



STCF 上超子半轻衰变过程 $\Lambda \rightarrow p e^- \bar{\nu}_e$ 的预研究

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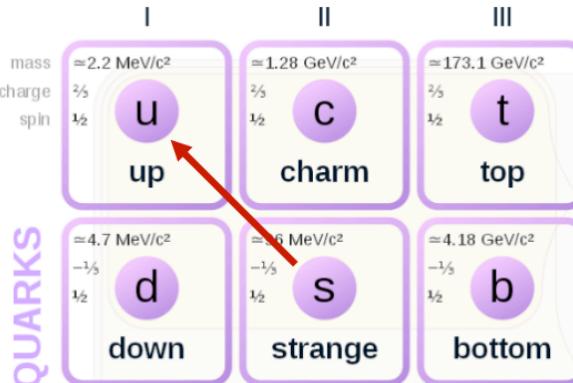
复旦大学

超级陶粲装置研讨会

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Introduction

Motivation: Extract the solid CKM matrix element $|V_{us}|$ Phys. Rev. D 70, 114036

$$\Gamma_{\text{SM}} = \frac{\mathcal{B}_{\Lambda \rightarrow p e^- \bar{\nu}_e}}{\tau_\Lambda} = \frac{G_F^2 |V_{us}|^2 f_1(0)^2 \Delta^5}{60\pi^3} [(1 - \frac{3}{2}\delta + \frac{6}{7}\delta^2) + \frac{4}{7}\delta^2 g_w^2 + (3 - \frac{9}{2}\delta + \frac{12}{7}\delta^2) g_{av}^2 + \frac{12}{7}\delta^2 g_{av2}^2 + \frac{6}{7}\delta^2 g_w + (-4\delta + 6\delta^2) g_{av} g_{av2}]$$

$$\Delta \equiv M_\Lambda - M_p$$

$$\delta \equiv \frac{M_\Lambda - M_p}{M_\Lambda}$$

◇ Extracting $|V_{us}|$ requires $\mathcal{B}_{\Lambda \rightarrow p e^- \bar{\nu}_e}, f_1(0), g_{av} \equiv \frac{g_1(0)}{f_1(0)}, g_w \equiv \frac{f_2(0)}{f_1(0)}$, and $g_{av2} \equiv \frac{g_2(0)}{f_1(0)}$,

- ◇ $|V_{us}|$ describes the transition between s and a u quark
- ◇ Results from kaon decays indicate a 2.3σ deviation from CKM matrix unitary



- $\mathcal{B}_{\Lambda \rightarrow p e^- \bar{\nu}_e}, g_{av} \equiv \frac{g_1(0)}{f_1(0)}, g_w \equiv \frac{f_2(0)}{f_1(0)}$ from experimental measurement
- Assume $g_{av2} \equiv \frac{g_2(0)}{f_1(0)} = 0$
- Get $f_1(0)$ through g_{av} measurement and LQCD input $g_1(0)$

Current research of the $|V_{us}|$ from different decays

[PDG\(2024\)](#): from independent measurements

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 0.9984 \pm 0.0007$$

2.3 σ tension

Through CKM unitarity

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 = 1$$

$|V_{ud}|$: Most precise; results from different decays are consistent at $O(10^{-4})$

$|V_{us}|$: there are different results from different decays as shown below.

$|V_{ub}|$: Small ($|V_{ub}|^2 \cong 1.7 \times 10^{-5}$) → The effect could be ignored in current precision.

Most precise

Kaon: 2.3 σ tension from unitarity

$$|V_{us}| = 0.22431 \pm 0.00085$$

Cited in [PDG 2024](#)

Second most precise

Tau: 3.7 σ tension from unitarity

$$|V_{us}| = 0.2207 \pm 0.0014$$

[HFLAV 2022](#)

Our target decay

Hyperon: consist with CKM unitarity

$$|V_{us}| = 0.2250 \pm 0.0027$$

[PRL 92.251803\(2004\)](#)

Dominated by the $\Lambda \rightarrow pe^-\bar{\nu}_e$,
but show large uncertainty.

Current research of the Form Factor from hyperon decays

	$\Lambda \rightarrow N$	$\Sigma \rightarrow N$
$f_1(0)/f_1^{\text{SU}(3)}$		
This work	0.963 ± 0.061	0.993 ± 0.059
Quark model [11]	0.987	0.987
Quark model [12]	0.976	0.975
χ PT [20]	1.027	1.041
χ PT [22]	$1.001^{+0.013}_{-0.010}$	$1.087^{+0.042}_{-0.031}$
$1/N_c$ expansion [23]	1.02 ± 0.02	1.04 ± 0.02
lattice QCD [31]		0.957 ± 0.01
$g_1(0)/f_1(0)$		
This work	0.708 ± 0.047	-0.327 ± 0.046
Cabibbo model [7]	0.731	-0.341
Quark model [13]	0.724	-0.260
Soliton model [17]	0.718 ± 0.003	-0.340 ± 0.003
Soliton model [18]	0.68	-0.27
$1/N_c$ expansion [23]	0.73	-0.34
lattice QCD [29, 30]		-0.287 ± 0.052
Exp [4]	0.718 ± 0.015	-0.340 ± 0.017
$f_2(0)/f_1(0)$		
This work	0.752 ± 0.074	-1.042 ± 0.090
Cabibbo model [7]	1.066	-1.292
Quark model [13]	1	-0.962
Soliton model [17]	0.637 ± 0.041	-0.709 ± 0.036
Soliton model [18]	0.71	-0.96
$1/N_c$ expansion [23]	0.90	-1.02
lattice QCD [29]		-1.52 ± 0.81
Exp [4]	1.32 ± 0.81 [65]	-0.97 ± 0.14

Cited from JHEP06(2024)122

 g_A / g_V FOR $\Lambda \rightarrow p e^- \bar{\nu}_e$

INSPIRE

Measurements with fewer than 500 events have been omitted. Where necessary, signs have been changed to agree with our conventions, which are given in the "Note on Baryon Decay Parameters" in the neutron Listings. The measurements all assume the form factor $g_2 = 0$. See also the footnote on DWORKIN 1990 .

