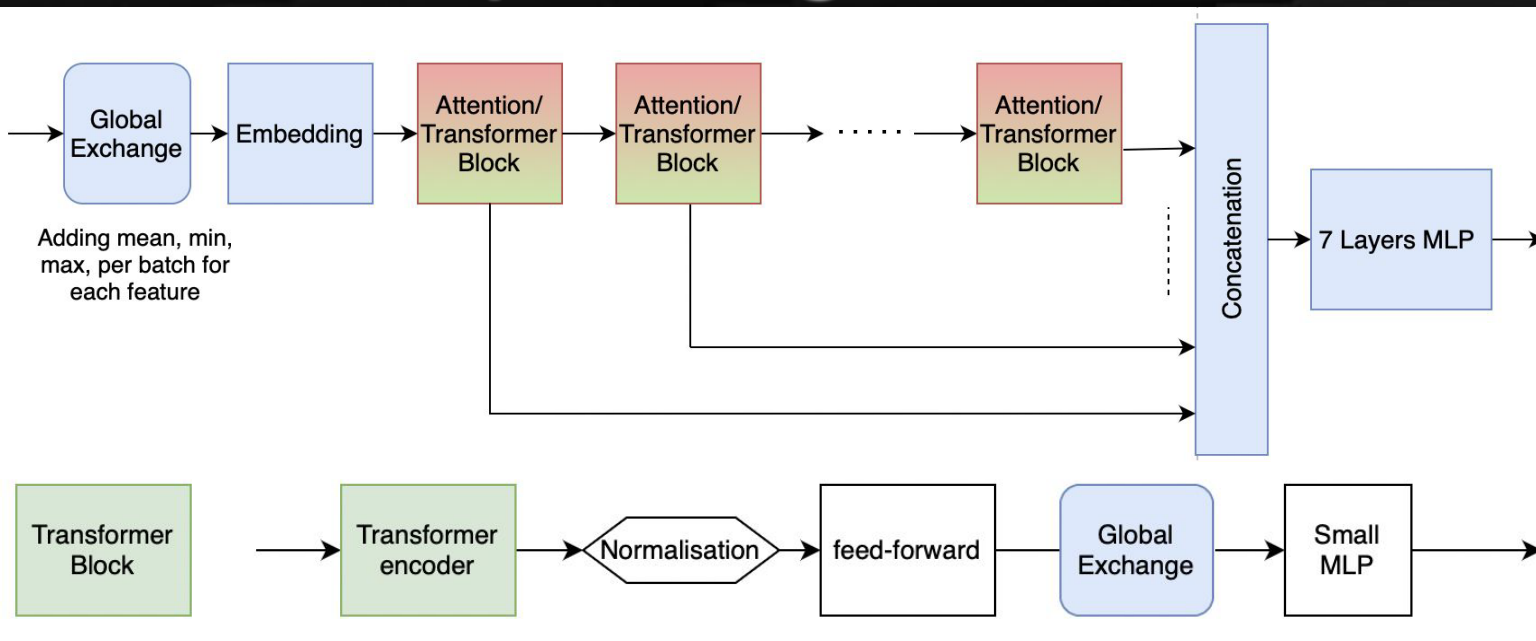


# Circular and Linear collider?

- Luminosity @ 240/250 GeV
  - A few times higher at circular colliders
- Luminosity @ 350 GeV
  - Less efficient with circular
- Polarization
  - Obvious in LC
  - Not excluded but not guaranteed in circular
- Self coupling,  $t\bar{t}H$ 
  - Indirect only in circular



# Replacing GravNet with Transformer



Work by internship student (S. Barbu)  
Summarized in BOOST2025 poster  
<https://indico.physics.brown.edu/event/18/contributions/396/>

Using similar structure to GravNet  
but replace GravNet block with  
transformer encoder block

Use the same loss (object condensation)

Metric	Tr-model	GSA-GravNet	GravNet	Improvement (Tr-model-GravNet)
Number of parameters (M)	2	0.9	0.4	
Electron Efficiency/Purity	99.4 / 91.3	98.2 / 91.5	98.9 / 94.5	+0.5 / -3.2
Pion Efficiency/Purity	98.0 / 98.5	95.7 / 98.4	95.9 / 99.0	+2.1 / -0.5
Photon Efficiency/Purity	97.2 / 97.1	93.3 / 97.1	97.1 / 98.6	+0.1 / -1.5

Performance  
comparison  
with 10 taus

Some improvement seen in pion efficiency – details to be checked

# Yet another prospects: treating real data

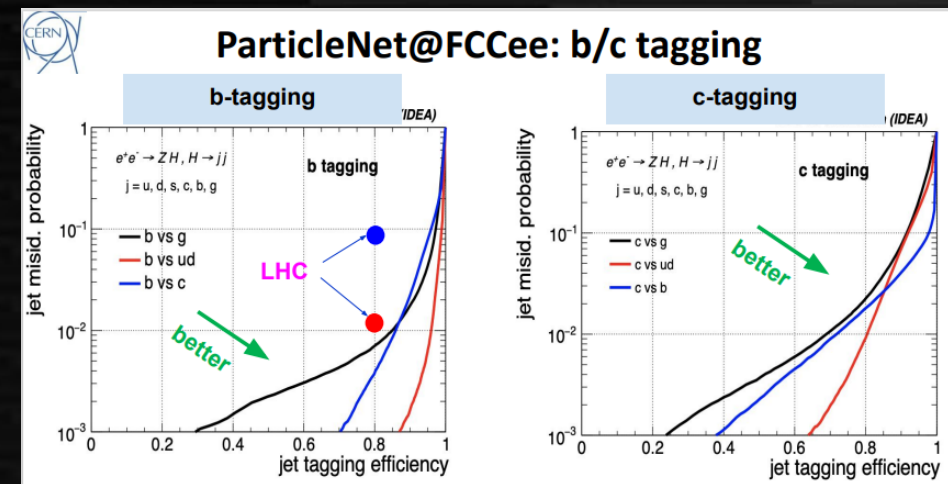
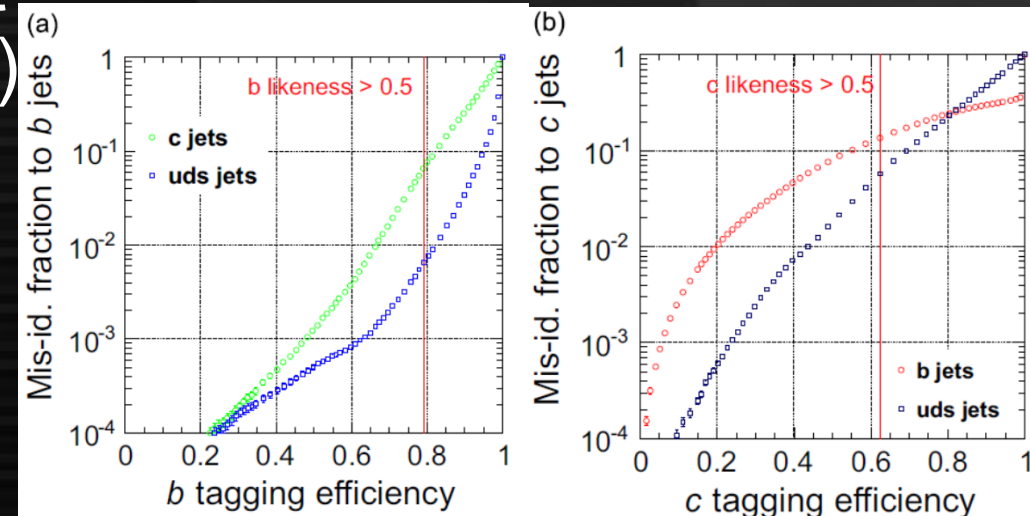
- Real calorimeter suffers from inhomogeneous response
    - Channel-by-channel gain difference (to calibrate/correct)
    - Dead channels, noisy channels
  - Can ML be used for correction from MC to data?
    - “FiLM” technique – additional (small) ML to derive linear ( $ax+b$ ) correction (FiLM calculates  $a$  and  $b$  to each hit)
    - Train initial network with simulation and train additional FiLM layer later by real data
    - Under investigation (to try calibration of test beam data)
- Towards “modeling” of imperfect detector response



# Flavor tagging for Higgs factories

- Jet flavor tagging is essentially important for Higgs studies (including self coupling)
- **LCFIPlus** (published 2013) was long used for flavor tagging
  - All physics performance in ILD/SiD/CLIC are based on LCFIPlus
- FCCee reported  $>10\times$  better rejection using ParticleNet (GNN) in 2022
  - **Delphes** is used for simulation
- We studied DNN-based flavor tag with **ILD full simulation** to confirm it
  - Using latest algorithm: Particle Transformer (ParT)

LCFIPlus performance plots



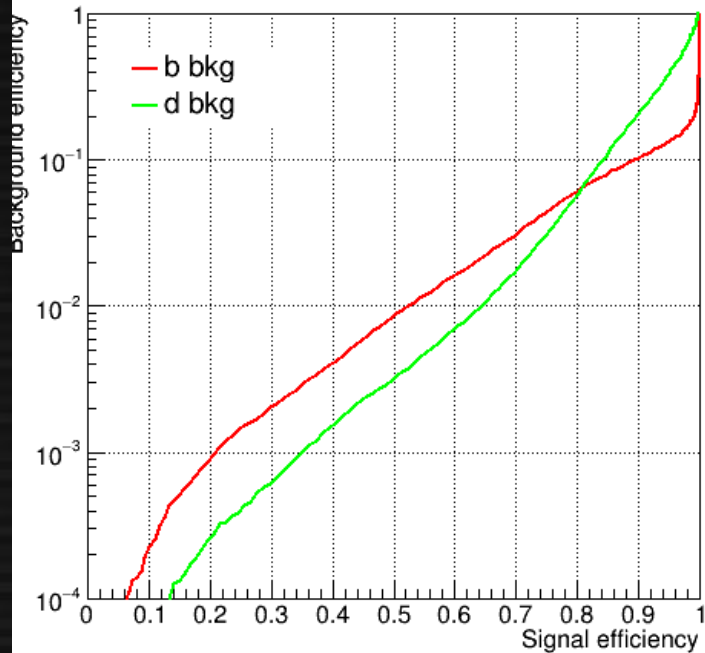
# Software implementation

- Training done in python/weaver framework
  - New LCFIPlus algorithm (MLMakeNtuple) to create input ROOT files
  - ROOT files used for training ParT
    - nnqq 250 GeV, ~1M jets / each flavor
    - MC/jet matching inside LCFIPlus (only for q/qbar training)
      - Color-singlet tagging by RecoMCTruthLink, q/g identified based on angle
        - » If multiple jets assigned to the same q/g, jet with highest energy taken
  - Training with GPU (~a half day for 20 epochs with Tesla V100)
- Weights (checkpoint) converted to onnx
  - Using onnx 1.15.0, onnxruntime 1.17.1 (to be compatible with key4hep)
- Inference with CPU in LCFIPlus framework
  - New processor MLInferenceWeaver with onnx files (uploaded in LCFIPlusConfig)
- Currently on private repository (pulling to official repository being processed)
  - LCFIPlus github with ParT, <https://github.com/suehara/LCFIPlus/tree/onnx>
  - LCFIPlusConfig with weight/steering files, <https://github.com/suehara/LCFIPlusConfig>

