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# Parton fragmentation from vacuum to nuclear medium

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华南师范大学

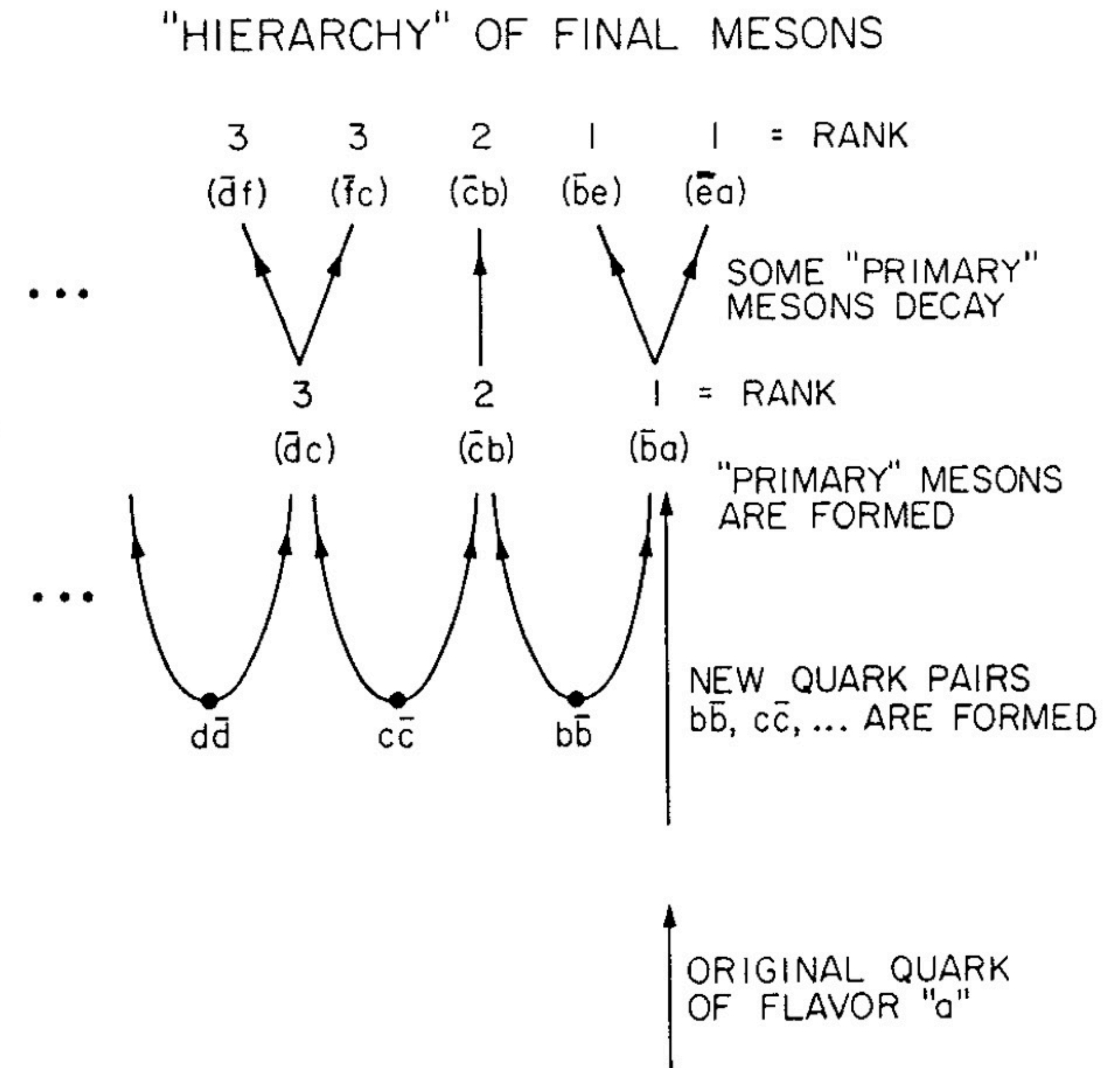
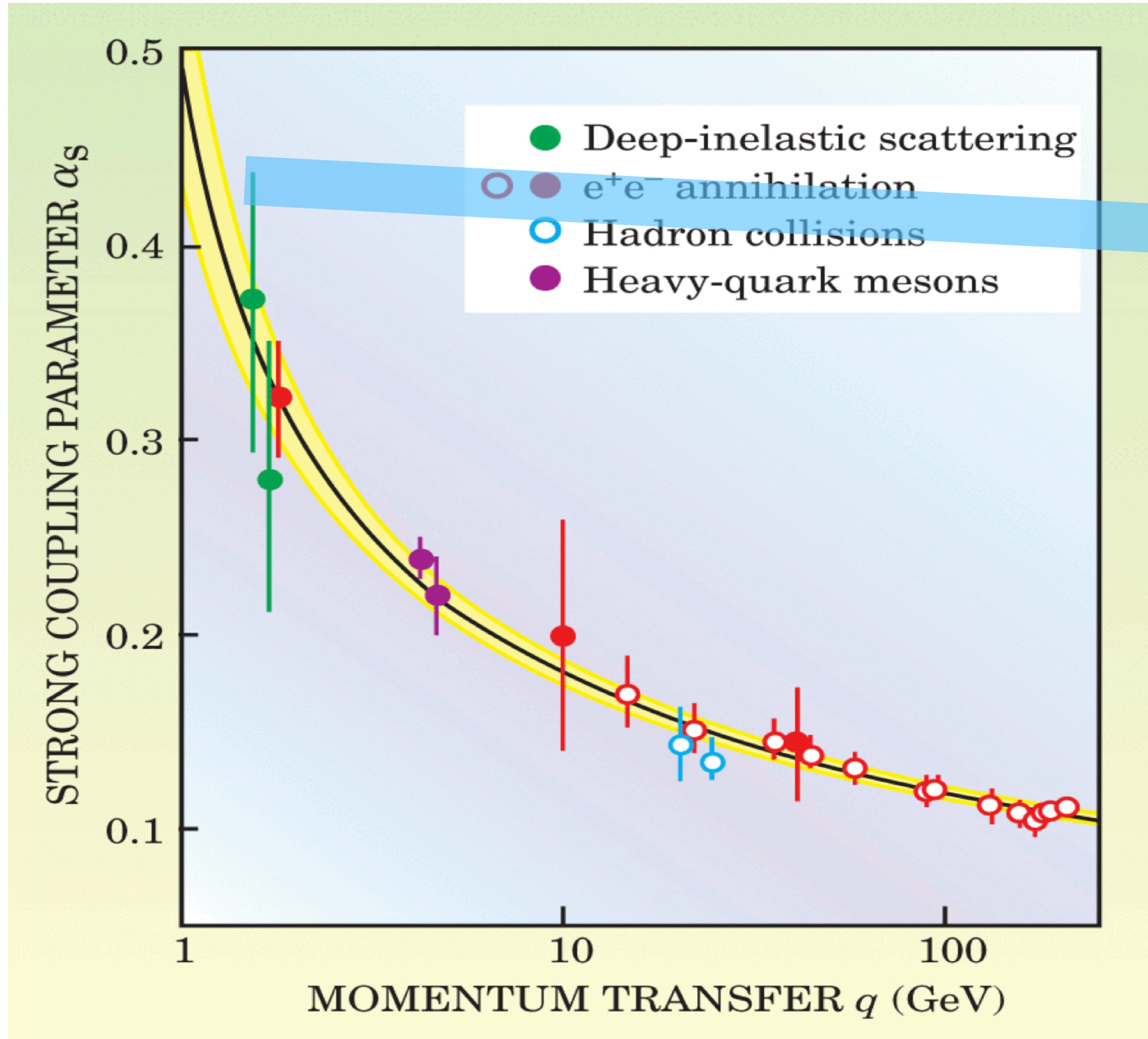
重庆物理学会  
强耦合体系微观物理重庆市重点实验室



2024.10.10-15

# QCD confinement - hadronization

## ◆ QCD as the fundamental theory of strong interaction



*Field, Feynman, NPB 1978*

## ◆ The first concept of parton fragmentation functions

INCLUSIVE PROCESSES AT HIGH TRANSVERSE MOMENTUM<sup>†</sup>

S. M. Berman, J. D. Bjorken and J. B. Kogut

Stanford Linear Accelerator Center, Stanford University, Stanford, California 94305

### ABSTRACT

We calculate the distribution of secondary particles C in processes  $A+B \rightarrow C +$  anything at very high energies when (1) particle C has transverse momentum  $p_T$  far in excess of  $1 \text{ GeV}/c$ , (2) the basic reaction mechanism is presumed to be a deep-inelastic electromagnetic process, and (3) particles A, B and C are either lepton ( $\ell$ ), photon ( $\gamma$ ), or hadron (h). We find that such distribution functions possess a scaling behavior, as governed by dimensional analysis. Furthermore, the typical behavior even for A, B and C all hadrons, is a power law decrease in yield with increasing  $p_T$ , implying measurable yields at NAL of hadrons, leptons, and photons produced in 400 GeV pp collisions even when the observed secondary-particle  $p_T$  exceeds  $8 \text{ GeV}/c$ . There are similar implications for particle yields from  $e^+ - e^-$  colliding-beam experiments and for hadron yields in deep-inelastic electroproduction (or neutrino processes). Among the processes discussed in some detail are  $\ell\ell \rightarrow h$ ,  $\gamma\gamma \rightarrow h$ ,  $\ell h \rightarrow h$ ,  $\gamma h \rightarrow h$ ,  $\gamma h \rightarrow \ell$ , as well as  $hh \rightarrow \ell$ ,  $hh \rightarrow \gamma$ ,  $hh \rightarrow W$ , and  $W \rightarrow h$ , where W is the conjectured weak-interaction intermediate boson. The basis of the calculation is an extension of the parton model. **The new ingredient necessary to calculate the processes of interest is the inclusive probability for finding a hadron emerging from a parton struck in a deep-inelastic collision.** This probability is taken to have a form similar to that generally presumed for finding a parton in an energetic hadron. We study the dependence of our conclusions on the validity of the



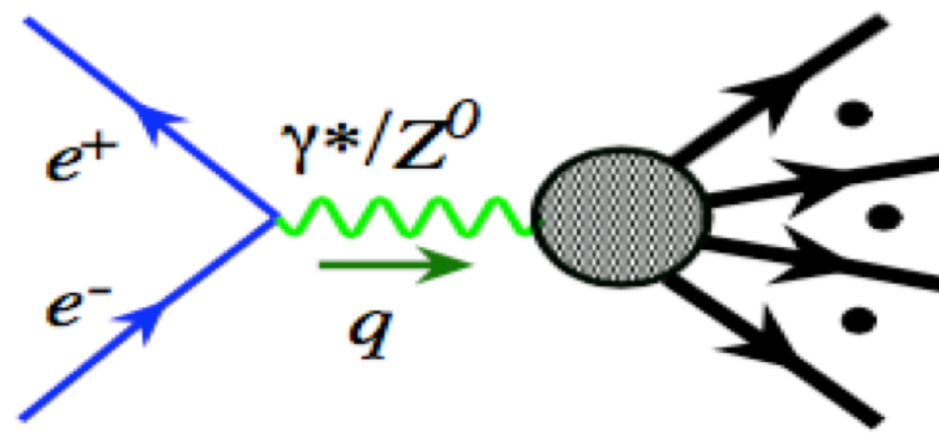
James Bjorken, 1934-2024

*Berman, Bjorken, Kogut, PRD 1971*

# Multiple channels to explore parton hadronization

## ◆ Indispensable joint efforts from experiments and QCD theory

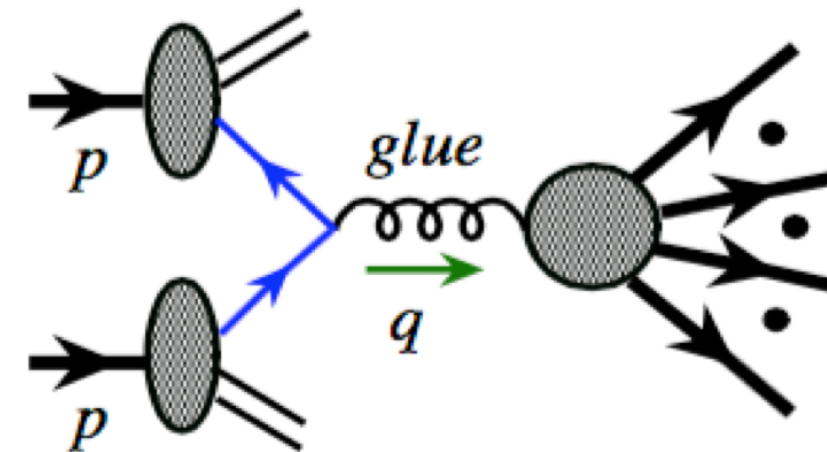
### Lepton-lepton colliders



BEPC, SuperKEKB

- ▶ No hadron in the initial-state
- ▶ Hadrons are emerged from energy
- ▶ Not ideal for studying hadron structure, **but ideal for FFs**

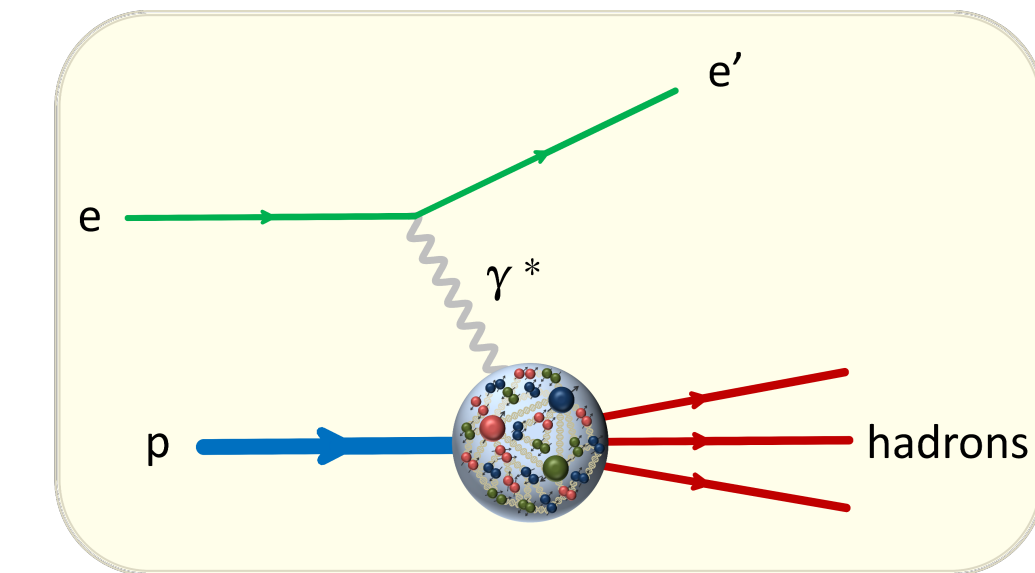
### Hadron-hadron colliders



RHIC, LHC

- ▶ Hadrons in the initial-state
- ▶ Hadrons are emerged from energy
- ▶ Currently used for studying hadron structure and FFs

### lepton-hadron colliders

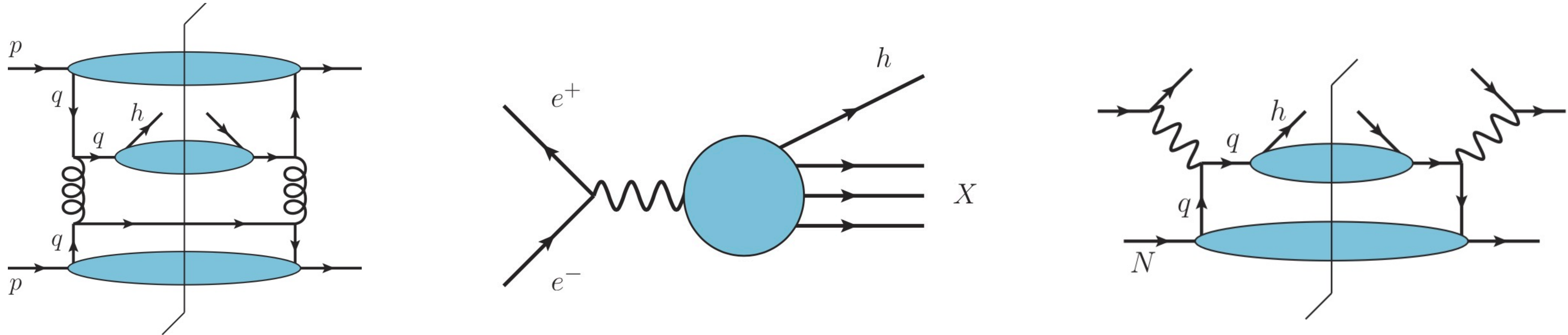


HERA, JLab, EIC/EicC

- ▶ Hadrons in the initial-state
- ▶ Hadrons are emerged from energy
- ▶ Ideal for studying hadron structure, can also involve FFs

# Fragmentation Functions

## ◆ Access to FFs in $pp$ , $e^+e^-$ and $ep$ collisions: universality of FFs



- Factorization in single inclusive hadron production in proton-proton collisions

$$\sigma^{pp \rightarrow hX} = f_{i/p} \otimes f_{j/p} \otimes \hat{\sigma}_{ij \rightarrow k} \otimes D_{k \rightarrow h}$$

- Large momentum transfer  $Q \gg \Lambda_{QCD}$
- High precision control of  $\hat{\sigma}$
- $D$ : fragmentation function, also called parton decay function, encodes the information on how partons produced in hard scattering hadronize into the detected color singlet hadronic bound state.