VALUE	EVTS	DOCUMENT ID	TECN	COMMENT
-0.718 ± 0.015	OUR AVERAGE			
$-0.719 \pm 0.016 \pm 0.012$	37k	1 DWORKIN	1990 SPEC	$e\nu$ angular corr.
-0.70 ± 0.03	7111	BOURQUIN	1983 SPEC	$\Xi \rightarrow \Lambda \pi^-$
-0.734 ± 0.031	10k	2 WISE	1981 SPEC	$e\nu$ angular correl.
••• We do not use the following data for averages, fits, limits, etc. •••				
-0.63 ± 0.06	817	ALTHOFF	1973 OSPK	Polarized Λ

 $g_{av} = g_1(0)/f_1(0)$ included in PDG are obtained 30 years ago $g_w = f_2(0)/f_1(0)$ not cited by PDG for its high uncertainty

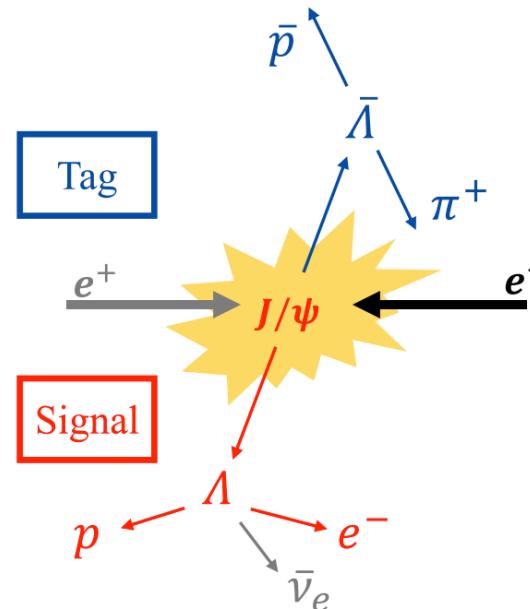
The more precise measurement of Form Factor at STCF is important.

From PDG

The most precise Measurement

Measure the branching fraction

Double tag method



$$N_{tag} = 2N_{\Lambda\bar{\Lambda}} \mathcal{B}_{tag} \epsilon_{tag}$$

$$N_{sig} = 2N_{\Lambda\bar{\Lambda}} \mathcal{B}_{tag} \mathcal{B}_{sig} \epsilon_{tag,sig}$$

$$\mathcal{B}_{sig} = \frac{N_{sig}/\epsilon_{tag,sig}}{N_{tag}/\epsilon_{tag}}$$

Get the absolute branching fraction

 $N_{\Lambda\bar{\Lambda}}$: the number of $\Lambda\bar{\Lambda}$ Paris \mathcal{B}_{tag} : Branching fraction of $\bar{\Lambda} \rightarrow \bar{p}\pi^+$ \mathcal{B}_{sig} : Branching fraction of $\Lambda \rightarrow p e^- \bar{\nu}_e$ N_{tag} : ST yield N_{sig} : DT yield ϵ_{tag} : ST efficiency $\epsilon_{tag,sig}$: ST efficiency

Can be obtained in our analysis

Decay channel: $J/\psi \rightarrow \Lambda\bar{\Lambda}$, $\Lambda \rightarrow p e^- \bar{\nu}_e$, $\bar{\Lambda} \rightarrow \bar{p}\pi$

not include charge conjugation

Inclusive MC: 1 billion $J/\psi \rightarrow \text{anything}$ MC based on fast simulation

Measure the branching fraction

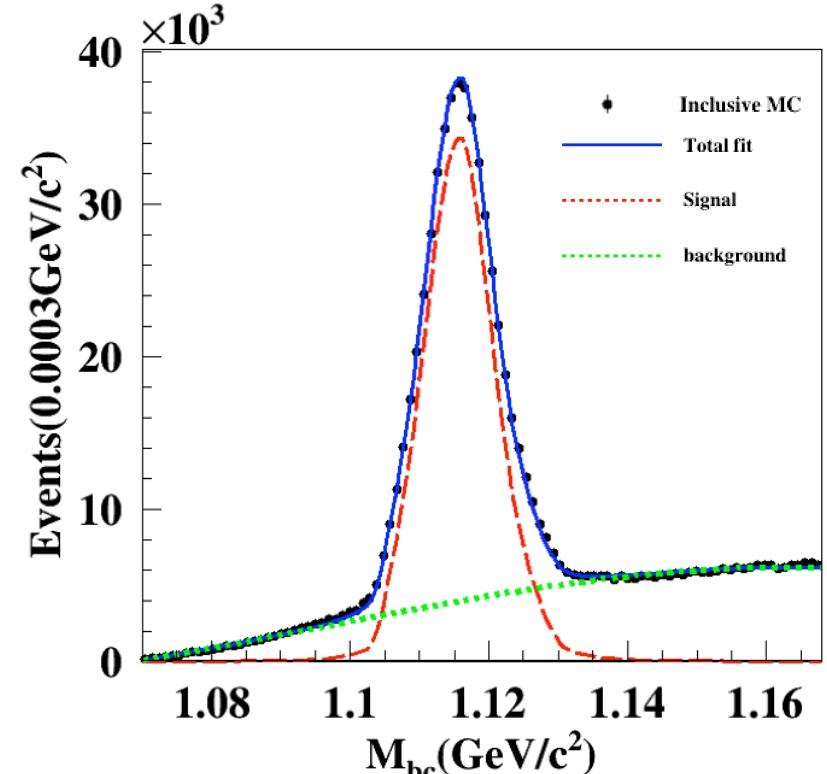
Selection criteria at single tag

[PhysRevLett.127.121802](#)

$$M_{bc} = \sqrt{E_{beam}^2 - |\vec{P}_{ST}|^2}$$

- ◊ Good charged tracks
 - ✓ At least 2 oppositely-charged tracks
 - ✓ No vertex requirement due to existence of $\bar{\Lambda}$
 - ✓ $|\cos\theta| < 0.93$