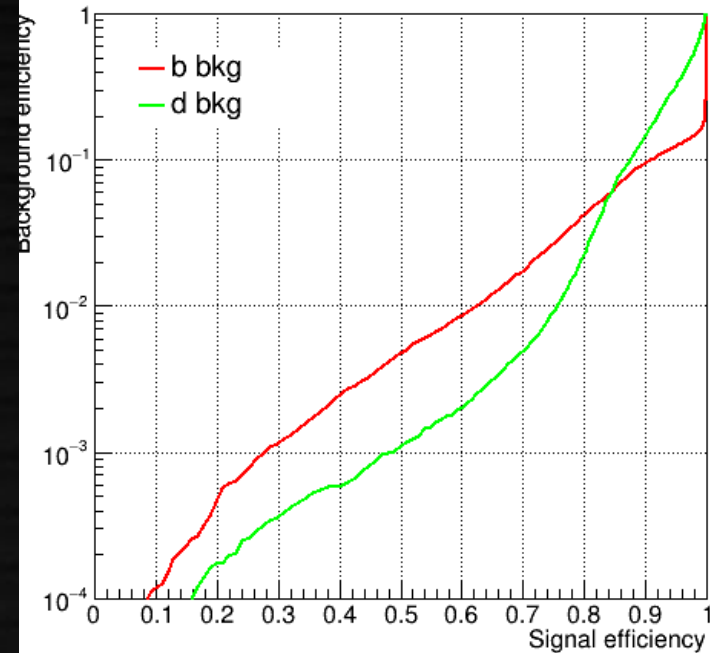
# Comparison of fast/full sim and scaling law

C-tag performance, 3-category

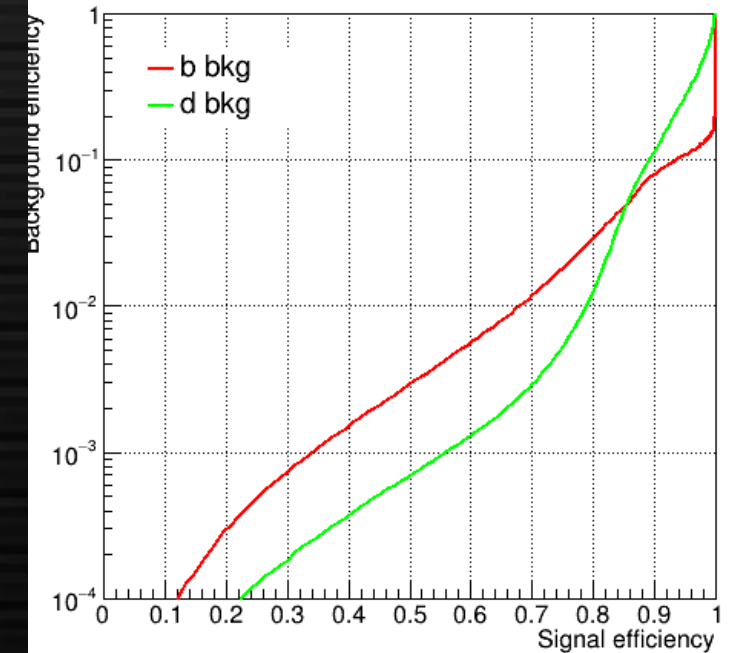
Full sim 1M sample



Fast sim (SGV) 1M sample



SGV 10M sample



c-tag 80% eff.	c bkg.	d bkg.
Full sim 1M	6.19%	5.98%
Fast sim 1M	4.32%	2.38%
Fast sim 10M	2.93%	1.27%

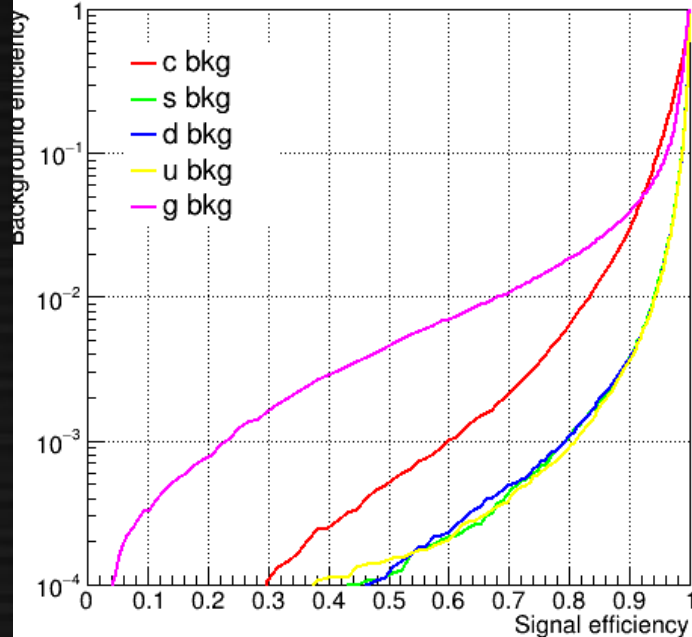
c-tag 50% eff.	c bkg.	d bkg.
Full sim 1M	0.890%	0.337%
Fast sim 1M	0.477%	0.111%
Fast sim 10M	0.288%	0.073%

Full  $\leftrightarrow$  fast: to be corrected  
 1M  $\leftrightarrow$  10M:  $\sim 2x$  difference  
 Significant for physics analysis  
 Fullsim needs to be checked

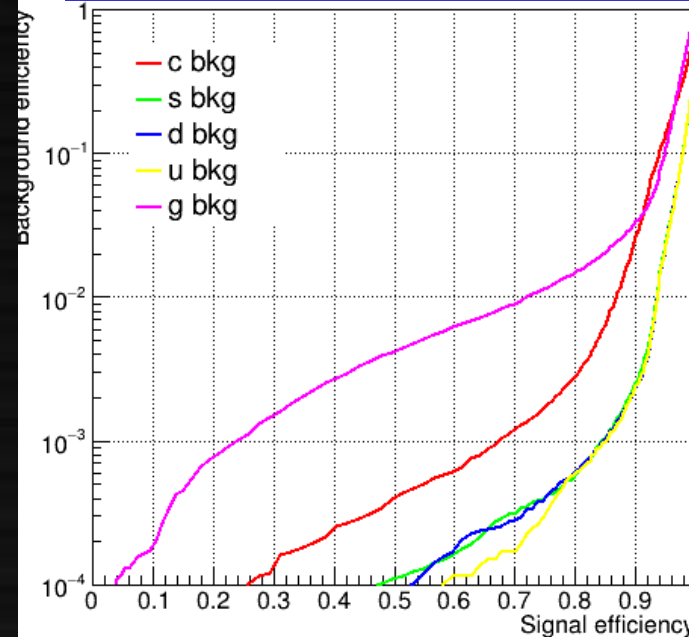
# Comparison of fast/full sim and scaling law

B-tag performance, 6-category

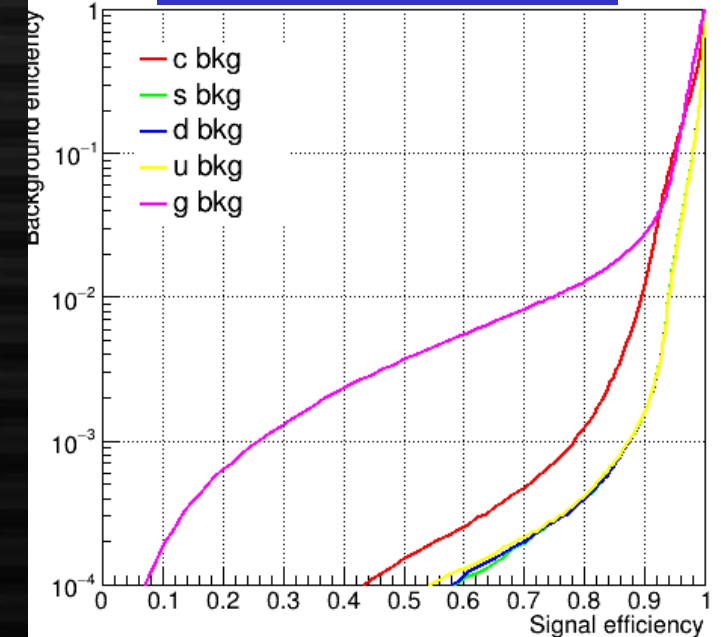
Full sim 1M sample



Fast sim (SGV) 1M sample



SGV 10M sample



b-tag 80% eff.	c bkg.	s bkg.	d bkg.	u bkg.	g bkg.
Full sim 1M	0.627%	0.105%	0.106%	0.088%	1.839%
Fast sim 1M	0.289%	0.059%	0.063%	0.061%	1.511%
Fast sim 10M	0.120%	0.039%	0.039%	0.041%	1.274%



# Comparison with FCCee Delphes (2023)

b-tag 90% eff.	c bkg.	d bkg.
ILD Full 1M	2.77%	0.521%
ILD SGV 1M	2.74%	0.447%
FCC delphes 1M	1.66%	0.292%
ILD SGV 10M	1.16%	0.256%
FCC delphes 10M	0.074%	0.0039%

b-tag 80% eff.	c bkg.	d bkg.
ILD Full 1M	0.454%	0.142%
ILD SGV 1M	0.142%	0.080%
FCC delphes 1M	0.267%	0.078%
ILD SGV 10M	0.047%	0.050%
FCC delphes 10M	0.006%	0.005%

c-tag 80% eff.	c bkg.	d bkg.
ILD Full 1M	6.19%	5.98%
ILD SGV 1M	4.32%	2.38%
FCC delphes 1M	3.11%	0.925%
ILD SGV 10M	2.93%	1.27%
FCC delphes 10M	0.743%	0.218%

