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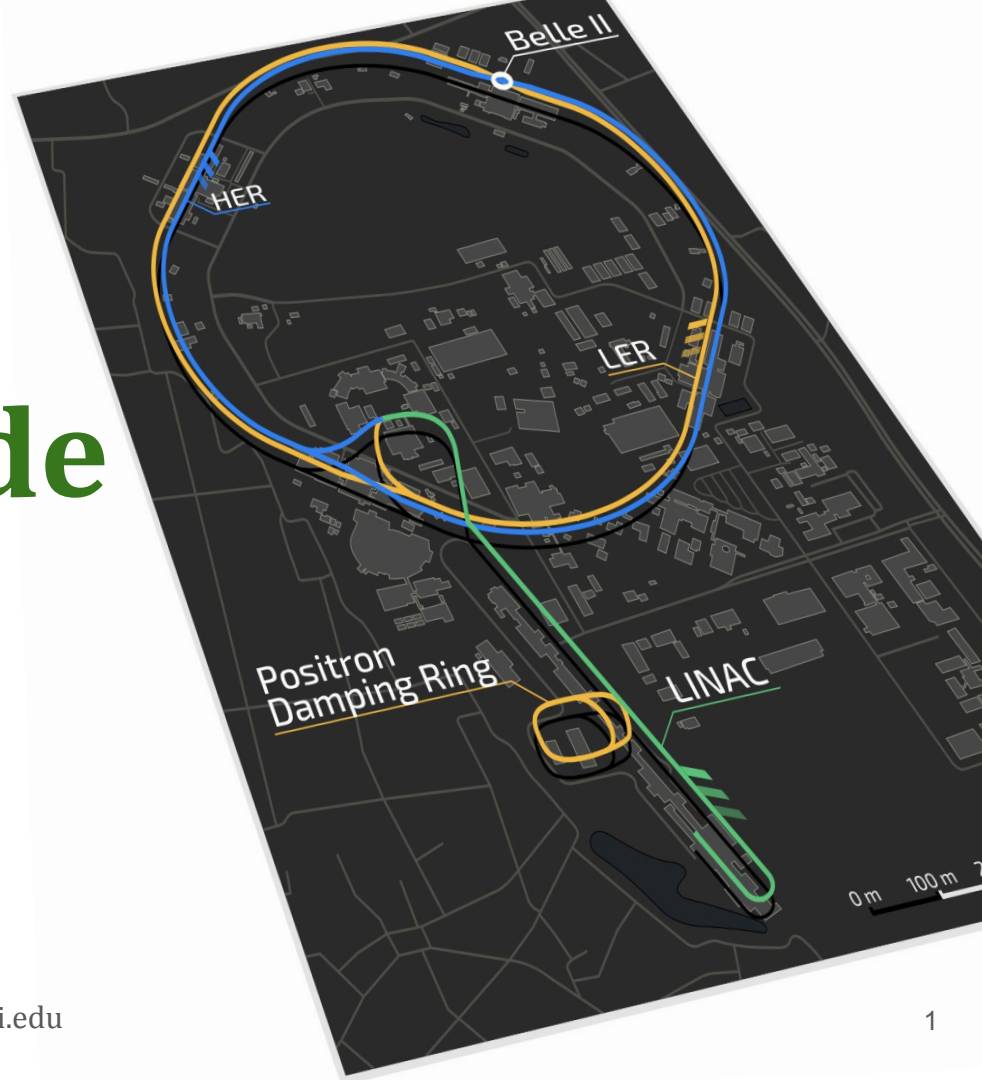
# Belle II Upgrade

Yubo Han (university of Hawai'i)

On behalf of the Belle II collaboration

FTCF2025, Huangshan

23-27 November, 2025



# Outline

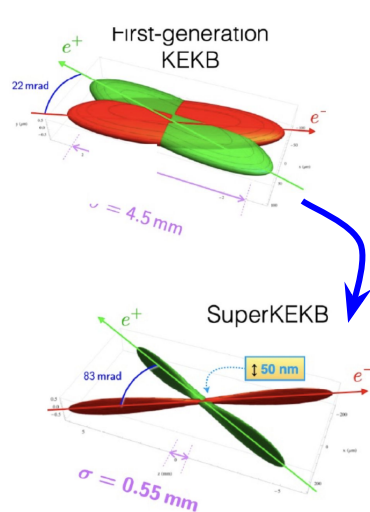
- SuperKEKB and Belle II
- Belle II upgrade:
  - Motivations
  - Upgrade of each sub-detector
    - VTX, CDC, ECL, KLM, TOP
  - Schedule and milestones
- Summary & outlook

# The experiment

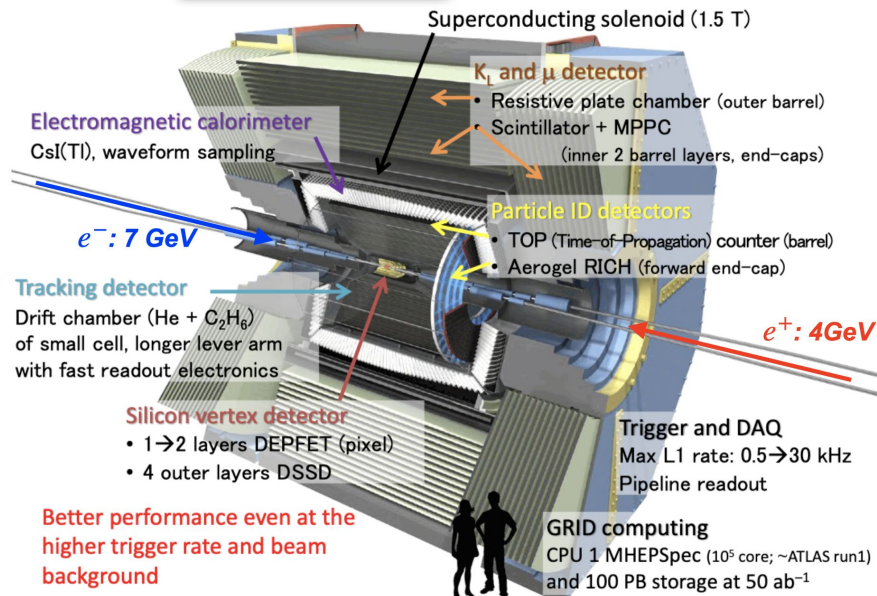
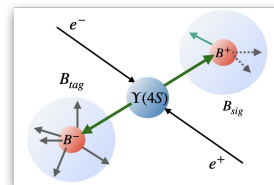
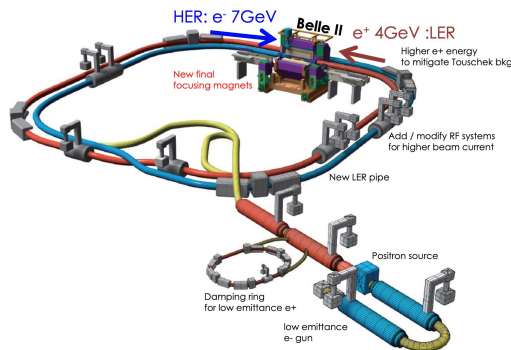
- **SuperKEKB**
  - Asymmetric  $e^+(4\text{GeV}) e^- (7\text{GeV})$  collider in Tsukuba, Japan
  - Operating around the  $Y(4S)$  resonance ( $\sqrt{s} = 10.58\text{ GeV}$ )
- **Belle II**
  - A hermetic detector with known initial-state
  - (Production of BB at threshold) Low-physics background
  - Excellent tracking, PID and vertexing performance