# Methodology for global extraction of FFs

## Fitting Framework

Construction of  $\chi_{\text{global}}^2$  from  $\chi_n^2$

$\chi_n^2$  Construction

Generation of Theory Data

FFs Evolution

Coefficient functions

Experimental Data

FF Parametrization

Minimization of  $\chi_{\text{global}}^2$

Hessian Matrix

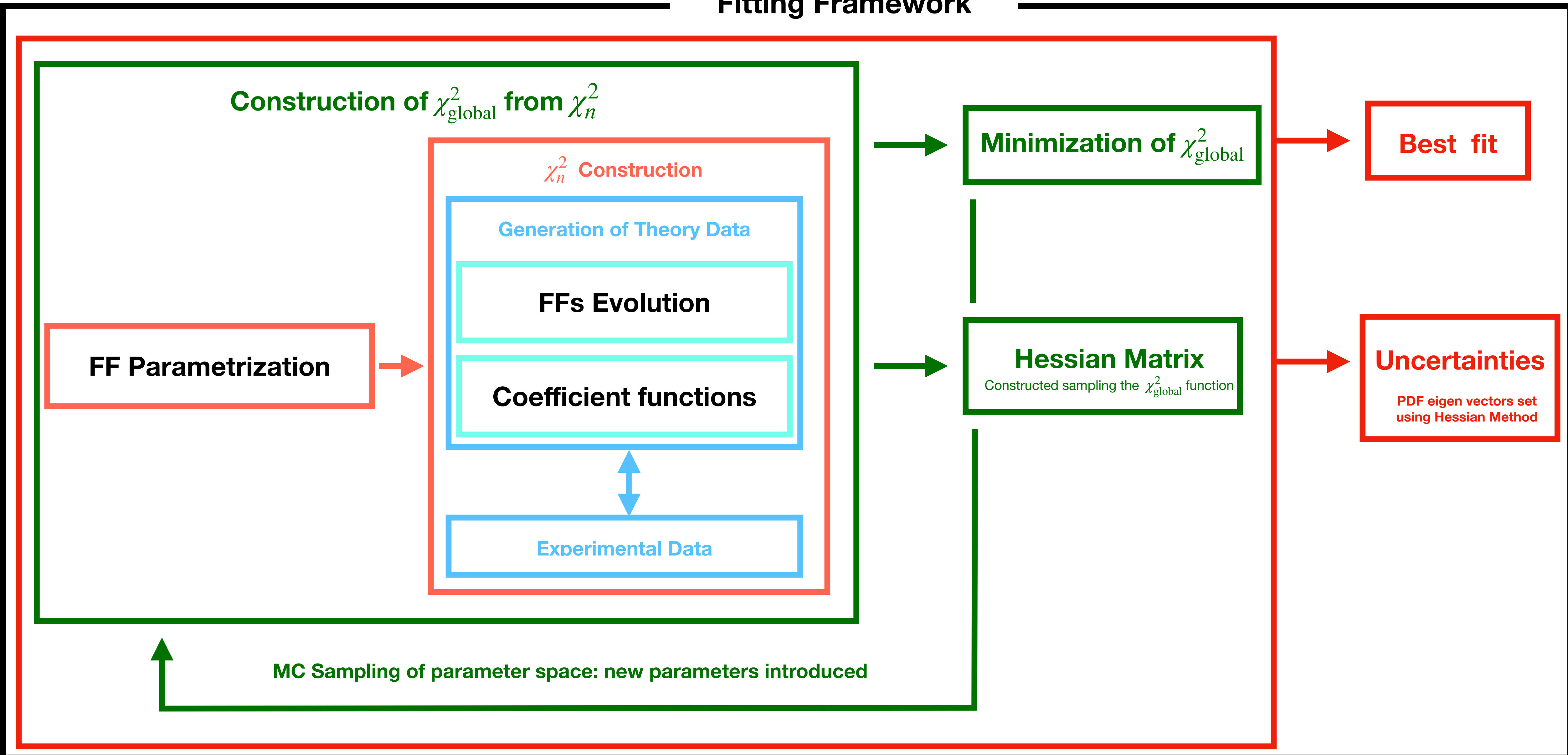
Constructed sampling the  $\chi_{\text{global}}^2$  function

Best fit

Uncertainties

PDF eigen vectors set  
using Hessian Method

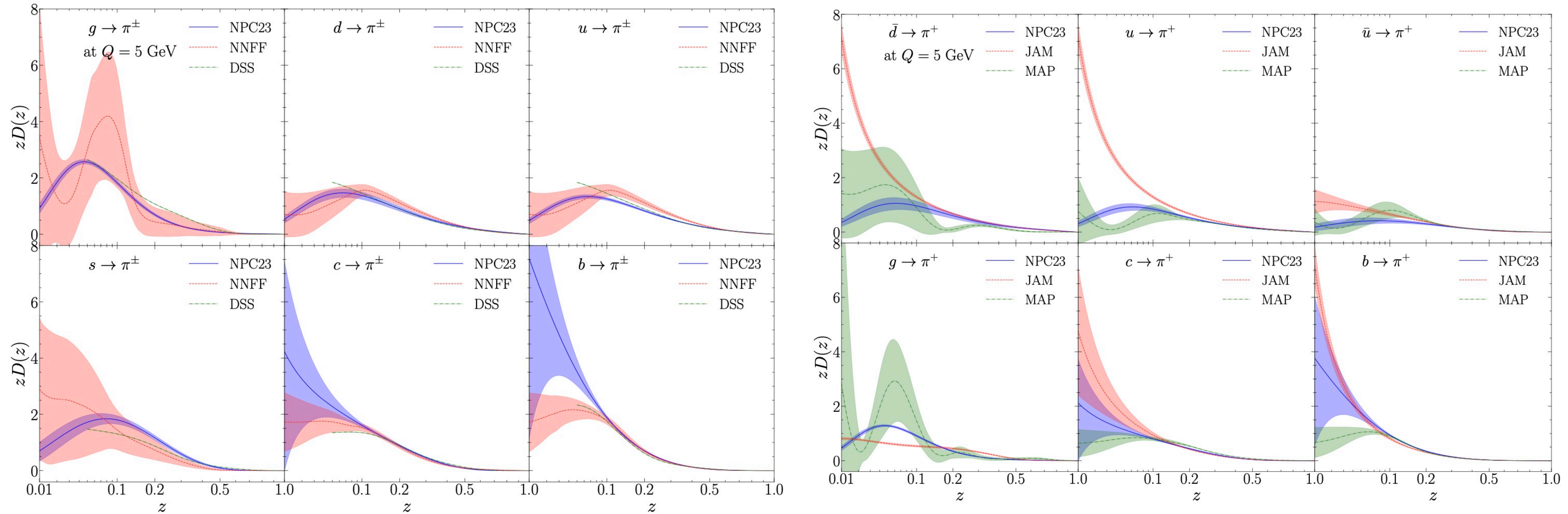
MC Sampling of parameter space: new parameters introduced



# FF global fitting panorama

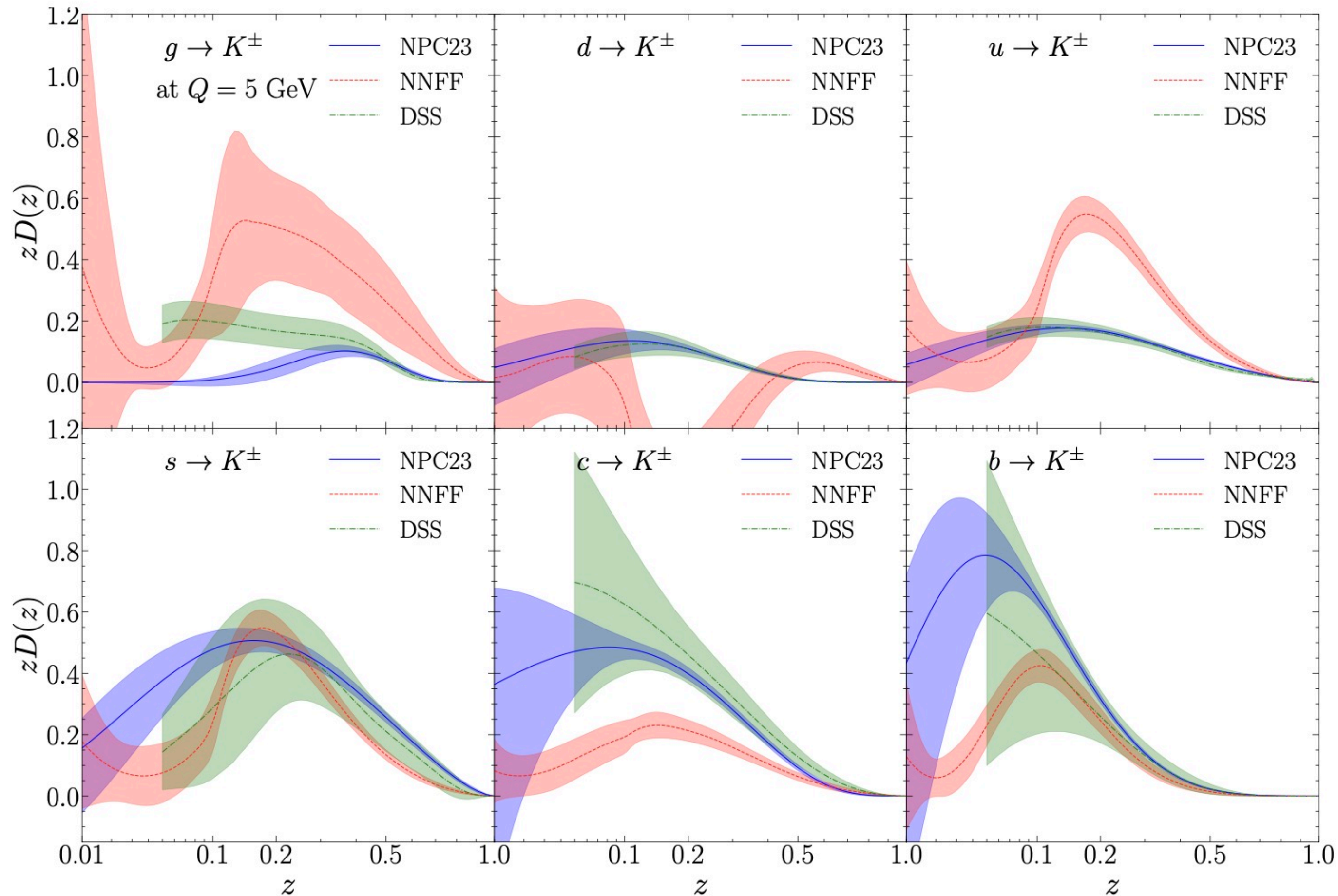
## ◆ Joint efforts from experiments and theory in extracting FFs

Gao, Liu, Shen, **HX**, Zhao, arXiv: 2407.04422



parton to pion fragmentation

## ◆ Charged kaon FFs



It is proved that FFs are universal, why they look different?

- ▶ Different selections of experimental data ( kinematic cut)
- ▶ Different parametrization for FFs at initial scale, NNFF unbiased? DSS biased?
- ▶ Everything else is the same

More measurements are needed to further constrain the FFs!