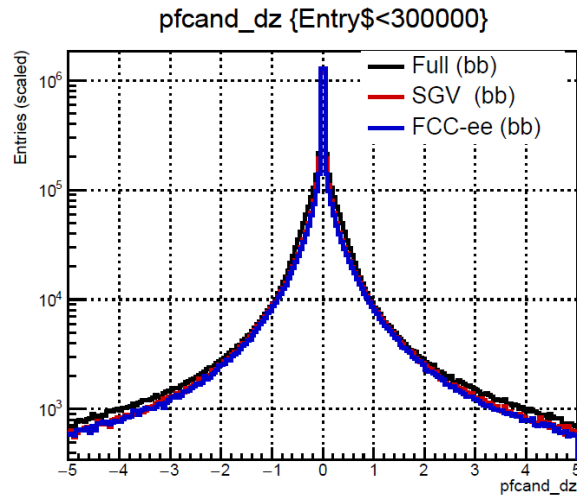
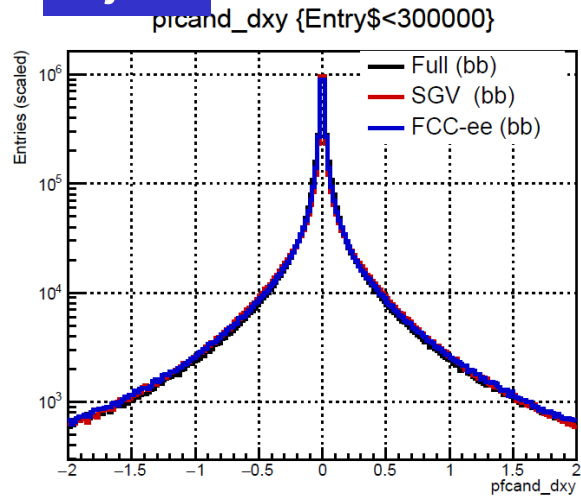
c-tag 50% eff.	c bkg.	d bkg.
ILD Full 1M	0.890%	0.337%
ILD SGV 1M	0.477%	0.111%
FCC delphes 1M	0.401%	0.064%
ILD SGV 10M	0.288%	0.073%
FCC delphes 10M	0.080%	0.017%

Caution: FCC 10M b-tag is too good: reason unknown

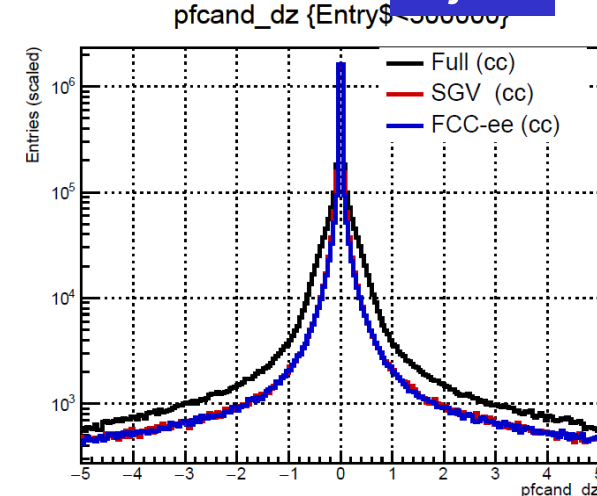
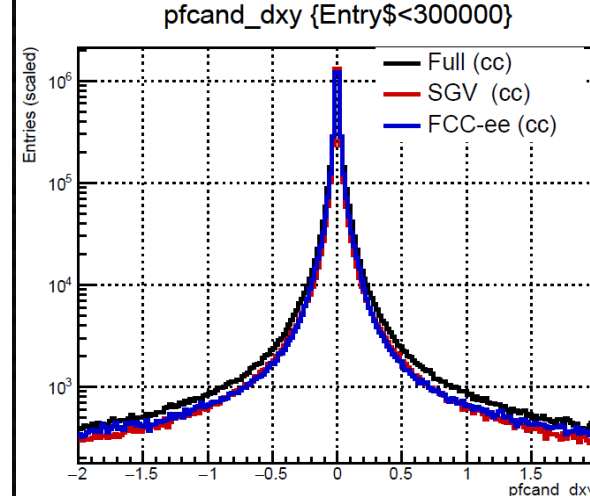
Performance on fast simulation should be not too reliable (esp. for high purity)

# Comparison of $d_0/z_0$ (wrt primary vertex), fullsim / SGV / FCC Delphes

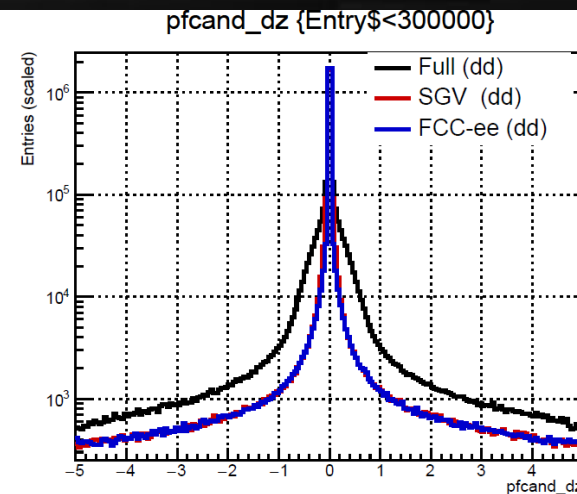
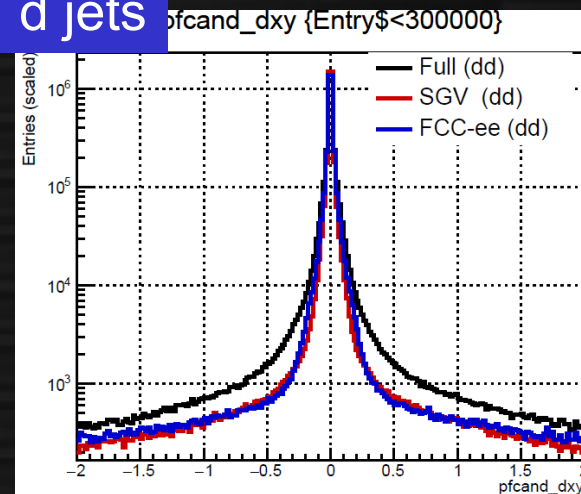
b jets



c jets



d jets

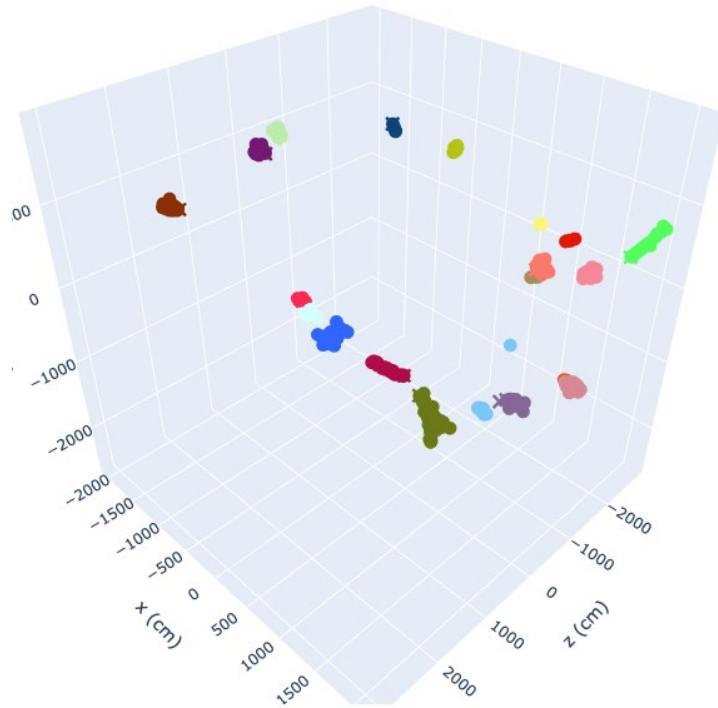


Significant difference on tail of the distribution  
(hard scattering?) with light flavor

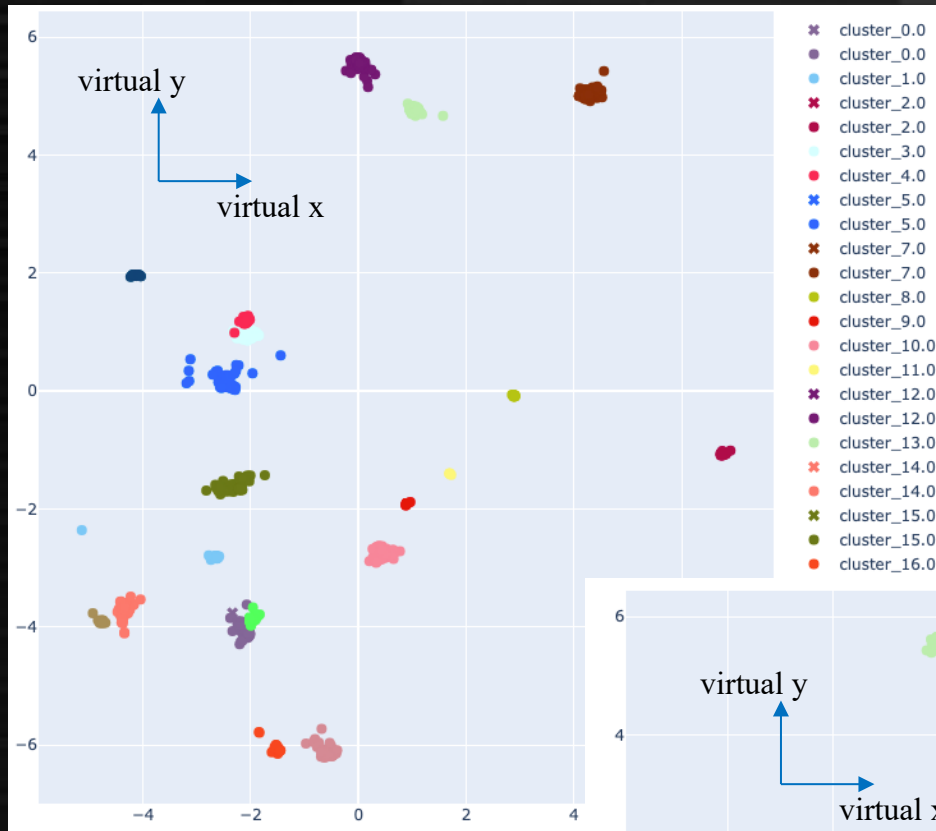
ILD SGV and FCC Delphes nearly consistent

