### Holographic Schwinger-Keldysh effective field theories including a non-hydrodynamic mode

Based on 2411.16306 Collaborated with: Yan Liu and Ya-Wen Sun

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2025.04.28









### Hydrodynamics









2

### Schwinger-Keldysh for hydro

Quasi-Hydro

### Hydrodynamics: basic ideas





- long time, long distance
- excitations relax quickly
- local equilibrium

### Hydrodynamics: basic ideas





- long time, long distance
- excitations relax quickly
- local equilibrium
- hydrodynamic variables
- conserved equations
- constitutive equations(dissipation)







#### QGP dissipates like a hdyro, while not a kinetic system

QGP



minimal viscosity:

 $\frac{\eta}{s} = \frac{1}{4\pi} \frac{\hbar}{k_B}$ 

Policastro, Son, Starinets, hep-th/0104066 Davison, Schalm, Zaanen 1311.2451







### QGP dissipates like a hdyro, while not a kinetic system

### QGP

 $\eta$ s

Strange metal phase <=> quantum critical region

- linear T resistivity
  - $\rho_{FL} = a \cdot T^2 + b \cdot T^3 + \dots$
  - $\rho_{SM} = a \cdot T$





minimal viscosity:

$$=\frac{1}{4\pi}\frac{\hbar}{k_B}$$



Particle picture not works

Policastro, Son, Starinets, hep-th/0104066 Davison, Schalm, Zaanen 1311.2451

rapid hydrodynamization + minimal viscosity







- o Quantum criticality and the minimal viscosity
- o Deriving the Navier–Stokes fluid from the bulk dynamical gravity (fluid/gravity)
- o Hydrodynamics and quantum anomalies



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**HOLOGRAPHIC** DUALITY IN CONDENSED MATTER PHYSICS

JAN ZAANEN, YA-WEN SUN, YAN LIU AND KOENRAAD SCHALM

#### HOLOGRAPHIC DUALITY IN CONDENSED MATTER PHYSICS

全息对偶中的凝聚态物理

〔荷〕杨・扎宁 (Jan Zaanen) 〔中〕孙雅文 (Ya-Wen Sun) [中] 刘焱(Yan Liu) 〔荷〕科恩拉德・沙尔姆(Koenraad Schalm) 孙雅文 赖腾洲 潘文彬 吴昕蒙 译

出版社



- o Quantum criticality and the minimal viscosity
- o Deriving the Navier–Stokes fluid from the bulk dynamical gravity (fluid/gravity)
- o Hydrodynamics and quantum anomalies

Many many others...

On exotic phases, e.g., charge density wave phases



#### *Colloquium*: Hydrodynamics and holography of charge density wave phases

Matteo Baggioli (1)\* and Blaise Goutéraux (1)\*





## Hydrodynamics: EOM is not enough

Reasons



 $\exists r \times lV > hep-th > arXiv:1511.03646$ 

**High Energy Physics – Theory** 

[Submitted on 11 Nov 2015 (v1), last revised 27 Jan 2017 (this version, v3)]

#### Effective field theory of dissipative fluids

Michael Crossley, Paolo Glorioso, Hong Liu

D. Nickel, D. T. Son, J. de Boer, M. P. Heller, N. Pinzani-Fokeeva.....





# Hydrodynamics: EOM is not enough

### Reasons

Hydrodynamics is based on conserved equations + constitutive equations, it cannot capture effects of fluctuations, which is important in o equilibrium time correlation functions(e.g. in liquid diffusion becomes long-time tail);

- o dynamical critical phenomena;
- o quantum phase transitions





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Currents (constitutive equations) are formulated phenomenologically, not from the generating functions.





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### Hydrodynamics: Effective action

### U(1) diffusion as example

Use Schwinger-Keldysh formalism to define generating function around the equilibrium.

For conserved current  $W[A_{1\mu}, A_{2\mu}] = W[A_{1\mu} + \partial_{\mu}\lambda_1, A_{2\mu} + \partial_{\mu}\lambda_2]$ 



### generating $e^{iW[A_{1\mu},A_{2\mu}]} \equiv \operatorname{Tr}\left[\rho_0 \mathcal{P}e^{i\int d^d x \left(A_{1\mu}J_1^{\mu}-A_{2\mu}J_2^{\mu}\right)}\right]$

 $\exists \mathbf{T} \mathbf{V} > hep-th > arXiv:1805.09331$ 

High Energy Physics – Theory

[Submitted on 23 May 2018]

Lectures on non-equilibrium effective field theories and fluctuating hydrodynamics

Paolo Glorioso, Hong Liu





### Hydrodynamics: Effective action

### U(1) diffusion as example

Use Schwinger-Keldysh formalism to define generating  $e^{iW[A_{1\mu},A_{2\mu}]} \equiv \text{Tr} \left[ \rho_0 \mathcal{P} e^{i \int d^d x \left(A_{1\mu} J_1^{\mu} - A_{2\mu} J_2^{\mu}\right)} \right]$ function around the equilibrium.