KEK



New final focus magnets for  
**Nano-beam scheme**



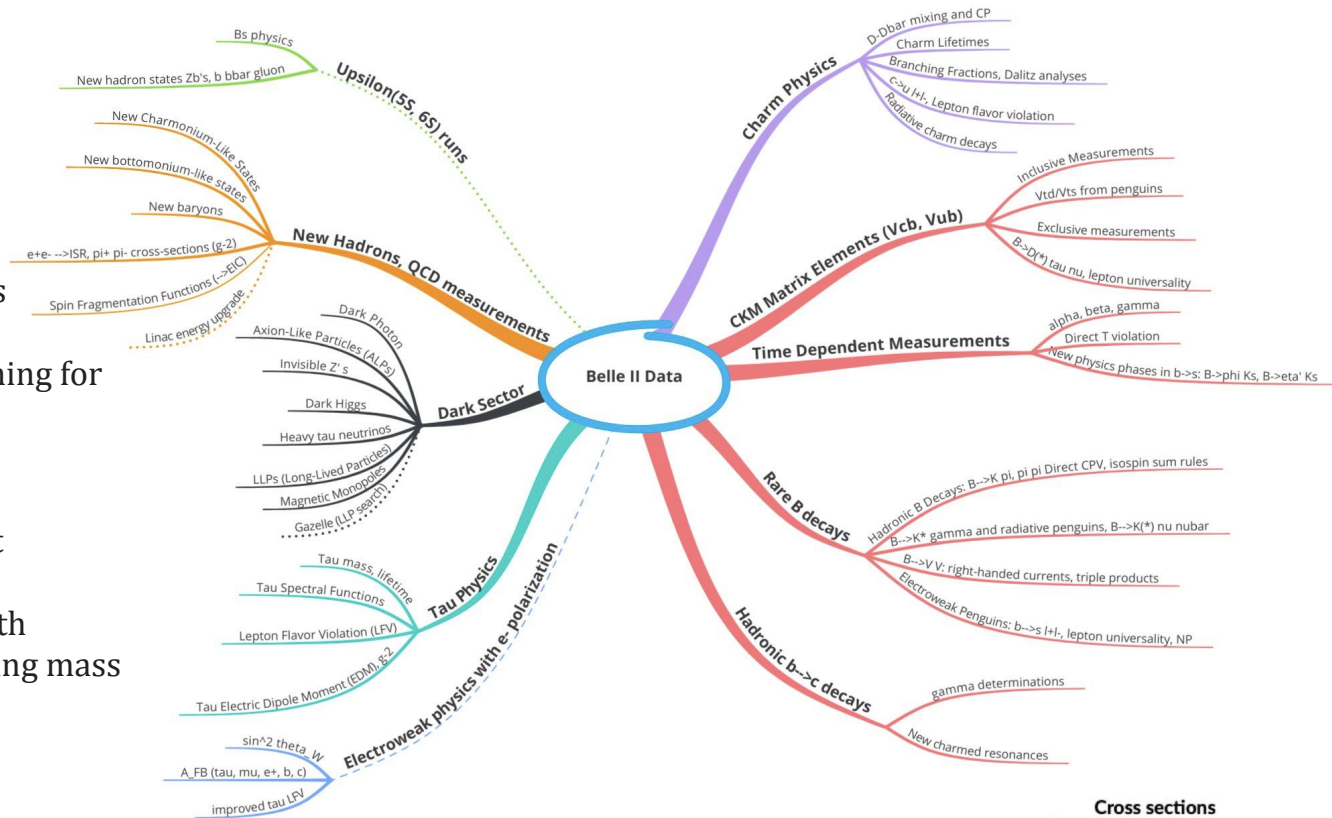
# Belle II Physics

Primarily a **B factory**  
But not only B physics!

- Also **tau**, **charm** factories
- Clean background for **spectroscopy** and searching for dark sector

Various type of analyses:

- Lifetime, time-dependent measurement
- well-suited for decays with missing energy and missing mass

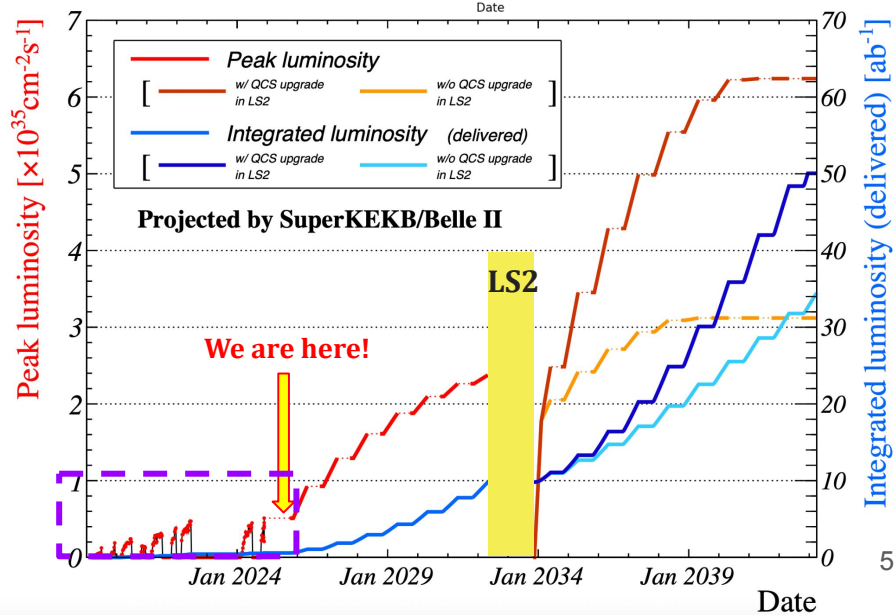
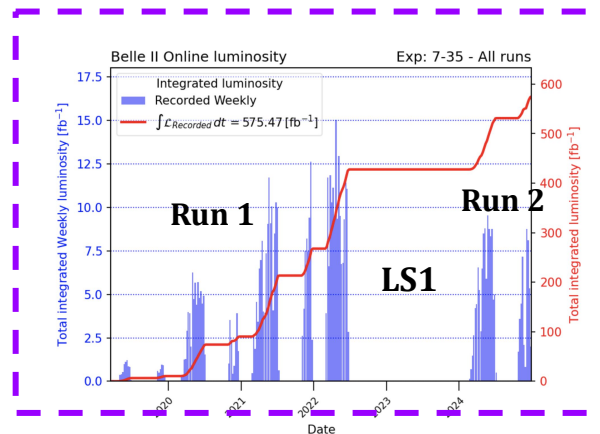


## Cross sections

$$\begin{aligned}\sigma(e^+e^- \rightarrow b\bar{b}) &\approx 1.1 \text{ nb} \\ \sigma(e^+e^- \rightarrow c\bar{c}) &\approx 1.3 \text{ nb} \\ \sigma(e^+e^- \rightarrow \tau^+\tau^-) &= 0.9 \text{ nb}\end{aligned}$$

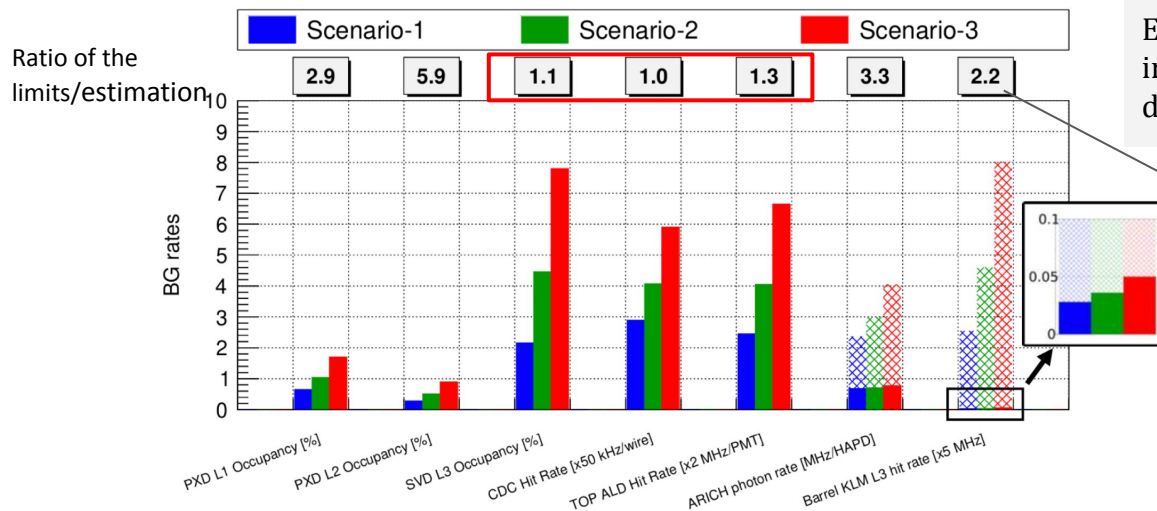
# Status and prospect

- **575 fb<sup>-1</sup> data collected so far:**
  - 2019: **Run 1** data collection started (365 fb<sup>-1</sup>)
  - 2022.06: world record  $L_{inst}$ :  $4.7 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
  - ~2023.12 **LS1**, New Collimator, 2-layer PXD, etc
  - 2024: start of **Run 2**
  - 2024.12.27 : new  $L_{inst}$  record (Belle II off)  $5.2 \times 10^{34} \text{ cm}^{-2} \text{ s}^{-1}$
- Plenty of physics results with the data collected so far
- **LS2** planned for a major upgrade: 2032
  - Targeting higher  $L_{inst}$ :  $6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
  - Ultimate goal:  $\sim 50 \text{ ab}^{-1}$
  - Upgrade & Redesign of the **Interaction Region (IR)**
  - Window for upgrades of the Belle II detector



# Motivations

- New final focus quadrupole magnet system (QCS) to achieve the ambitious goal:  $L_{inst} = 6 \times 10^{35} \text{ cm}^{-2} \text{ s}^{-1}$
- **Mechanical:**
  - Inner Region (IR) need to adapt to new QCS; New beampipe and innermost detector are required
- **Severe beam background level:**
  - Both single-beam and luminosity backgrounds will significantly increase (x5 for scenario-2)
  - Beam background reaching the limits of several sub-detectors: SVD, CDC, TOP



Estimation of expected beam background in 2032 with different scenarios for all the detectors (larger uncertainties):

Safety margin using scenario-2:  
Ratio of the limits/estimation

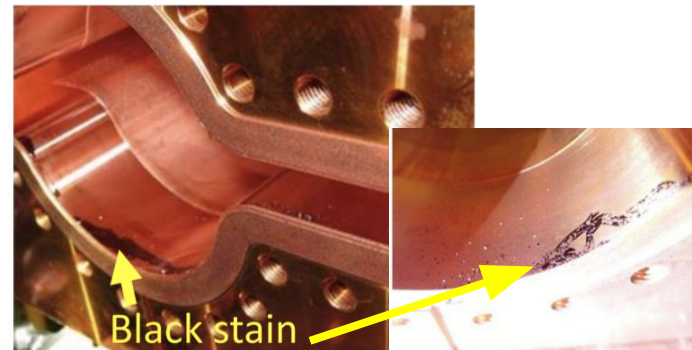
Framework Conceptual Design report (FCDR)  
<https://arxiv.org/html/2406.19421v2>