# Event display

X : tracker point  
O : calorimeter hit

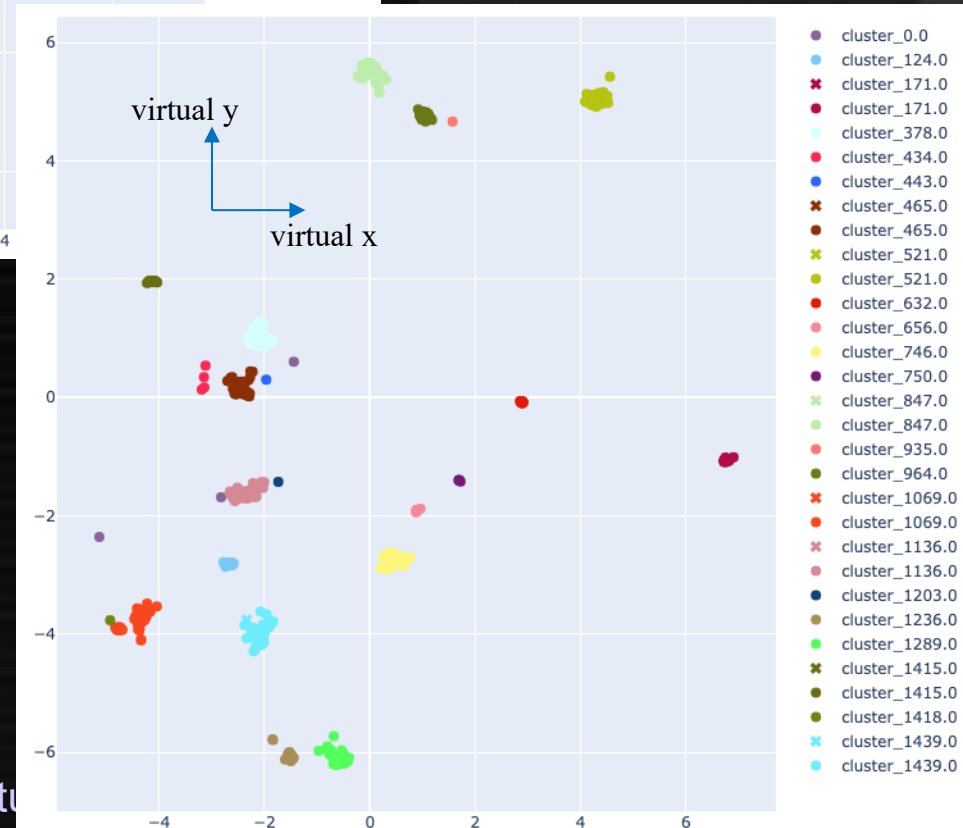


Input features  
Real coordinate in detector  
Colored by true clusters



Colored by  
true clusters

Output features  
Virtual coordinate



Colored by  
reconstructed clusters

# Summary and prospects for PFA

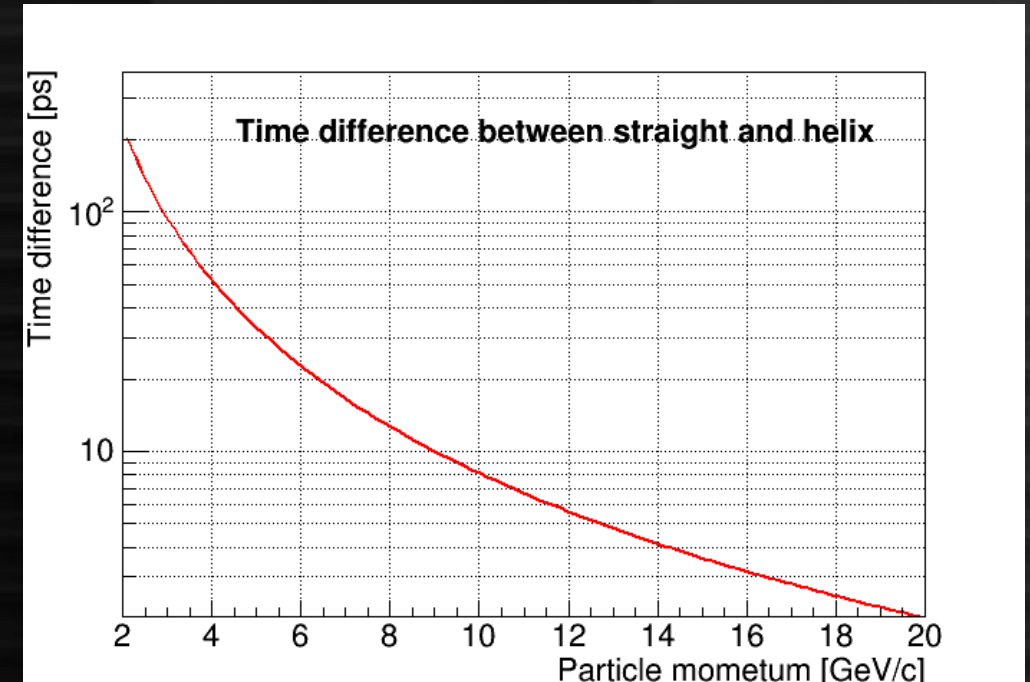
- GNN-based particle flow has possibility to replace PandoraPFA
  - Clustering performance is comparable with current optimization
  - Energy regression is being tried (reasonable performance with truth clustering)
- Possible improvements on algorithm (study ongoing)
  - Clustering algorithm (possibly with additional network)
  - Transformer-based network (in various ways)
- **Test bench for detector design/optimization**
  - Effects/advantages on new variables/measurements
    - Timing information (how much precision required?)
    - Particle ID ( $dE/dx$ , tof, ...)
    - Pixel size (silicon pads vs scintillator vs MAPS), detector size, magnetic field etc.
- Application to physics analyses



# Example: timing information

Timing information can be utilized in many ways

- Particle ID by ToF (e.g. pi/K/p separation)
  - Essential for strange tag
  - Should be good for PFA as well
- Separation of helix and straight path
  - Charged and neutral particles
- Off-axis photons (but need  $\sim 1$  psec resolution)
  - Should be useful for flavor tagging
    - b/c separation by mass



Performance on e.g. PID/PFA heavily depends on reconstruction software

- For PID: simple introducing timing info and check the performance should be easy
  - With any timing smearing
- For non-ML, need to implement new algorithms and heavily tune it

# Software for Particle Transformer

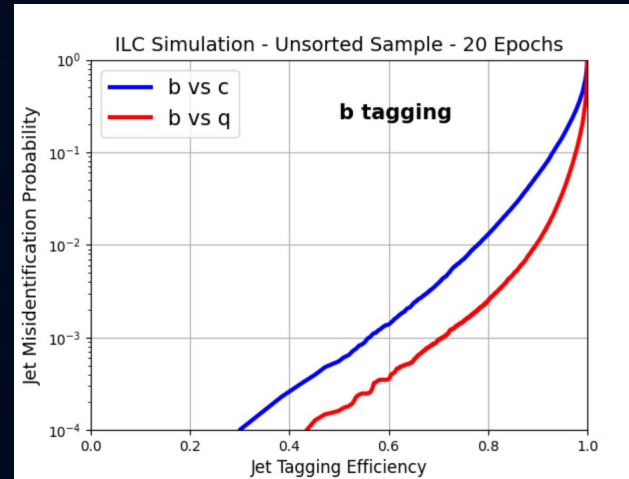
- Public in github, with instruction provided
  - [https://github.com/jet-universe/particle\\_transformer](https://github.com/jet-universe/particle_transformer)
- Input: ROOT files for training (80%), validation (5%), test (15%)
  - Input variables can be provided via steering file (XML)
    - Input for each particle (tracks, neutral clusters)
    - Input for “interaction” → currently momentum only
    - Input for “coordinate” → theta/phi plan wrt. jet axis
- Output: ROOT files including evaluation results (likeness) for test events
  - To be analyzed with ROOT or so
- We implemented a processor (inside LCFIPlus) to produce ROOT files for input as much as compatible to FCCee variables
  - Except for PID values, which are not fully implemented
- Easy for testing, but not direct to be used for physics analyses

# Software for Particle Transformer

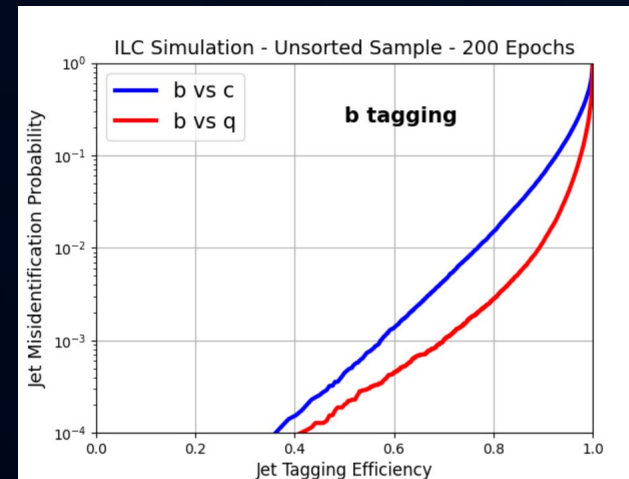
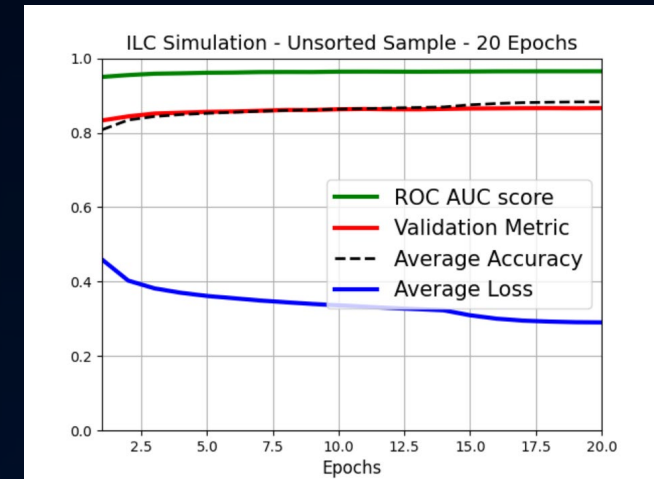
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- We implemented a processor (inside LCFIPlus) to produce ROOT files for input as much as compatible to FCCee variables
  - Except for PID values, which are not fully implemented
- Easy for testing, but not direct to be used for physics analyses

# Training parameters - epochs

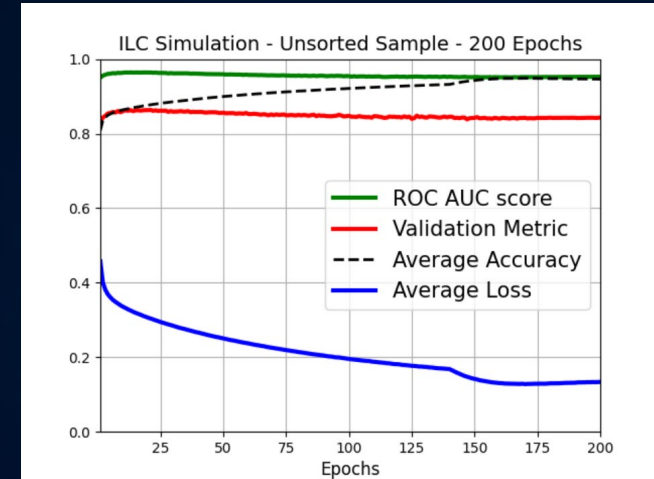
- Run on NVIDIA TITAN RTX (memory: 24 GB)
  - 20 Epochs: 3 hours
  - 200 Epochs: 30 hours
- No significant improvement in tagging efficiency
- Both ROC AUC score and Validation Metric reaches a maximum around 20 epochs.
- Overtraining after 20 epochs.
- Hence 20 epochs of training is selected to avoid overtraining.