# New opportunities in probing FFs at LHC

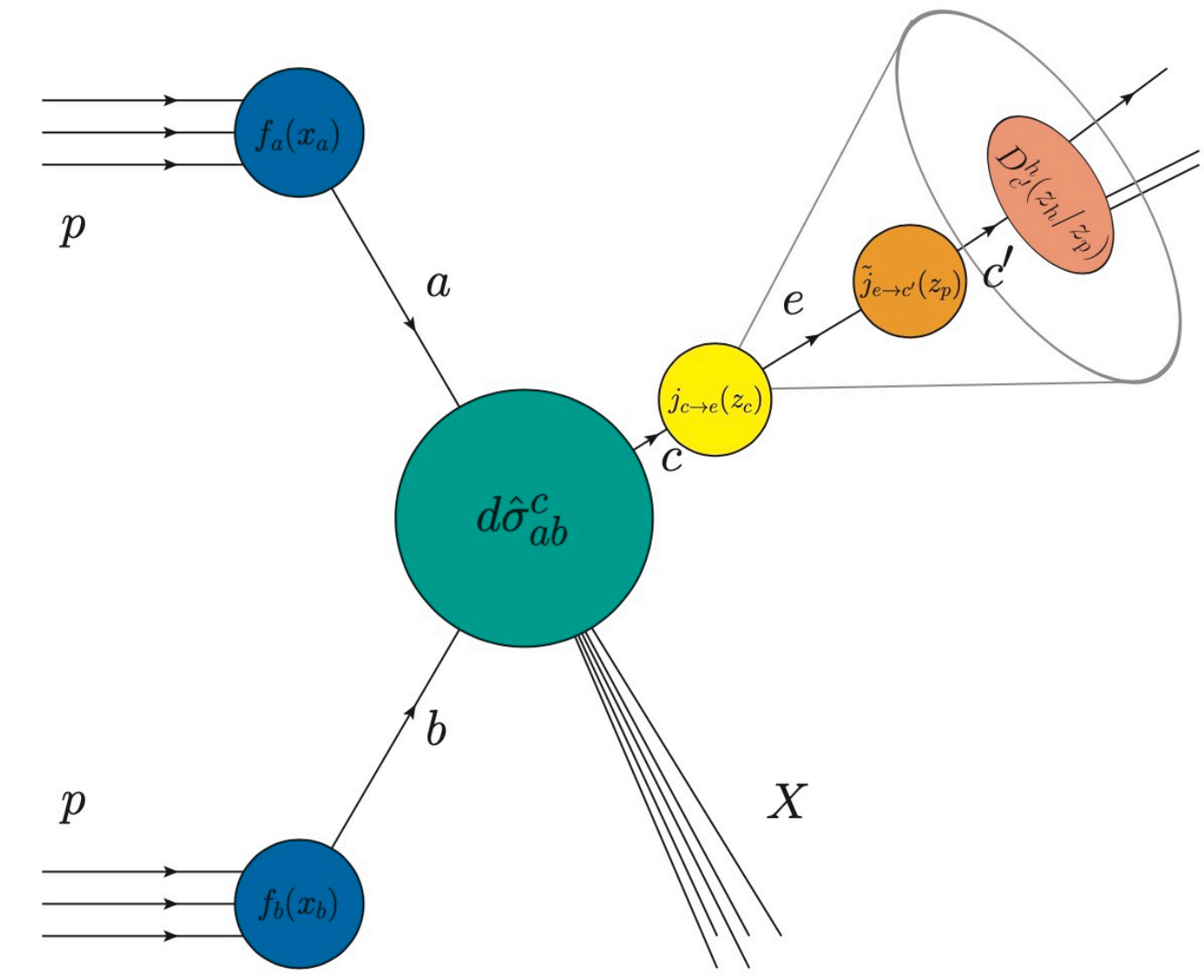
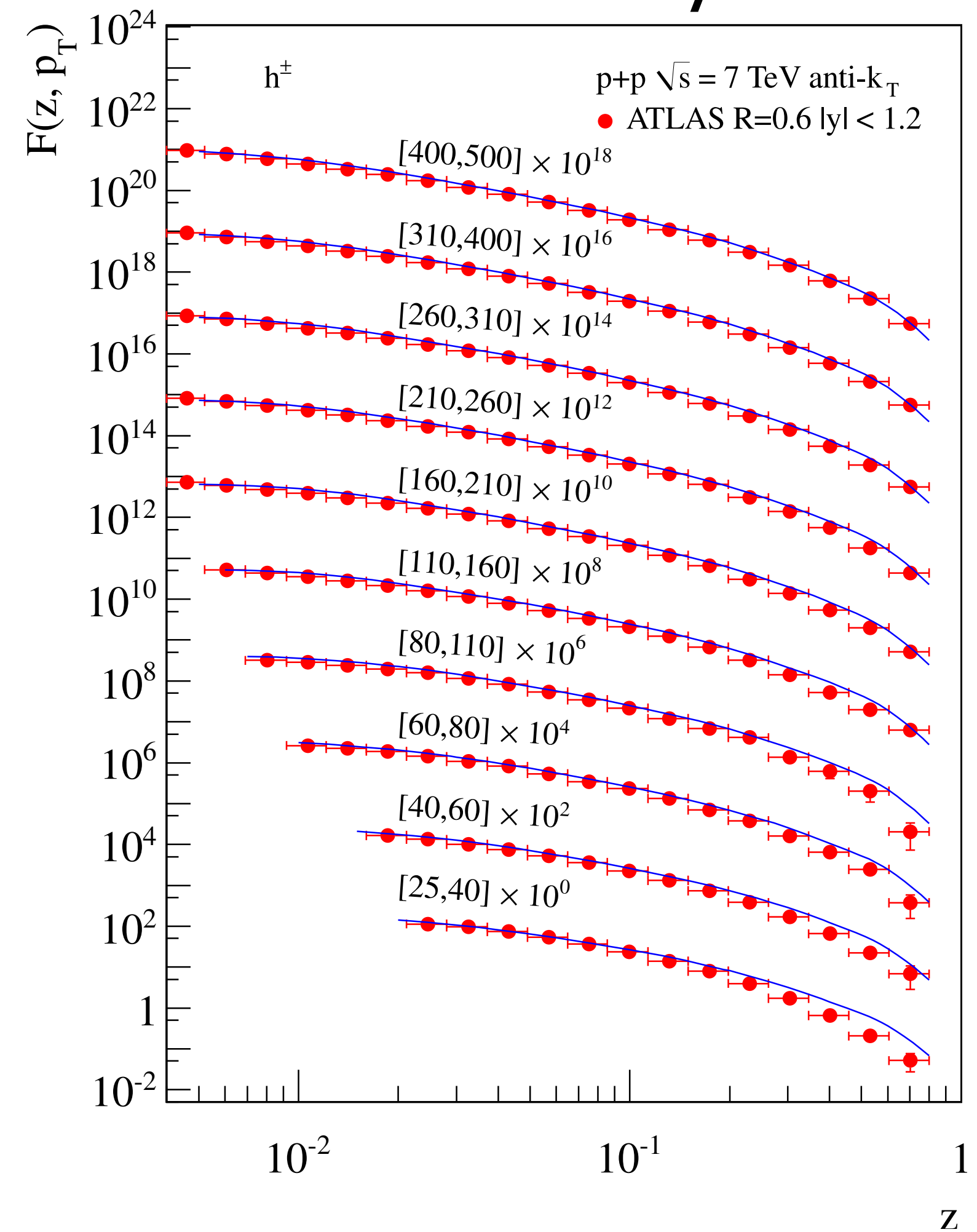
## ◆ Jet fragmentation function

$$F(z_h, p_T) = \frac{d\sigma^{J(h)}}{dp_T d\eta dz_h} \bigg/ \frac{d\sigma}{dp_T d\eta}$$

Chien, Kang, Ringer, Vitev, **HX**, JHEP (2016)

$$\sigma^{pp \rightarrow J(h)X} = f_{i/p} \otimes f_{j/p} \otimes \hat{\sigma}_{ij \rightarrow k} \otimes \mathcal{G}_{k \rightarrow J(h)}$$

$$\mathcal{G}_{i \rightarrow J(h)} = \mathcal{F}_{ij} \otimes D_{j \rightarrow h}$$



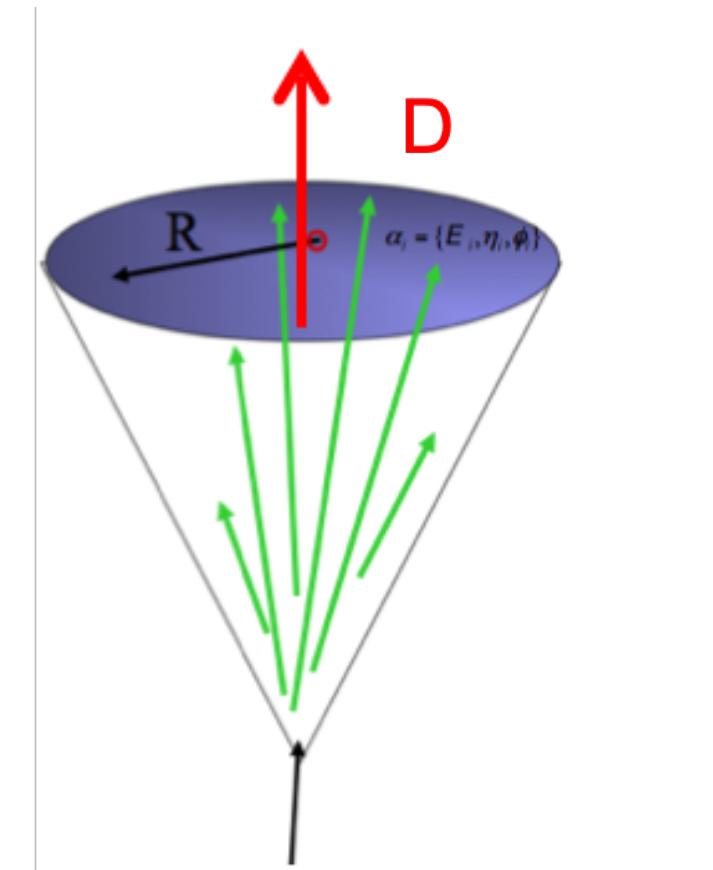
$$z_h = \frac{p_T^h}{p_T}$$

Light hadrons work very well

# Heavy flavor in jet

## ◆ Jet fragmentation function for D meson

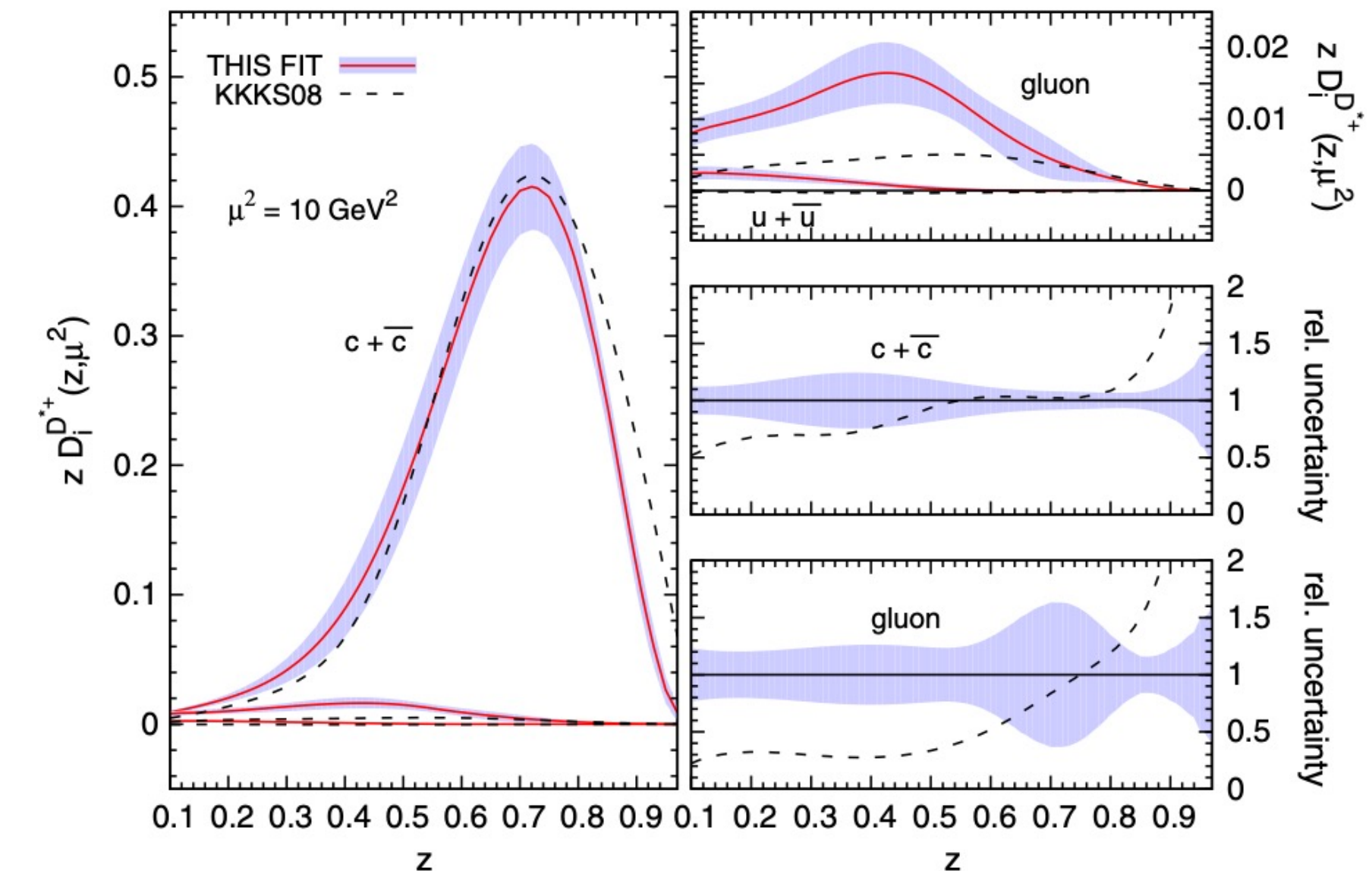
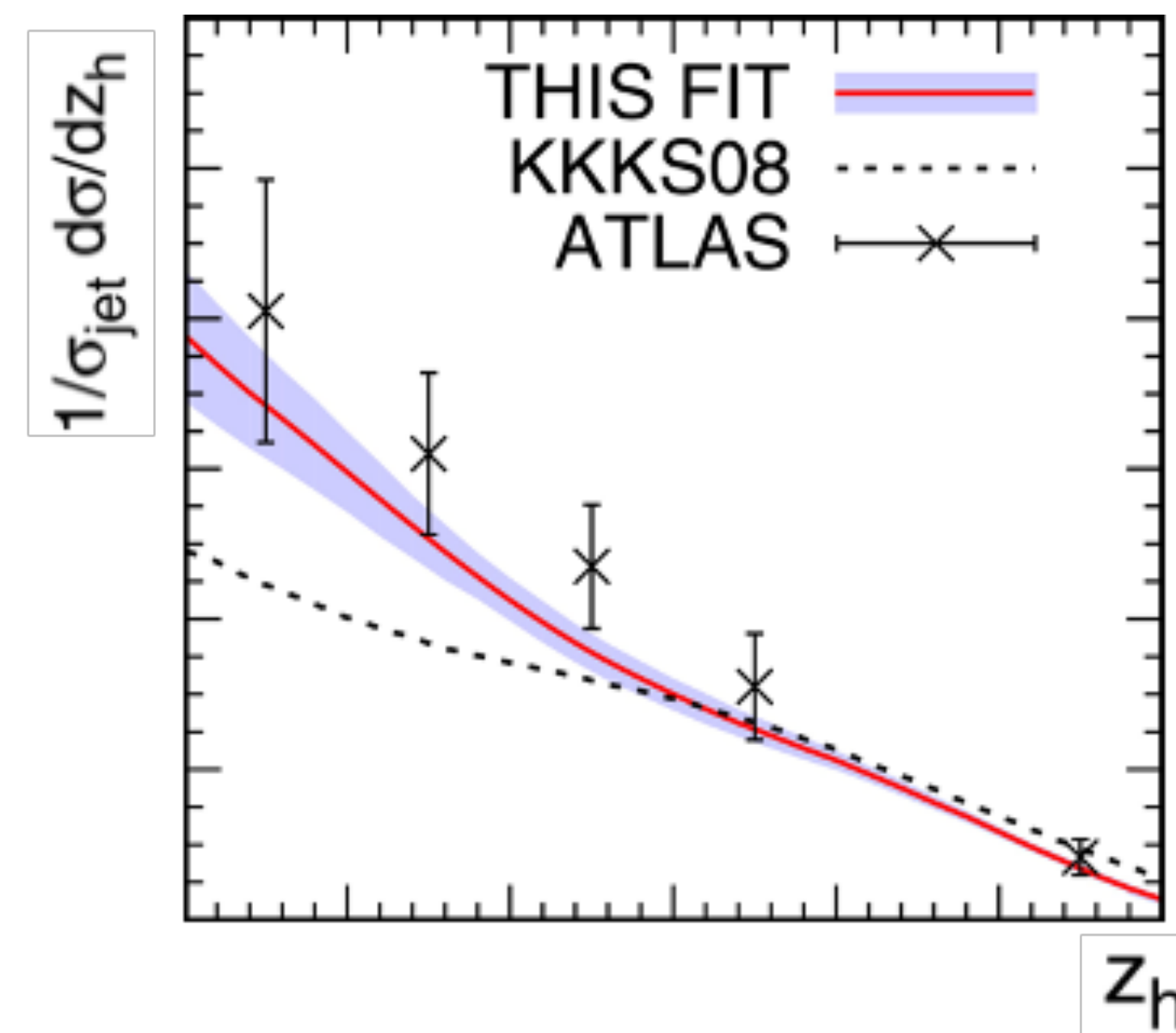
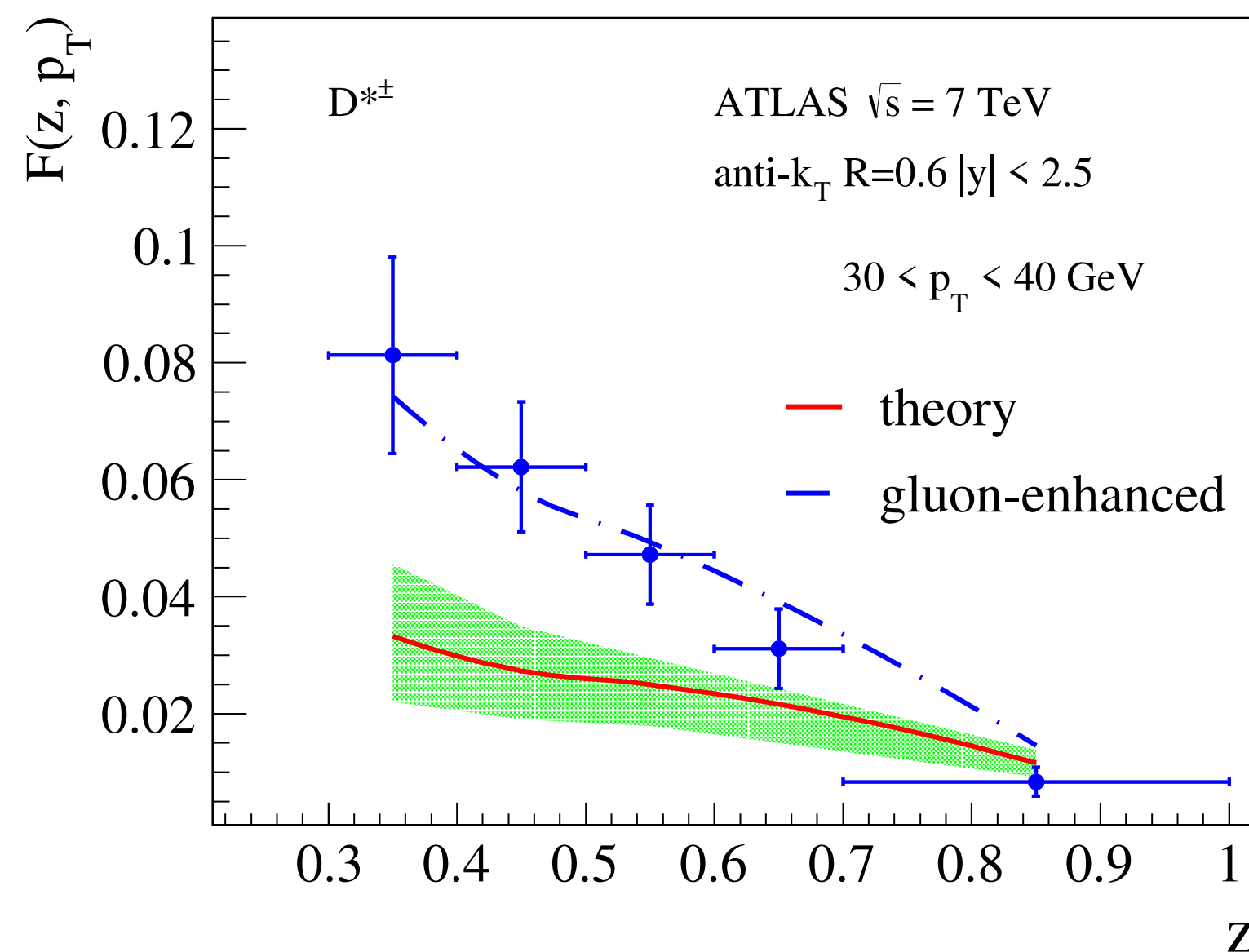
$$F(z_h, p_T) = \frac{d\sigma^{J(h)}}{dp_T d\eta dz_h} \bigg/ \frac{d\sigma}{dp_T d\eta}$$