- ◊ Reconstruction of $\bar{\Lambda}$
 - ✓ Looping over all combinations with positive and negative charged tracks
 - ✓ Vertex and Second Vertex Fit for $\bar{\Lambda}$ based on $\bar{p}\pi^+$ hypothesis
 - ✓ The candidates are selected from combinations with the minimum $\Delta E = E_{beam} - E_{single}$
 - ✓ Vertex/second vertex fit: $\chi^2 < 100$, $L/\sigma > 2$

 P_{ST} : The momentum of the $\bar{\Lambda}$ Can get N_{tag} and e_{tag} from this fit to M_{bc}

Measure the branching fraction

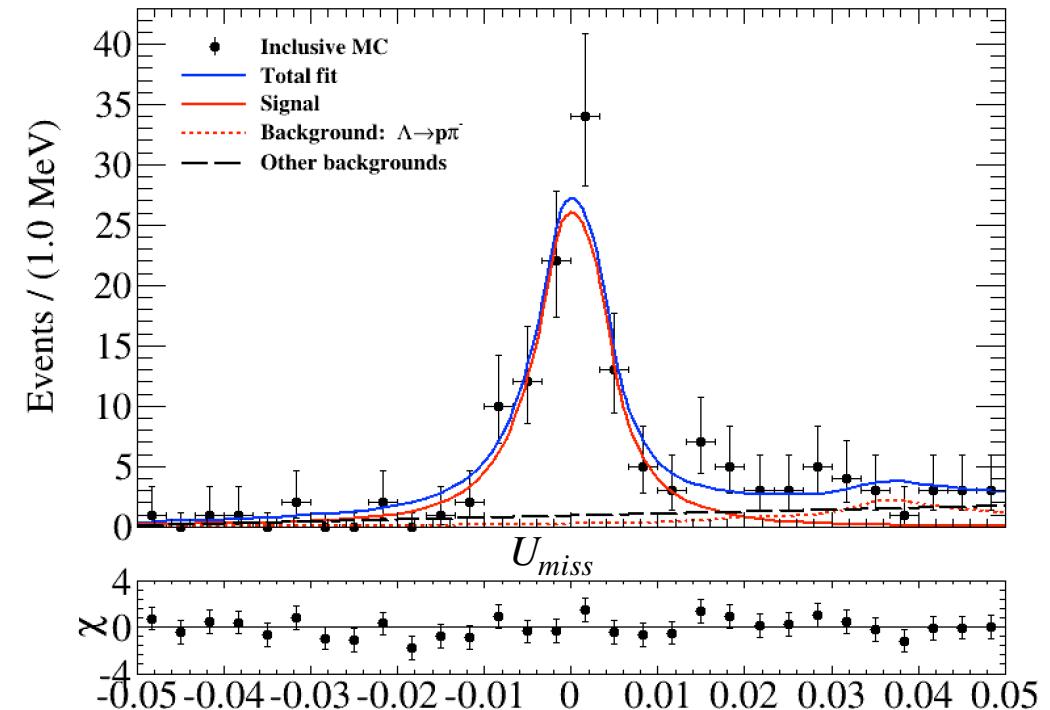
Selection criteria at double tag

- ◊ Good charged tracks
 - ✓ 4 good tracks(another 2 tracks based on single tag)
- ◊ No vertex requirement due to existence of Λ
- ✓ $|\cos\theta| < 0.93$
- ✓ $\sum_i^4 Q_i = 0$
- ◊ Reconstruction of Λ
 - ✓ Vertex and second vertex Fit for Λ
 - ✓ Decay length > 0
 - ✓ $\chi^2 < 100$
- ◊ Particle identification
 - ✓ Require one track to be electron strictly
The other track is assumed to be a proton

$$U_{miss} \equiv E_{miss} - c |\vec{P}_{miss}|$$

E_{miss} : The energy of the missing neutrino

P_{miss} : The momentum of the missing neutrino



Can get N_{sig} and $e_{tag,sig}$ from this fit to U_{miss}

Calculation of branching fraction

$$\epsilon_{tag} = 37.85 \%$$

$$\epsilon_{tag,sig} = 14.13 \%$$

$$N_{tag} = 455937 \pm 800$$

$$N_{sig} = 104.3 \pm 11.8$$

$$\mathcal{B}_{sig} = \frac{N_{sig}/\epsilon_{tag,sig}}{N_{tag}/\epsilon_{tag}} = (6.12 \pm 0.61) * 10^{-4}$$

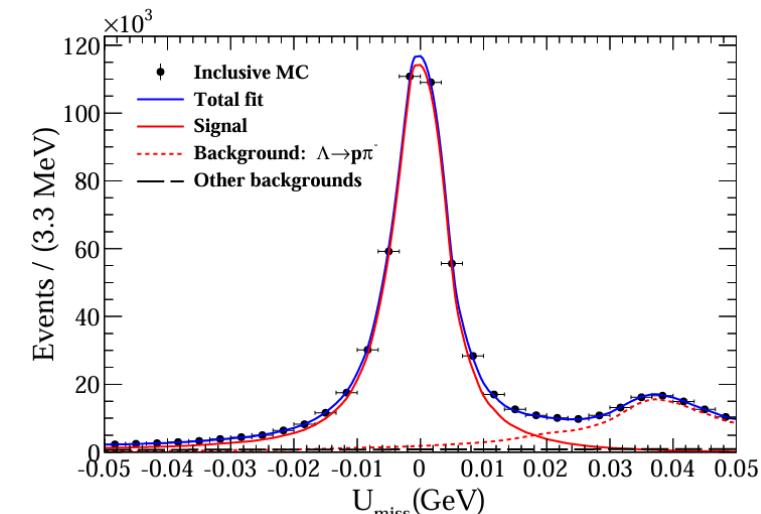
$$\mathcal{B}_{input} = 6.00 * 10^{-4}$$

The output branching fraction is consistent with our input in 1 billion Inclusive MC, uncertainty is only statistical from N_{sig} to be 9.97%.