20 epochs (ILD qq 91 GeV)



200 epochs (ILD qq 91 GeV)





# Input Variables - Features

\*Naming follows FCCee scheme – may not express exact meaning

- Impact Parameter (6):

{ pfcand\_dxy  
pfcand\_dz  
pfcand\_btagSip2dVal  
pfcand\_btagSip2dSig  
pfcand\_btagSip3dVal  
pfcand\_btagSip3dSig

\*d0/z0 and 2D/3D impact parameters, 0 for neutrals

- Jet Distance (2):

{ pfcand\_btagJetDistVal  
pfcand\_btagJetDistSig

\*Displacement of tracks from line passing IP with direction of jet  
0 for neutrals

- Particle ID (6):

{ pfcand\_isMu  
pfcand\_isEl  
pfcand\_isChargedHad  
pfcand\_isGamma  
pfcand\_isNeutralHad  
pfcand\_type

\* Not including strange-tagging related variables (TOF, dE/dx etc.)

\* Simple PID for ILD, not optimal

- Kinematic (4):

{ pfcand\_erep\_log \*Fraction of  
pfcand\_thetarel the particle energy  
pfcand\_phirel wrt. jet energy  
pfcand\_charge (log is taken)

- Track Errors (15):

{ pfcand\_dptdpt  
pfcand\_detadeta  
pfcand\_dphidphi  
pfcand\_dxydxy  
pfcand\_dzdz  
pfcand\_dxydz  
pfcand\_dphidxy  
pfcand\_dlambdadz  
pfcand\_dxyc  
pfcand\_dxycgttheta  
pfcand\_phic  
pfcand\_phidz  
pfcand\_phicgttheta  
pfcand\_cdz  
pfcand\_cctgttheta










\*each element of covariant matrix  
0 for neutrals

# Input Variables - Interactions

- FCC data uses  $p$  (scalar momentum) as interaction:
  - pfcand\_p
- ILD data contains  $p_x, p_y, p_z$  (vector momentum) as interaction:
  - pfcand\_px
  - pfcand\_py
  - pfcand\_pz
- But it's possible to transfer ILD's interaction to FCC's form for fair comparison:

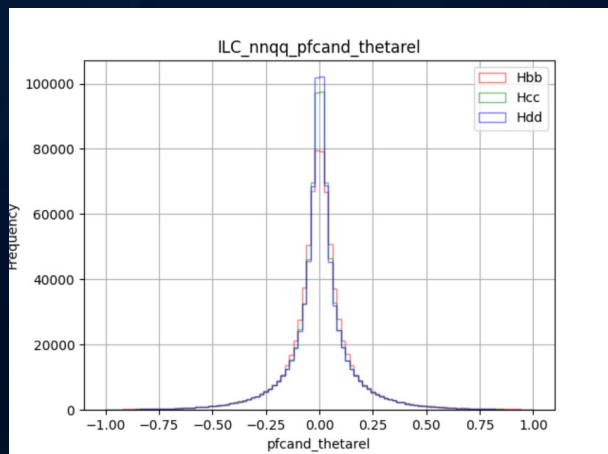
$$p = \sqrt{p_x^2 + p_y^2 + p_z^2}$$

# Use $p_x$ , $p_y$ , $p_z$ instead of $p$ (Interaction)

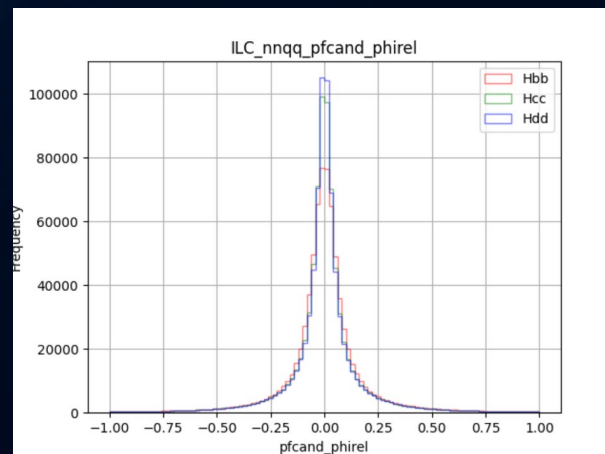
				c-bkg acceptance @ b-tag 80% eff.		b-bkg acceptance @ c-tag 50% eff.	
Particle ID	Impact Parameters	Jet Distance	Track Errors	$p$	$p_x$ $p_y$ $p_z$	$p$	$p_x$ $p_y$ $p_z$
✗				0.62%	0.49%	1.14%	1.01%
✗	 +log(abs)	 +log(abs)	 +log(abs)	0.54%	0.52%	1.06%	1.00%
✗	 +log(abs)			0.47%	0.50%	1.03%	0.97%

- ILD (vvqq 250 GeV) data shows that application of  $p_x$ ,  $p_y$ ,  $p_z$  has better performance than  $p$ .
- However, application of log(abs) of the parameters becomes less significant.
- Can be because that application of  $p_x$ ,  $p_y$ ,  $p_z$  changes the way log(abs) interacts with other parameters.
- Other potential treatments can be investigated.

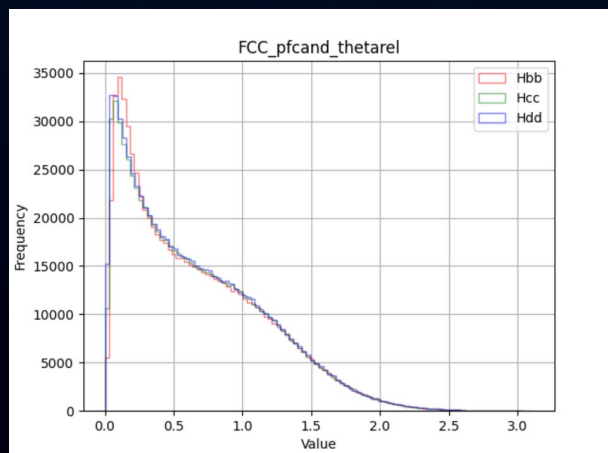
# ILD vs. FCC – theta/phi distribution



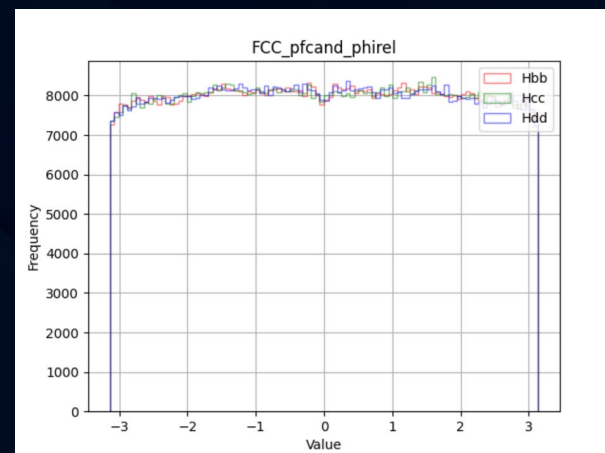
ILD theta



ILD phi



FCC theta

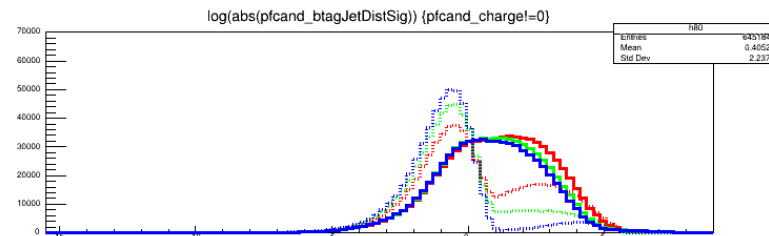
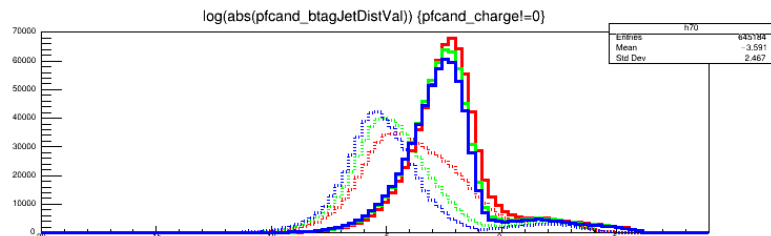
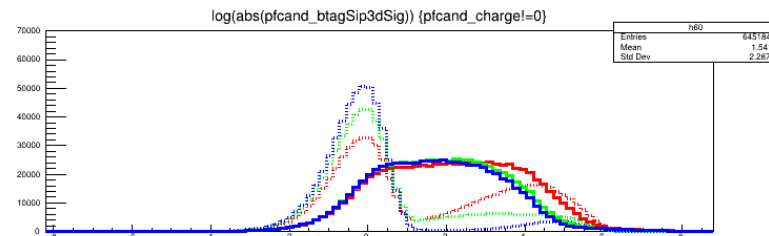
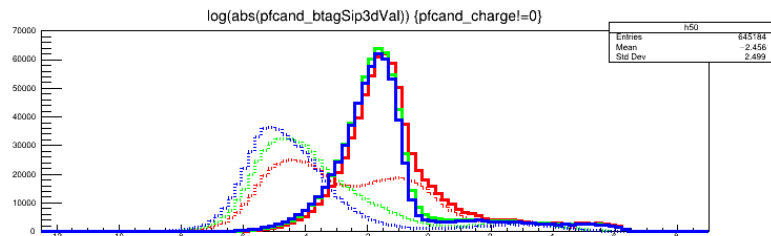
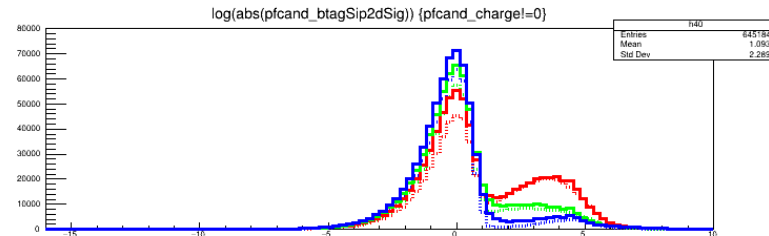
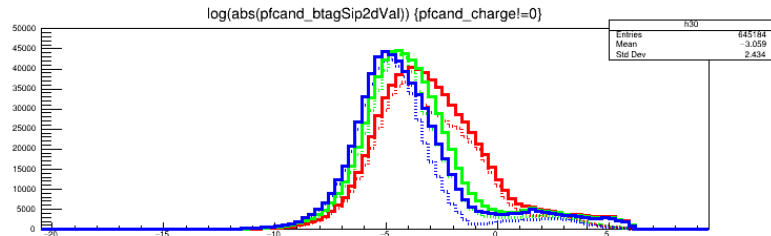
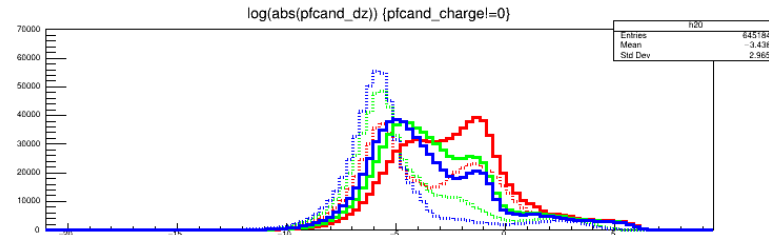
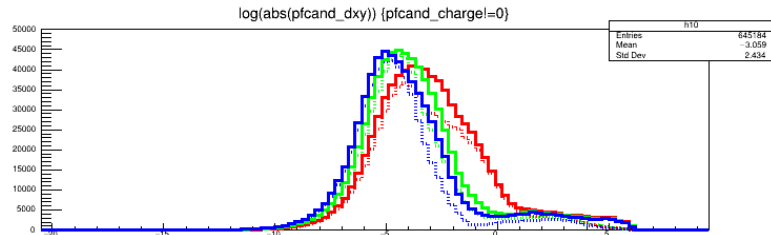


FCC phi

- ILD theta/phi are calculated from the difference between particle and jet theta/phi in the frame of the detector.
- FCC theta/phi are obtained from relative trace of the particle compared to the jet.
- This can cause some differences in the interaction of other parameters in the model.



