

2nd Symposium on Intermediate-energy Heavy Ion Collisions (iHIC 2024)
July 12–16, 2024, Beijing, China

Precision Measurement of Net-proton Number Fluctuations in Au+Au Collisions at RHIC

Fan Si (for the STAR Collaboration)
University of Science and Technology of China (USTC)
July 13, 2024

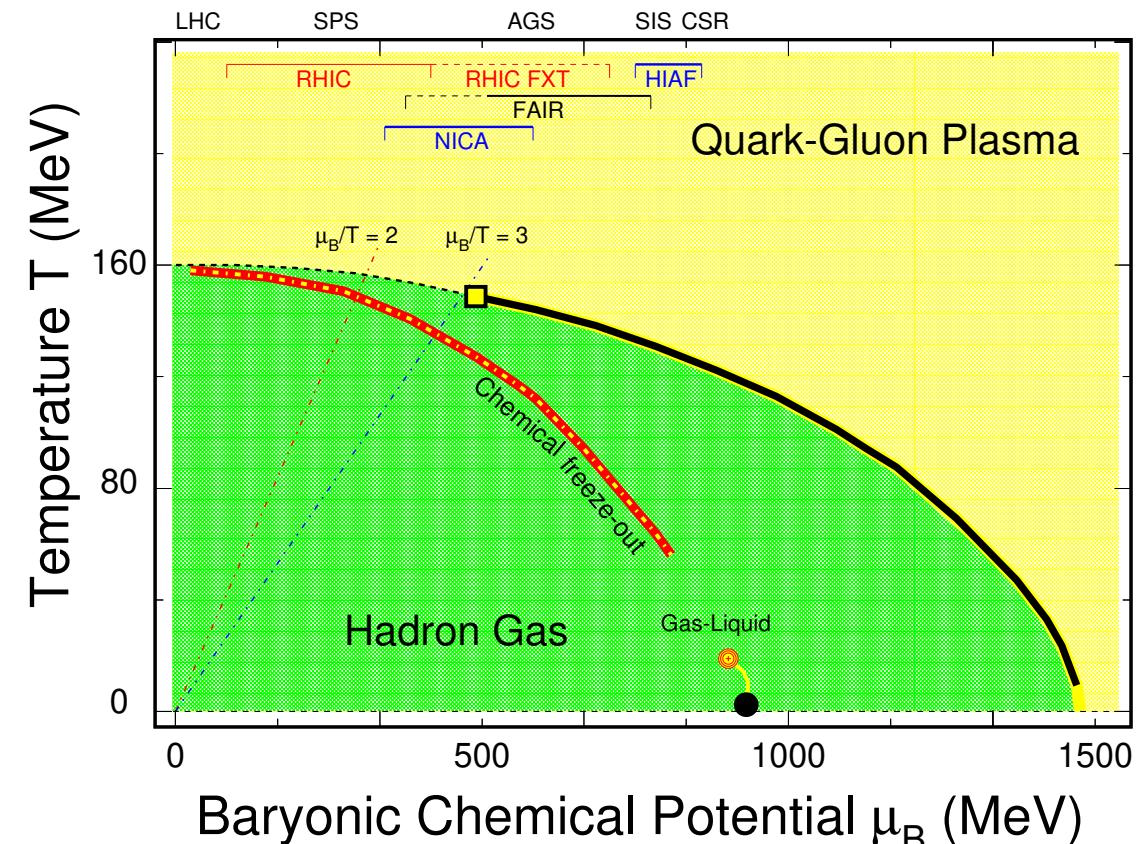
Outline

1. Introduction
2. STAR BES Program
3. Analysis Details
4. Latest Results
5. Summary and Outlook



Introduction: QCD Phase Diagram

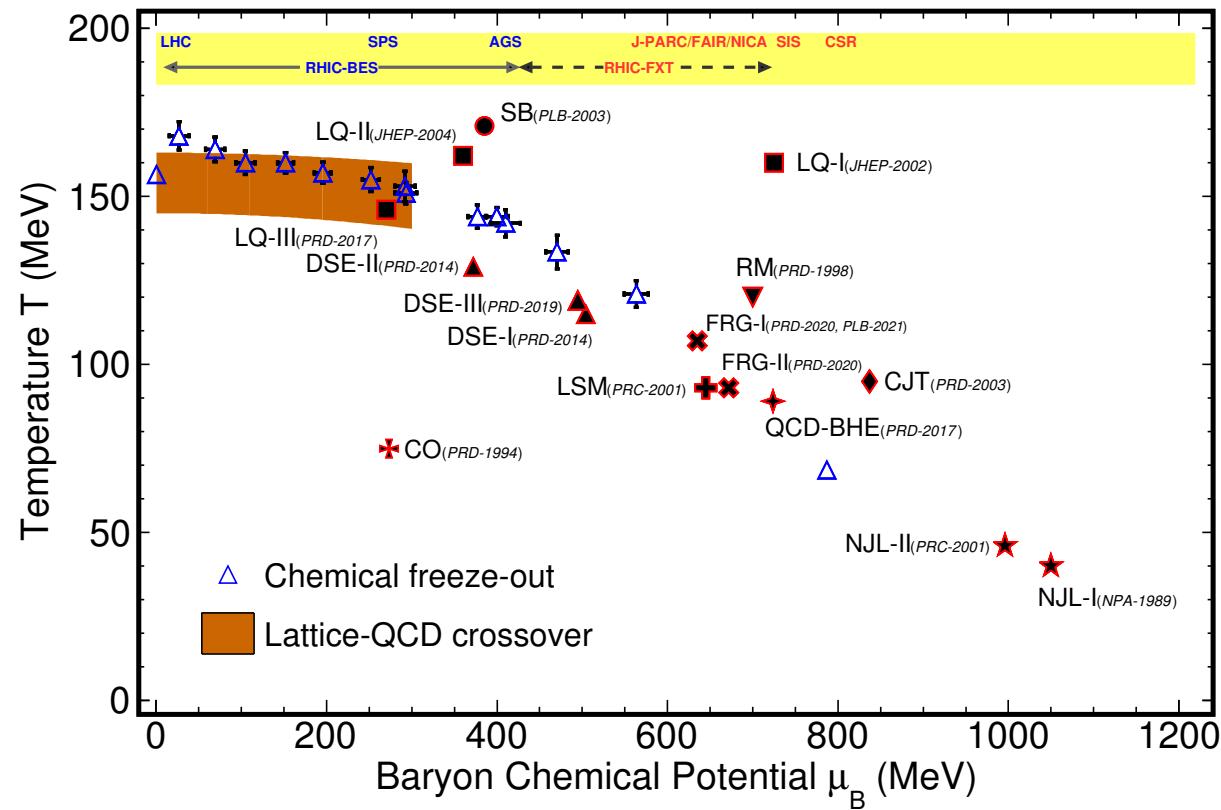
- QCD phases
 - Hadronic phase
 - Quark-Gluon Plasma phase
- QCD phase transition
 - Crossover at small μ_B ($\mu_B/T < 2$)
 - Expected by Lattice QCD
 - $T_c = 156.5 \pm 1.5$ MeV at $\mu_B = 0$
 - Compatible to experimental observations
 - First-order phase transition at higher μ_B
 - Predicted by QCD-based models
 - Critical point (CP)
 - Conjectured to terminate first-order phase boundary



B. Mohanty, N. Xu, arXiv:2101.09210
Y. Aoki *et al.*, Nature 443, 675-678 (2006)
HotQCD, PLB 795, 15-21 (2019)

Introduction: Theoretical Exploration of CP

- Location of crossover
 - Robust prediction from Lattice QCD at small μ_B
- Location of critical point
 - Sign problem of Lattice QCD at finite μ_B
 - Various predictions from models
 - Wide region:
 $T = 40\text{--}180 \text{ MeV}$, $\mu_B = 200\text{--}1100 \text{ MeV}$
- Crucial to search for and locate CP in experiments



A. Pandav *et al.*, PPNP 125, 103960 (2022)

Introduction: Experimental Observables

- N : event-by-event net-proton number (proxy for net-baryon number B)