Further analysis and prospects

The STCF is prospected to collect 3.4 trillion J/ψ one year, then provide $\sim 10^9$ hyperon pairs per year.

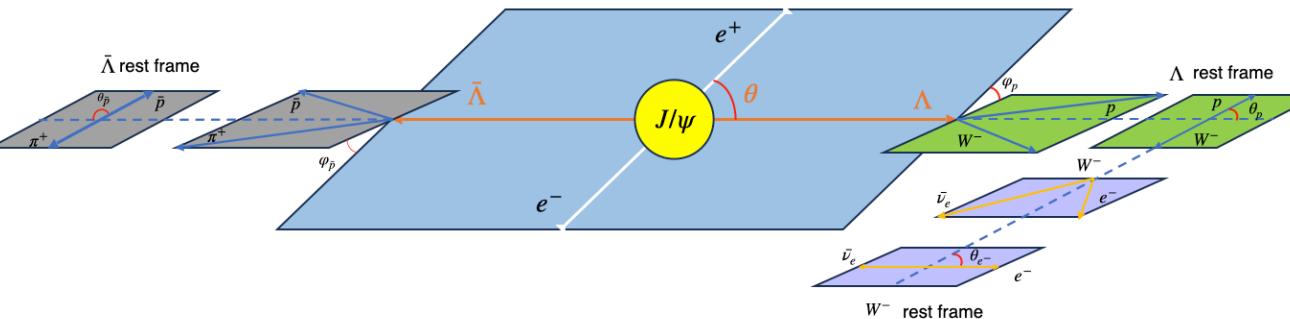
We can give a prospect of the **statistical uncertainty** through sampling method bootstrap.



The relative statistical uncertainty from N_{sig} can be deduced to be 0.16%, consist with our input too.

Measure the Form Factor

The Formalism for this decay



Definition of the helicity angles

[\[Phys. Rev. D 108, 016011\]](#)

$$\begin{aligned}
 d\Gamma \propto & \mathcal{W}(\xi; \alpha_\psi, \Delta\Phi, g_{av}^\Lambda, g_w^\Lambda, \alpha_\Lambda) \quad \Omega = (\alpha_\psi, \Delta\Phi, g_{av}, g_w, \alpha_\Lambda) \\
 & \sigma_\Lambda^{sl}(\xi'') \left[\mathcal{F}_0(\xi') + \alpha_\psi \mathcal{F}_1(\xi') \right. \\
 & + \alpha_\Lambda^{sl}(\xi'') \alpha_{\bar{\Lambda}} \left(\mathcal{F}_2(\xi') + \alpha_\psi \mathcal{F}_3(\xi') + \sqrt{1 - \alpha_\psi^2} \cos(\Delta\Phi) \mathcal{F}_4(\xi') \right) \\
 & + I_\Lambda^{sl}(\xi'') \alpha_{\bar{\Lambda}} \left(\mathcal{F}'_2(\xi') + \alpha_\psi \mathcal{F}'_3(\xi') + \sqrt{1 - \alpha_\psi^2} \cos(\Delta\Phi) \mathcal{F}'_4(\xi') \right) \\
 & \left. + \sqrt{1 - \alpha_\psi^2} \sin(\Delta\Phi) \left(\alpha_\Lambda^{sl}(\xi'') \mathcal{F}_5(\xi') + I_\Lambda^{sl}(\xi'') \mathcal{F}'_5(\xi') + \alpha_{\bar{\Lambda}} \mathcal{F}_6(\xi') \right) \right]
 \end{aligned}$$

$$\xi' = (\theta_\Lambda, \theta_p, \phi_p, \theta_{\bar{p}}, \phi_{\bar{p}}), \xi = (\theta_\Lambda, \theta_p, \phi_p, \theta_e, q^2, \theta_{\bar{p}}, \phi_{\bar{p}}), \xi'' = (\theta_e, q^2).$$

We assume the $\alpha_\Lambda = \alpha_{\bar{\Lambda}}$, $g_2(0)=0$

Parameters input

Mode	α_ψ	$\Delta\Phi$	$\alpha_\Lambda/\alpha_{\bar{\Lambda}}$	$g_w^\Lambda/g_{w\bar{w}}^\Lambda$	$g_{av}^\Lambda/g_{av\bar{v}}^\Lambda$
$\Lambda \rightarrow pe^- \bar{\nu}_e$	0.4748	0.7521	0.4748	1.066	0.719

The $g_{av}^\Lambda/g_{av\bar{v}}^\Lambda$ value input is the most precise **measurement from experiments**.

The $g_w^\Lambda/g_{w\bar{w}}^\Lambda$ value input is from **Cabibbo theory**.

Measure the Form Factor

Maximum likelihood fit

$$-\ln \mathcal{L} = - \sum_{i=1}^N \ln \frac{\mathcal{W}(\xi_i; \Omega)}{\mathcal{N}(\Omega)}$$

$$-\ln \mathcal{L}_{sig} = -\ln \mathcal{L}_{data} + \ln \mathcal{L}_{bkg-p\pi}$$

$$\Omega = (\alpha_\psi, \Delta\Phi, g_{av}, g_w, \alpha_\Lambda)$$

$g_{av}^\Lambda/g_{av}^{\bar{\Lambda}}$, $g_w^\Lambda/g_w^{\bar{\Lambda}}$ are floating.

The other 3 parameters are fixed.