# Difference in impact parameters



Dotted – FCc  
Solid – ILD

Red – nnbb  
Green – nncc  
Blue – nndd

Significant difference  
on dz seen  
- beam spot smearing?

# Fine tuning

## Two objectives

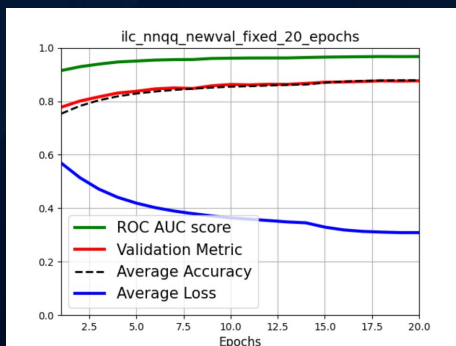
- Pretrained with fast sim and fine-tune with full sim
- Pretrained with large central production and fine-tune with dedicated physics samples in each analysis

							c-bkg acceptance @ b-tag 80% eff.		b-bkg acceptance @ c-tag 50% eff.	
Particle ID	Impact Parameters	Jet Distance	Track Errors	Fine-Tuning Sample	Training Sample	Similar theta/phi ?	No Fine-Tuning	With Fine-Tuning	No Fine-Tuning	With Fine-Tuning
✗	●	●	●	FCC 240 GeV (8M)	ILD 250 GeV (800k)	✗	0.62%	1.37%	1.14%	1.95%
✗	●	●	●	FCC 240 GeV (8M)	ILD 250 GeV (800k)	●	1.77%	1.32%	2.22%	2.01%
●	●	●	●	ILD 250 GeV (800k)	ILD 91 GeV (80k)	●	4.49%	0.97%	3.79%	1.53%

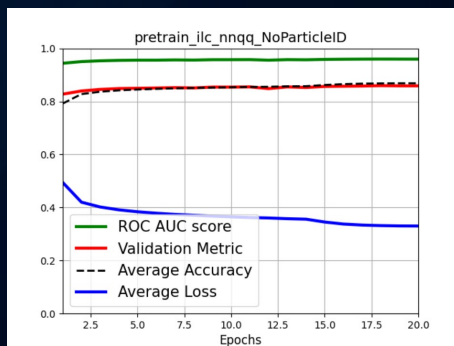
- Use result of 8M FCC data to train ILD 800k data
- Improves performance only when setups are similar
- Training of same setup (pretrain ILD 91 GeV data with ILD 250 GeV data) gives best performance
- Further investigation should be conducted on how to maximise the outcome for fine-tuning between different data sets

# Fine tuning – Training curves

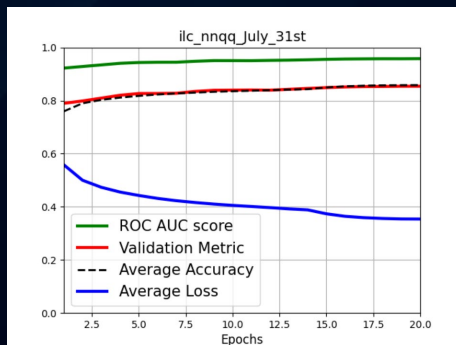
(1)



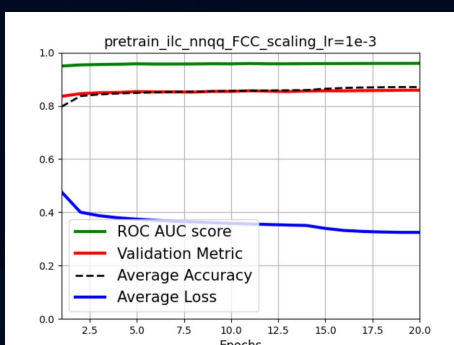
(2)



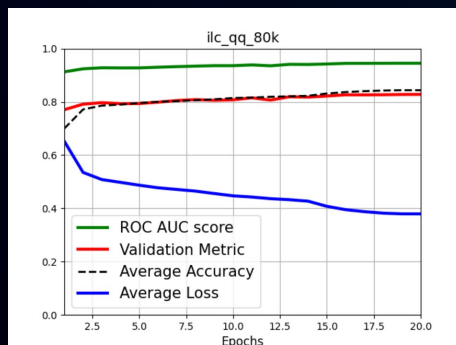
(3)



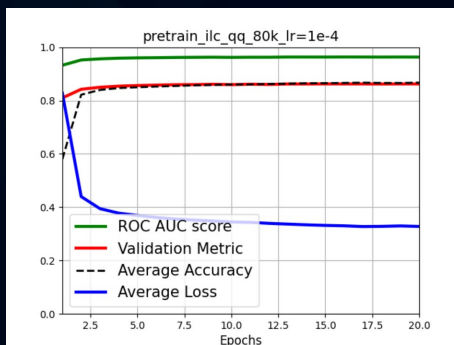
(4)



(5)



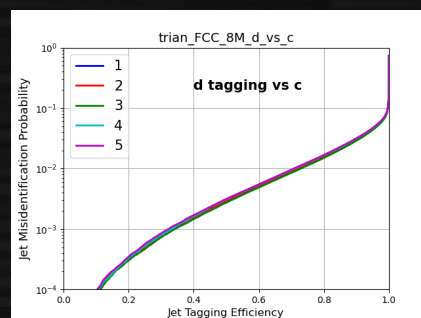
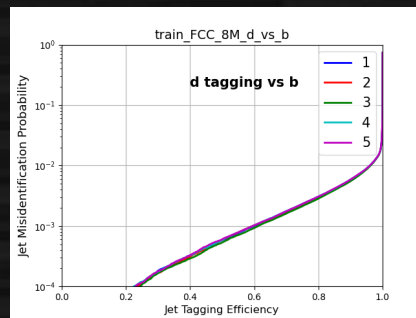
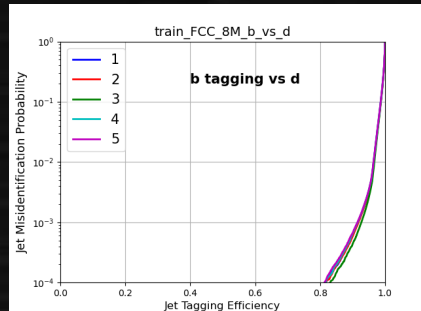
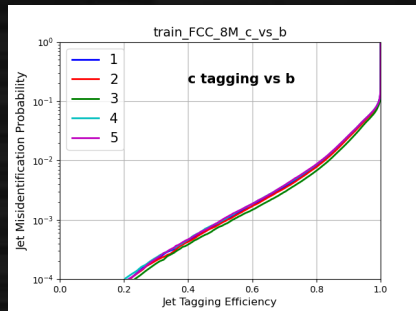
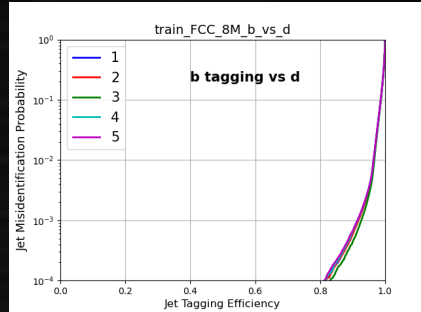
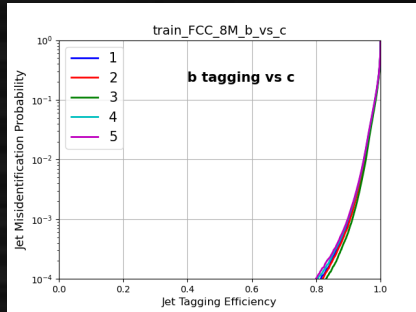
(6)



							Plot Indices	
Particle ID	Impact Parameters	Jet Distance	Track Errors	Fine-Tuning Sample	Training Sample	Similar theta/phi?	No Fine-Tuning	With Fine-Tuning
×	●	●	●	FCC 240 GeV (8M)	ILD 250 GeV (800k)	×	(1)	(2)
×	●	●	●	FCC 240 GeV (8M)	ILD 250 GeV (800k)	●	(3)	(4)
●	●	●	●	ILD 250 GeV (800k)	ILD 91 GeV (80k)	●	(5)	(6)

- With fine-tuning, the training is obviously accelerated for the initial epochs (even for those with worse eventual performance)
- This is particularly obvious between plots (5) & (6) – similar simulation setup data