$$z_h = \frac{p_T^h}{p_T}$$

AKSRV, PRD (2017)

Chien, Kang, Ringer, Vitev, **HX**, JHEP (2016)



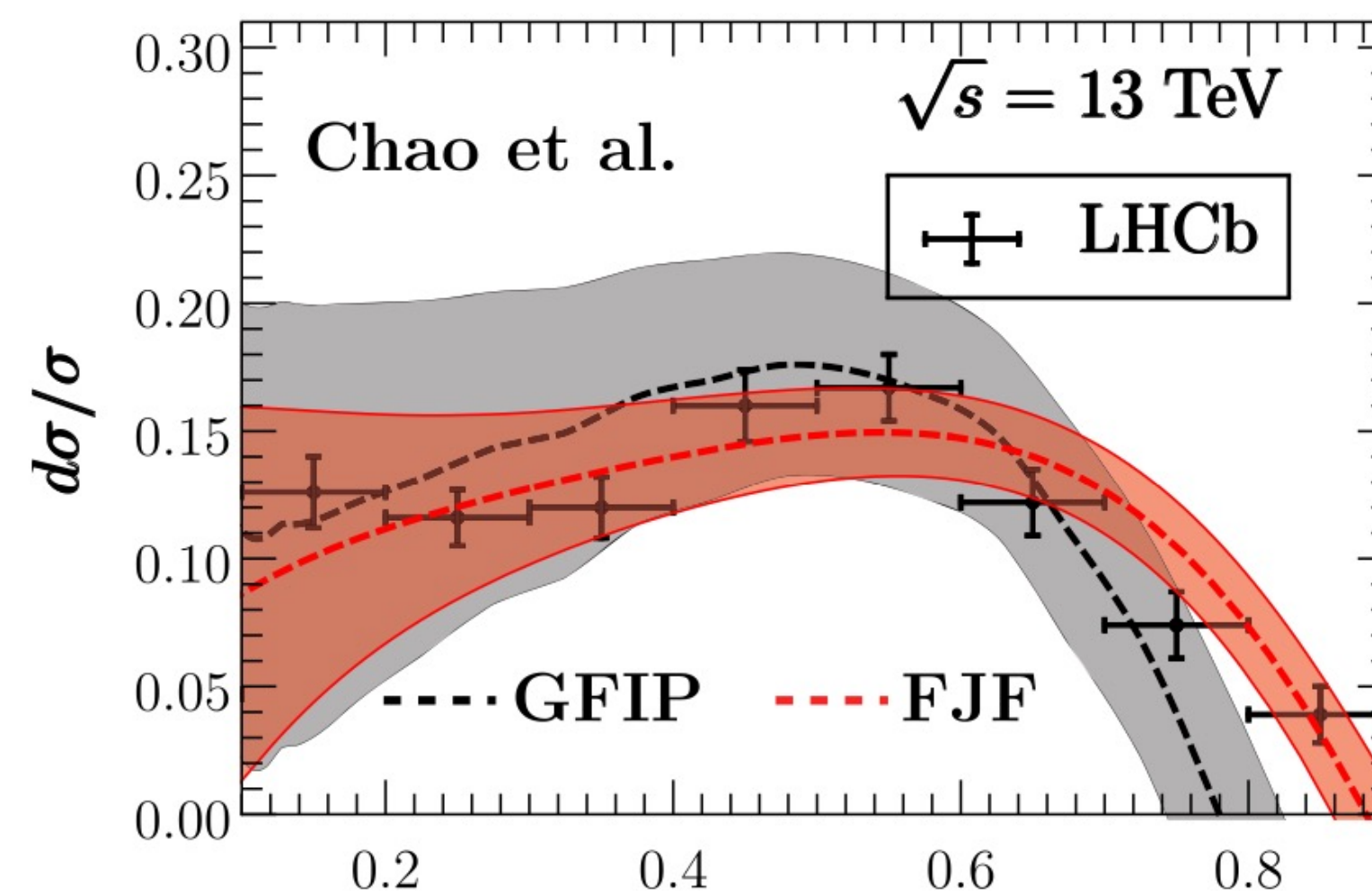
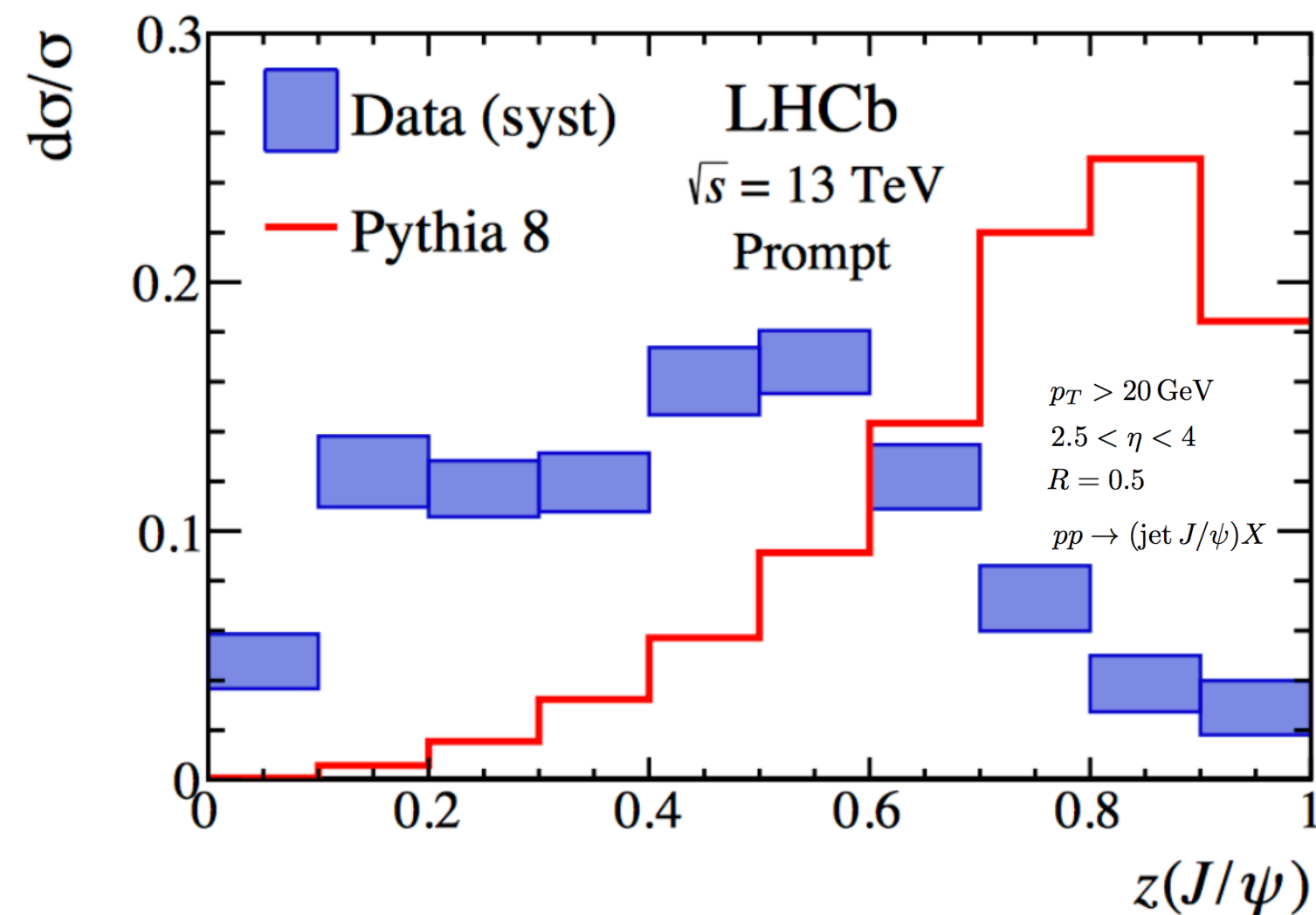
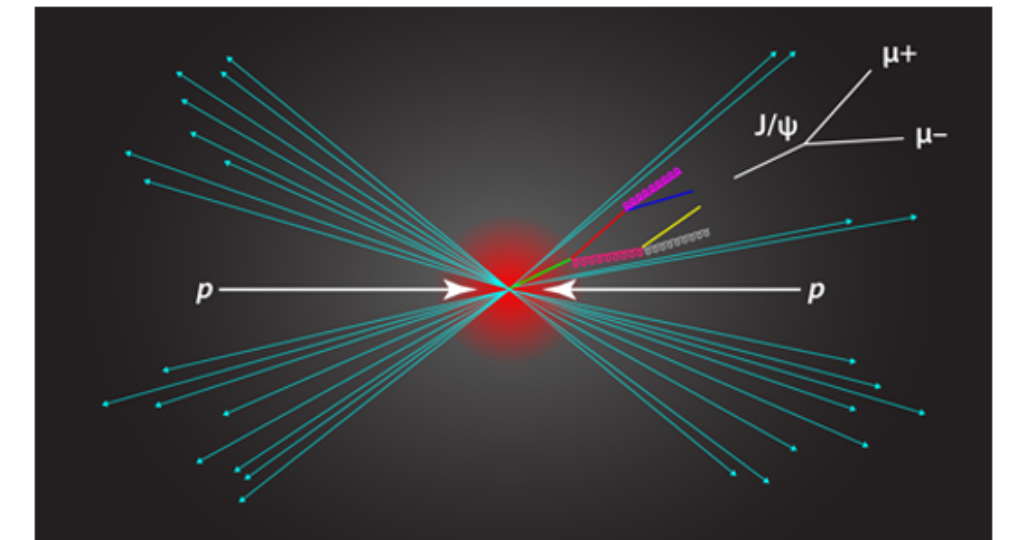
- Failed to describe D meson production in jet using KKK08 FFs
- Leads to new constrain of heavy flavor FFs using measurement of D in jet