- $\mu_r = \langle (N - \langle N \rangle)^r \rangle$: r th-order central moment

- Cumulants

- $C_1 = \mu = \langle N \rangle$

- $C_2 = \sigma^2 = \mu_2$

- $C_3 = S\sigma^3 = \mu_3$

- $C_4 = \kappa\sigma^4 = \mu_4 - 3\mu_2^2$

- $C_5 = \mu_5 - 10\mu_3\mu_2$

- $C_6 = \mu_6 - 15\mu_4\mu_2 - 10\mu_3^2 + 30\mu_2^3$

- Factorial cumulants

- $\kappa_1 = C_1$

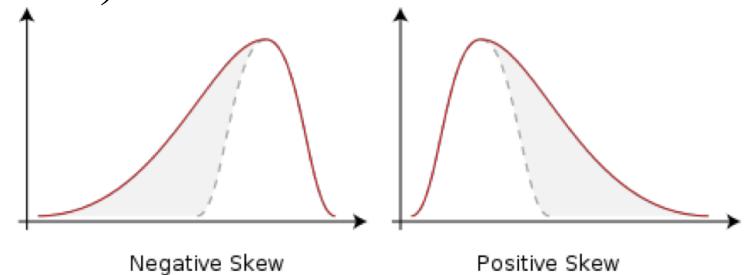
- $\kappa_2 = C_2 - C_1$

- $\kappa_3 = C_3 - 3C_2 + 2C_1$

- $\kappa_4 = C_4 - 6C_3 + 11C_2 - 6C_1$

- $\kappa_5 = C_5 - 10C_4 + 35C_3 - 50C_2 + 24C_1$

- $\kappa_6 = C_6 - 15C_5 + 85C_4 - 225C_3 + 274C_2 - 120C_1$



skewness $S = \mu_3/\sigma^3 \rightarrow$ asymmetry

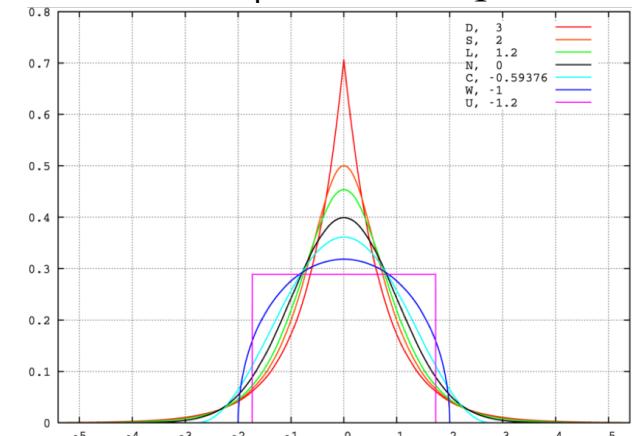
Gaussian: $C_r = 0 (r > 2)$

Poisson: $C_r = C_1, \kappa_r = 0 (r > 1)$

Skellam (Poisson – Poisson):

$$C_{\text{odd}}/C_{\text{odd}} = C_{\text{even}}/C_{\text{even}} = 1$$

kurtosis $\kappa = \mu_4/\sigma^4 - 3 \rightarrow$ peakedness



Introduction: Expected Signal

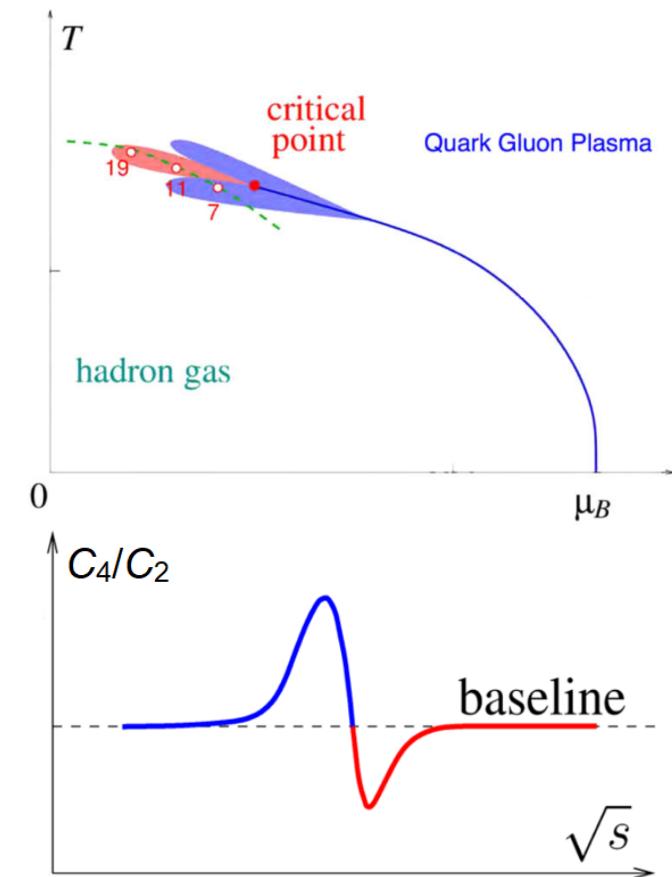
- Correlation length ξ and r th susceptibility $\chi_{r,q}$ ($q = B, Q, S$)

- Expected to diverge at CP
- Reduced by effect of finite size/time
- More sensitive to higher orders

- Relationship to observables

- $C_2 \sim \xi^2, C_3 \sim \xi^{4.5}, C_4 \sim \xi^7, C_5 \sim \xi^{9.5}, C_6 \sim \xi^{12}$
- $C_{r,q} = VT^3 \chi_{r,q}$
- $C_{r,q}/C_{s,q} = \chi_{r,q}/\chi_{s,q}$
- Direct comparison with lattice QCD,
HRG, QCD-based model calculations

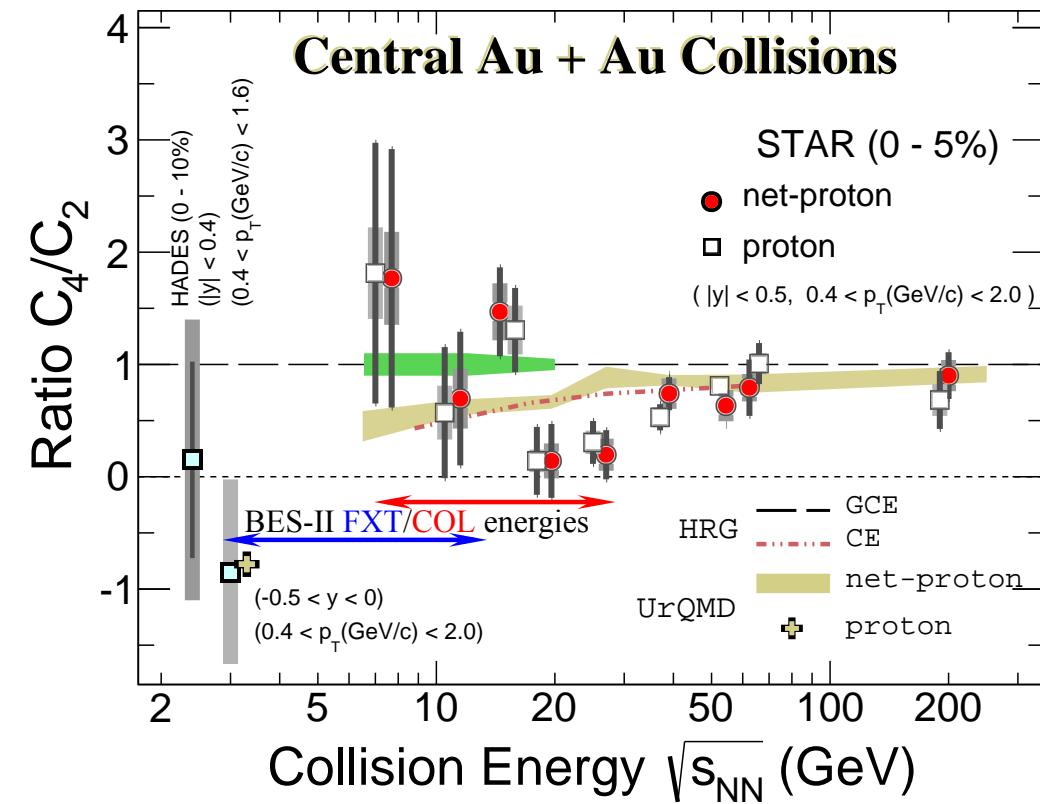
- Expected signal of critical region: non-monotonic energy dependence of C_4/C_2 around baseline
 - Assumption: thermodynamic equilibrium



M. A. Stephanov, PRL 102, 032301 (2009)
M. A. Stephanov, PRL 107, 052301 (2011)

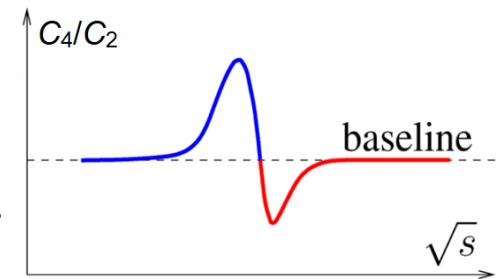
Experimental Search for CP from BES-I

$\sqrt{s_{\text{NN}}}$ (GeV)	Used Events (10^6)	μ_B (MeV)
200	238	25
62.4	47	75
54.4	550	85
39	86	112
27	30	156
19.6	15	206
14.5	20	262
11.5	6.6	316
7.7	3	420
3 (FXT)	140	750