Normalization factor is got using mDIY MC

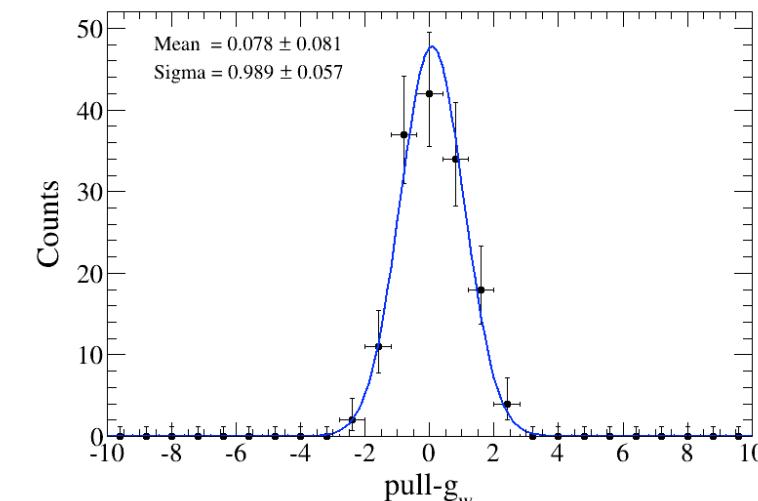
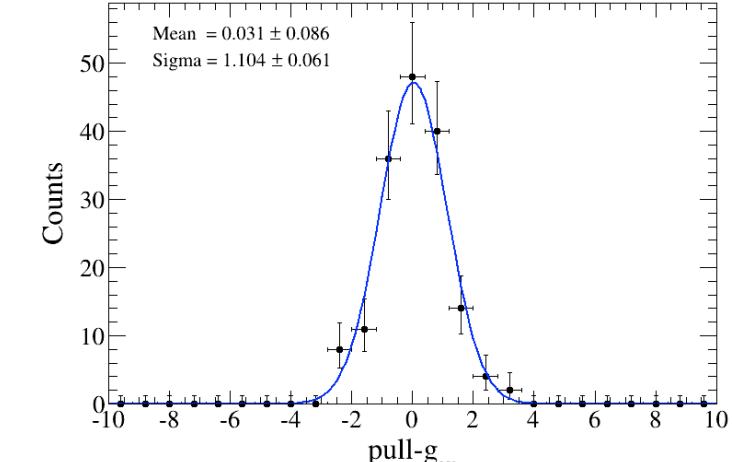
Contributions from backgrounds can be subtracted

The dominated contributions from $p\pi$ is considered

Selection criteria is similar to measuring \mathcal{B} besides

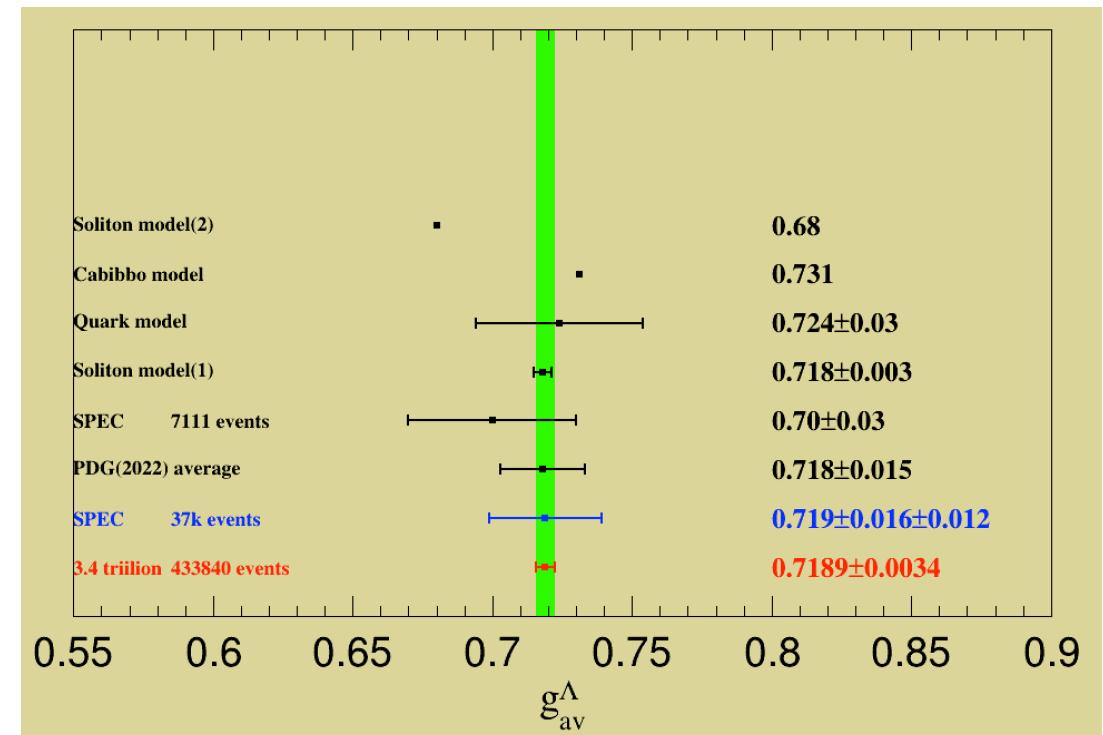
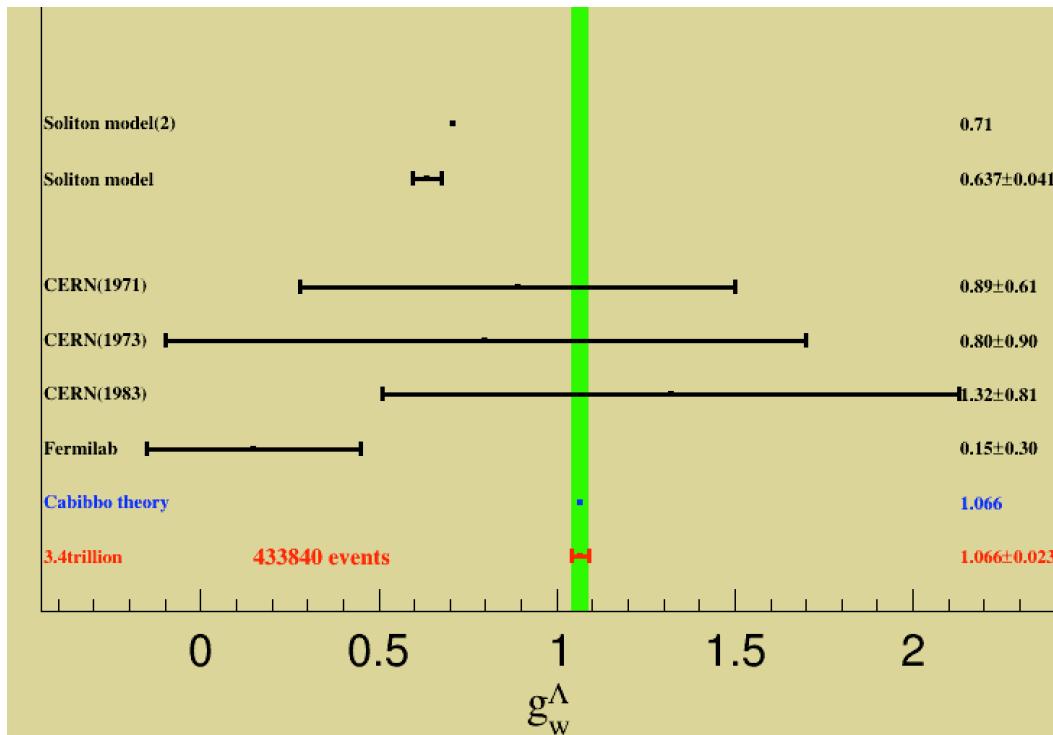
U_{miss} cut.