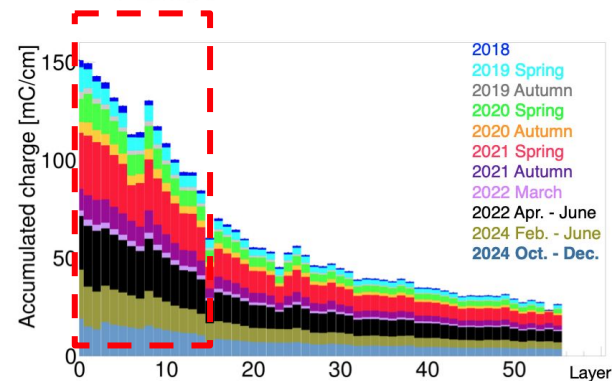
# Motivations

## Main issues during Run1/2:

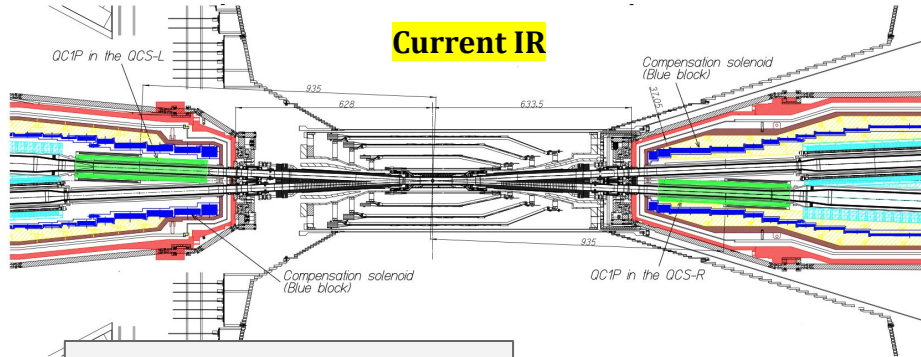
- **Sudden Beam Los (SBL): significant beam charge loss**
  - PXD2 was switched off for that (~2% damaged area by SBL), occasionally leading to quenching of Superconducting magnets
  - Attempts to understand the issue:
    - Installed Knockers => SBL events decreased
    - Flipped clearing electrodes => no improvement
    - Cleaned the **black stains** near flanges => **no more SBL from that location**
  - Faster beam loss detection and emergency shutdown implemented to protect detector
- **CDC aging issue: (Carsten's talk on Tuesday)**
  - **Gain drop of 10-15% observed in the inner super layers**
  - Various studies ongoing to understand the issue and evaluate the impact on performance
- **Beam-beam interaction** (short beam lifetime)
  - Upgrade of the IR



Identified as **burnt silicon**, most likely from the degradation of **vacuum sealant (VACSEAL)**



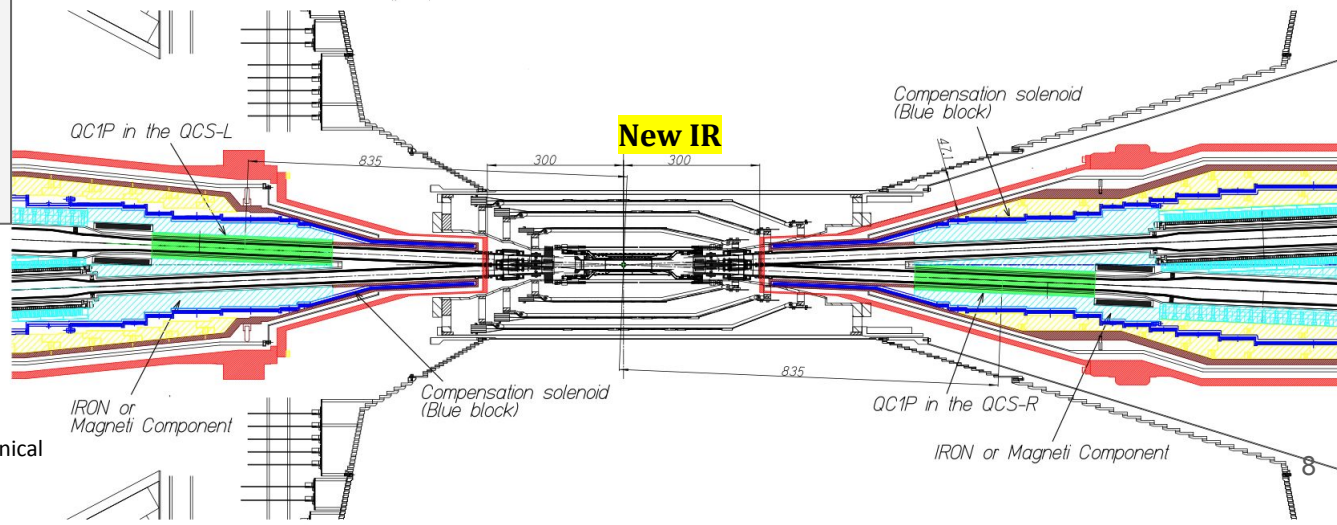
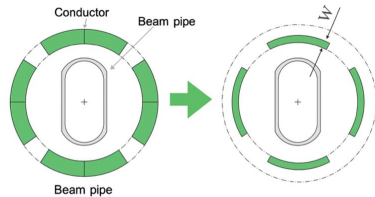
# Upgrade of the IR



Cope with machine upgrade:

- Redesigned QCS to squeeze beams for higher  $L_{int}$ 
  - 100mm Closer to IP (on Z)
- IR region needs to adapt to the new QCS
- Potential new beampipe and innermost detector

Vacuum vessels of the cryostat  
Liquid helium vessels  
Tungsten radiation shields  
Anti-solenoid coils  
Magnetic yokes/shields  
QC1P magnets

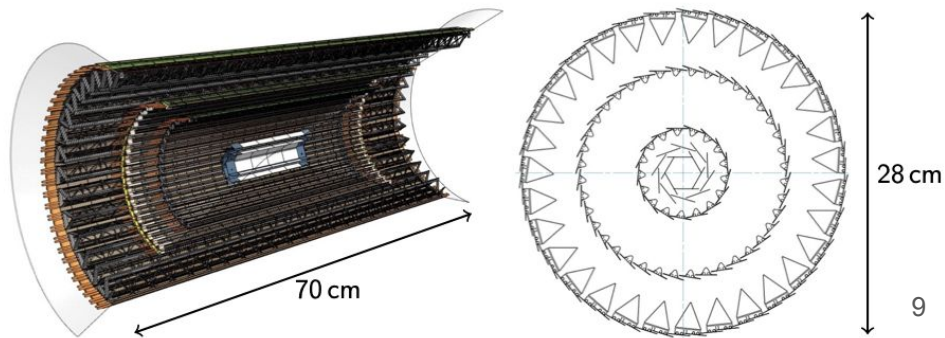
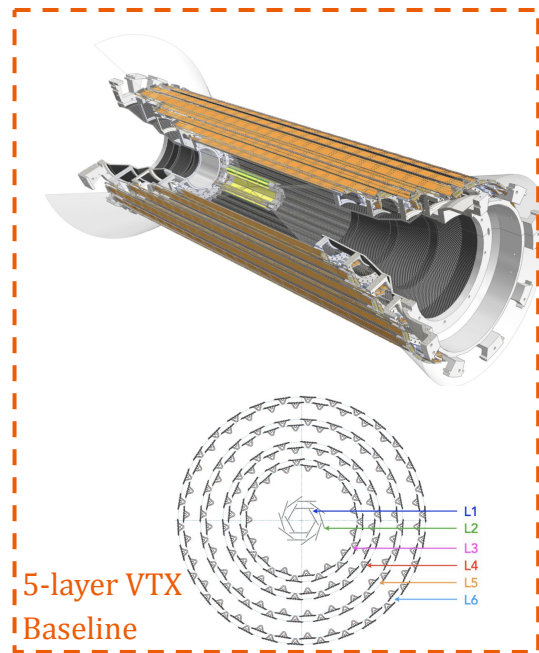


Thinner conductors will be needed to avoid mechanical interference between the two beam lines



# Vertex detector upgrade: VTX

- PXD + SVD  $\Rightarrow$  **new VTX**
- Key **performance requirements for the upgrade:**
  - Higher spatial and time granularity to cope with the harsh background condition
  - Spatial resolution:  $< 15 \mu\text{m}$
  - Low Material budget:  $0.2\% - 0.8\% X/X_0$  per layer for the inner and outer layers
  - Hit rates capability: up to  $120 \text{ MHz}/\text{cm}^2$
  - Fast timestamping: capabilities in  $50\text{-}100 \text{ ns}$
  - Power dissipation:  $< 200 \text{ mW}/\text{cm}^2$
  - Radiation tolerance (inner layer over 10 years operation): TID up to  $1 \text{ MGy}$  and NIEL of  $5 \times 10^{14} \text{ n}_{\text{eq}}/\text{cm}^2$
- A **5- or 6-layer depleted monolithic active pixel sensors (DMAPS)**
  - Radius:  $14 \text{ mm} \sim 140 \text{ mm}$
  - Improve low-momentum tracking and impact parameter resolution
  - **TJ-Monopix2** is a good starting point