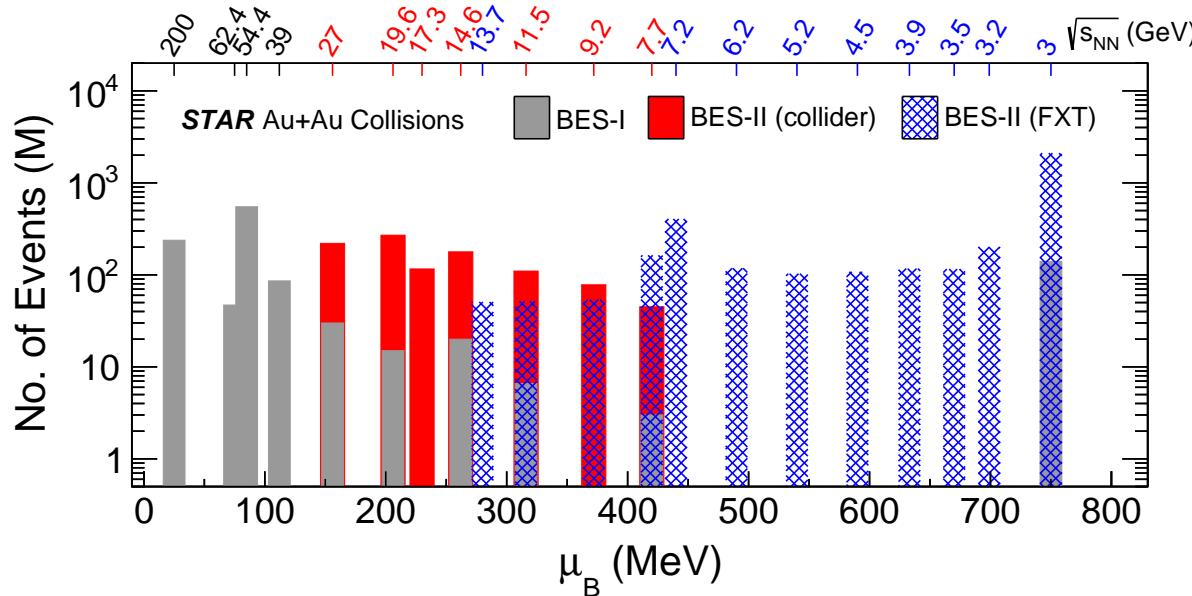


- Non-monotonic energy dependence of C_4/C_2 (3.1σ)
 - Deviation from non-CP models showing monotonic trend
- C_4/C_2 from 3 GeV shows agreement with UrQMD
- Crucial to have precision measurement in BES-II

STAR: PRL 127, 262301, PRC 104, 24902,
PRL 128, 202302, PRC 107, 24908
HADES: PRC 102, 024914 (2020)

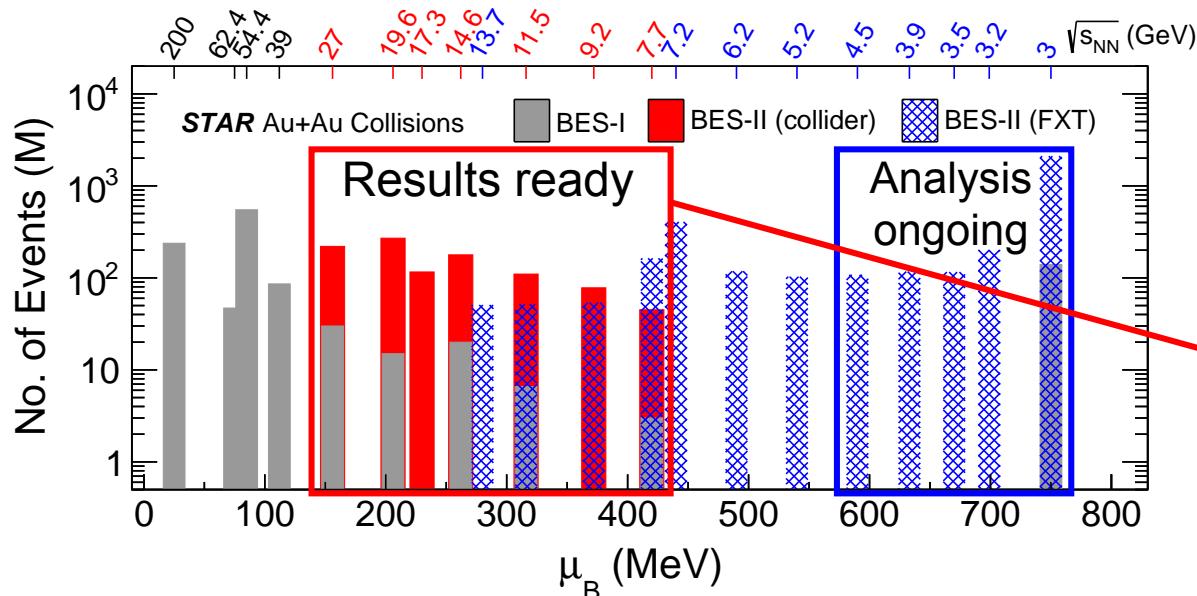


STAR BES-II Program



- Beam Energy Scan (BES) Program
 - BES-I (including 3 GeV FXT)
 - BES-II (collider mode)**
 - BES-II (fixed-target mode)**
- $3 \leq \sqrt{s_{NN}} \text{ (GeV)} \leq 200 \rightarrow 750 \geq \mu_B \text{ (MeV)} \geq 25$: high precision, widest μ_B coverage to date

STAR BES-II Program



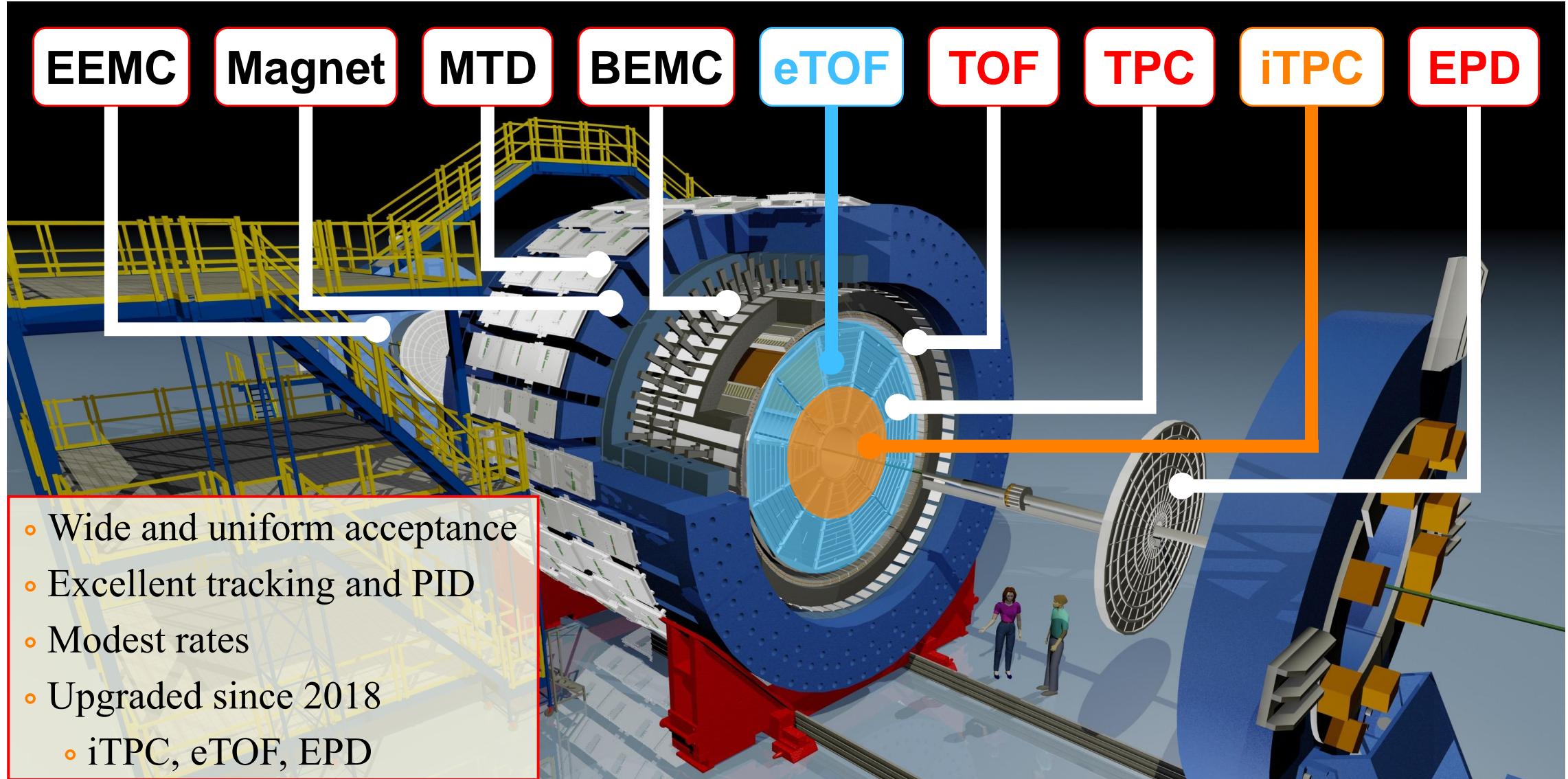
Events used for net-proton analyses
in BES-I and BES-II (collider mode)

$\sqrt{s_{NN}}$ (GeV)	Used Events (10^6)	
	BES-I	BES-II
27	30	220
19.6	15	270
17.3	/	116
14.6	20	178
11.5	6.6	110
9.2	/	78
7.7	3	45