# Heavy flavor in jet

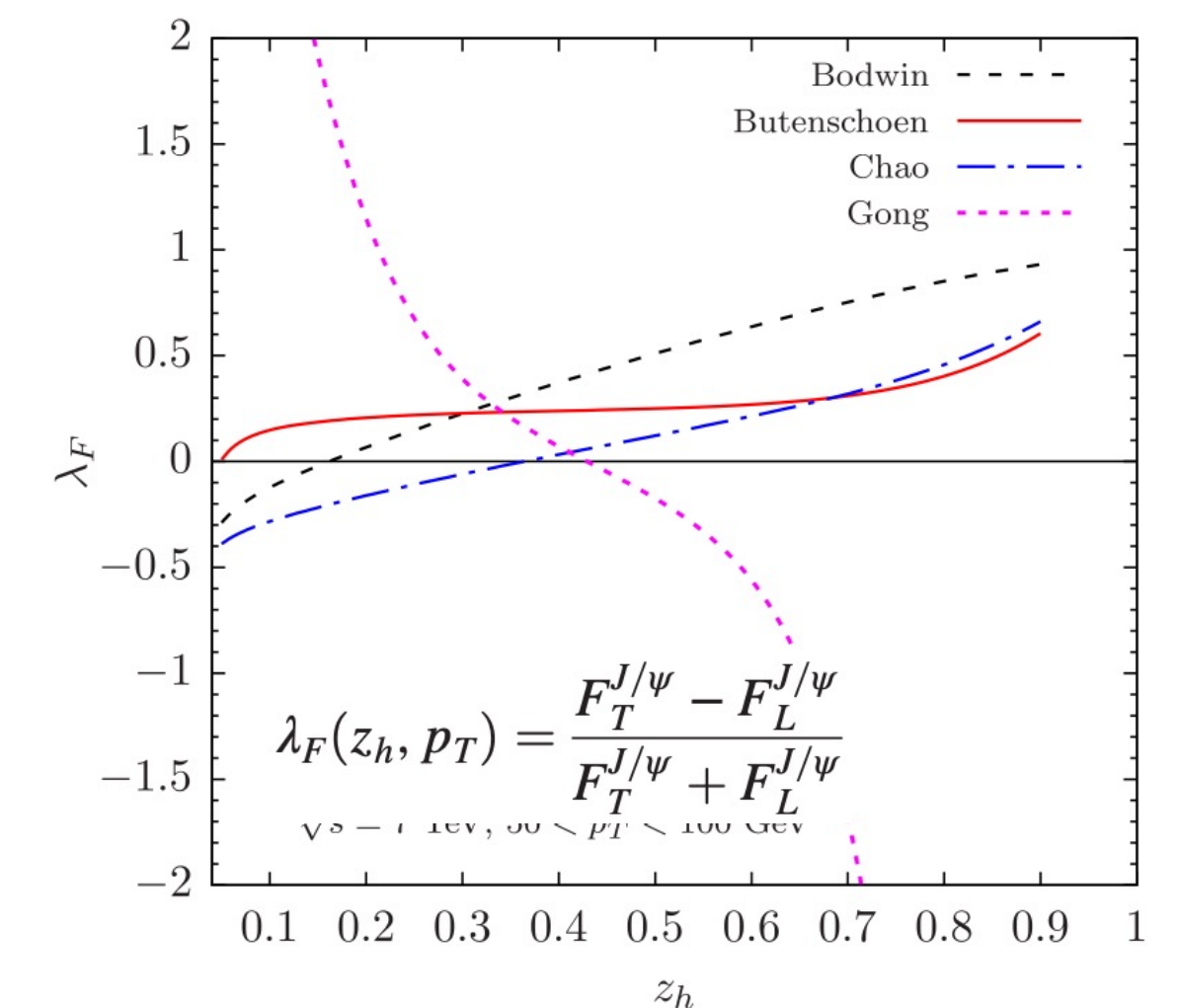
## ◆ Jet fragmentation function for $J/\psi$

$$\frac{d\sigma^{J/\psi}}{dp_T d\eta dz_h} = \sum_{a,b,c} f_a \otimes f_b \otimes H_{ab}^c \otimes \mathcal{G}_c^{J/\psi}$$

$$\mathcal{G}_i^{J/\psi}(z, z_h, p_{\text{jet}}^+, R, \mu) = \sum_j \int_{z_h}^1 \frac{dz'_h}{z'_h} \mathcal{J}_{ij}(z, z_h/z'_h, p_{\text{jet}}^+, R, \mu) \times D_j^{J/\psi}(z'_h, \mu) + \mathcal{O}(m_{J/\psi}^2 / (p_{\text{jet}}^+ R)^2)$$



Bain et al, PRL (2017)



Kang, Qiu, Ringer, **HX**, Zhang  
PRL (2017)

- Disagreement between default Pythia and data
- New insight into the shower mechanism for  $J/\psi$  production, and new constrain of LDMEs

# New efforts from NPC

## ◆ Nonperturbative Physics Collaboration - NPC (SJTU+SCNU+IMP)

Gao, Liu, Shen, HX, Zhao, PRL, 2024

Gao, Liu, Shen, HX, Zhao, arXiv: 2407.04422

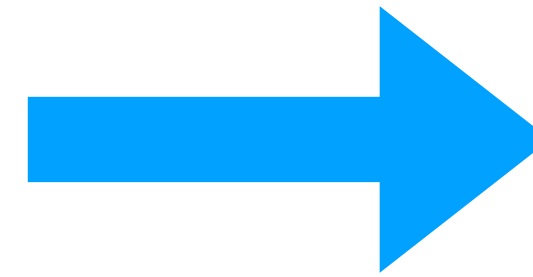
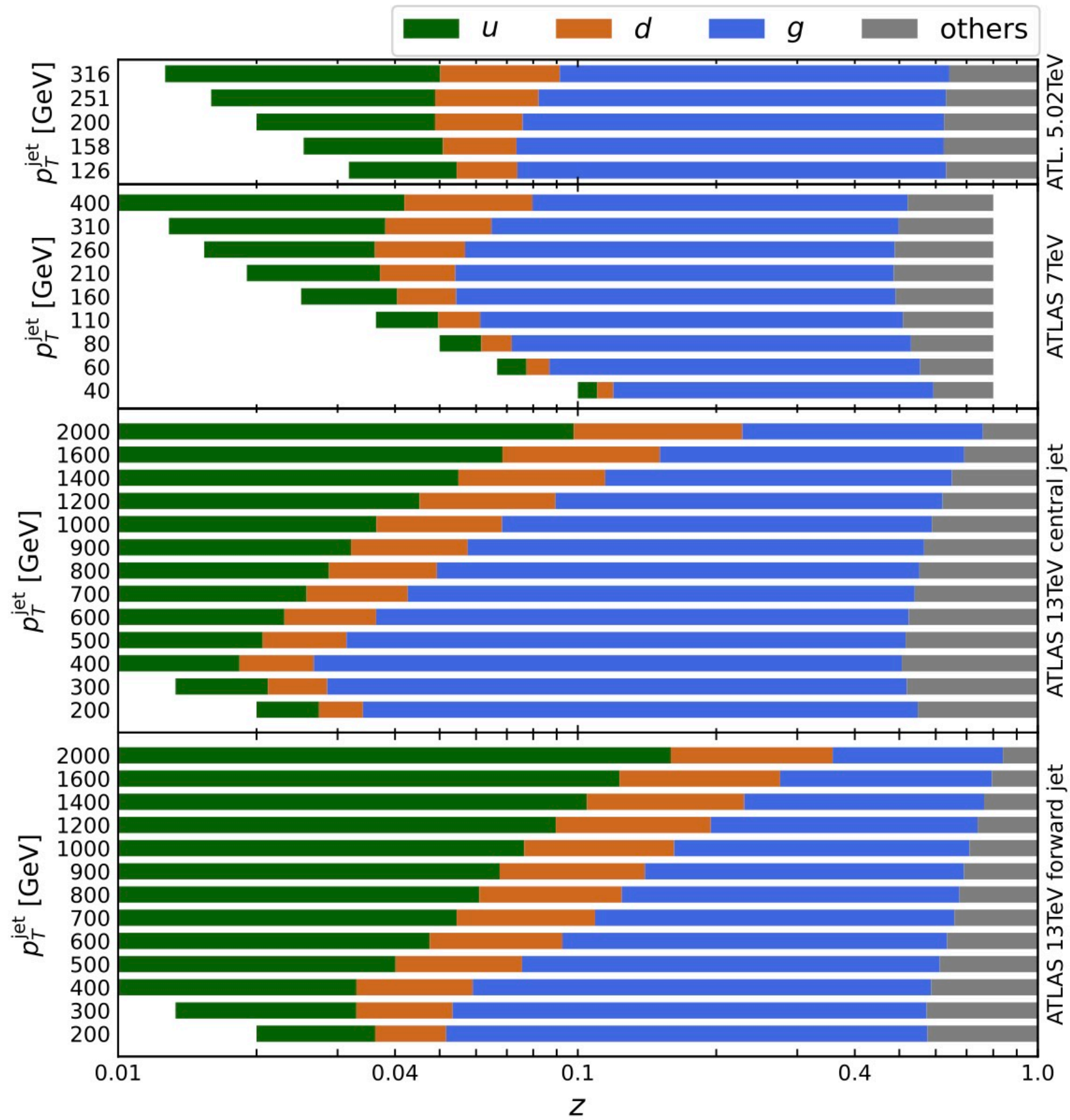
- First time including jet fragmentation data
- Joint determination of FFs to charge pion/kaon/proton at NLO
- Strong selection criteria on the kinematics of fragmentation to ensure validity of leading twist factorization
- Parametrization of FFs to charge pion/kaon/proton at initial scale  $Q_0 = 5\text{GeV}$

$$zD_i^h(z, Q_0) = z^{\alpha_i^h} (1-z)^{\beta_i^h} \exp\left(\sum_{n=0}^m a_{i,n}^h (\sqrt{z})^n\right)$$

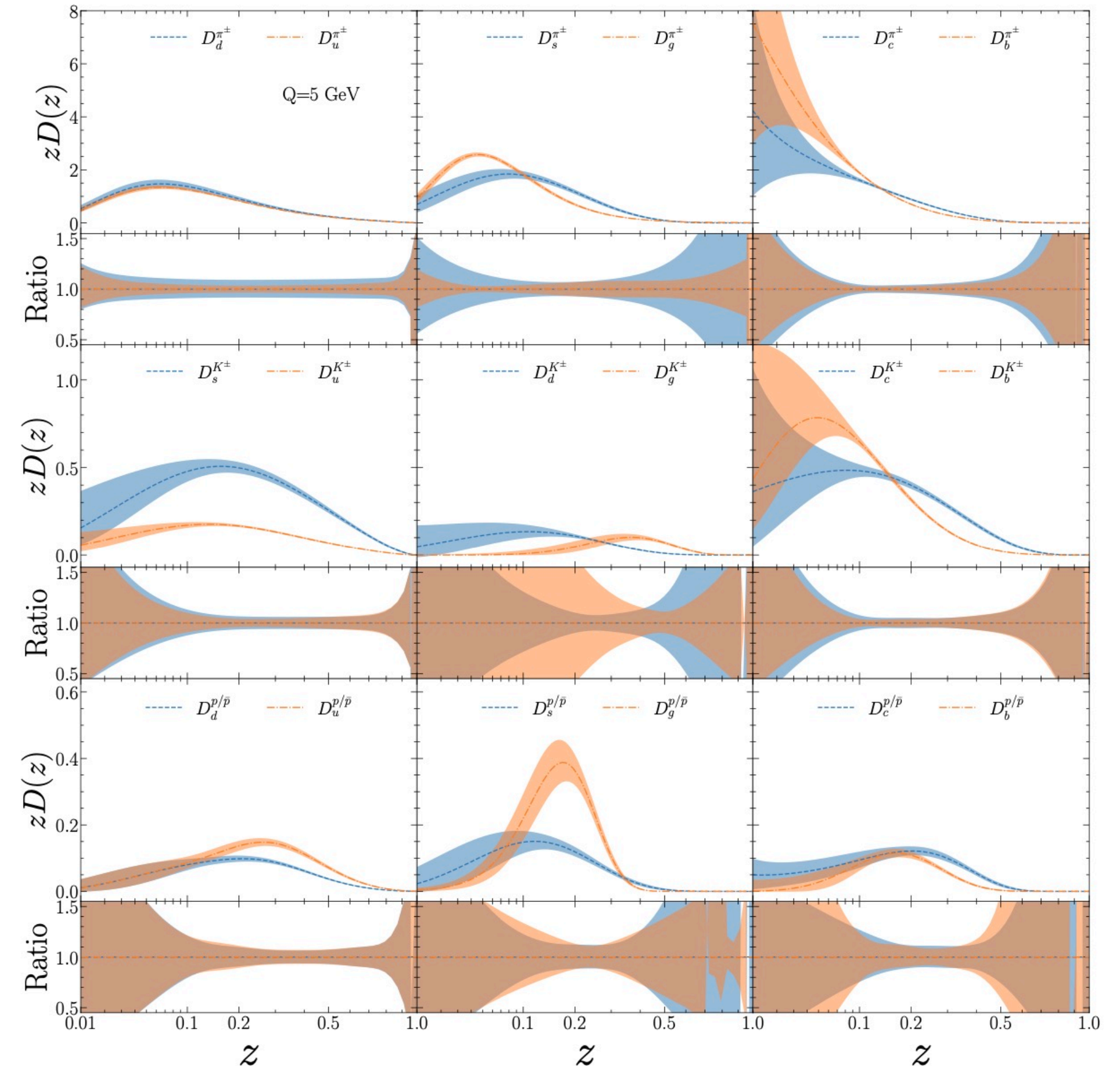
Experiments	$N_{pt}$	$\chi^2$	$\chi^2/N_{pt}$
ATLAS jets <sup>†</sup>	446	350.8	0.79
ATLAS Z/ $\gamma$ + jet <sup>†</sup>	15	31.8	2.12
CMS Z/ $\gamma$ + jet <sup>†</sup>	15	17.3	1.15
LHCb Z + jet	20	30.6	1.53
ALICE inc. hadron	147	150.6	1.02
STAR inc. hadron	60	42.2	0.70
<i>pp</i> sum	703	623.3	0.89
TASSO	8	7.0	0.88
TPC	12	11.6	0.97
OPAL	20	16.3	0.81
OPAL (202 GeV) <sup>†</sup>	17	24.2	1.42
ALEPH	42	31.4	0.75
DELPHI	78	36.4	0.47
DELPHI (189 GeV)	9	15.3	1.70
SLD	198	211.6	1.07
SIA sum	384	353.8	0.92
H1 <sup>†</sup>	16	12.5	0.78
H1 (asy.) <sup>†</sup>	14	12.2	0.87
ZEUS <sup>†</sup>	32	65.5	2.05
COMPASS (06I)	124	107.3	0.87
COMPASS (16p)	97	56.8	0.59
SIDIS sum	283	254.4	0.90
Global total	1370	1231.5	0.90