For conserved current  $W[A_{1\mu}, A_{2\mu}] = W[A_{1\mu} + \partial_{\mu}\lambda_1, A_{2\mu} + \partial_{\mu}\lambda_2]$ 

Integrate out the high-frequency variables, and only focus on low-energy variables, i.e. gapless mode in hydrodynamics.  $e^{W[A_{1\mu},A_{2\mu}]} = \int D\varphi_1 D\varphi_2 e^{iI_{\text{EFT}}[B_{1\mu},B_{2\mu}]} B_{1\mu} \equiv A_{1\mu} + \partial_{\mu}\varphi_1, \qquad B_{2\mu} \equiv A_{2\mu} + \partial_{\mu}\varphi_2$ 



$$A_{1,2\mu} \rightarrow A_{1,2\mu} - \partial_{\mu}\lambda_{1,2}, \qquad \varphi_{1,2} \rightarrow \varphi_{1,2} + \lambda_{1,2}$$



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### Hydrodynamics: Effective action

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 $e^{iW[A_{1\mu},A_{2\mu}]} \equiv \operatorname{Tr}\left[\rho_0 \mathcal{P}e^{i\int d^d x \left(A_{1\mu}J_1^{\mu} - A_{2\mu}J_2^{\mu}\right)}\right]$ Use Schwinger-Keldysh formalism to define generating function around the equilibrium.

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Impose constraints in the effective action, such as symmetry, KMS, unitary and locality conditions.

$$rac{\delta I_{
m EFT}}{\delta A_{1\mu}(x)} \equiv \hat{J}_1^{\mu}(x), \qquad rac{\delta I_{
m EFT}}{\delta A_{2\mu}(x)} \equiv -\hat{J}_2^{\mu}(x)$$

$$rac{\delta I_{
m EFT}}{\delta arphi_{1,2}(x)} = -\partial_{\mu} \hat{J}^{\mu}_{1,2}(x) = 0$$



 $A_{1,2\mu} \to A_{1,2\mu} - \partial_{\mu}\lambda_{1,2}, \qquad \varphi_{1,2} \to \varphi_{1,2} + \lambda_{1,2}$ 











 $\exists \mathbf{I} \mathbf{V} > hep-th > arXiv:1812.08785$ 

**High Energy Physics – Theory** 

A prescription for holographic Schwinger-Keldysh contour in non-equilibrium sy Paolo Glorioso, Michael Crossley, Hong Liu

Complexify the radial coordinate in the bulk; Compute the on-shell action along the closed loop.



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2004.02888...)



**arXiv**:1812.08785

**High Energy Physics – Theory** 

A prescription for holographic Schwinger-Keldysh contour in non-equilibrium sy Paolo Glorioso, Michael Crossley, Hong Liu

Complexify the radial coordinate in the bulk; Compute the on-shell action along the closed loop.

It has been used in many physics, (pseudo-)Goldstone(e.g 2304.14173), chiral anomalous MHD(2412.02361), and generalized to open systems with non-Gaussian interactions(e.g.



yste	ems
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## Quasi-hydro: beyond hydrodynamics

### Quasi-hydrodynamics picture







Symmetries are weakly broken

Examples:

. . . . . . . .

MHD(charge relaxation) Charge density wave(weak momentum relaxation) Elasticity(higher form current) Negative magneto-resistivity(Axial U(1))

Grozdanov, Lucas, Poovuttikul, 1810.10016



# Our question: EFT of quasi-hydro?

**Properties we expect** 



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# Our question: EFT of quasi-hydro?

### **Properties we expect**

- o SK action: Unitary + Local + KMS
- The action generates the correct conserved equation + constitutive equation
- o Include two modes, one is the gapless and another is gapped, from retarded Green's function

### Difficulty







# Our question: EFT of quasi-hydro?

### **Properties we expect**

- o SK action: Unitary + Local + KMS
- The action generates the correct conserved equation + constitutive equation
- o Include two modes, one is the gapless and another is gapped, from the retarded Green's function

### Difficulty

• How to introduce a gapped mode, if we do not include more matter field?