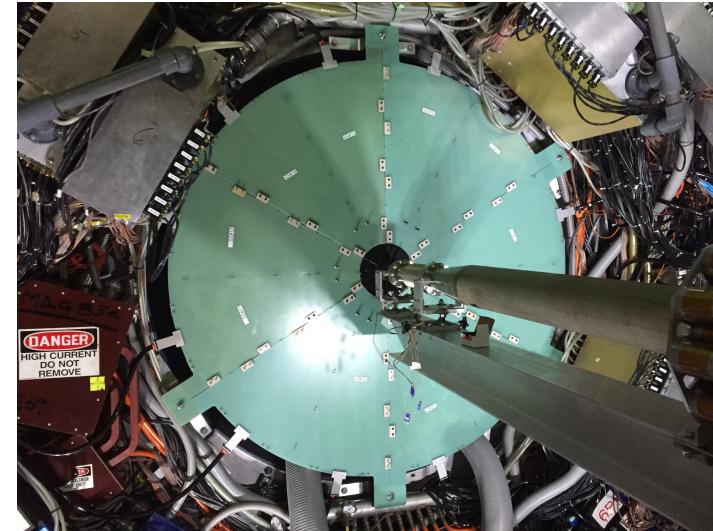
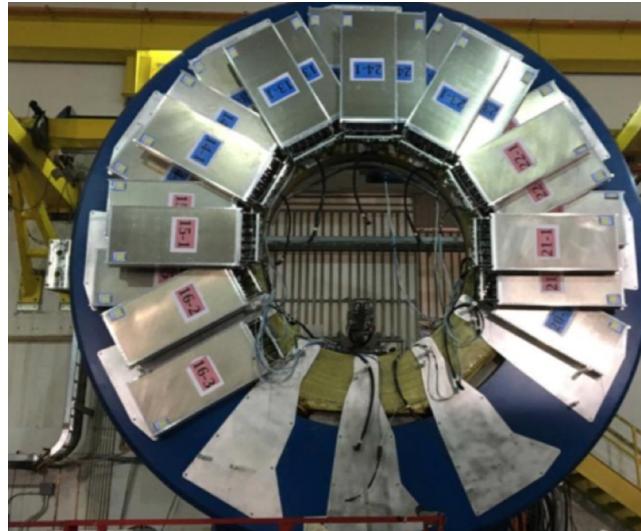
- Beam Energy Scan (BES) Program
 - BES-I (including 3 GeV FXT)
 - BES-II (collider mode)**
 - BES-II (fixed-target mode)
- $3 \leq \sqrt{s_{NN}}$ (GeV) $\leq 200 \rightarrow 750 \geq \mu_B$ (MeV) ≥ 25 : high precision, widest μ_B coverage to date

- Larger statistics: $\times \sim 10-18$
- New energies: 9.2 and 17.3 GeV

STAR Detector System



STAR Major Upgrades for BES-II



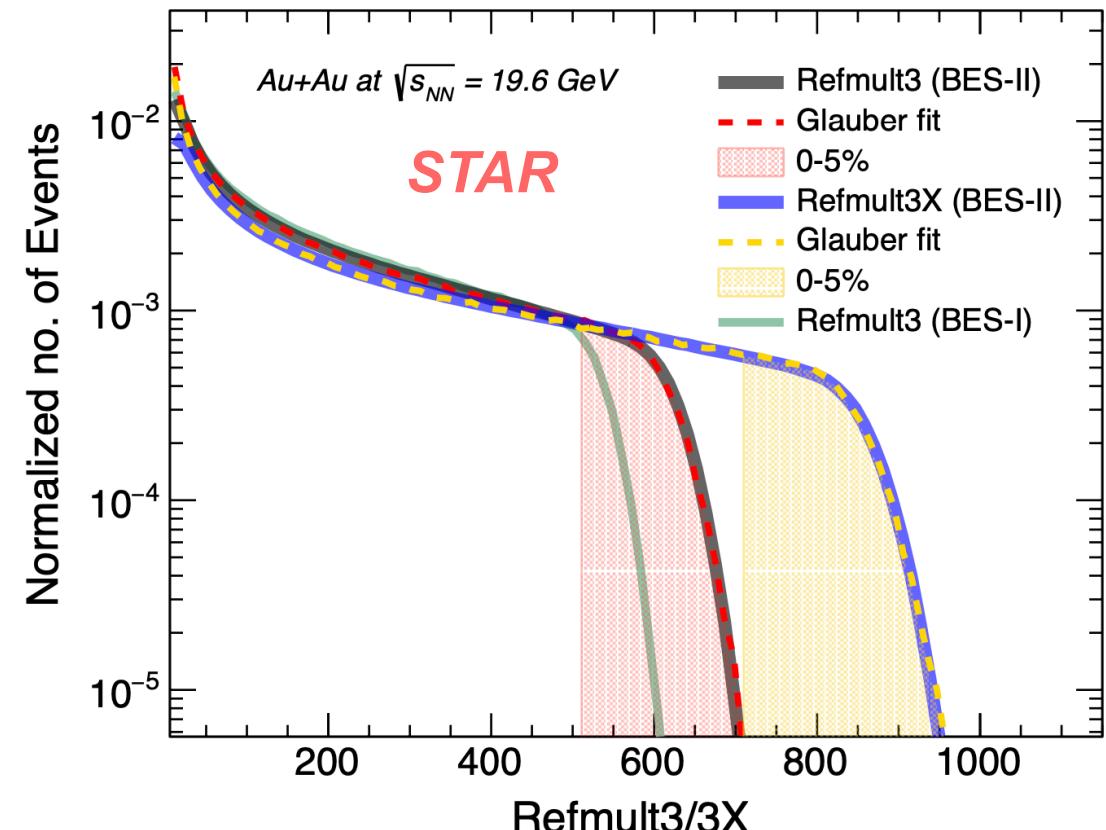
- Inner TPC (since 2019)
 - Improves dE/dx
 - Extends η coverage from 1.0 to 1.6
 - Lowers p_T cut-in from 125 to 60 MeV/ c
 - End-cap TOF (since 2019)
 - Forward rapidity coverage
 - PID at $1.05 < \eta < 1.50$
 - Borrowed from FAIR-CBM
 - Event Plane Detector (since 2018)
 - $2.14 < |\eta| < 5.09$
 - Improves trigger
 - Better centrality & event plane
1. Enlarge rapidity acceptance: $|\eta| < 1.0 \rightarrow |\eta| < 1.6$
 2. Improve particle identification: $p_T > 125 \text{ MeV}/c \rightarrow p_T > 60 \text{ MeV}/c$
 3. Enhance centrality/event plane resolution, suppress auto corrections
 4. Enable the fixed-target program: $\mu_B \leq 420 \text{ MeV} \rightarrow \mu_B \leq 750 \text{ MeV}$

Centrality Determination

- Measured charged particle multiplicities used for centrality determination
- (Anti)protons excluded to avoid self-correlation

Two multiplicity definitions with different acceptances

RefMult3		RefMult3X
BES-I	BES-II	BES-II
w/o iTPC	w/ iTPC	w/ iTPC
$ \eta < 1.0$	$ \eta < 1.0$	$ \eta < 1.6$



- Greater multiplicity → better centrality resolution
- RefMult3X (BES-II) > RefMult3 (BES-II) > RefMult3 (BES-I)

Best centrality resolution taking advantage of iTPC

Particle Identification

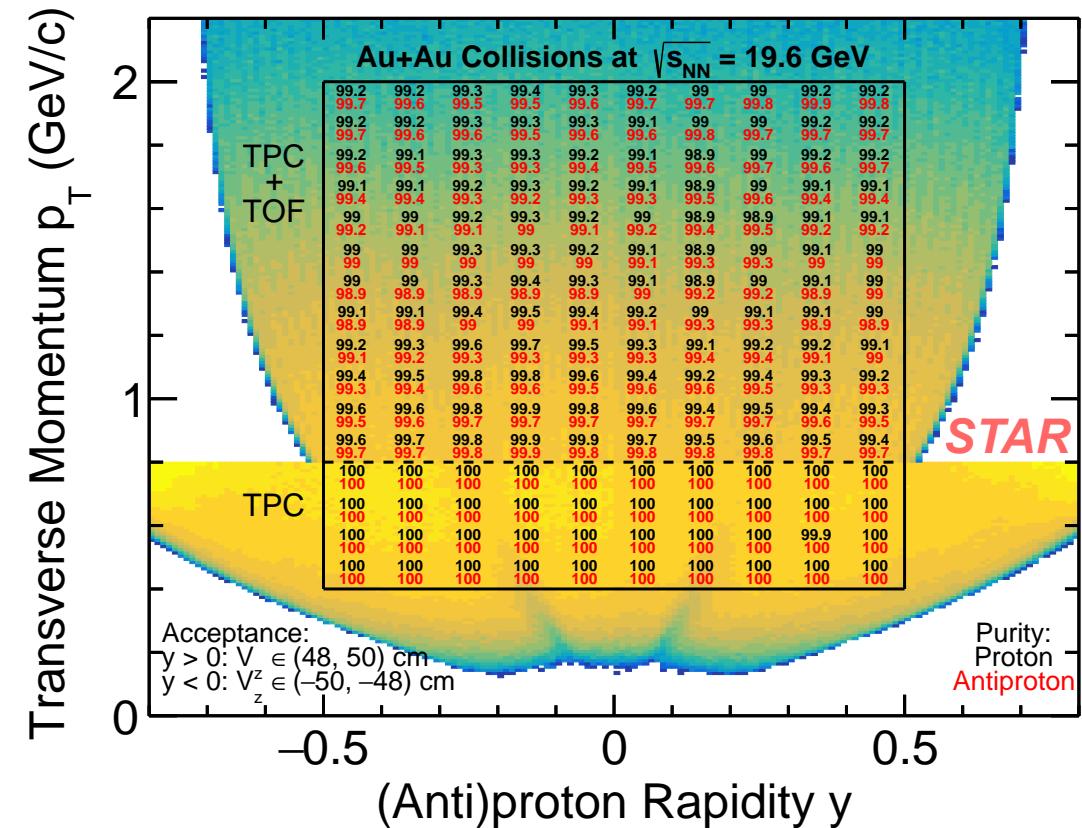
- (Anti)proton acceptance in this analysis:

$$0.4 < p_T \text{ (GeV}/c\text{)} < 2.0, |y| < 0.5$$

PID selection criteria for protons and antiprotons

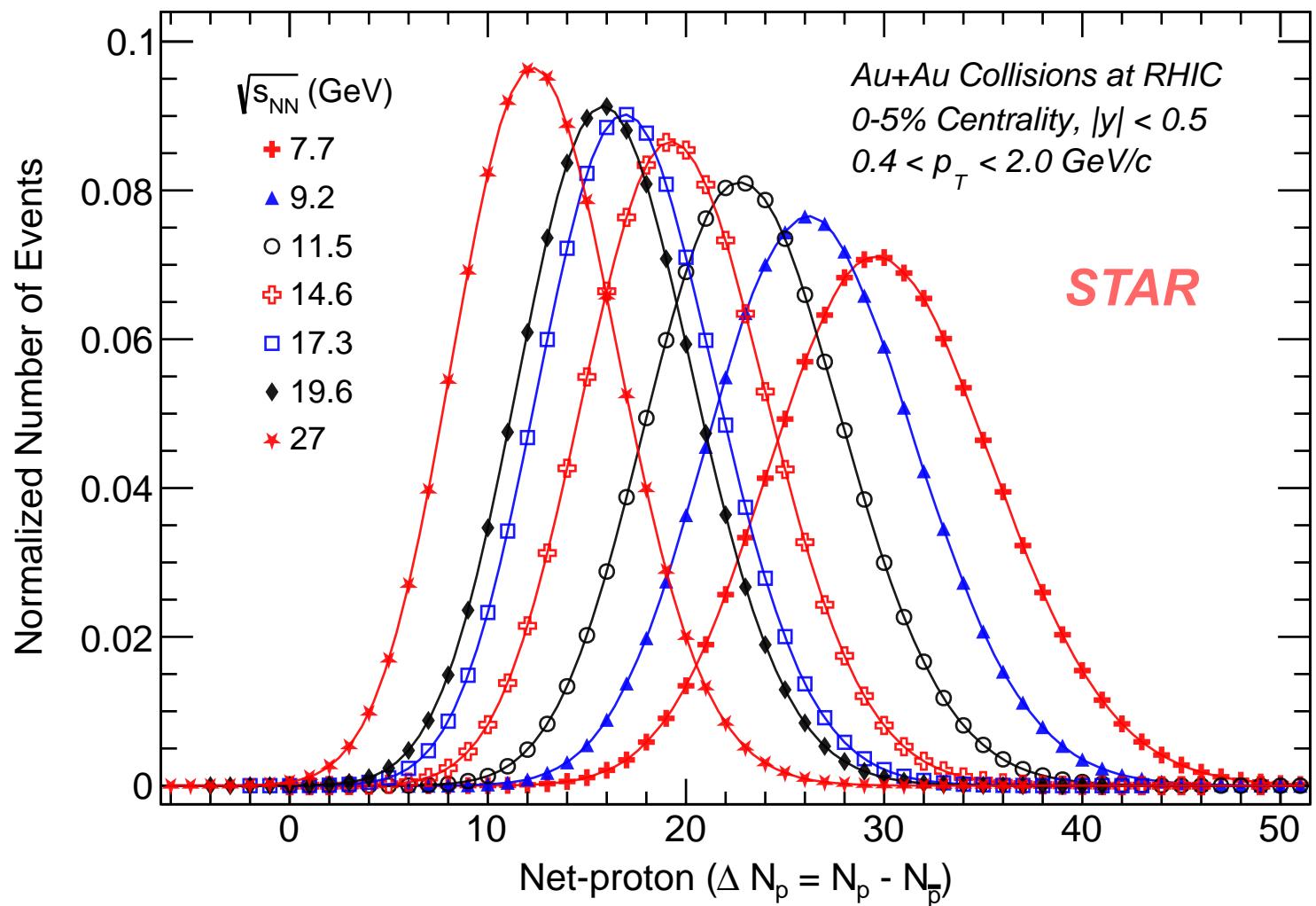
p_T (GeV/c)	0.4–0.8	0.8–2.0
Rapidity	$ y < 0.5$	
Detector	TPC	TPC+TOF
TPC dE/dx	$ n\sigma_{\text{proton}} < 2$	
TOF m^2 (GeV $^2/c^4$)	/	0.6–1.2

- Uniform (anti)proton acceptance in $|y| < 0.5$ within $|V_z| < 50$ cm
- Bin-by-bin proton/antiproton purity $> 99\%$



Event-by-Event Net-proton Number Distributions

- Raw net-proton number distributions from BES-II
 - Uncorrected by detector efficiency
- Mean increases with decreasing collision energy
 - Effect of baryon stopping



Techniques and Improvements in BES-II Analysis

1. Efficiency correction (detector efficiency and PID cut efficiency)

- Binomial assumption for the effect of efficiency
- ~10% higher (anti)proton efficiency with iTPC compared to BES-I

STAR, PRC 104 024902 (2021)
R. Barlow, arXiv:hep-ex/0207026

2. Statistical uncertainty estimation (delta theorem and bootstrap)

- Smaller statistical error ($\propto \sigma^r/\sqrt{N}$ for C_r) due to more statistics than BES-I

3. Systematic uncertainty calculation (Barlow check applied)

- Smaller systematic error from efficiency: 2% with iTPC (5% in BES-I)

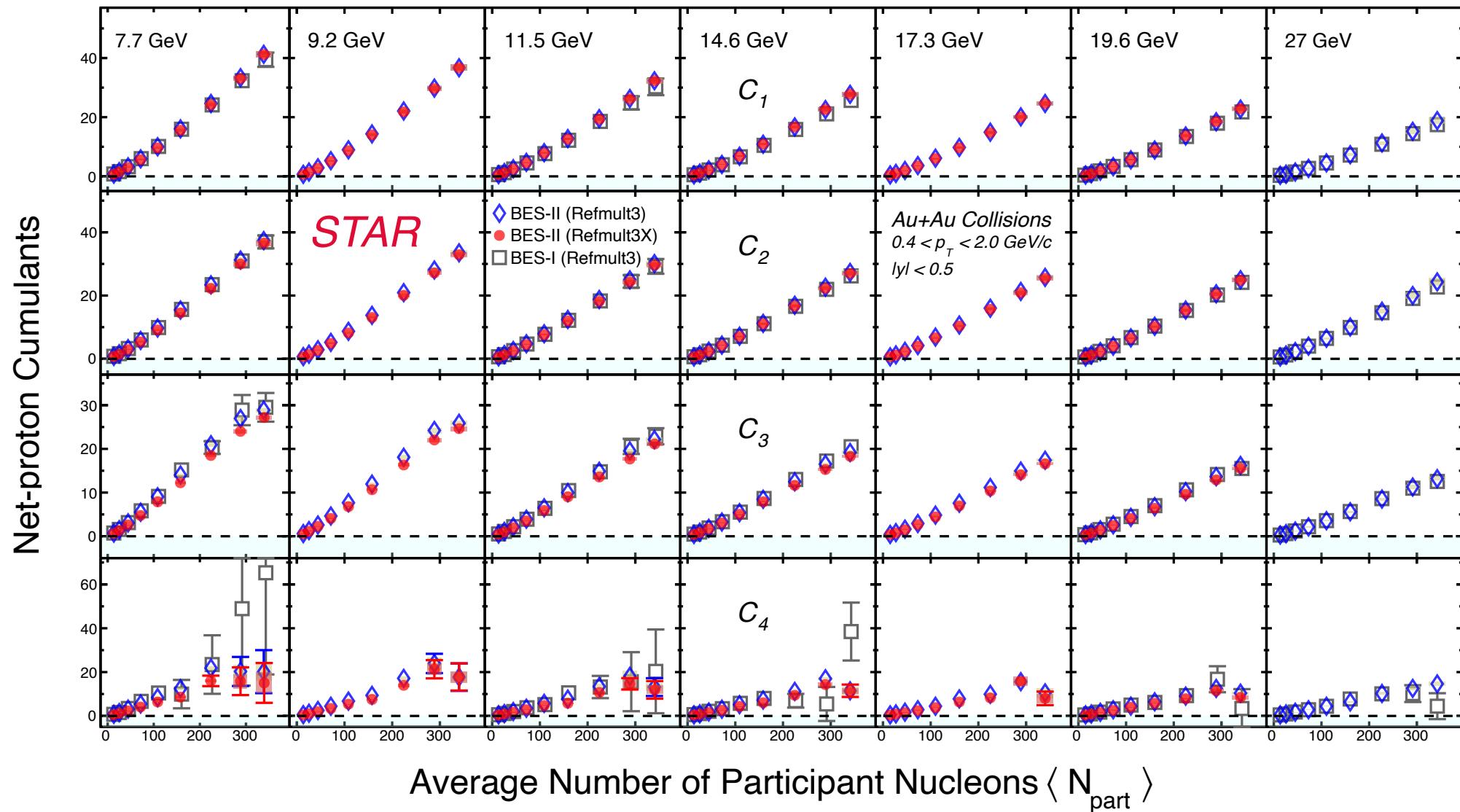
4. Centrality Bin Width Correction (CBWC)