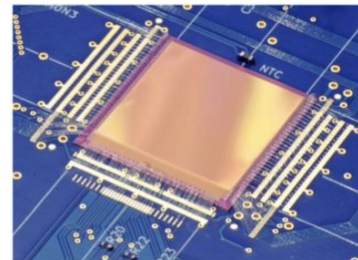


# Vertex detector upgrade: VTX sensor

**OBELIX sensor:** Optimized BELLE II monolithic active pixel sensor

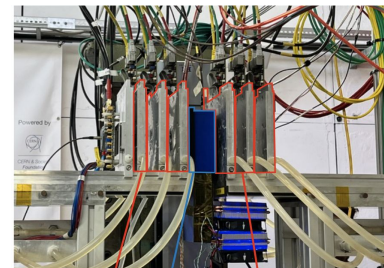
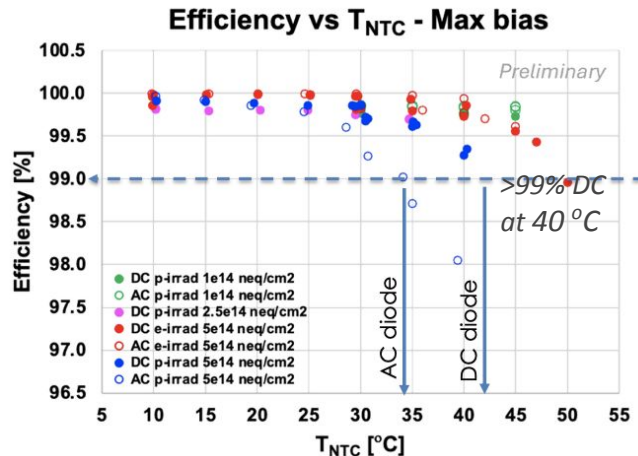
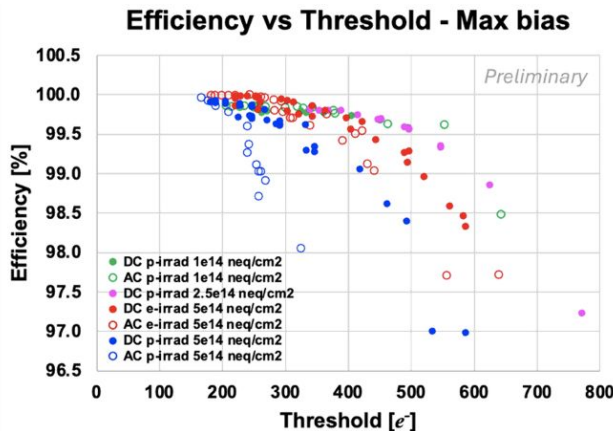
- Derived from [TJ-monopix2](#) (developed for the ATLAS inner Tracker upgrade)
  - TJ 180 nm technology
  - Pitch size: [33  \$\mu\text{m}\$](#)
  - Time-stamping capability with [50ns resolution](#)
  - [New digital periphery](#) designed to be compatible with the Belle II trigger&DAQ system
- Laboratory tests and test beam studies been performed (on TJ-Monopix2 as a reference)  
E.g. Irradiated TJ-Monopix2 beam test @March 2025  
-> operation temperature should  $< 40^\circ\text{C}$ ; DC diode chosen for higher operation range

[The DMAPS upgrade of the Belle II Vertex Detector](#)



TJM2 sensor bonded on a test board

Triggerless readout with no memories in periphery



DESY, EUDET telescope.  
4.2 GeV electron beam

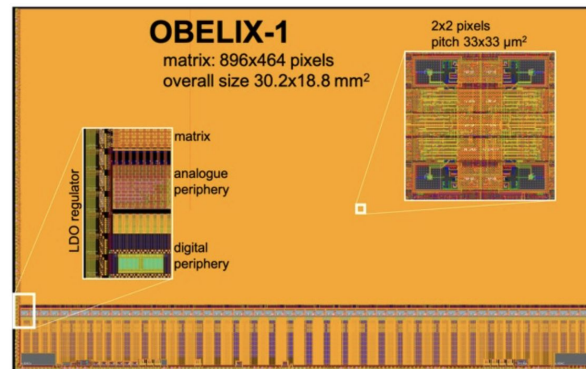
# Vertex detector upgrade: VTX

- **Schedule :**

- **OBELIX-1:** New version in preparation
  - First review of OBELIX-1 design completed, addressing feedback in progress
  - **Submission targeted for December 2025**
  - First test starting in summer 2026
- **OBELIX-2:** **design starts in early 2026**

More studies not covered today:

- **Performance** validation and comparison with current Belle II
  - Improved detection efficiency, impact parameter resolution and vertexing resolution (*more in backup slides*)
- **DAQ** development
- **Cooling strategy and support method** heavily studied
- Outer layer of VTX (oVTX) also potential **input to the trigger**



VTX upgrade is in good organization and had good progress already!

# CDC upgrade

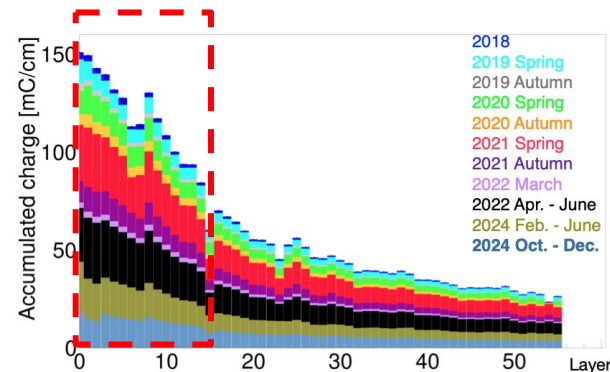
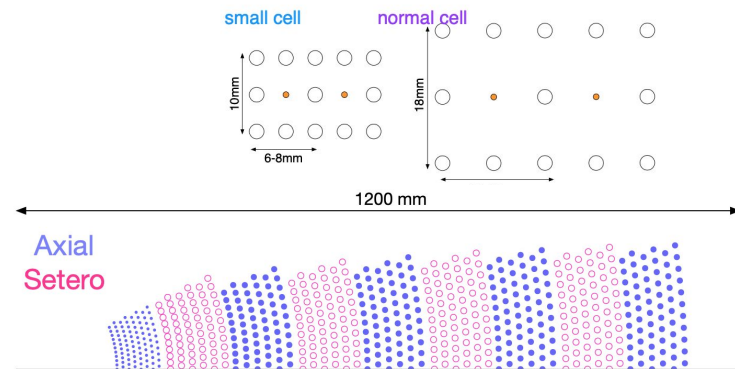
- Main **tracking** detector, also contributes to **PID and Trigger**
  - Covering radius from 160 -1130 mm
  - 56 layers in total, grouped into 9 super-layers
  - Gas: He:ethane = 50:50
  - Gas system improved for better monitoring/control of  $H_2O$  during LS1

- Main issues during Run1/2: **Aging issue**
  - Active studies being done, with more planned in the future
  - Tests with e- beam line are scheduled
  - Collaborations established with detector experts work on this issue
  - Special dataset will be taken with lower HV for testing
  - **One of the critical issue to be understood**

⇒ CDC upgrade scenarios :

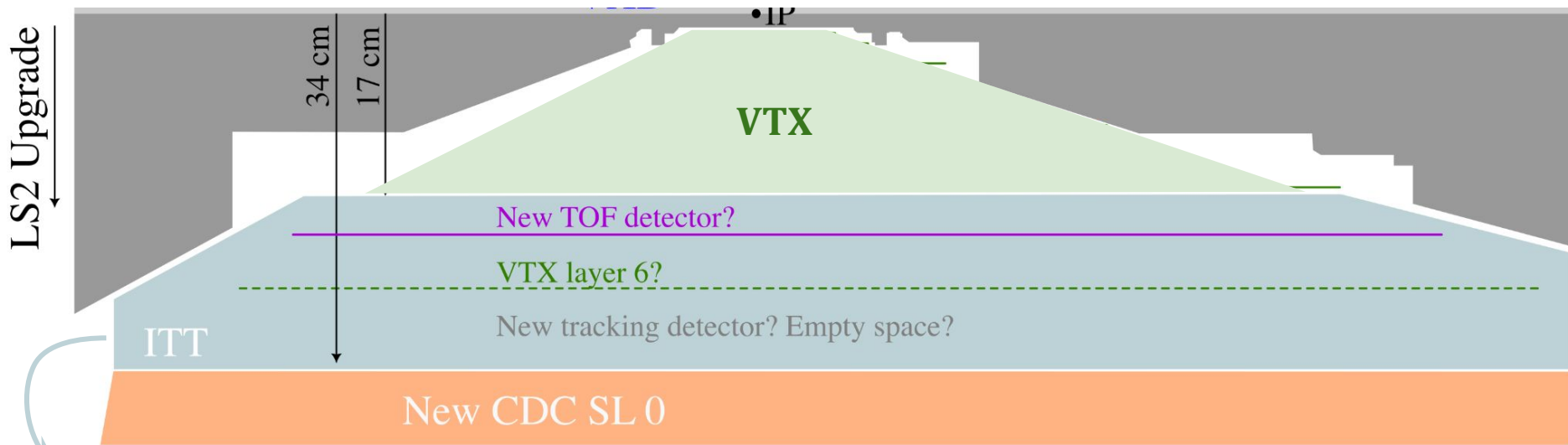
- **Use of current CDC**: **IF** the aging issue was understood
- **New CDC** with larger inner radius = current CDC without SL0 and SL1

**Others**: **New front-end board** with better cross-talk tolerance, power consumption, and radiation hardness



# Potential space for a Inner Timing Tracker (ITT)

If the new CDC scenario was chosen:



A **Gap** between VTX and new CDC => leaving tracks in this region **uncovered**  
-low pt tracks

**Proposal:** Add a detector here!

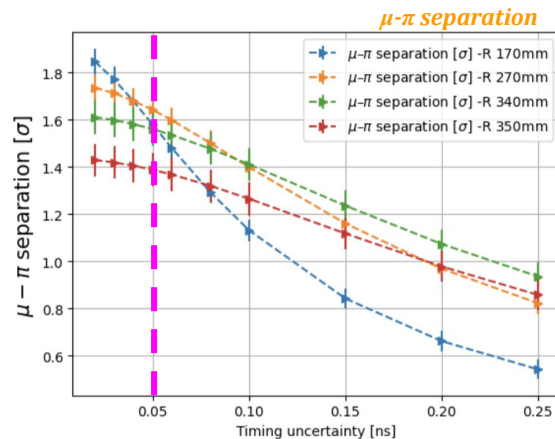
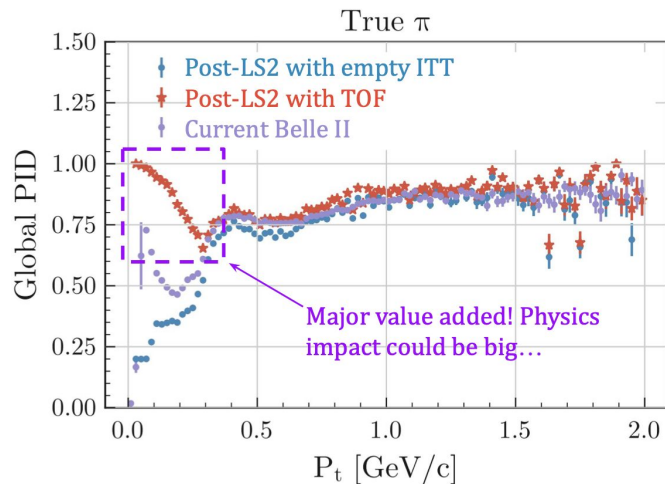
- Fast timing detector (PID based on TOF) -> ITT region (Inner Timing Tracker)

↪ Identify a fast timing , practical solution with acceptable material.