# New efforts from NPC

## ◆ NPC23 FFs



Gao, Liu, Shen, **HX**, Zhao, PRL, 2024



- Higher precision determination of FFs for charged hadron

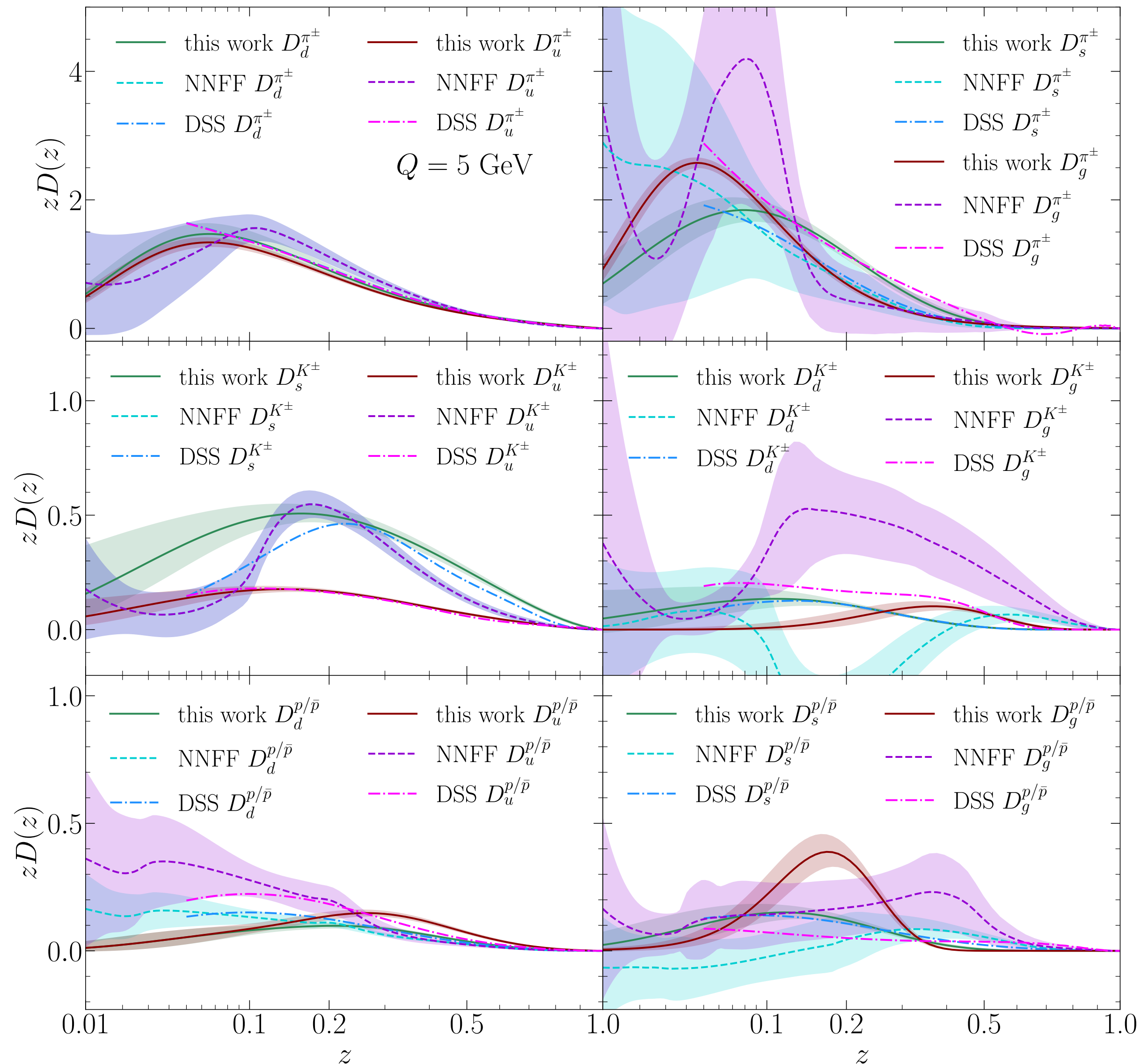
# New efforts from NPC

## LHAPDF 6.5.4

Main page	PDF sets	Class hierarchy	Examples	More...	Q Search
2070000	NPC23_Plp_nlo	(tarball)	(info file)	127	1
2070200	NPC23_KAp_nlo	(tarball)	(info file)	127	1
2070400	NPC23_PRp_nlo	(tarball)	(info file)	127	1
2070600	NPC23_PIm_nlo	(tarball)	(info file)	127	1
2070800	NPC23_KAm_nlo	(tarball)	(info file)	127	1
2071000	NPC23_PRm_nlo	(tarball)	(info file)	127	1
2071200	NPC23_Plsum_nlo	(tarball)	(info file)	127	1
2071400	NPC23_KAsum_nlo	(tarball)	(info file)	127	1
2071600	NPC23_PRsum_nlo	(tarball)	(info file)	127	1
2071800	NPC23_CHHAp_nlo	(tarball)	(info file)	127	1
2072000	NPC23_CHHAm_nlo	(tarball)	(info file)	127	1
2072200	NPC23_CHHAsum_nlo	(tarball)	(info file)	127	1
3000000	nNNPDF10_nlo_as_0118_N1	(tarball)	(info file)	251	1
3000300	nNNPDF10_nlo_as_0118_D2	(tarball)	(info file)	251	1
3000600	nNNPDF10_nlo_as_0118_He4	(tarball)	(info file)	251	1
3000900	nNNPDF10_nlo_as_0118_Li6	(tarball)	(info file)	251	1
3001200	nNNPDF10_nlo_as_0118_Be9	(tarball)	(info file)	251	1
3001500	nNNPDF10_nlo_as_0118_C12	(tarball)	(info file)	251	1
3001800	nNNPDF10_nlo_as_0118_N14	(tarball)	(info file)	251	1
3002100	nNNPDF10_nlo_as_0118_Al27	(tarball)	(info file)	251	1

FFs for charged  $\pi$ ,  $k$ ,  $p$  are all available in LHAPDF.

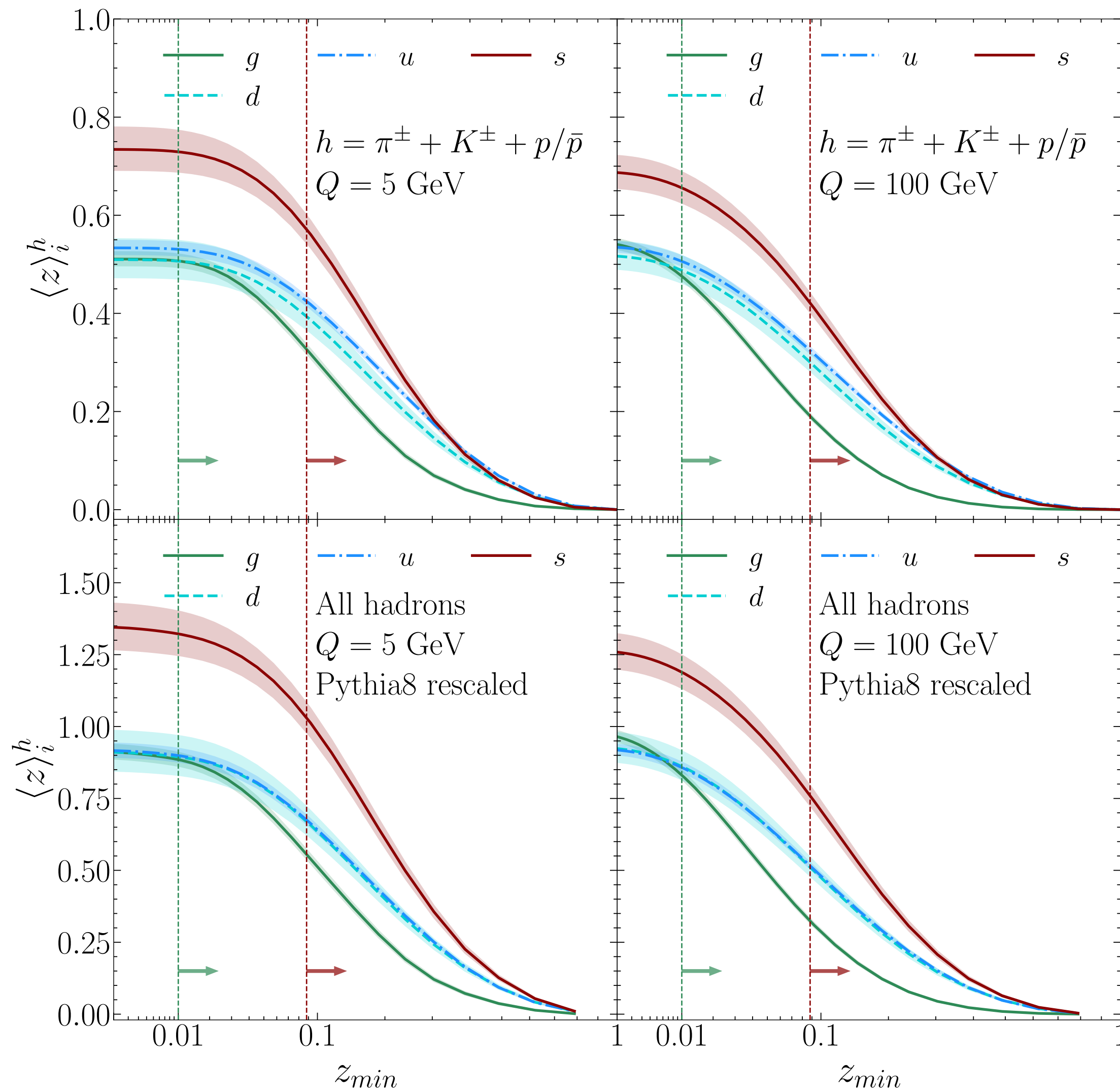
## ◆ NPC23 vs. others



- General agreement for u/d quark to pion
- Discrepancies for FFs to kaon/proton and gluon FFs
- Future benchmark works involving different groups are needed to clarify the discrepancies

## ◆ momentum sum rule

Gao, Liu, Shen, **HX**, Zhao, PRL, 2024



$\langle z \rangle_i^h$	$g(z > 0.01)$	$u(z > 0.01)$	$d(z > 0.01)$	$s(z > 0.088)$
$\pi^+$	$0.200^{+0.008}_{-0.008}$	$0.262^{+0.017}_{-0.016}$	$0.128^{+0.020}_{-0.019}$	$0.161^{+0.013}_{-0.013}$
$K^+$	$0.018^{+0.004}_{-0.003}$	$0.058^{+0.005}_{-0.004}$	$0.019^{+0.004}_{-0.004}$	$0.015^{+0.002}_{-0.002}$
$p$	$0.035^{+0.006}_{-0.005}$	$0.044^{+0.004}_{-0.004}$	$0.022^{+0.002}_{-0.002}$	$0.015^{+0.002}_{-0.002}$
$\pi^-$	$0.200^{+0.008}_{-0.008}$	$0.128^{+0.020}_{-0.019}$	$0.299^{+0.054}_{-0.049}$	$0.161^{+0.013}_{-0.013}$
$K^-$	$0.018^{+0.004}_{-0.003}$	$0.019^{+0.004}_{-0.004}$	$0.019^{+0.004}_{-0.004}$	$0.205^{+0.014}_{-0.013}$
$\bar{p}$	$0.035^{+0.006}_{-0.005}$	$0.019^{+0.003}_{-0.003}$	$0.019^{+0.003}_{-0.003}$	$0.015^{+0.002}_{-0.002}$
<b>Sum</b>	$0.507^{+0.014}_{-0.013}$	$0.531^{+0.015}_{-0.013}$	$0.506^{+0.042}_{-0.037}$	$0.572^{+0.029}_{-0.028}$

$$\sum_h \sum_{S_h} \int_0^1 dz z D_1^{h/q}(z) = 1$$

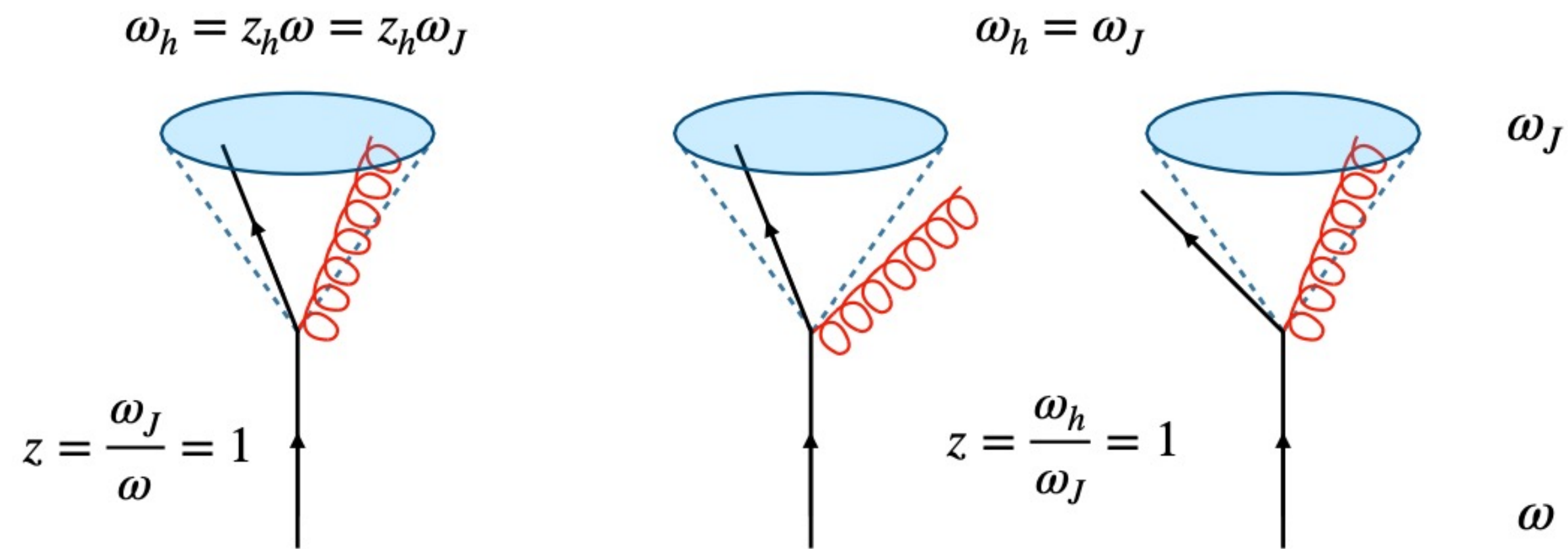
- Hint for violation of momentum sum rule?