- Initial volume fluctuation suppressed
- $C_r = (\sum_i n_i C_{r,i}) / (\sum_i n_i)$,
where n_i is no. of events in i th multiplicity bin

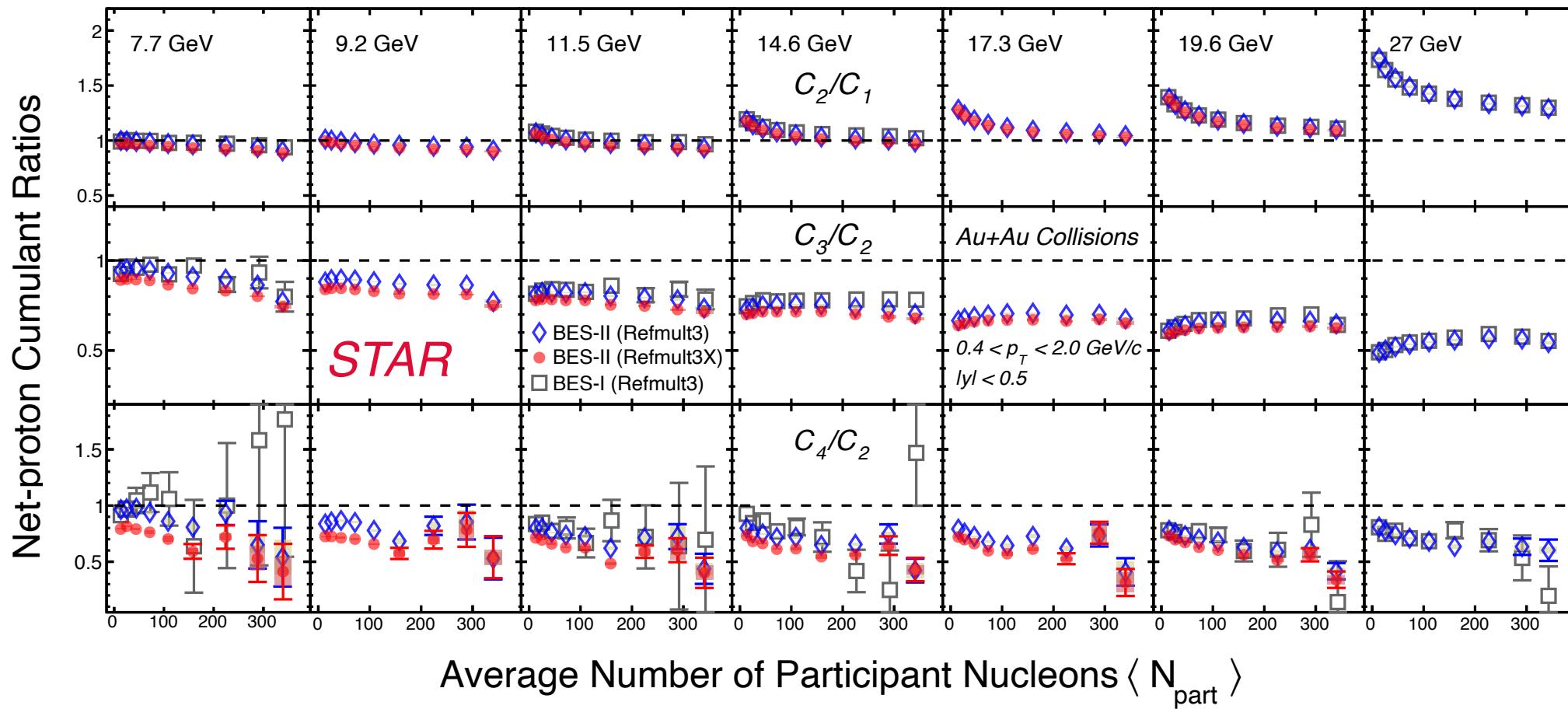
Reduction factor in uncertainties of 0–5% C_4/C_2
in BES-II compared to BES-I

	7.7 GeV	19.6 GeV
Stat.	4.7	4.5
Sys.	3.2	4

Cumulants vs. Centrality and Collision Energy



Cumulant Ratios vs. Centrality and Collision Energy



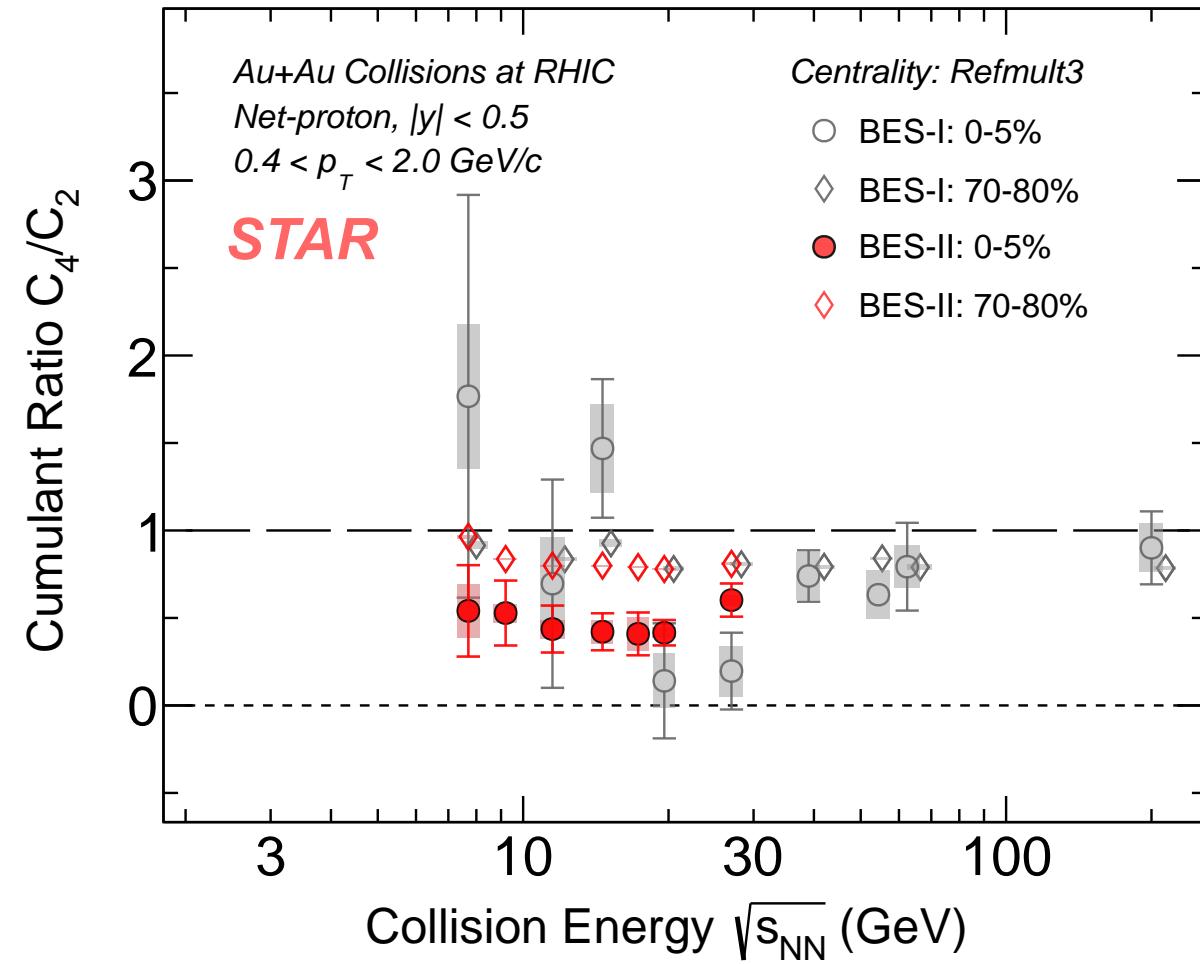
1. Precision measurement: smooth centrality dependence across collision energies
2. Better centrality resolution leads to lower cumulants/ratios (especially in mid-central events)
Results from RefMult3X (BES-II) < RefMult3 (BES-II) < RefMult3 (BES-I)
3. For 0–5% C_4/C_2 , weak effect of centrality resolution