# Multiple Training Runs



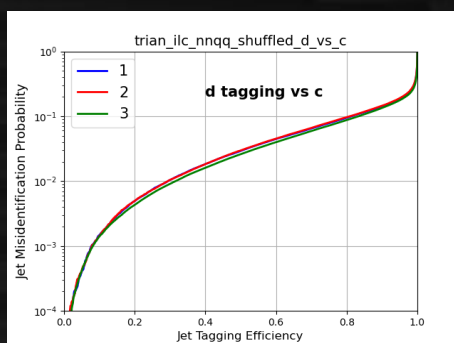
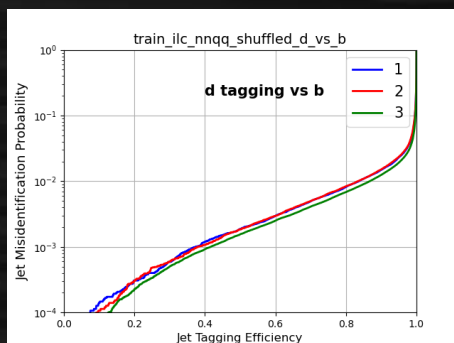
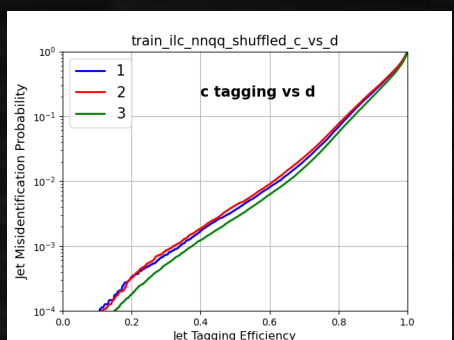
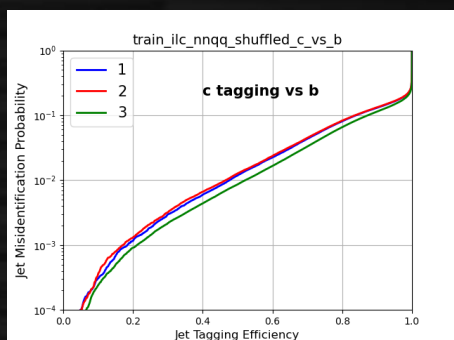
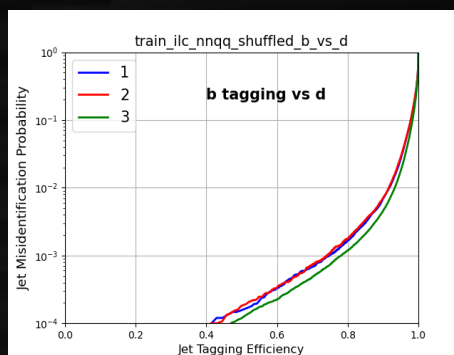
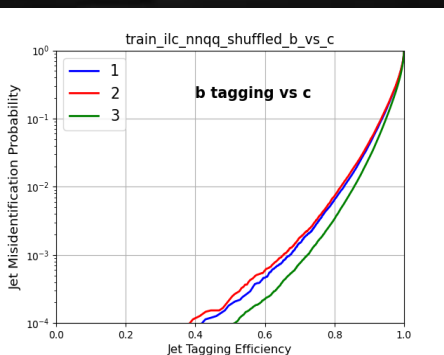
- Multiple training runs don't give significant impacts on results.
- The smaller data size is, the bigger impacts on results multiple runs give.
- The results of no Particle ID trainings varies more than those of with Particle ID.

data	Particle ID	b vs c 0.8 Score	variation
FCC 4M	○	4.82e-4	0.43e-4
FCC 8M	○	8.14e-5	1.58e-5
FCC 4M	×	1.69e-3	0.14e-3
FCC 8M	×	7.04e-4	3.49e-4



# Data Shuffled

- ILC nnqq dataset
  - 80% training, 5% validation, 15% test
- Shuffled the order of train/test/val making root files
  - Pattern 1: train/val/test
  - Pattern 2: val/train/test
  - Pattern 3: train/test/val

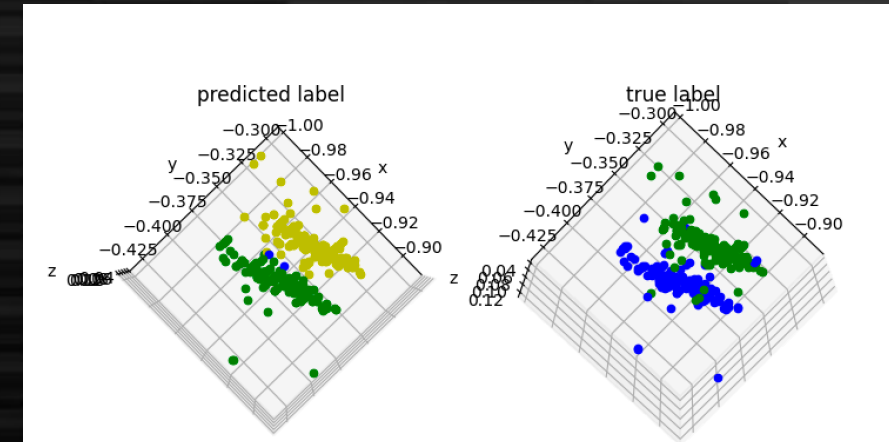


data	b vs c 0.8 score
Shuffle pattern 1	0.00647
Shuffle pattern 2	0.00734
Shuffle pattern 3	0.00338

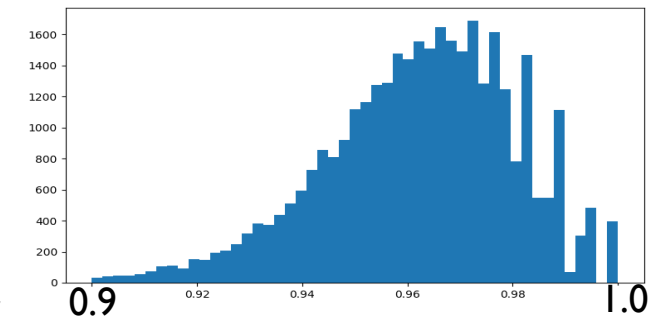
# Importing to ILD full simulation

- Prepare features from ILD full simulation
  - With recent versions (> v02-02)
- Input features: (x, y, z, edep)
- True cluster info from MCParticle and LCRelation
- Produced events
  - Two photons (5/10 GeV, fixed opening angles)
  - (n x ) taus (5/10 GeV)
- Evaluation
  - Fraction of hits associated to the correct cluster (accuracy)

Example of a two-photon event (5 GeV, 30 mrad)



Average = 96.08%



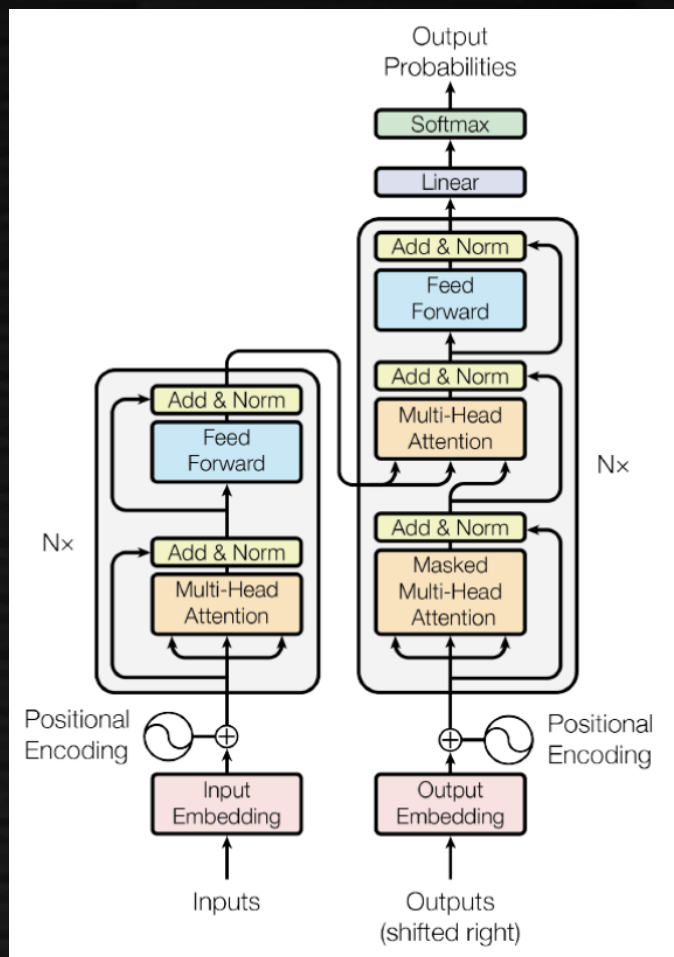
Reasonable performance seen

accuracy

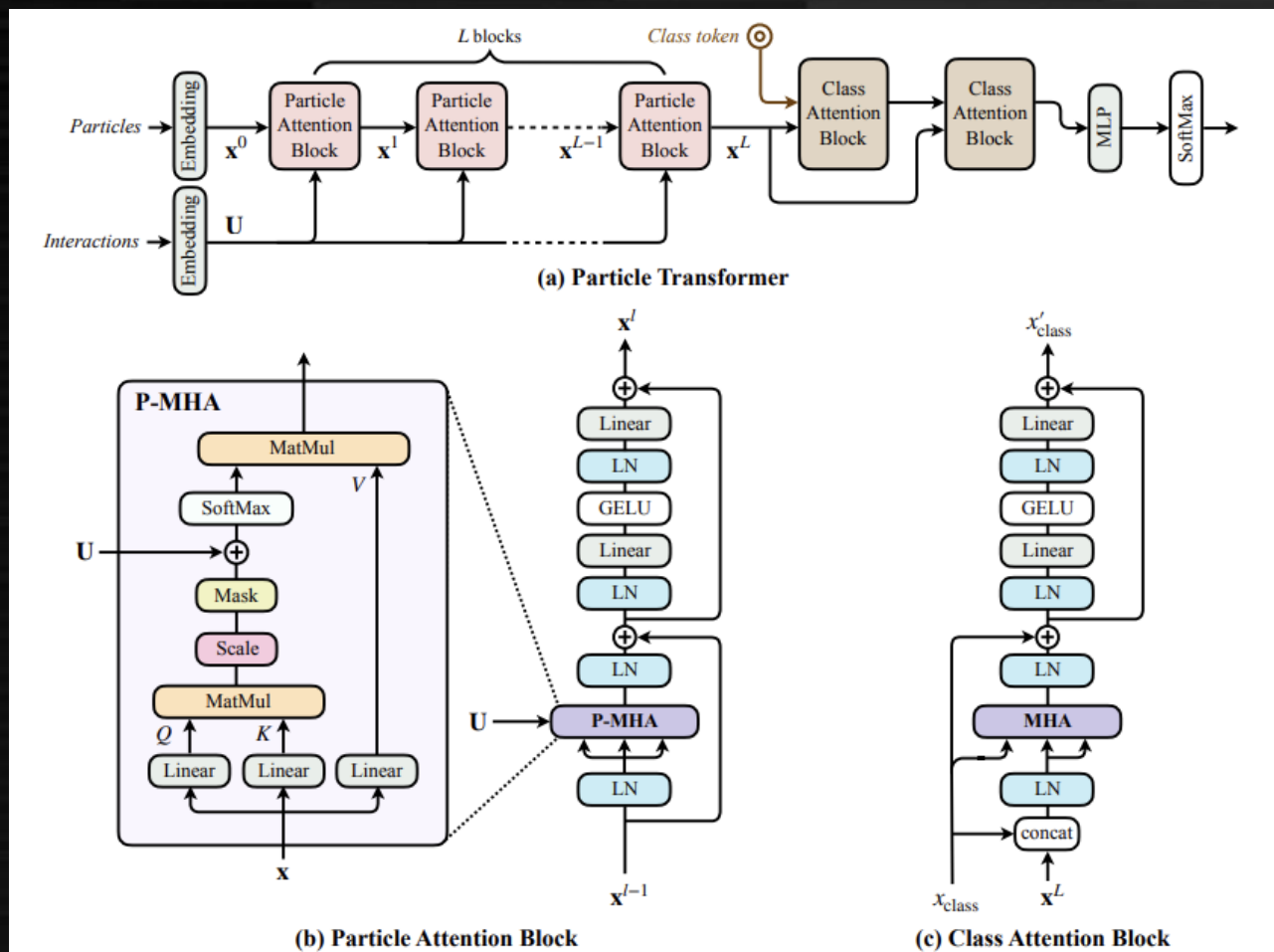
Angle[mrad]	30	60	90	120	150
Accuracy[%]	96.08	98.64	99.30	99.68	99.56