- Preliminary simulation to understand the performance



- Preliminary studies have been done to understand the **potential benefits** and **requirements** to the actual technology:
  - **Promising PID improvement:** TOF-base PID with **50ps** timing resolution
  - Further studies to be done to understand the contribution to tracking and trigger



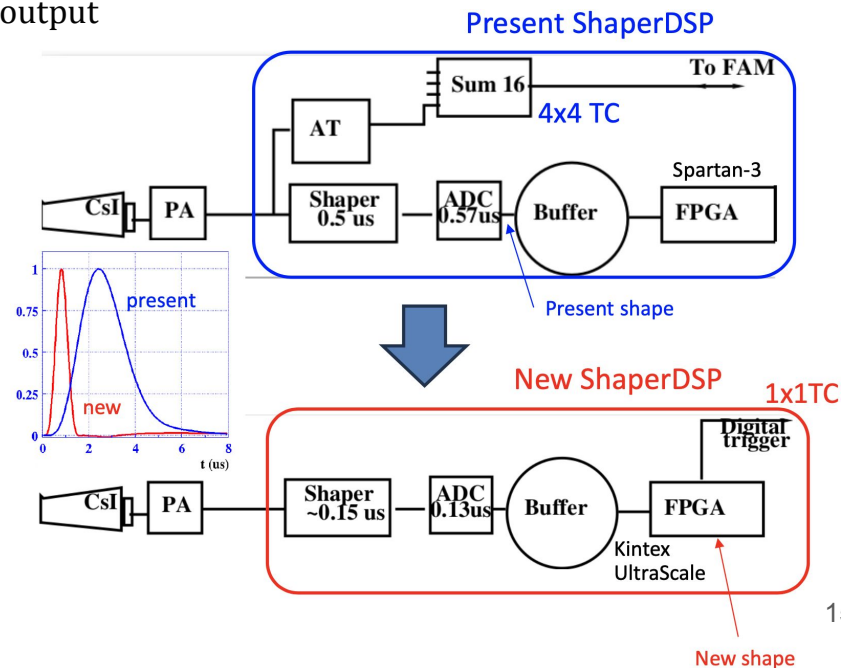
- **Potential scenarios:**
  - **TOF:** LGAD is an attractive option for its timing performance and well-established technology
  - **Pure tracking layer**

# ECL upgrade

- Degraded energy and time resolution is expected with higher pileup noise (fluctuation of the beam background events overlapping the signal)
  - Efforts on **benchmarking the impact with physics performance** (ongoing):  $\pi^0$  mass resolution, more channel to be explored
- **New ShaperDSP**(digitizer board): with faster shaping, higher sample-rate ADC, higher-granularity trigger cell, new FPGA and new optical trigger links and fully digital trigger output
- **New electronics chain: new** Trigger and DAQ chain for the new shaper
- **Upgrade of the simulation** to emulate the response of the new ShaperDSP

**Status:** Design of the first prototype of new ShaperDSP in progress

[ECL upgrade talk](#)

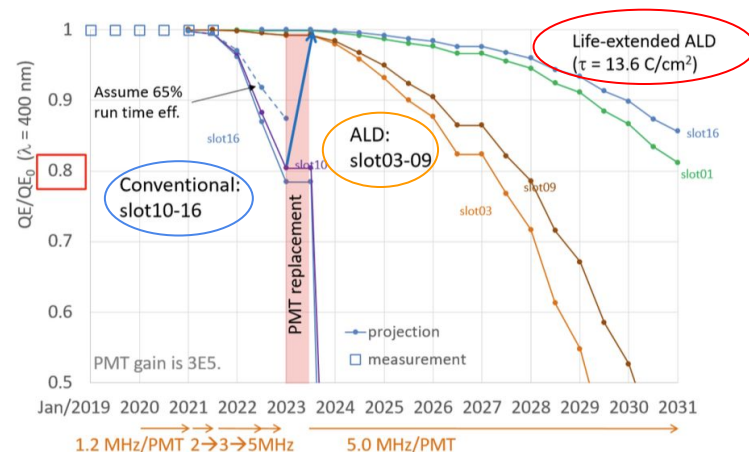


# Time of Propagation counter (TOP)

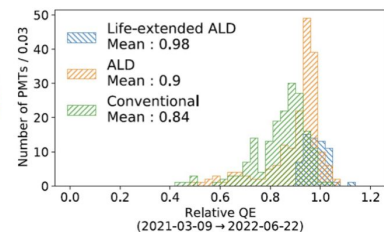
- TOP Belle II main PID detector in the barrel region:
  - Operating smoothly and performing well without major issues
  - Evidence of accelerated aging of PMTs under high hit rate
    - Photocathodes are damaged by ions or residual gas in the tube
    - Atomic layer deposition (ALD) to mitigate this
  - Replacement of the Microchannel Plate photomultiplier Tubes (MCP-PMTs) has started to ensure stable operation throughout the entire experiment

## Middle-term upgrade plan:

- (primary) Complete replacement of MCP-PMTs with lifetime-extended ALD type: end of 2026
  - At the moment, ~50% of PMTs are still ALD type with a shorter lifetime
  - 220 new MCP-PMTs needed => production started already
  - Studies ongoing to understand the ageing differences
- (alternative) Further R&D to explore replacing MCP-PMTs with SiPMs



Relative QE after 0.3 C/cm<sup>2</sup> (for 400 fb<sup>-1</sup>)

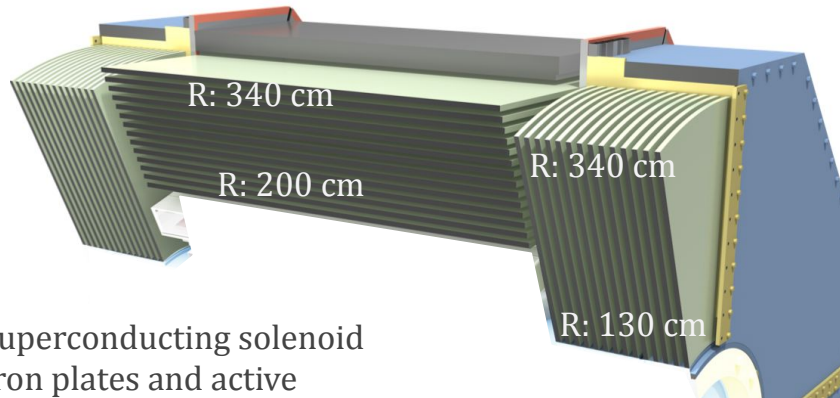


# Upgrade of KLM

- Detection of  $K_L$  and  $\mu$ :
  - Large-surface-area, thin planar detectors outside the superconducting solenoid
  - Consisting of an alternating sandwich of 4.7 cm thick iron plates and active detector elements
  - $K_L$  detection had been essential for decays with missing mass

Two independent upgrade options under study:

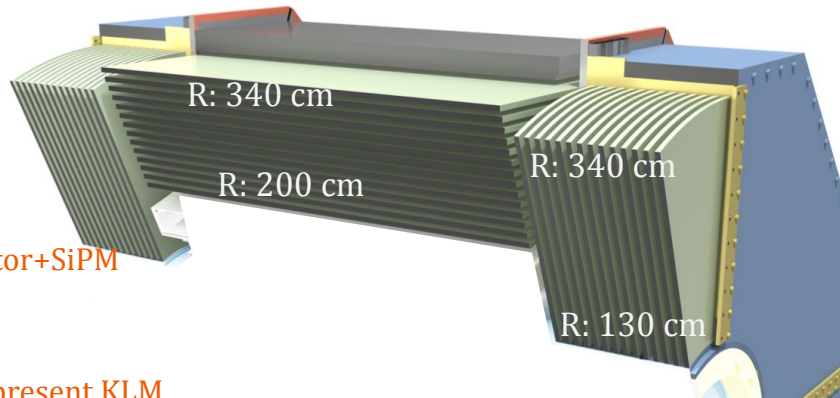
- Option-1: Rebuild detector panels and replace all RPCs with scintillator+SiPM
- Option-2: Change the RPC operation mode from streamer to proportional mode



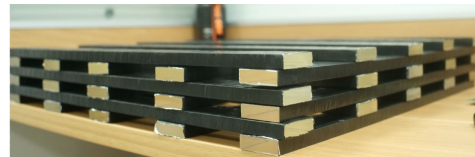
# Upgrade of KLM

Two independent upgrade options under study:

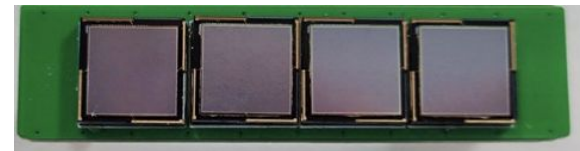
- Option-1: rebuild detector panels and replace all RPCs with **scintillator+SiPM**
  - Allow **fast timing** ( $\sim 100\text{ps}$ ):
    - Rejects out-of-time hits from the ambient neutron flux
    - **Access to  $K_L$  momentum via TOF => not possible with present KLM**
      - *2m flight length -> momentum resolution of  $\sim 13\%$  for  $1.5\text{ GeV}/c K_L$*
  - **Mechanically complicated**: Requires roll-out of Belle II and opening of the forward and backward endcap doors
    - Access of all the panels will be challenging: beamline-supporting concrete shield blocks the bottom 3 sectors
    - Need to coordinate with other subsystems for installation
  - Status: **A small prototype been built with 60 scintillators and will be ready for testing soon**
- Option-2: Change the RPC operation mode from streamer to proportional mode
  - Keep the existing KLM detector panels untouched
  - **New preamplifier required** to operate in proportional mode



Shiming's talk on Belle II KLM upgrade



30 scintillator strips. Array size:  $50\text{cm} \times 50\text{cm} \times 6\text{cm}$



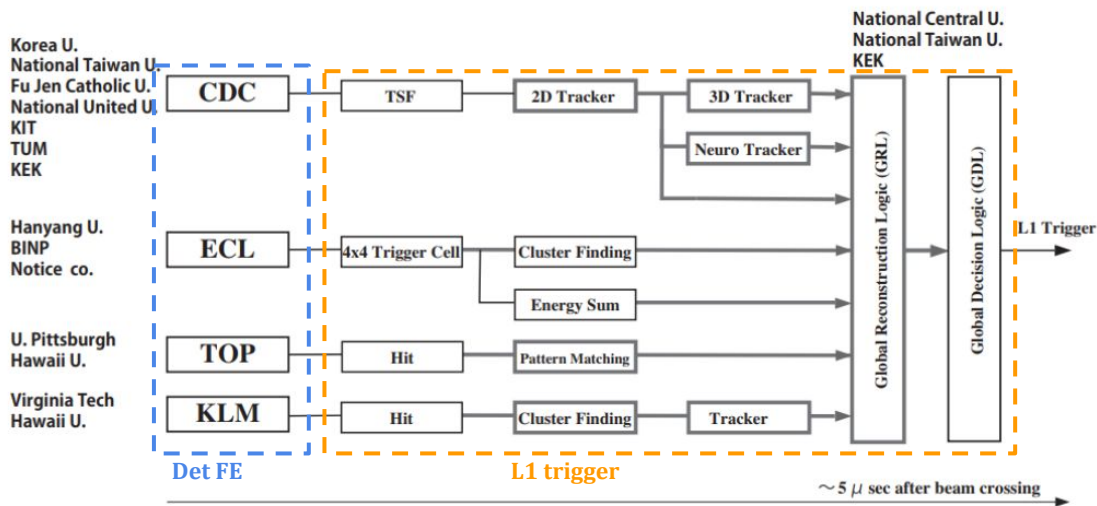
SiPM array



# Trigger

- Current Hardware trigger: (L1 trigger)
  - CDC and ECL are taking a major role to trigger **charged particles**
  - KLM for **muons** and TOP for precise **event time** measurement
  - Matching of sub-triggers (GRL); final trigger decision
  - UT, universal trigger board, used by different sub-trigger commonly
- Further upgrades are needed to satisfy the high lumi environment:
  - Next-generation universal Trigger board: **UT5 for ML and AI engine**
  - Upgrade on both hardware and firmware

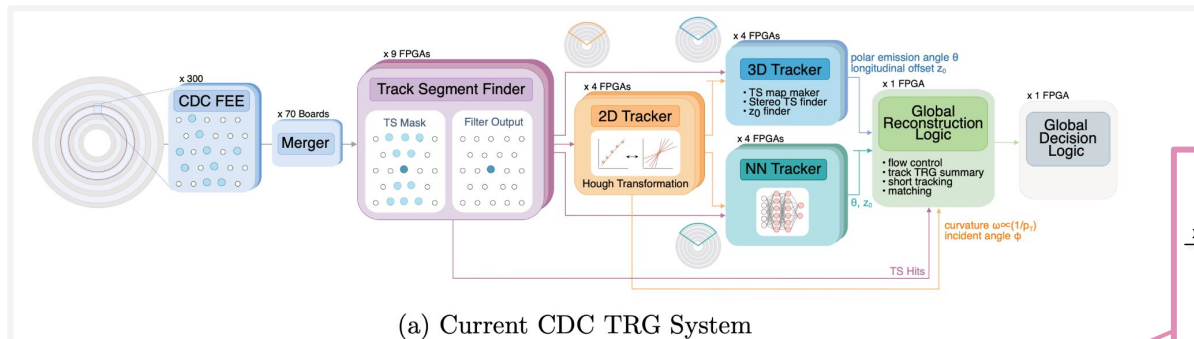
UT generation	UT3	UT4	UT5
Main FPGA (Xilinx)	Virtex6 XC6VHX380-565	Virtex Ultrascale XCVU080-190	Versal
Sub FPGA (Xilinx)	—	Artex7	Artex7, Zynq
# Logic gate	500k	2000k	8000k
Optical transmission rate	8 Gbps	25 Gbps	58 Gbps
# UT boards	30	30	10
Cost per a board (k\$)	15	30	50
Time schedule	2014-	2019-2026	2024-2032



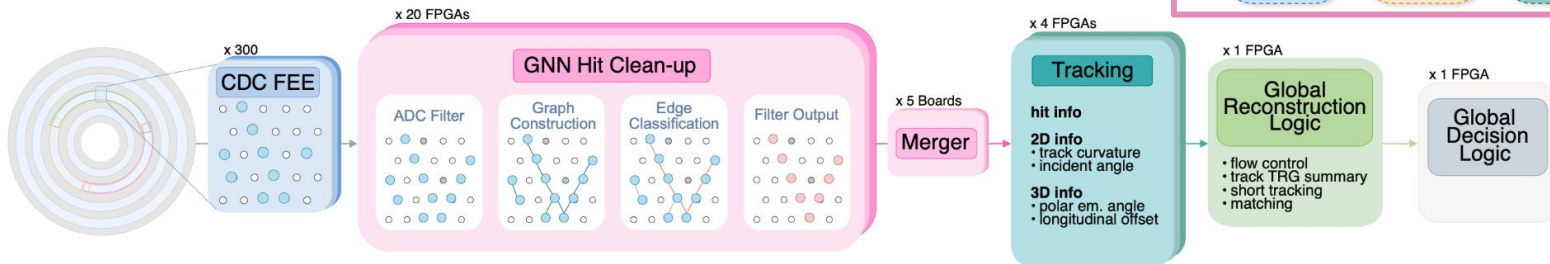
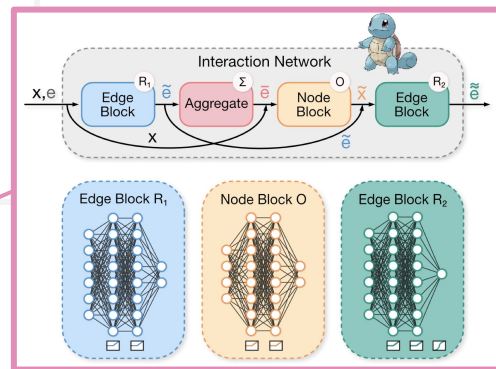
Potential new inputs to L1-trigger:

- New **VTX** and **ITT** as the input to L1 trigger

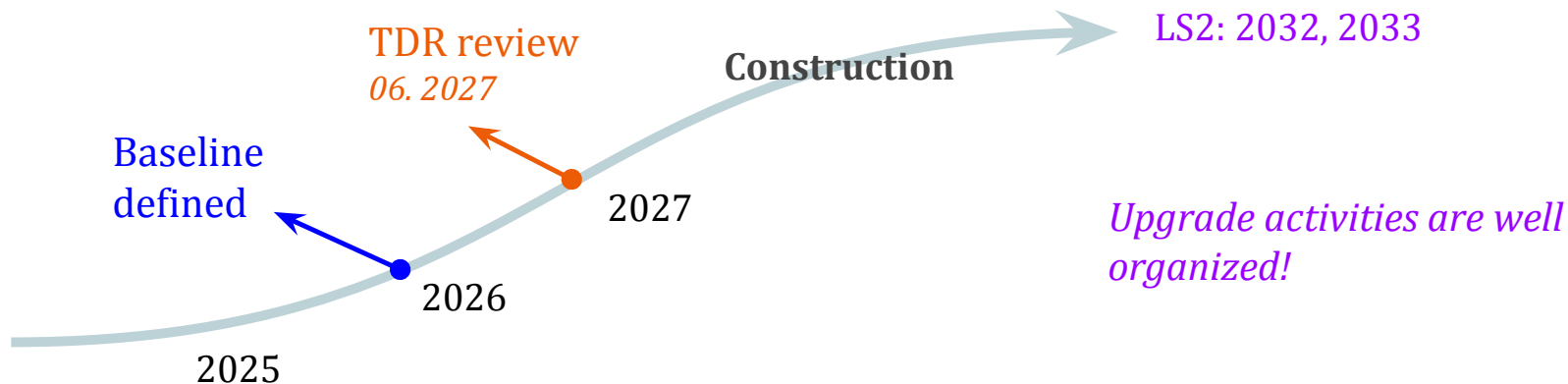
# Trigger: ML for FE



## GNN based hit clean-up for CDC



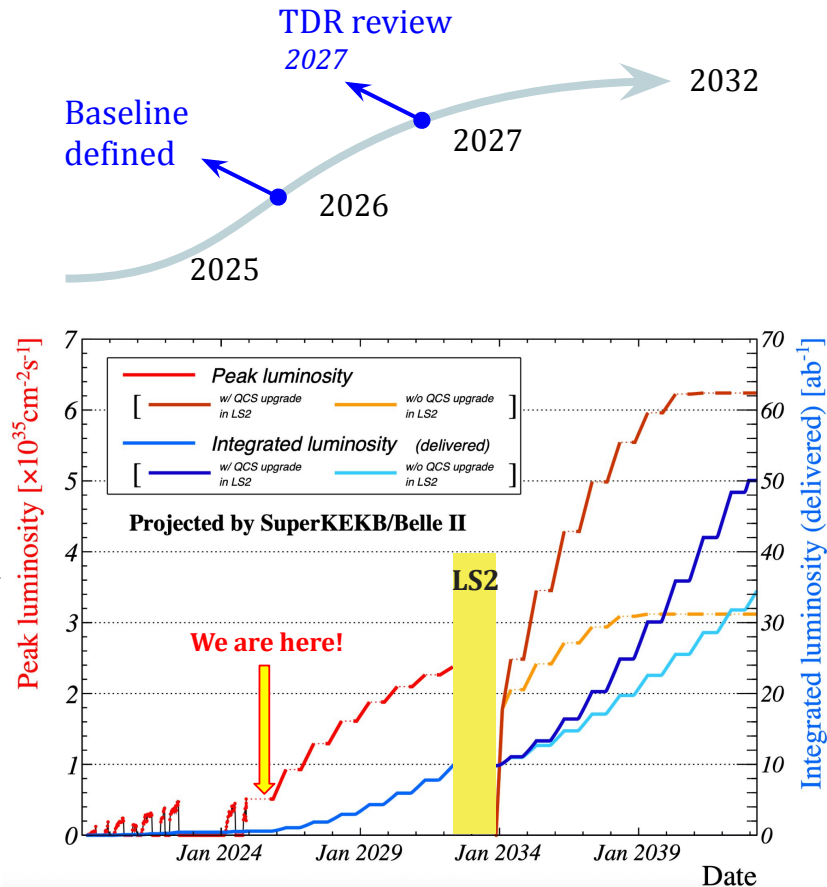
# Upgrade timeline



- A lot more R&D activities going on but not covered today!
- Various options are still being studied in parallel and they are all on the table
- **Major timeline** towards LS2:
  - **Early 2026**: converge on a baseline upgrade scenario
    - QCS envelope geometry
    - Understand more about the CDC aging issue
    - ITT scenario if there will be an ITT volume
    - Post-LS2 Beam Background estimation
  - **TDR review** planned in 2027

# Summary & outlook

- Belle II has been operating and collecting data successfully in the past several years
- Ambitious plans targeting much higher  $L_{inst}$  have been made
- **LS2 planned:** Upgrade of Belle II detector to operate under higher luminosity with improved performance:
  - Large number of ongoing R&D studies
  - Huge amount of work to be done in the next few years
- Targeting TDR review in 2027
- Stay tuned!

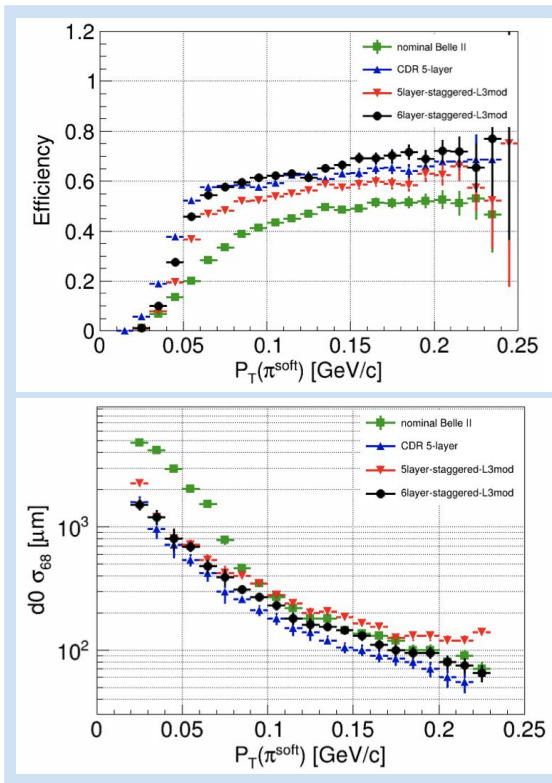
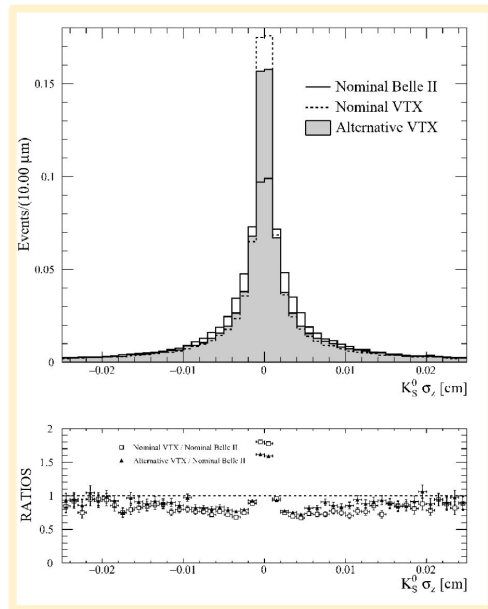
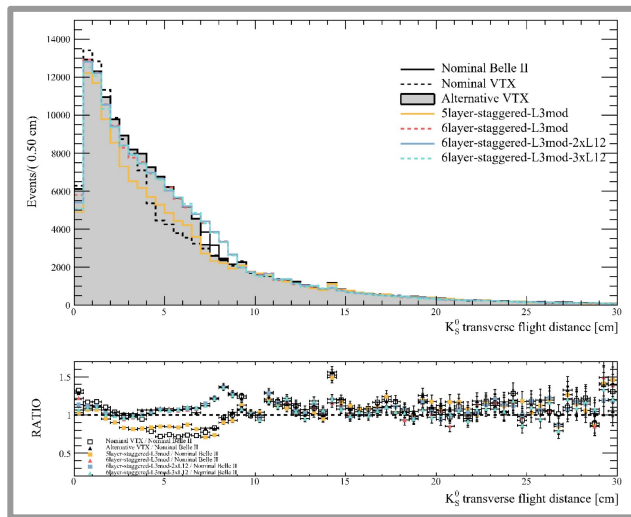


backup

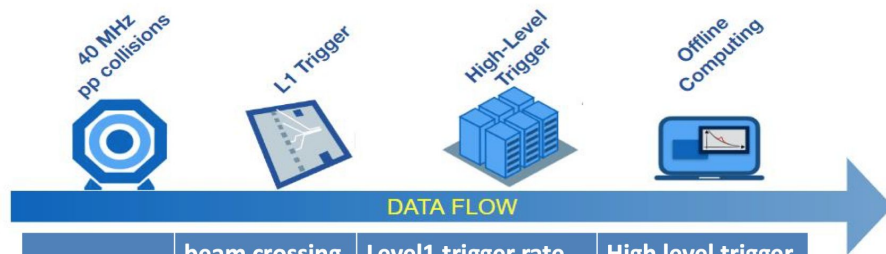


# VTX performance and geometry optimization based on simulation

- Improved detection efficiency and improved impact parameter resolution for  $\pi_s$ 
  - $B^0 \rightarrow D^{*-} (\rightarrow D^0 \pi_s^-) \mu^+ \nu_\mu$
- Better vertex resolution
  - $B^0 \rightarrow J/\psi K_S^0$
- Material budget: less material for inner layer



→ More studies in the future (Move this slide to backup)



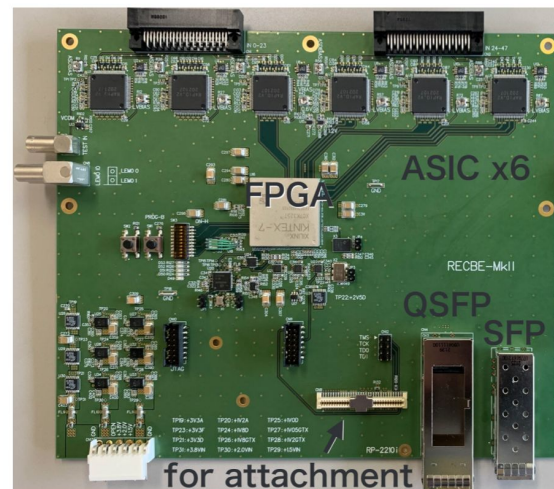
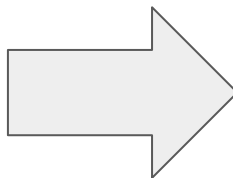
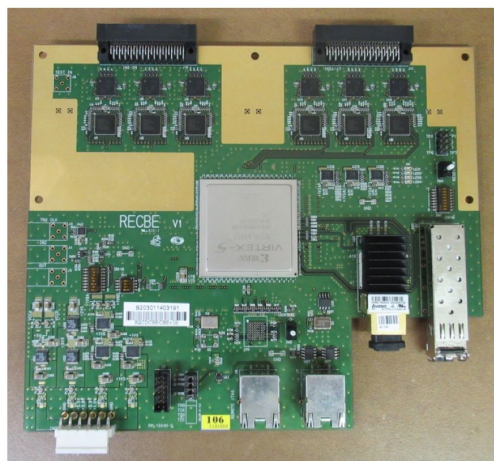
	beam crossing rate	Level1 trigger rate (FPGA)	High level trigger rate (CPU/GPU)
<a href="#">ATLAS</a>	40MHz	100kHz	1kHz
<a href="#">LHCb</a>	40MHz	1MHz	12.5kHz, 0.6GB/s
<a href="#">LHCb upgrade</a>	30MHz	no Level1 !	2-5 GB/s
Belle II	250MHz	30kHz	<a href="#">5~10kHz, 1.8GB/s</a>