# U(1) diffusion in conformal to AdS2

#### **Our Model**

 $S = \int d^4x \sqrt{-g} \left( R - \frac{3}{2} (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \psi_I)^2 \right)$ 





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# U(1) diffusion in conformal to AdS2

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#### conformal to

 $r = rac{\sqrt{2}}{m \zeta^2}$ 

 $(t, \zeta, x, y) \rightarrow (\lambda t, \lambda \zeta, x, y)$ 

AdS<sub>2</sub>

 $ds^2 \rightarrow \lambda^{-1} ds^2$ 





# U(1) diffusion in conformal to AdS2

#### **Our Model**

$$S = \int d^4x \sqrt{-g} \left( R - \frac{3}{2} (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{6}{L^2} \cosh \phi - \frac{1}{2} \sum_{I=1}^2 (\partial \phi)^2 + \frac{1}{2} \sum_{I=1$$

This is a famous model to study holographic strange metal, together with the linear-T resistivity, see for example, 1311.2451, 2211.05492



 $ds^2 \rightarrow \lambda^{-1} ds^2$ 







# U(1) diffusion in conformal to AdS<sub>2</sub>

#### $\omega \ll T \ll m$

### **Dispersion of gapless and gapped modes**



Diffusion-sound crossover



# $S = -\frac{1}{4} \int d^4x \sqrt{-g} \, e^{\alpha\phi} F_{mn} F^{mn}$

#### 0.006 0.002 0.003 0.004 0.005 $2\pi T$



$$D_c = \int_{r_0}^{\infty} dr \, \frac{e^{\alpha \phi_0}}{f e^{\alpha \phi}}$$

$$\tau = \int_{r_0}^{\infty} dr \left( \frac{e^{\alpha \phi_0}}{u e^{\alpha \phi}} - \frac{1}{4\pi T} \frac{1}{r - r_0} \right)$$





# U(1) diffusion in conformal to AdS<sub>2</sub>

#### $\omega \ll T \ll m$

### **Dispersion of gapless and gapped modes**



#### Diffusion-sound crossover

The charge diffusive mode is natural, because it comes from the conservation law of the U(1) charge. However, the gapped mode means it is a relaxed mode, relaxation of what?



$$S = -\frac{1}{4} \int d^4x \sqrt{-g} \, e^{\alpha\phi} F_{mn}$$



 $D_c = \int_{r_0}^{\infty} dr \, rac{e^{lpha \phi_0}}{f e^{lpha \phi}}$ 

$$\tau = \int_{r_0}^{\infty} dr \left( \frac{e^{\alpha \phi_0}}{u e^{\alpha \phi}} - \frac{1}{4\pi T} \frac{1}{r - r_0} \right)$$





# Maxwell-Cattaneo model

U(1) diffusion with a relaxed spatial current

 $d\epsilon = T ds$ Thermodynamics

 $J^{\mu} = n u^{\mu}$ **Constitutive equations** Oth order 1st order

 $\partial_t j^t + \partial_i j^i =$ **Conserved equations** 

Dispersion

 $i\omega(1 - i\omega\tau) - Dk^2 = 0$ 



$$s + \mu dn + \frac{\chi_v}{2} dv^2$$
  
 $V_i$ 

$$+ \alpha_v v^{\mu} \qquad \alpha_v v^{\mu} = -\sigma \Delta^{\mu\nu} \Big( T \partial_{\nu} (\mu/T) + \frac{\chi_v}{\alpha_v} \partial_t \Big)$$

relax with time

$$= 0, \qquad \partial_t j^i + \frac{D}{\tau} \partial_i j^t = -\frac{1}{\tau} j^i$$

Akash Jain and Pavel Kovtun 2309.00511







## MC model from holography: idea

To obtain the SK action, we compute the on-shell action along the contour in the complex radial coordinate.

$$e^{W[A_{1\mu},A_{2\mu}]} = \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 e^{iI_{ ext{eff}}[B_1,B_2]}$$
  
 $= \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 \mathcal{D}b_{1\mu} \mathcal{D}b_{2\mu} e^{iS_{ ext{eff}}[B_1,B_2]}$ 







## MC model from holography: idea

To obtain the SK action, we compute the on-shell action along the contour in the complex radial coordinate.