Comparison of C_4/C_2 with BES-I

Deviation between BES-II and BES-I results

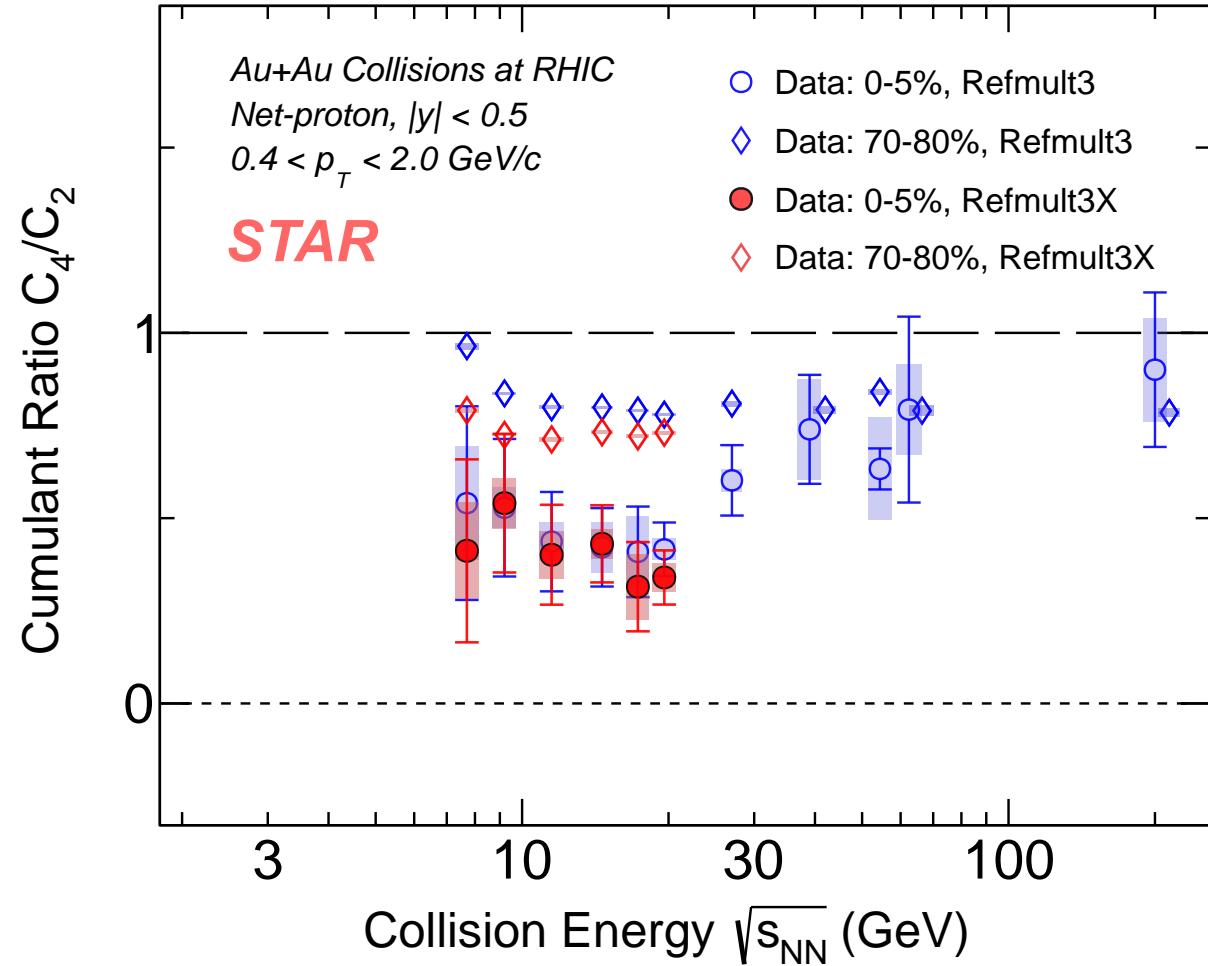
$\sqrt{s_{\text{NN}}}$ (GeV)	0–5%	70–80%
7.7	1.0σ	0.9σ
11.5	0.4σ	1.3σ
14.6	2.2σ	2.5σ
19.6	0.7σ	0.0σ
27	1.4σ	0.2σ

- BES-II results consistent with BES-I mostly within $\sim 1\sigma$



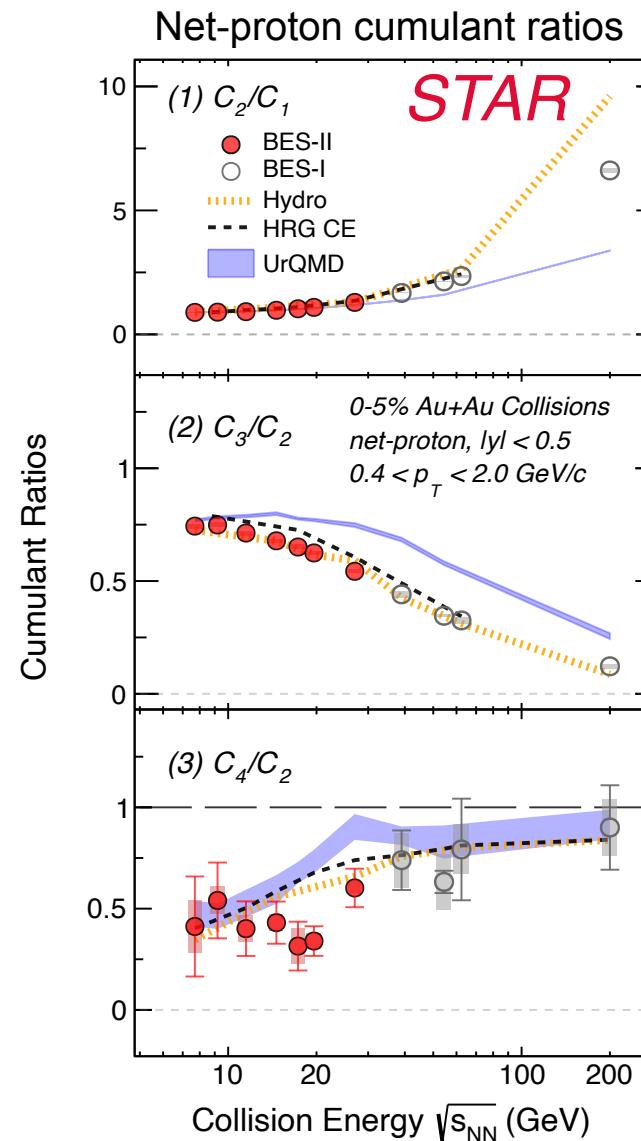
Effect of Centrality Resolution on C_4/C_2

- For C_4/C_2 at 0–5% centrality, results from RefMult3 and RefMult3X show good agreement with each other
 - Weak effect of centrality resolution
- For C_4/C_2 at 70–80% centrality, clear deviation between RefMult3/3X



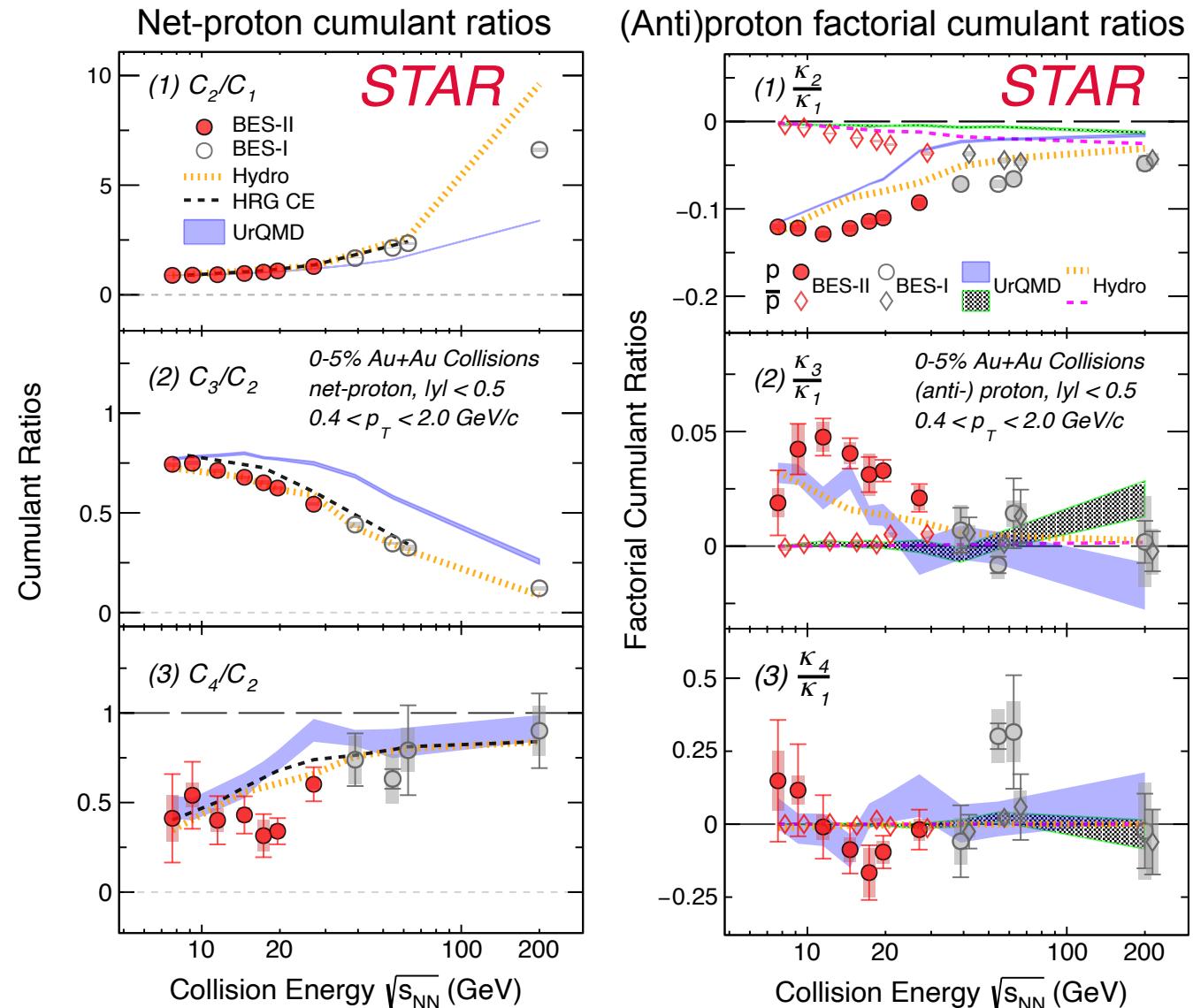
Comparison of Energy Dependence with Models