# CDC upgrade

Others: **New front-end board** with better cross-talk tolerance, power consumption, and radiation hardness

- New 8-channel 65nm front-end ASIC (TDC+flash-ADC)
  - 6 ASICs per board
- Rad-hard optical module QSFP (for data transmission to trigger/DAQ)
  - Total dose:  $\sim 1\text{kGy}$
  - Candidates of QSFP are selected through  $\gamma$  and n radiation hardness tests

**Status:** electronics design is close to completion => **review is planned soon**

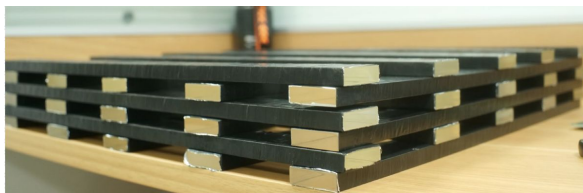
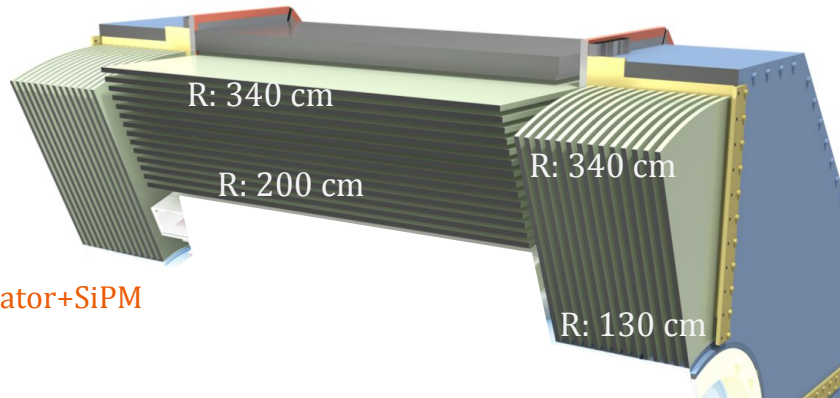


New

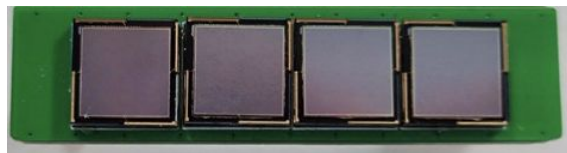
# Upgrade of KLM

Two independent upgrade options under study:

- Option-1: rebuild detector panel and replace all RPCs with **scintillator+SiPM**
- Allow **fast timing** (100ps):
  - Reject out-of-time hits from the ambient neutron flux
  - **Access to  $K_L$  momentum via TOF => not possible with present KLM**
    - *100 ps, 2m flight length -> momentum resolution of ~13% for 1.5GeV/c  $K_L$*
    - *Critical for decays with missing mass and CPV*
- **Mechanically complicated**: Requires roll-out of Belle II and opening of the forward and backward endcap doors
  - Access of all the panels will be challenging: beamline-supporting concrete shield blocks the bottom 3 sectors
  - Need to coordinate with other subsystems for installation => **challenging** and requires ~1 year to accomplish the installation steps
- Status: **A small prototype been built with 60 scintillators and will be ready for testing soon**



30 scintillator strips. Array size: 50cmm × 50cmm × 6cmm



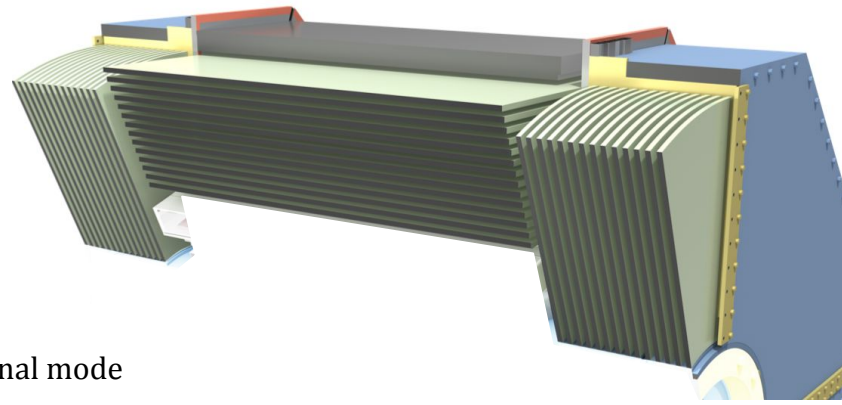
SiPM array

# Upgrade of KLM

Two independent upgrade options under study:

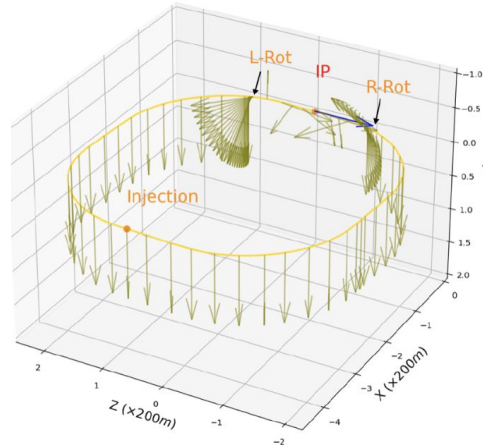
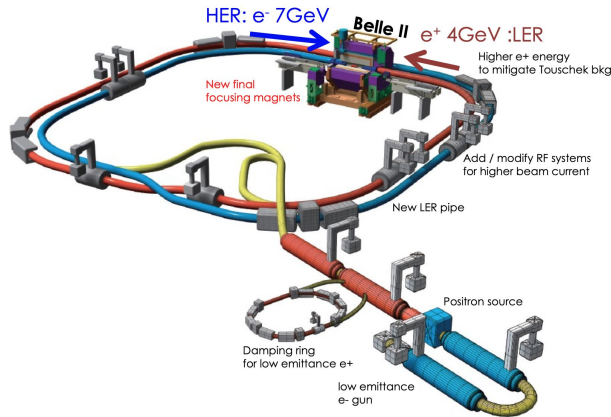
Option-2: Change the RPC operation mode from streamer to proportional mode

- Keep the existing KLM detector panels untouched
- Maintaining present KLM performance in high background condition
- **New preamplifier required** to work with the proportional mode



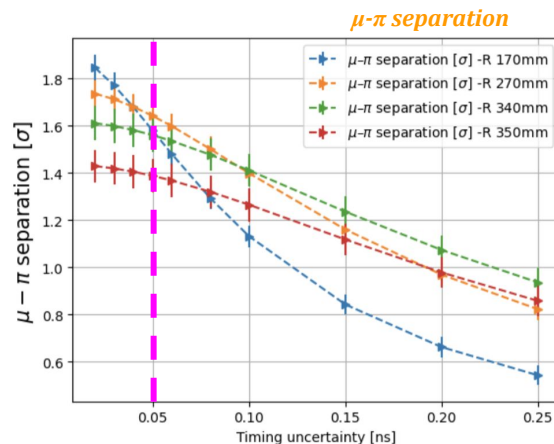
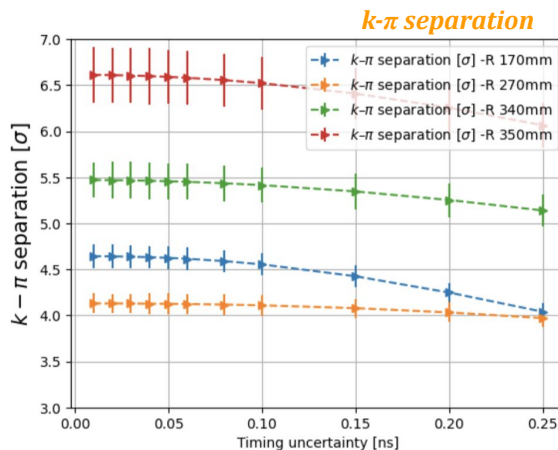
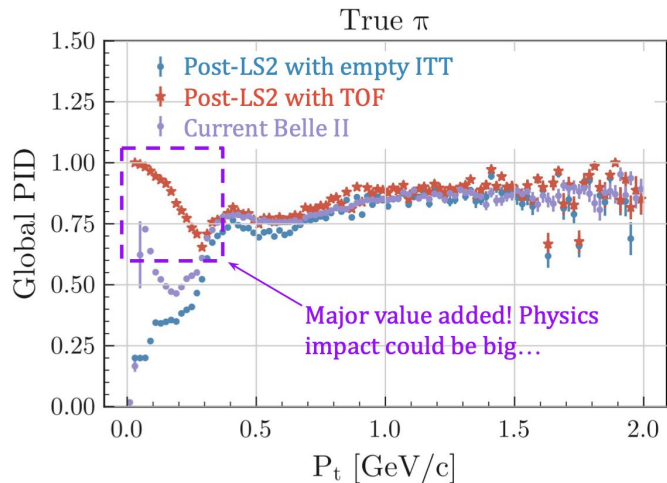
# Chiral Belle

- **Polarized** electron beams in HER -> enable **unique and powerful sensitivities** to new physics and precision neutral current measurement at 10 GeV:
  - Neutral current universality between c and b quarks and all three generation leptons at energy below  $Z^0$



# ITT

- Preliminary studies been done to understand the **potential benefit** and **requirements** to the actual technology:
  - **Promising PID improvement:** TOF-base PID with 50ps timing resolution
  - Further study to be done to understand the contribution to tracking and trigger



- **TOF:** LGAD is an attractive option for its timing performance and well-established technology
- **Other option:** evaluation of a pure tracking layer method based on CMOS-strip in parallel