I/O check for our method



It should be a standard normal distribution.

Results of our fit



a prospect of the **statistical uncertainty** through sampling method bootstrap same as measuring BF.

Calculation of $|V_{us}|$ and uncertaintyCalculation of $|V_{us}|$

$$\int_{q_{\min}^2}^{q_{\max}^2} \frac{\Gamma_{e, \text{SM}}}{dq^2} dq^2 = \frac{\mathcal{B}_{B_1 \rightarrow B_2 + \ell + \bar{\nu}_l}}{\tau_{B_1}},$$

$$\frac{\Gamma_{e, \text{SM}}}{dq^2} = \frac{G_F^2 |V_{us}|^2 \Delta^5}{60\pi^3} [(1 - \frac{3}{2}\delta + \frac{6}{7}\delta^2)f_1(q^2)^2 + \frac{4}{7}\delta^2 f_2(q^2)^2 + (3 - \frac{9}{2}\delta + \frac{12}{7}\delta^2)g_1(q^2)^2 + \frac{6}{7}\delta^2 f_1(q^2)f_2(q^2)],$$

$$f_1(q^2) = f_1(0) \times [1 + q^2(\frac{1}{m_V^2} + \frac{1}{m_V^2 + \alpha_R^{-1}})],$$

$$f_2(q^2) = f_2(0) \times [1 + q^2(\frac{1}{m_V^2} + \frac{1}{m_V^2 + \alpha_R^{-1}} + \frac{1}{m_V^2 + 2\alpha_R^{-1}})],$$

$$g_1(q^2) = g_1(0) \times [1 + q^2(\frac{1}{m_A^2} + \frac{1}{m_A^2 + \alpha_R^{-1}})],$$

Through $g_{av} \equiv \frac{g_1(0)}{f_1(0)}$, $g_{av} \equiv \frac{f_2(0)}{f_1(0)}$, $g_1(0) = -0.9263 \pm 0.0023$ (From LQCD)

We can get the result of $|V_{us}|$

[Physics Letters B 686 \(2010\) 36–40](#)

[Phys. Rev. D 70, 114036](#)

Uncertainties from our prospects and PDG

From BF(prospect):0.0002;

From LQCD:0.0005;

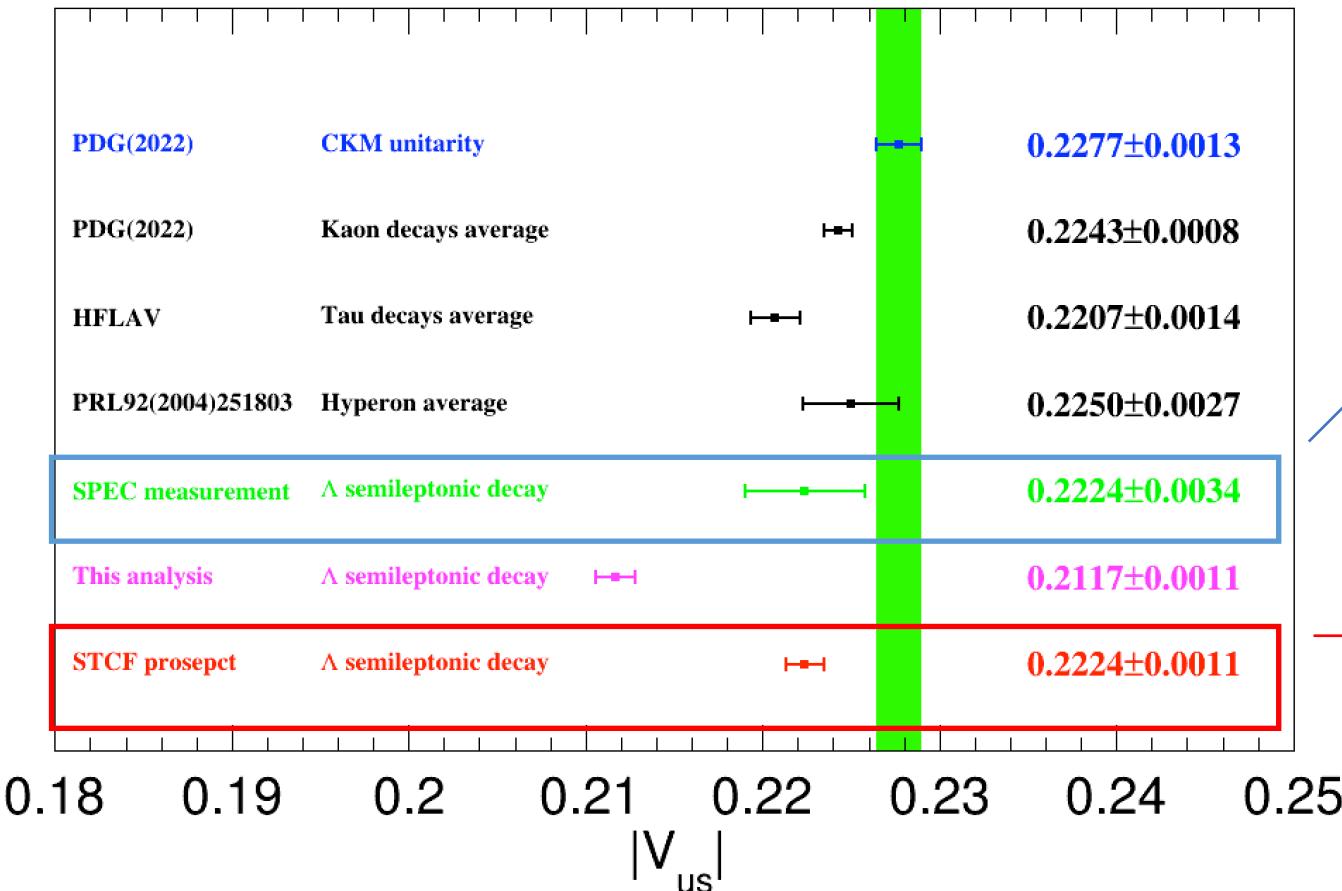
From PDG:0.0009;