# Parton to hadron fragmentation in jet

## ◆ A comprehensive analysis for jet fragmentation functions

Kang, **HX**, Zhao, Zhou, JHEP, 2024



		Quark polarization		
		U	L	T
Hadron polarization	U	$\mathcal{D}_1 =$		$\mathcal{H}_1^\perp =$
	L		$\mathcal{G}_{1L} =$	$\mathcal{H}_{1L}^\perp =$
	T	$\mathcal{D}_{1T}^\perp =$	$\mathcal{G}_{1T} =$	$\mathcal{H}_1 =$

- Collinear fragmenting jet function in semi-inclusive jet production

$$\Delta_{(T)} \mathcal{G}_i^h(z, z_h, \omega_J, \mu) = \sum_j \int_{z_h}^1 \frac{dz'_h}{z'_h} \Delta_{(T)} \mathcal{J}_{ij}(z, z'_h, \omega_J, \mu) \Delta_{(T)} D_j^h\left(\frac{z_h}{z'_h}, \mu\right)$$

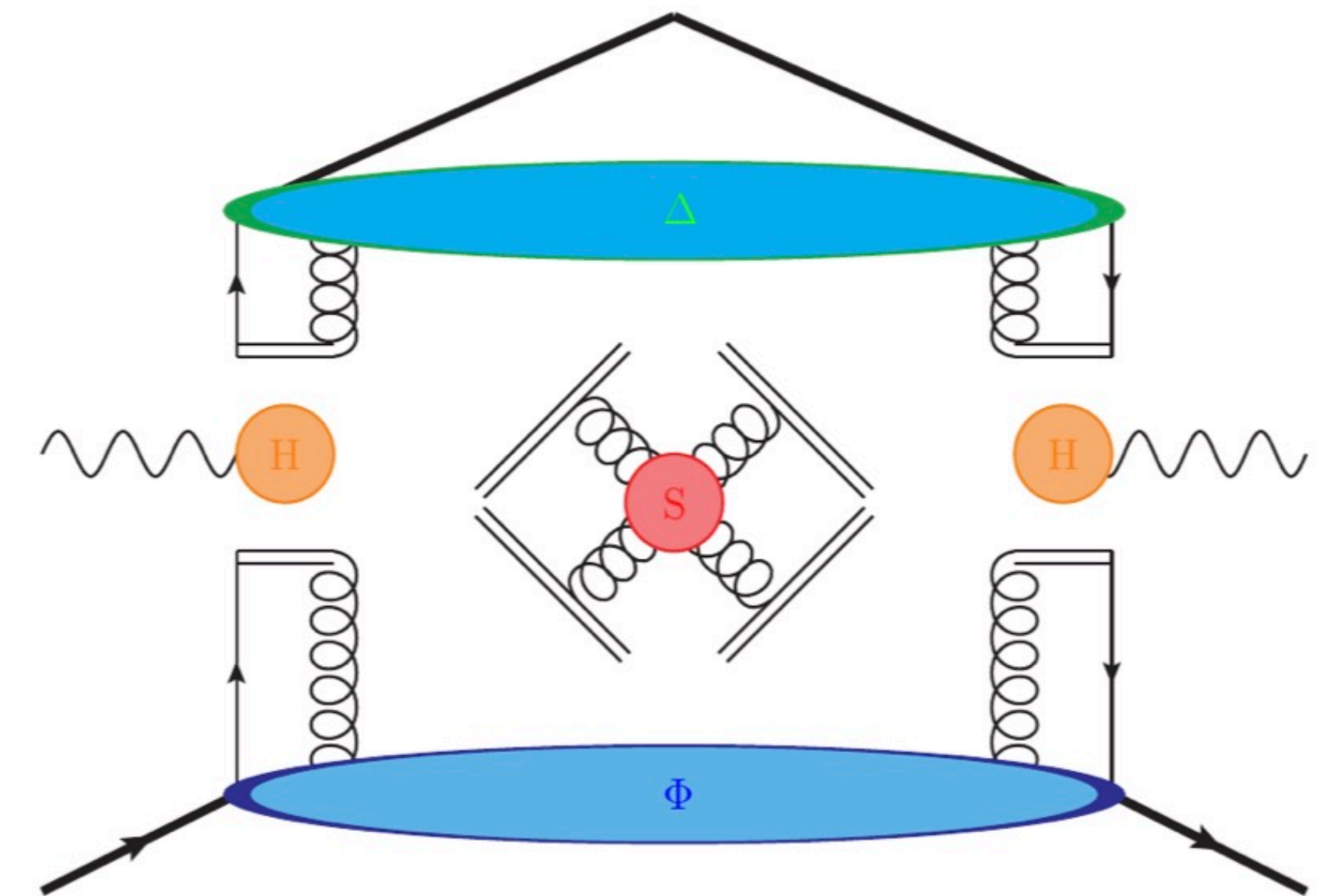
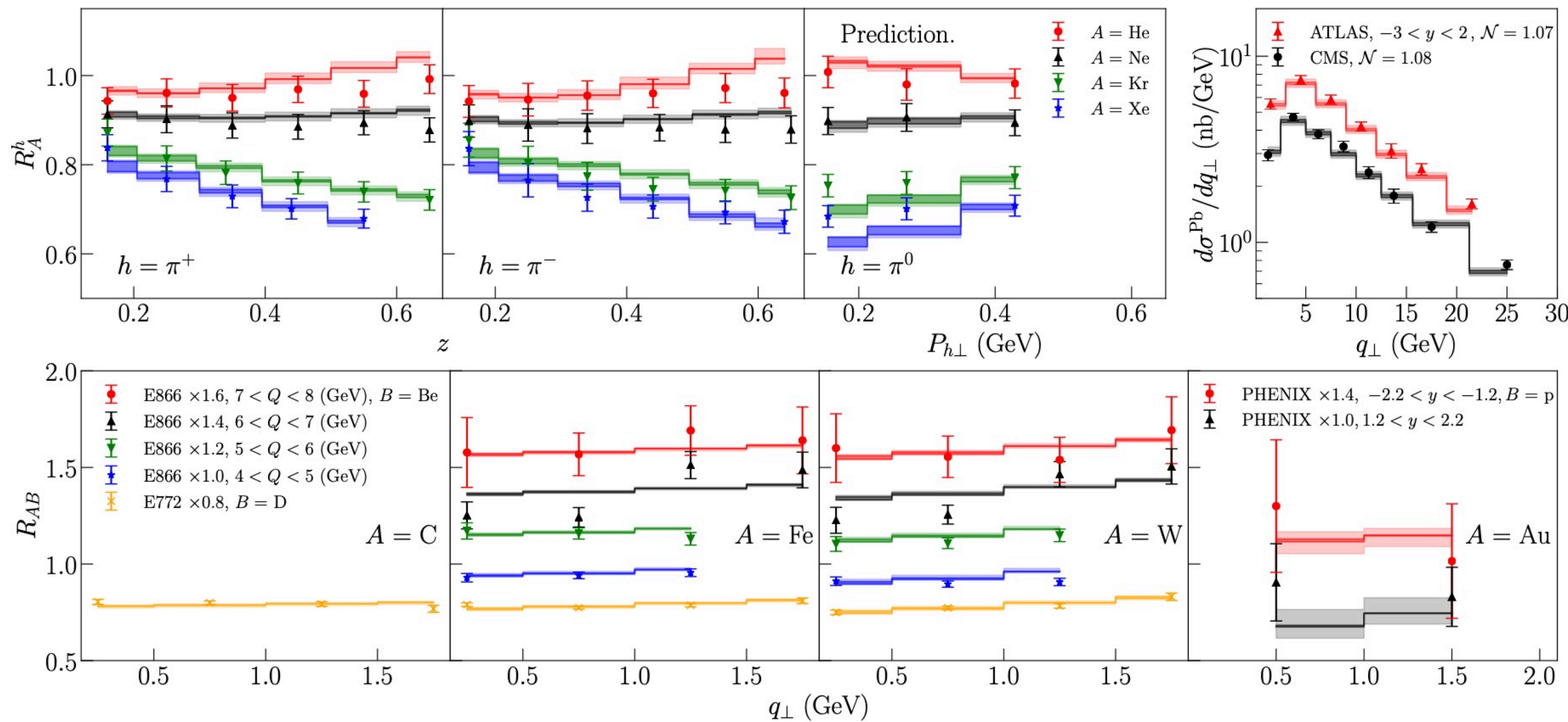
- An alternative way to explore different types of FFs
- Similar FJFs can be defined in exclusive jet production

# Nuclear modified transverse momentum dependent FFs

## ◆ TMD factorization for SIDIS

Alrashed, Anderle, Kang, Terry, **HX**, PRL 2022

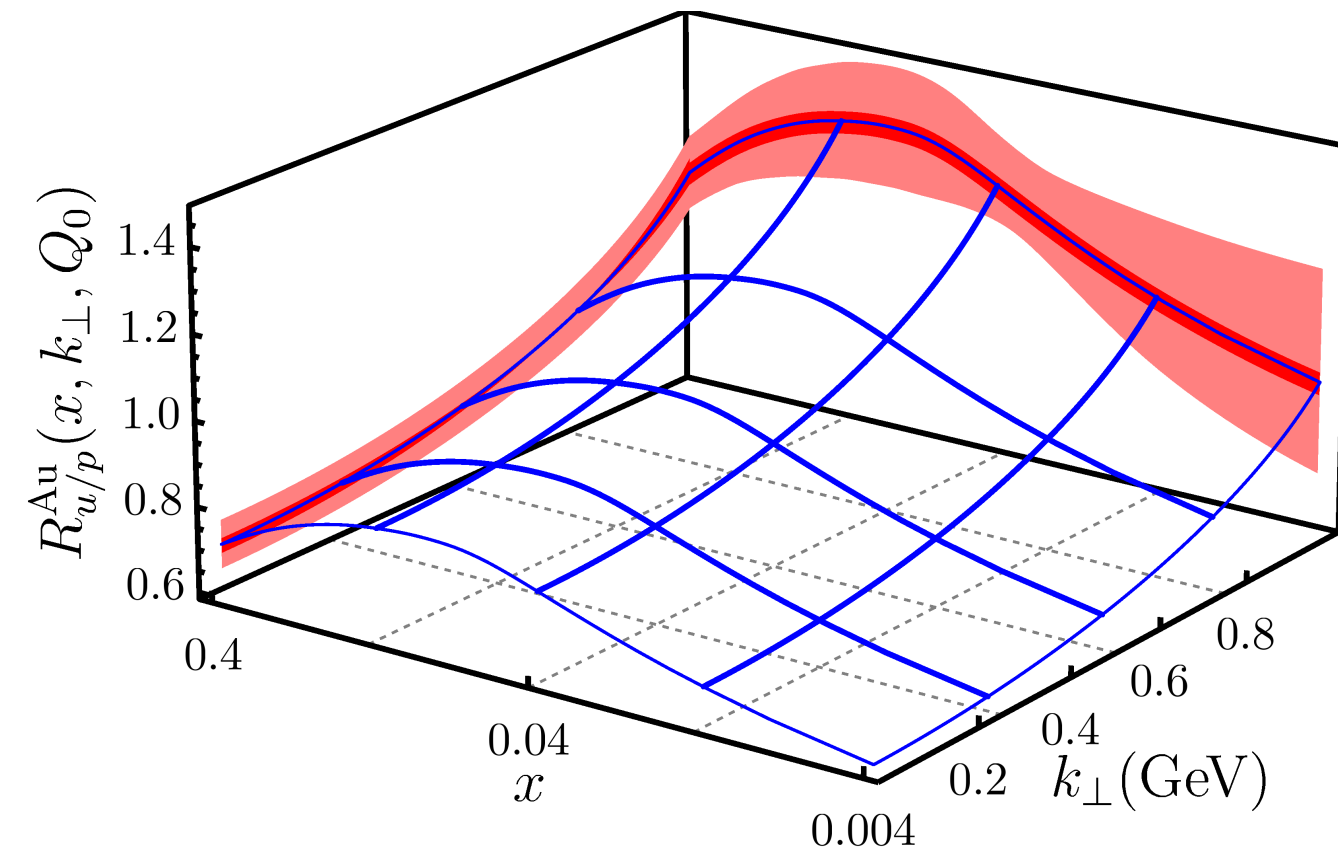
$$\frac{d\sigma^A}{dx dQ^2 dz d^2P_{h\perp}} = \sigma_0 H(Q) \sum_q e_q^2 \int_0^\infty \frac{b db}{2\pi} J_0\left(\frac{bP_{h\perp}}{z}\right) f_{q/n}^A(x, b; Q) D_{h/q}^A(z, b; Q)$$