- Smooth energy dependence observed in C_2/C_1 & C_3/C_2
 C_4/C_2 decreases with decreasing energy
- Non-CP models used for comparison
 1. Hydro: hydrodynamical model
V. Vovchenko *et al.*, PRC 105, 014904 (2022)
 2. HRG CE: thermal model with canonical treatment of baryon charge
P. B Munzinger *et al.*, NPA 1008, 122141 (2021)
 3. UrQMD: hadronic transport model
S. A. Bass *et al.*, PPNP, 41, 255 (1998)

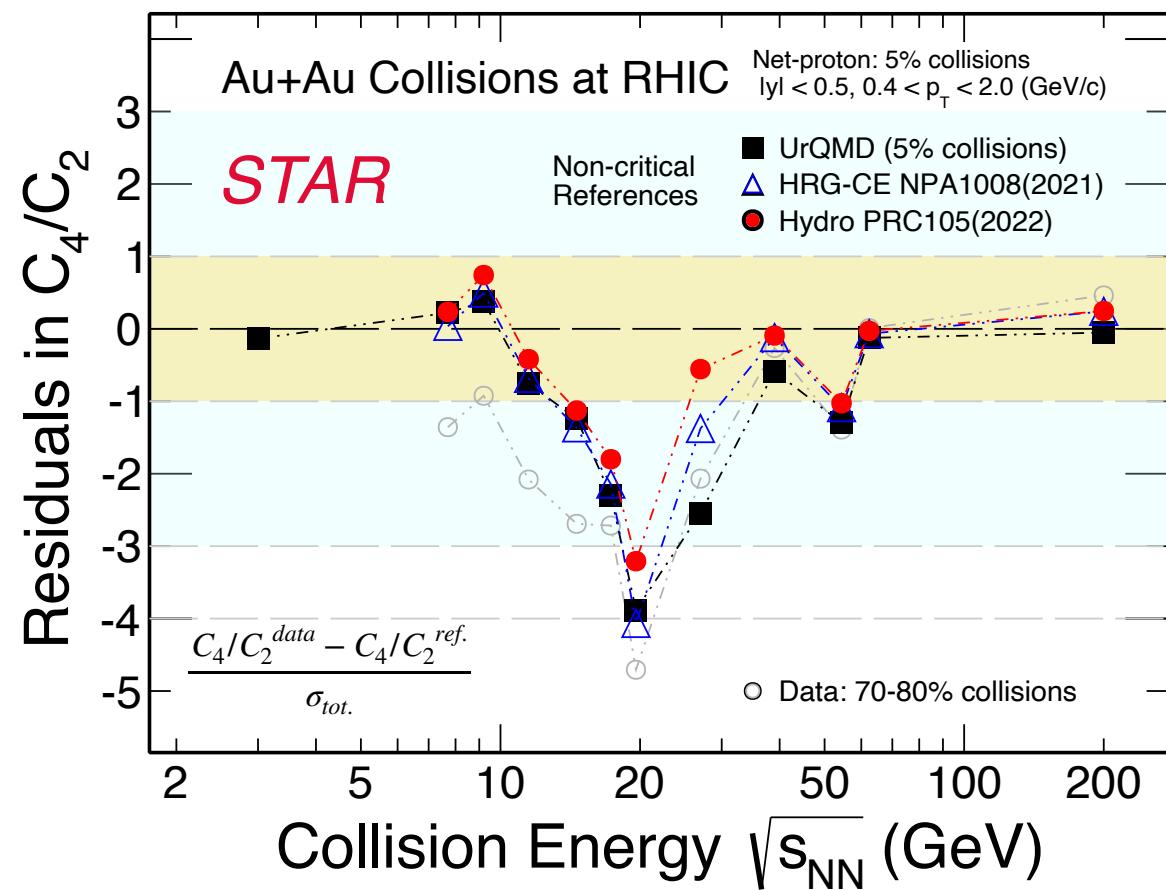
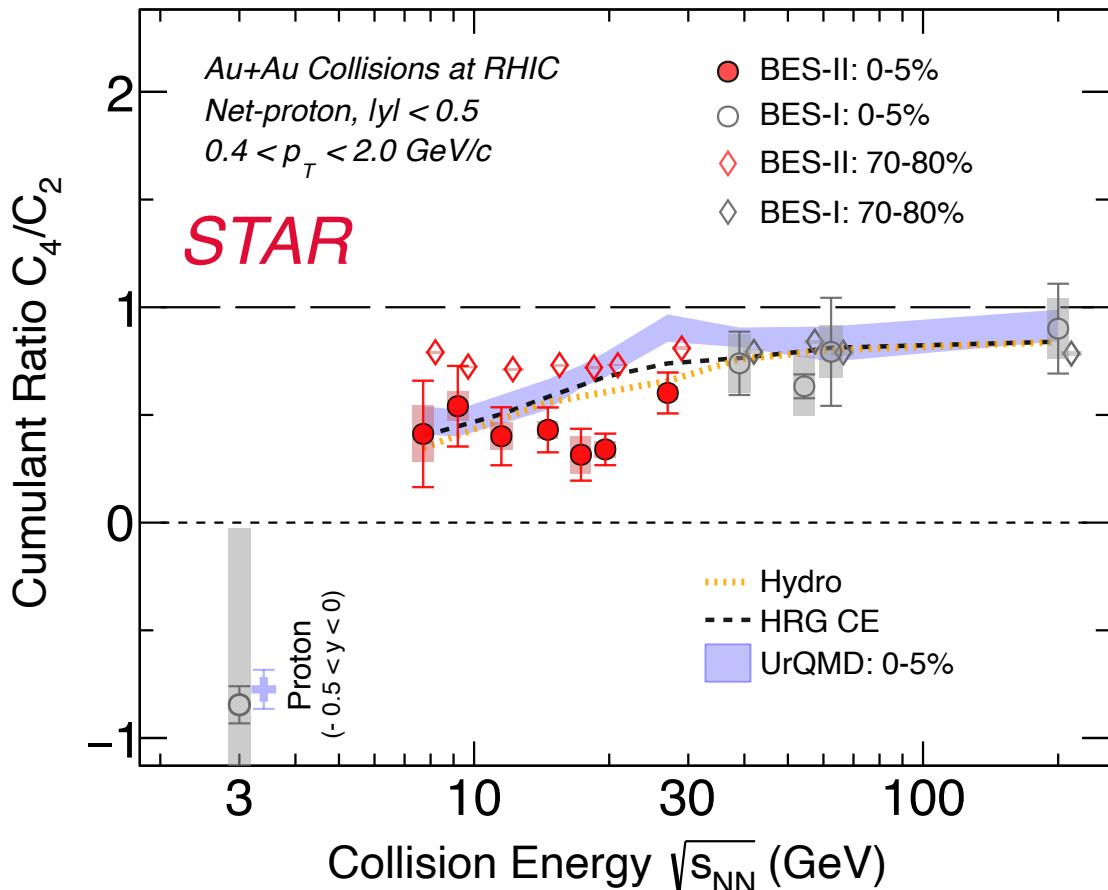


Comparison of Energy Dependence with Models

- Smooth energy dependence observed in C_2/C_1 & C_3/C_2
 C_4/C_2 decreases with decreasing energy
- Non-CP models used for comparison
 1. **Hydro:** hydrodynamical model
V. Vovchenko *et al.*, PRC 105, 014904 (2022)
 2. **HRG CE:** thermal model with canonical treatment of baryon charge
P. B Munzinger *et al.*, NPA 1008, 122141 (2021)
 3. **UrQMD:** hadronic transport model
S. A. Bass *et al.*, PPNP, 41, 255 (1998)
- Proton κ ratios deviate from Poisson baseline at 0
Antiproton κ_3/κ_1 and κ_4/κ_1 closer to 0
- Clear deviations in net-proton C_4/C_2 and proton κ ratios from models



Quantitative Deviations of C_4/C_2 from non-CP Refs.



- C_4/C_2 shows minimum at $\sqrt{s_{NN}} \sim 20 \text{ GeV}$ comparing to non-CP models and 70–80% data
- Maximum deviation around 20 GeV: $3.2\text{--}4.7\sigma$ ($1.3\text{--}2\sigma$ at BES-I)
- Overall deviation from 7.7 to 27 GeV: $1.9\text{--}5.4\sigma$ ($1.4\text{--}2.2\sigma$ at BES-I)

Summary and Outlook

- Summary

1. Precision measurement of net-proton number fluctuations vs. centrality and collision energy in Au+Au collisions from STAR BES-II reported. Compared to BES-I, we have
better statistical precision, better centrality resolution, better control on systematics.
2. Net-proton C_4/C_2 in 0–5% central collisions shows a maximum deviation from various non-CP references at $\sqrt{s_{\text{NN}}} \sim 20$ GeV with a significance level of $3.2\text{--}4.7\sigma$.

- Outlook

1. Extend measurements to hyper-order fluctuations up to C_6 and κ_6 .
2. Examine transverse momentum dependence and rapidity dependence of fluctuations.
3. Complete the measurements in Au+Au collisions at fixed-target (FXT) energies.

Acknowledgements

*RHIC operation for
successfully completing collection of BES-II data,*

*Organizers for
giving this opportunity.*

Thank you for your attention!