From FF(prospect):0.0004;

Through MC method

$$|V_{us}| = 0.2117 \pm 0.0011$$

The uncertainties of BF and FF contribution mainly come from **statistical uncertainty**

Comparison of $|V_{us}|$ 

The most precise measurement from $\Lambda \rightarrow pe^-\bar{\nu}_e$ show 1.5σ deviation from the CKM unitarity.

If our central value measurement is comparable to the most accurate one previously.

The prospect results show 4.8σ deviation from the CKM unitarity .

Summary & discussion



1. Give a prospect of the $|V_{us}|$ measurement with its uncertainty at STCF.
2. As prospect, the results will test the CKM matrix unitarity with higher precision in [Hyperon decay](#).
3. The result can be combined with other SL decay to prospect [lepton flavor universality](#).



1. A full [systematic uncertainty](#) study is not included until the design is completed finally.
2. More precise kinematic fit and uncertainty can be considered in further software framework [OSCAR](#).
3. Currently, the rough prospect of statistical uncertainty depends on sampling method not real data. With the help of [OSCAR and new fast simulation](#), more precise results can be given in the future.

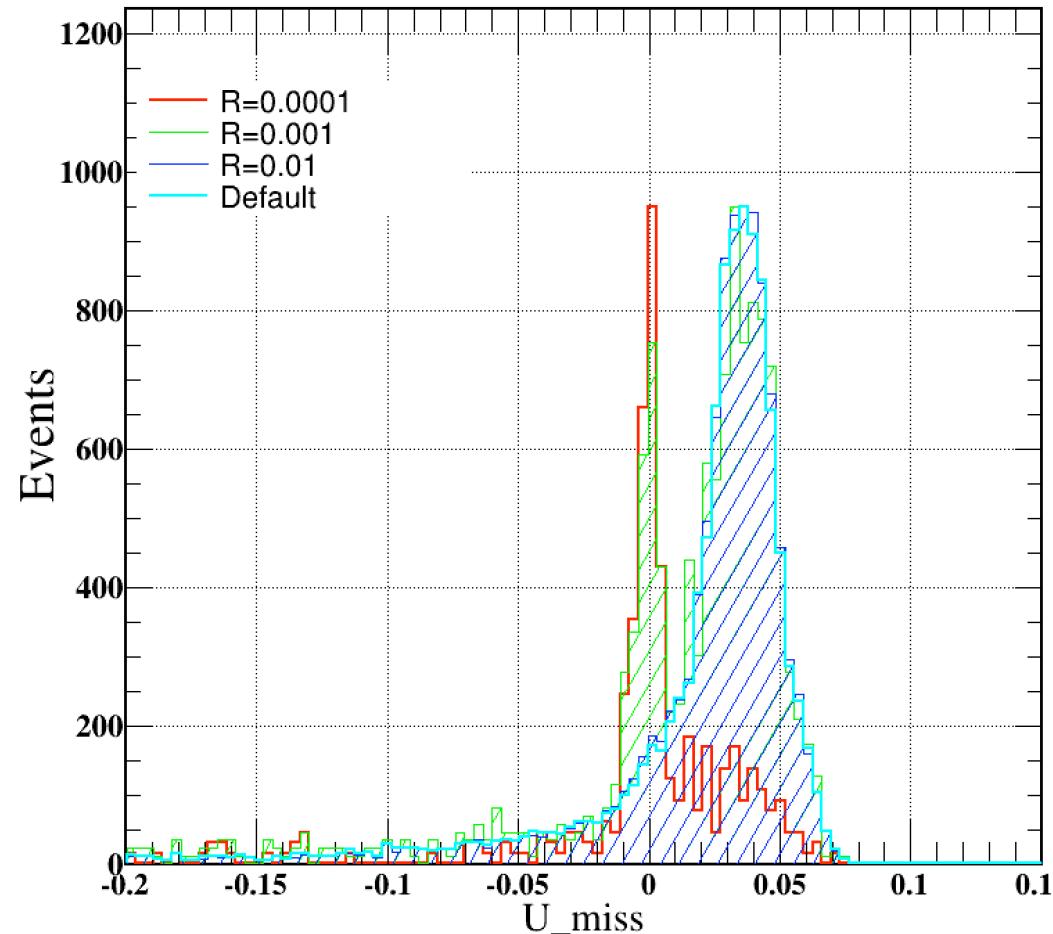
Thank you!