$$e^{W[A_{1\mu},A_{2\mu}]} = \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 e^{iI_{ ext{eff}}[B_1,B_2]} 
onumber \ = \int \mathcal{D}\phi_1 \mathcal{D}\phi_2 \mathcal{D}b_{1\mu} \mathcal{D}b_{2\mu} e^{iS_{ ext{eff}}[B_1,B_2]}$$







 $S_{\text{eff}}[B_{1\mu}, B_{2\mu}, b_{1\mu}, b_{2\mu}] = S_{\mathcal{C}_1 \cup \mathcal{C}_3}[b_{1\mu}, B_{1\mu}, b_{2\mu}, B_{2\mu}] + S_{\mathcal{C}_2}[b_{1\mu}, b_{2\mu}]$ 





#### The effective action (up to 1st order derivative in time)





29

### $S = \int dv dx dy \left[ \chi B_{a0} B_{r0} + i\sigma T \, b_{ai} b_{ai} \right]$ $-\sigma b_{ai}\partial_0 b_{ri} - c_1(B_{ai} - b_{ai})(B_{ri} - b_{ri})\Big]$



#### The effective action (up to 1st order derivative in time)

 $S = \int$ 

**Recover Maxwell-Cattaneo** 

 $b_{ai} = B_{ai} + v_{ai}, \quad b_{ri} = B_{ri} + v_{ri}$ 



$$\int dv dx dy \left[ \chi B_{a0} B_{r0} + i\sigma T b_{ai} b_{ai} - \sigma b_{ai} \partial_0 b_{ri} - c_1 (B_{ai} - b_{ai}) (B_{ri} - b_{ri}) \right]$$

 $\mathcal{L} = \chi B_{a0} B_{r0} + i\sigma T (B_{ai} + v_{ai}) (B_{ai} + v_{ai}) - c_1 v_{ai} v_{ri} - \sigma (B_{ai} + v_{ai}) \partial_0 (B_{ri} + v_{ri}),$ 







#### **The effective action** (up to 1st order derivative in time)

$$S = \int$$

**Recover Maxwell-Cattaneo**  $b_{ai} = B_{ai} + v_{ai}, \quad b_{ri} = B_{ri} + v_{ri}$  $\mathcal{L} = \chi B_{a0} B_{r0}$ 

#### **Green's functions**

**KMS**  $G^{S}_{\mu\nu}(\omega,k) = i \coth\left(\frac{\beta\omega}{2}\right) \operatorname{Im} G^{R}_{\mu\nu}(\omega,k)$ 



$$\int dv dx dy \left[ \chi B_{a0} B_{r0} + i\sigma T b_{ai} b_{ai} - \sigma b_{ai} \partial_0 b_{ri} - c_1 (B_{ai} - b_{ai}) (B_{ri} - b_{ri}) \right]$$

$$+i\sigma T(B_{ai}+v_{ai})(B_{ai}+v_{ai})-c_{1}v_{ai}v_{ri}-\sigma(B_{ai}+v_{ai})\partial_{0}(B_{ri}+v$$

$$G_{xx}^{R}(\omega,k) = \frac{-i\,\delta^{2}W}{\delta A_{ax}(-\omega,-k)\,\delta A_{rx}(\omega,k)} = \frac{-\omega^{2}\sigma}{i\omega(1-i\omega\tau)-Dk^{2}},$$

$$G_{yy}^{R}(\omega,k) = \frac{-i\,\delta^{2}W}{\delta A_{ay}(-\omega,-k)\,\delta A_{ry}(\omega,k)} = \frac{i\omega\sigma}{1-i\omega\tau},$$







#### We construct SK EFT for a quasi-hydrodynamics, which recovers MC model In parallel, we construct SK EFT for a semi-holographic system(I didn't show).

We go further from the holographic SK method, by introducing an IR cutoff in the contour and assign a IR vector field there.

We expect this approach is universal to construct SK EFT beyond hydro limit.







33

# Thank you!

## Semi-holographic case

 $\omega \sim T \ll m$ 

Gapless mode + gapped mode from IR CFT







### Semi-holographic case

#### $\omega \sim T \ll m$

Gapless mode + gapped mode from IR CFT



Energy diffusion Quantum Critical Points, 2011.12301; Crystal diffusion, 2102.05810...