For details, refer eg. <https://indico.slac.stanford.edu/event/7467/contributions/5948/attachments/2887/8032/230517-lcws2023-hlreco-suehara.pdf>

# Comparison between regular Transformer and Particle Transformer



Regular Transformer



(b) Particle Attention Block

(c) Class Attention Block

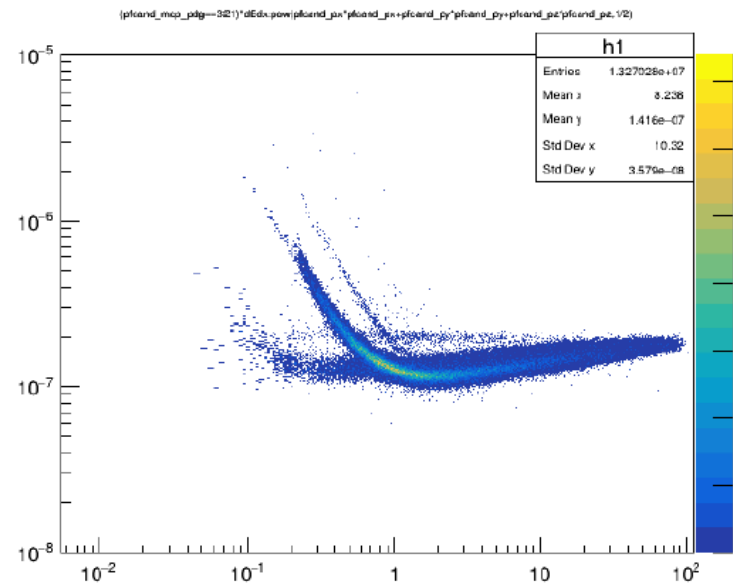
Particle Transformer

Note: MHA – MultiHeadAttention  
P-MHA – Augmented version of MHA by Particle Transformer that involves Interactions Embeddings instead of Positional Embeddings

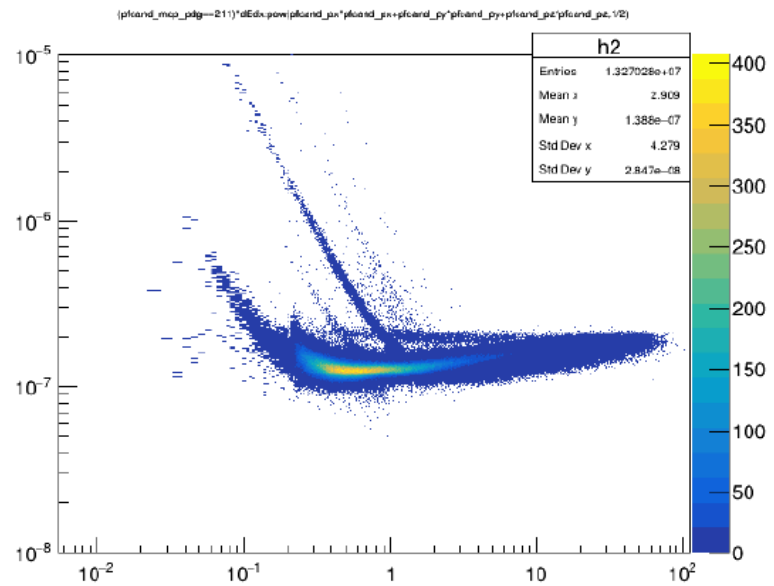
# Progress in strange tag

	s vs c	s vs g	s vs u
0.8 efficiency	0.138	0.288	0.466

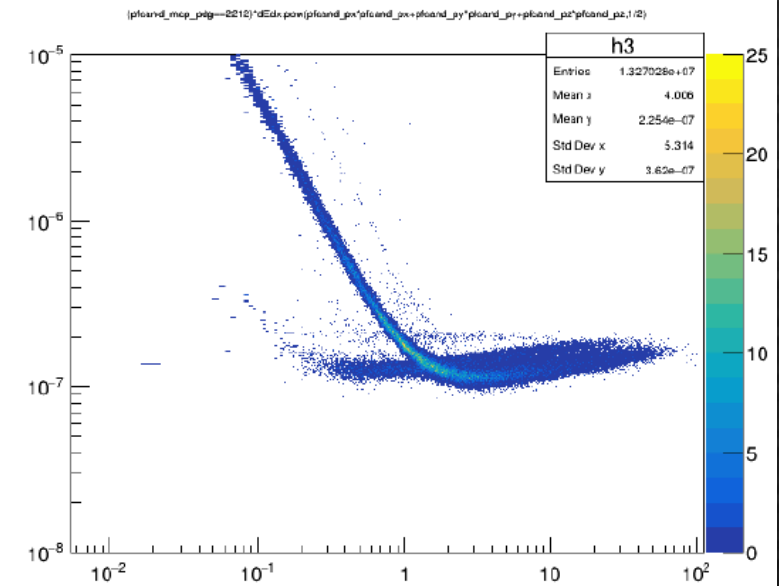
Current performance with ParT  
(under investigation yet)



Kaon



Pion



Proton

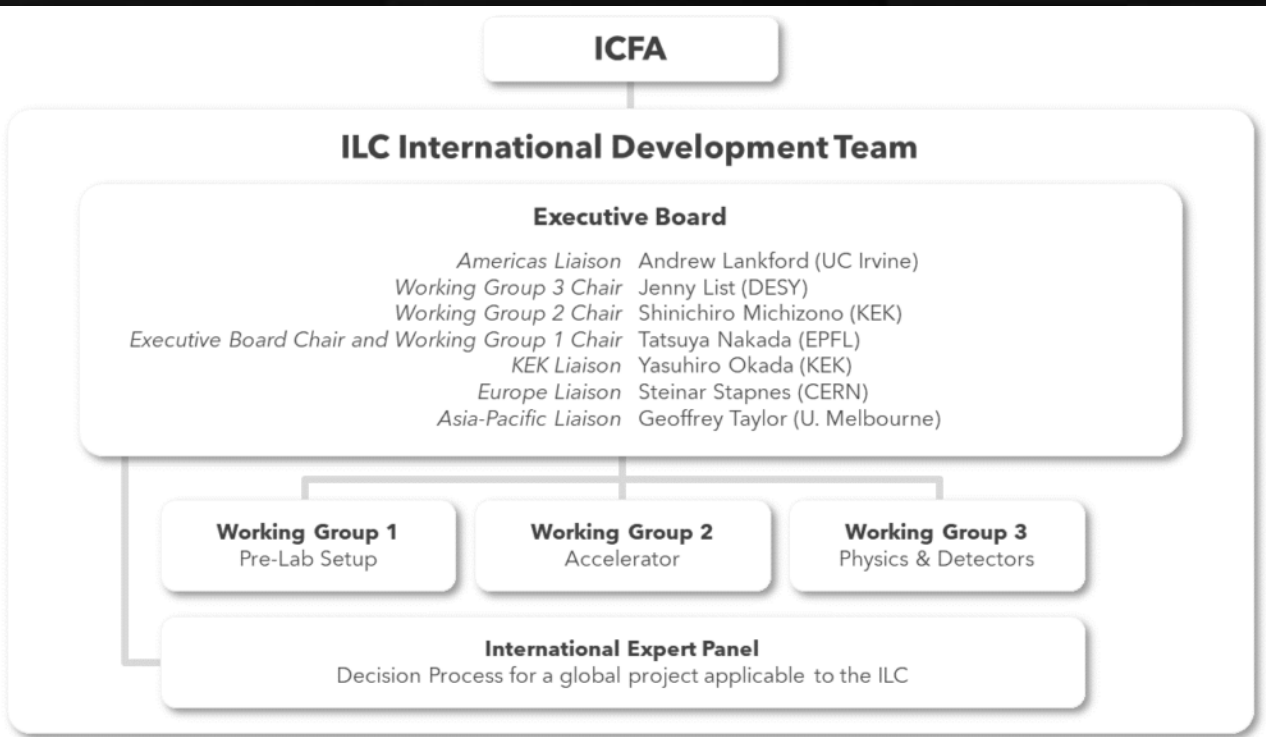
dE/dx inside strange jets (separated by MC PID)



# Inference within LCFIPlus

- Training done in python/weaver framework
  - New LCFIPlus algorithm (MLMakeNtuple) to create input ROOT files
  - ROOT files used for training ParT
    - nnqq 250 GeV, ~1M jets / each flavor
    - MC/jet matching inside LCFIPlus (only for q/qbar training)
      - Color-singlet tagging by RecoMCTruthLink, q/g identified based on angle
        - » If multiple jets assigned to the same q/g, jet with highest energy taken
  - Training with GPU (~a half day for 20 epochs with Tesla V100)
- Weights (checkpoint) converted to onnx
  - Using onnx 1.15.0, onnxruntime 1.17.1 (to be compatible with key4hep)
- Inference with CPU in LCFIPlus framework
  - New processor MLInferenceWeaver with onnx files (uploaded in LCFIPlusConfig)
- Currently on private repository (pulling to official repository being processed)
  - LCFIPlus github with ParT, <https://github.com/suehara/LCFIPlus/tree/onnx>
  - LCFIPlusConfig with weight/steering files, <https://github.com/suehara/LCFIPlusConfig>

# ILC: International Development Team



Established in 2020: aiming for ILC pre-lab  
Pre-lab proposal in 2021

<https://arxiv.org/abs/2106.00602>

→ MEXT expert panel (2021)

- **Not mature enough for proceeding to pre-lab**
  - Mainly in international situation
- **Accelerator technology should be developed** in preparation for next step

→ Two steps towards pre-lab

- **International Technology Network (ITN)**
  - Collaboration framework with US/Europe
  - Doing time-critical works of pre-lab
  - Japanese part is funded by MEXT
- **International Expert Panel**
  - Among researchers connected to FA
  - Discussing how to proceed “global” projects

See LCWS2023: <https://indico.slac.stanford.edu/event/7467/>

WG3 physics group hosts series of physics meetings

<https://agenda.linearcollider.org/category/266/>

(Next: July 13<sup>th</sup>)

Mailing list subscription:

<https://agenda.linearcollider.org/event/9154/>