Back up

Table 2: The input value and their contribution to final result

Source	input value	relative uncertainty(%)	contribution to $\delta_{V_{us}}$
$\mathcal{B}(\Lambda \rightarrow p e^- \bar{\nu}_e)$	8.32×10^{-4}	0.17	0.0002_{stat}
g_{av}	0.7189	0.47	0.0004_{stat}
g_w	1.066	2.12	
G_F	$1.1664 \times 10^{-5} \text{GeV}/c^2$	5.14×10^{-5}	
m_Λ	$1.1157 \text{GeV}/c^2$	5.38×10^{-4}	
m_p	$0.9382 \text{GeV}/c^2$	3.09×10^{-8}	0.0009
τ_Λ	$2.6170 \times 10^{-10} \text{s}$	0.38	
$g_{A,NN}$	1.2574	0.10	
$g_{A,\Lambda N}^R$	1.779	0.22	0.0005

Back up



R is the factor of the misidentification π/e

Back up

System uncertainty at BESIII

BAM-00767: Study of Lambda -> p e- anti-nu, Shun Wang et al.Table 12: Relative systematic uncertainties (in %) in the measurement of the BF for $\Lambda \rightarrow pe^-\bar{\nu}_e$.

Sources	Uncertainties
Fitting M_{bc}	0.37
Fitting U_{miss}	0.80
$N_{Trk} = 4$	0.03
Λ reconstruction through vertex fit	0.20
Tracking of p	0.26
Electron detection	1.55
Kinematic fit	0.22
Total	1.83

After taking the efficiency correction and systematic uncertainty into account, the BF for $\Lambda \rightarrow pe^-\bar{\nu}_e$ is updated to be:

$$\mathcal{B}(\Lambda \rightarrow pe^-\bar{\nu}_e) = [8.16 \pm 0.22(\text{stat}) \pm 0.15(\text{syst})] \times 10^{-4},$$

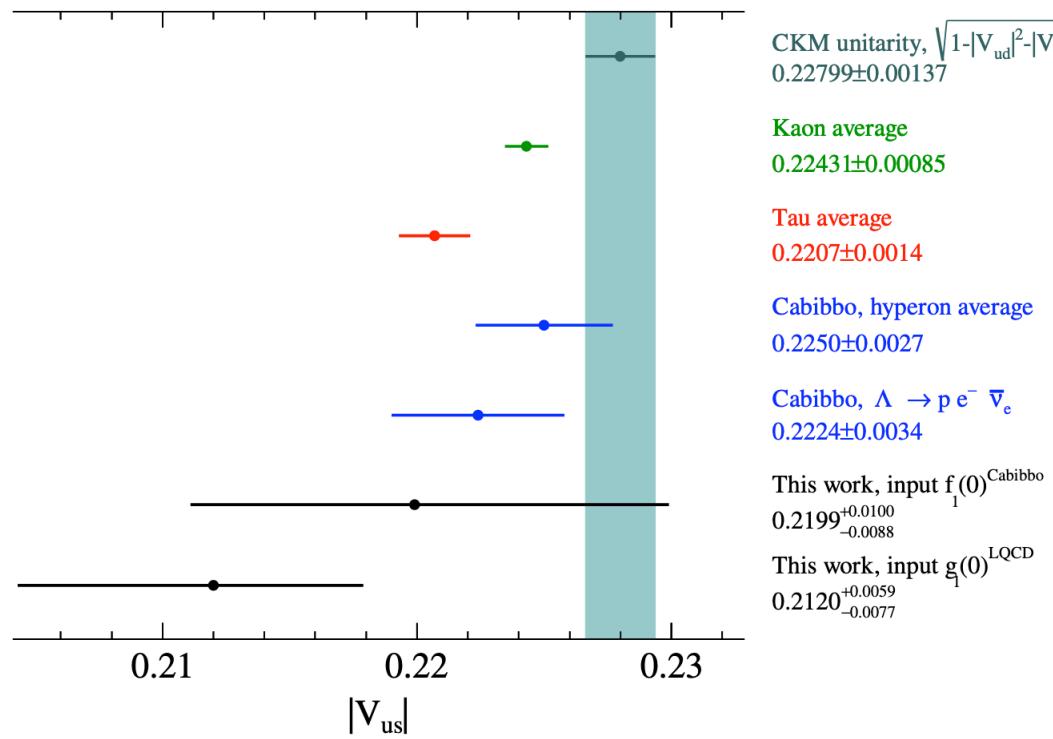
This work is also carried out at BESIII now, So we can cite uncertainties just for rough estimation.

Table 15: Absolute systematic uncertainties in the measurement of the form factor.

Decay mode	$\Lambda \rightarrow pe^-\bar{\nu}_e$		$\bar{\Lambda} \rightarrow \bar{p}e^+\nu_e$		$\Lambda \rightarrow pe^-\bar{\nu}_e + c.c.$			
	Form factor	Uncertainty	f_\perp^Λ	g_+^Λ	$f_\perp^{\bar{\Lambda}}$	$g_+^{\bar{\Lambda}}$	$f_\perp^\Lambda/f_\perp^{\bar{\Lambda}}$	$g_+^\Lambda/g_+^{\bar{\Lambda}}$
Fitting method – I/O check	0.013	0.001	0.006	0.004	0.032	0.001		
Fitting method – Formalism	0.001	0.001	0.001	0.002	0.007	0.001		
Fixed parameters								
The number of $\Lambda \rightarrow p\pi^-$ background events	0.272	0.004	0.337	0.012	0.217	0.006		
The number of other background events								
MC correction factors								
Cut on p_e	0.132	0.008	0.160	0.006	0.123	0.004		
Cut on decay length of Λ								
Cut on χ^2 of kinematic fit							Negligible	
Sum	0.303	0.009	0.373	0.014	0.252	0.007		

Back up

Recent results at BESIII

BAM-00767: Study of Lambda -> p e- anti-nu, Shun Wang et al.

Uncertainties from different items

- ① From BF: 0.0035;
 - ② From FF: 0.0058;
 - ③ From Lattice: 0.0005;
 - ④ From PDG: 0.0009.
- From our measurements:
0.0068