### Semi-holographic case

#### $\omega \sim T \ll m$

Gapless mode + gapped mode from IR CFT



Energy diffusion Quantum Critical Points, 2011.12301; Crystal diffusion, 2102.05810...



$$G_R(\omega,k) = K \frac{a_+^{(0)} + \omega a_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left(a_-^{(0)} + \omega a_-^{(1)} + O(\omega^2)\right)}{a_+^{(0)} + \omega a_+^{(1)} + O(\omega^2) + \mathcal{G}_k(\omega) \left(a_-^{(0)} + \omega a_-^{(1)} + O(\omega^2)\right)}$$

#### 0907.2694, 1009.3094, 1001.5049





### Semi-holographic case: method

### Action, dispersion, KMS



$$C_{\mu}(r \to \infty_{2}) = B_{2\mu} = A_{2\mu} + \partial_{\mu}\varphi_{2}$$

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$$C_{\mu}(r \to \infty_{2}) = A_{2\mu} + A_{2\mu} + A_{2\mu$$



### Semi-holographic case: Result

#### **Green's functions** & dispersions







$$G_{tt}^{S} = \frac{-i\,\delta^{2}W}{\delta A_{at}(-\omega, -k)\,\delta A_{at}(\omega, k)} = \frac{-4i\pi T^{\alpha}\sigma k^{2}\omega^{2}\coth\left(\frac{\beta\omega}{2}\right)\operatorname{Im}(\mathcal{G}_{\mathrm{IR}})}{\left|\left(-\omega^{2} + 4\pi T^{\alpha}Dk^{2}\mathcal{G}_{\mathrm{IR}}\right) - \omega^{2}4\pi T^{\alpha}\tilde{\sigma}\mathcal{G}_{\mathrm{IR}}\right|^{2}},$$

$$G_{xx}^{S} = \frac{-i\,\delta^{2}W}{\delta A_{ax}(-\omega, -k)\,\delta A_{ax}(\omega, k)} = \frac{-4i\pi T^{\alpha}\sigma\omega^{4}\coth\left(\frac{\beta\omega}{2}\right)\operatorname{Im}(\mathcal{G}_{\mathrm{IR}})}{\left|\left(-\omega^{2} + 4\pi T^{\alpha}Dk^{2}\mathcal{G}_{\mathrm{IR}}\right) - \omega^{2}4\pi T^{\alpha}\tilde{\sigma}\mathcal{G}_{\mathrm{IR}}\right|^{2}}(3.84)$$

$$G_{yy}^{S} = \frac{-i\,\delta^{2}W}{\delta A_{ay}(-\omega, -k)\,\delta A_{ay}(\omega, k)} = \frac{-4i\pi T^{\alpha}\sigma\omega^{4}\coth\left(\frac{\beta\omega}{2}\right)\operatorname{Im}(\mathcal{G}_{\mathrm{IR}})}{\left|1 + 4\pi T^{\alpha}\tilde{\sigma}\mathcal{G}_{\mathrm{IR}}\right|^{2}},$$

$$\begin{aligned} G_{tt}^{R} &= \frac{-i\,\delta^{2}W}{\delta A_{at}(-\omega,-k)\,\delta A_{rt}(\omega,k)} = \frac{4\pi T^{\alpha}\sigma\mathcal{G}_{\mathrm{IR}}k^{2}}{(-\omega^{2}+4\pi T^{\alpha}Dk^{2}\mathcal{G}_{\mathrm{IR}})-\omega^{2}4\pi T^{\alpha}\tilde{\sigma}\mathcal{G}_{\mathrm{IR}}},\\ G_{xx}^{R} &= \frac{-i\,\delta^{2}W}{\delta A_{ax}(-\omega,k)\,\delta A_{rx}(\omega,k)} = \frac{\omega^{2}4\pi T^{\alpha}\sigma\mathcal{G}_{\mathrm{IR}}}{(-\omega^{2}+4\pi T^{\alpha}Dk^{2}\mathcal{G}_{\mathrm{IR}})-\omega^{2}4\pi T^{\alpha}\tilde{\sigma}\mathcal{G}_{\mathrm{IR}}}, \quad (3.85)\\ G_{yy}^{R} &= \frac{-i\,\delta^{2}W}{\delta A_{ay}(-\omega,-k)\,\delta A_{ry}(\omega,k)} = \frac{-4\pi T^{\alpha}\sigma\tilde{\mathcal{G}}_{\mathrm{IR}}}{1+4\pi T^{\alpha}\tilde{\sigma}\tilde{\mathcal{G}}_{\mathrm{IR}}}, \end{aligned}$$

$$G^{S}_{\mu\nu}(\omega,k) = i \coth\left(\frac{\beta\omega}{2}\right) \operatorname{Im} G^{R}_{\mu\nu}(\omega,k)$$