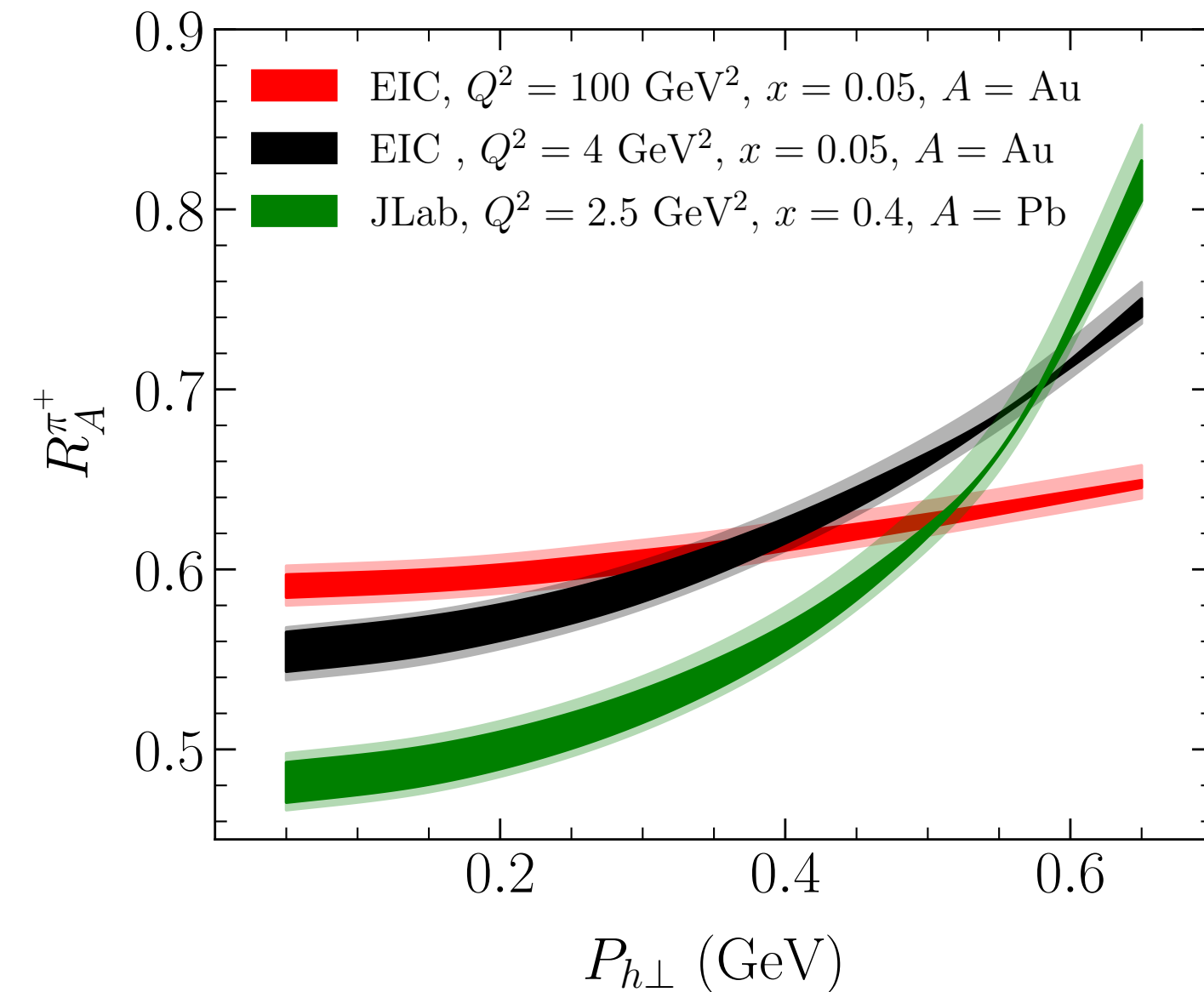
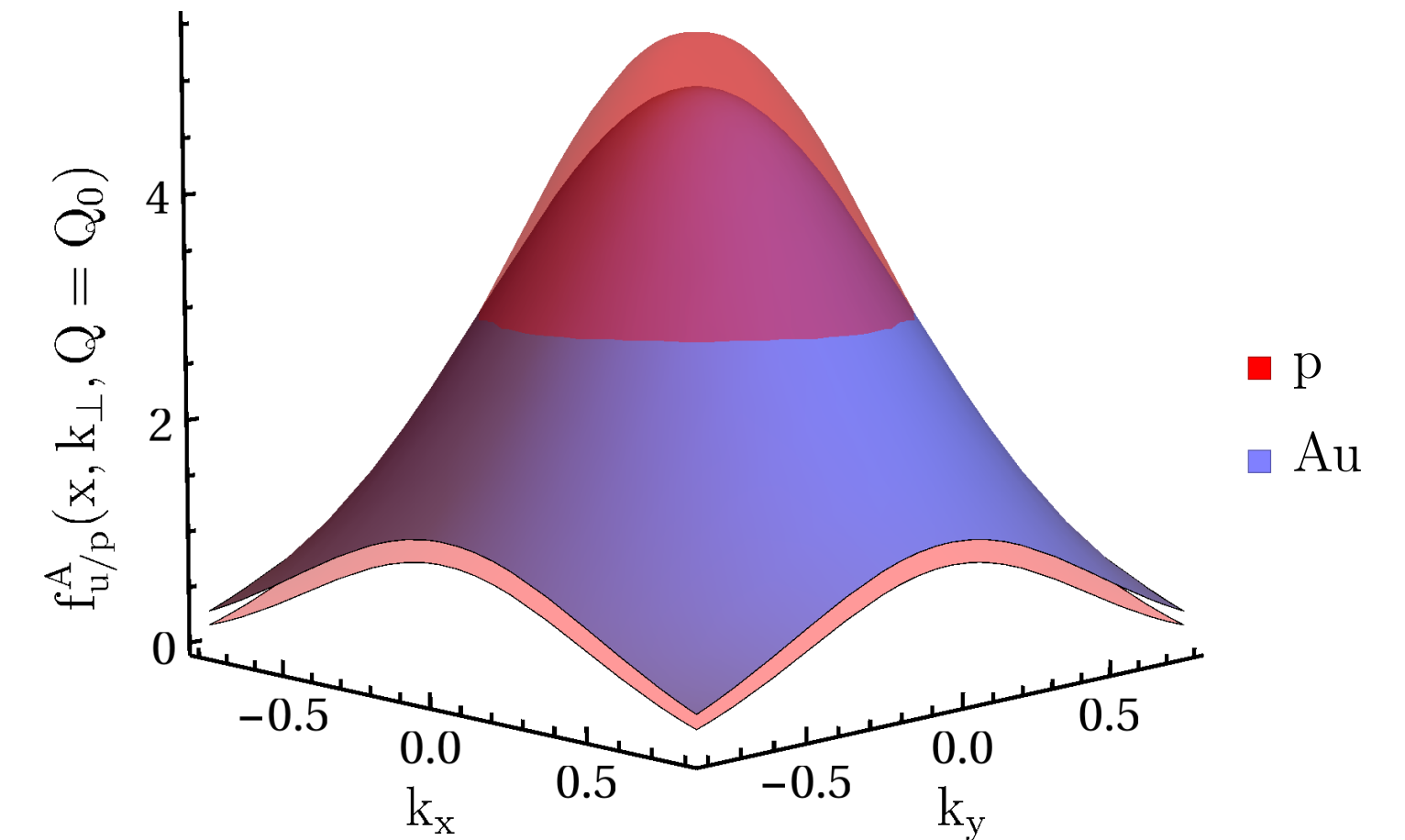
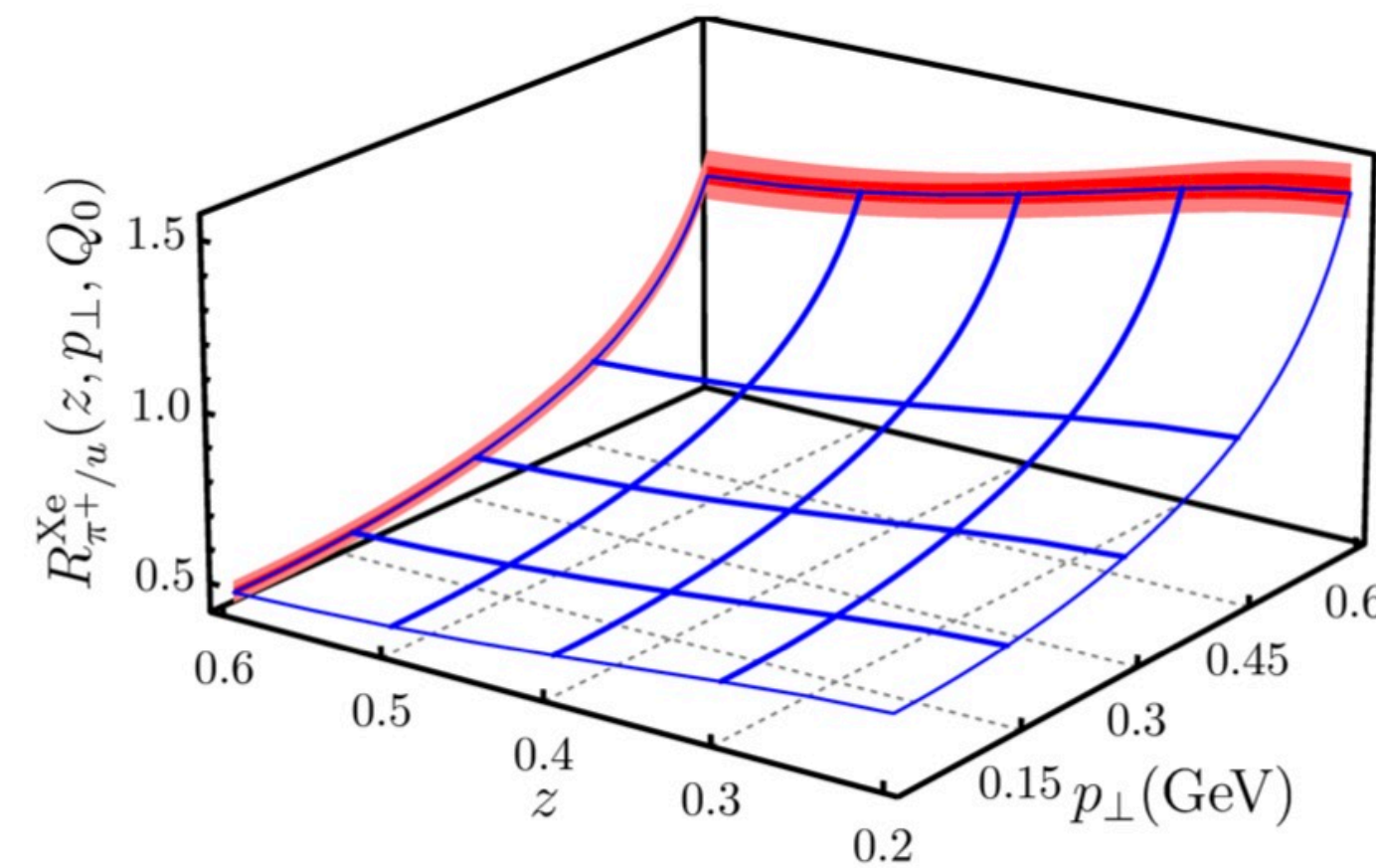
Reasonable good overall description on world data from HERMES, FNAL, RHIC, LHC

# Nuclear imaging in 3D

$$R_{u/p}^{\text{Au}}(x, k_{\perp}, Q_0) = \frac{f_{u/p}^{\text{Au}}(x, k_{\perp}, Q_0)}{f_{u/p}(x, k_{\perp}, Q_0)}$$



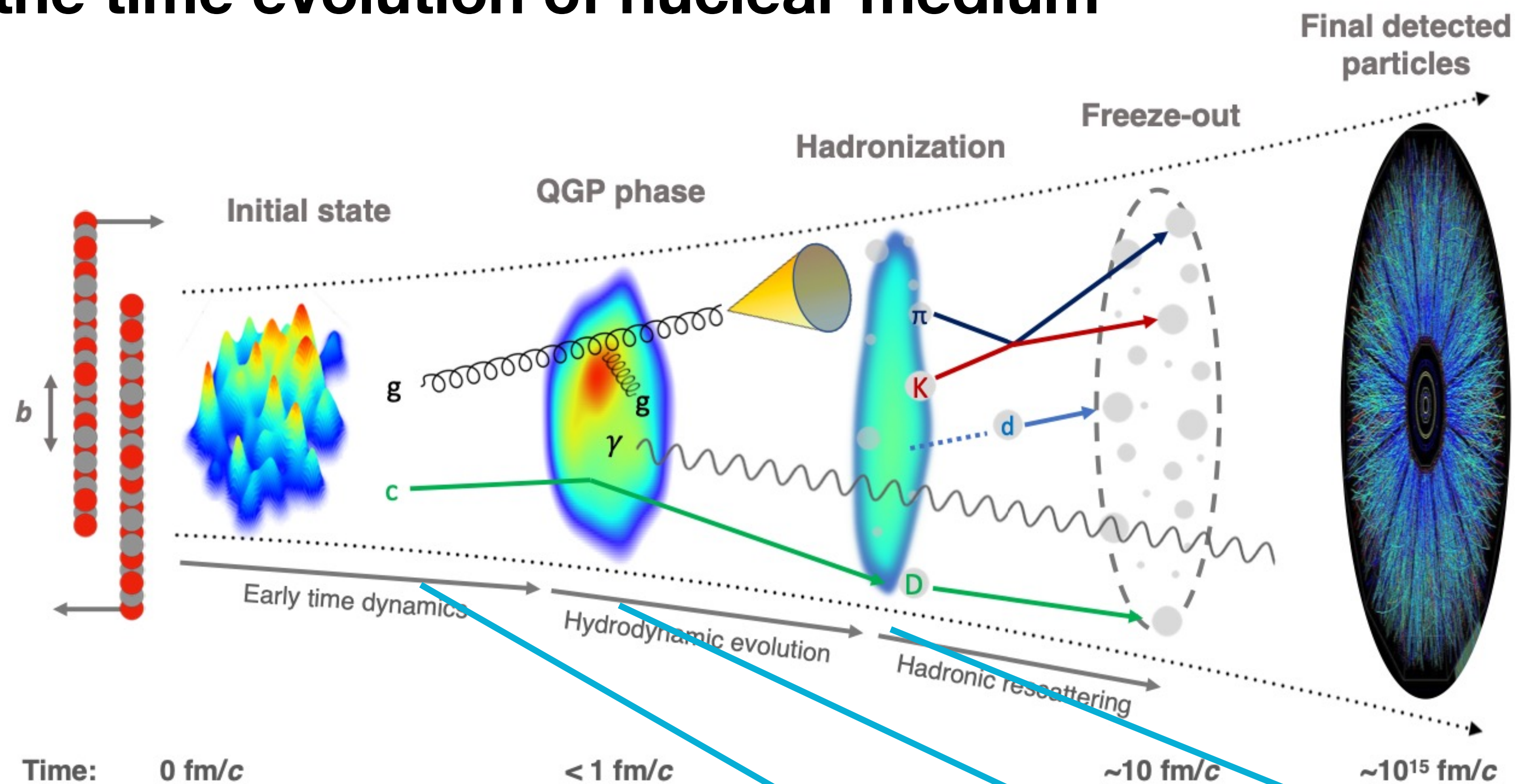
$$\mathcal{R}_{\pi^+/u}^{\text{Xe}}(z, p_{\perp}, Q_0) = \frac{D_{\pi^+/u}^{\text{Xe}}(z, p_{\perp}, Q_0)}{D_{\pi^+/u}(z, p_{\perp}, Q_0)}$$



- First time quantitative determination of nuclear TMDs
- Identification of transverse momentum broadening in nuclei
- Update nuclear modified PDFs and FFs by including JLab data (arXiv:2312.09226)

# Parton fragmentation in hot dense medium

## ◆ Track the time evolution of nuclear medium



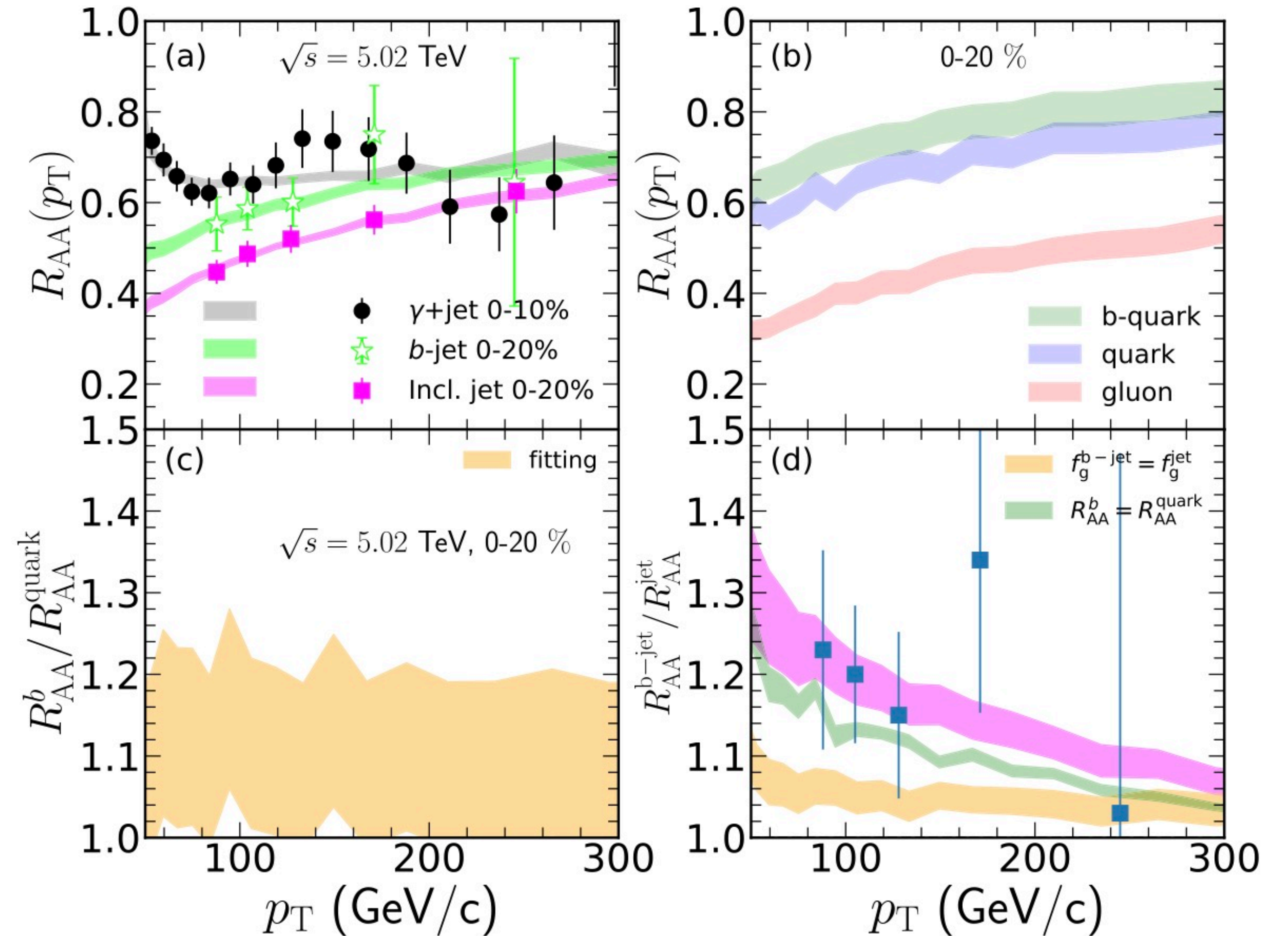
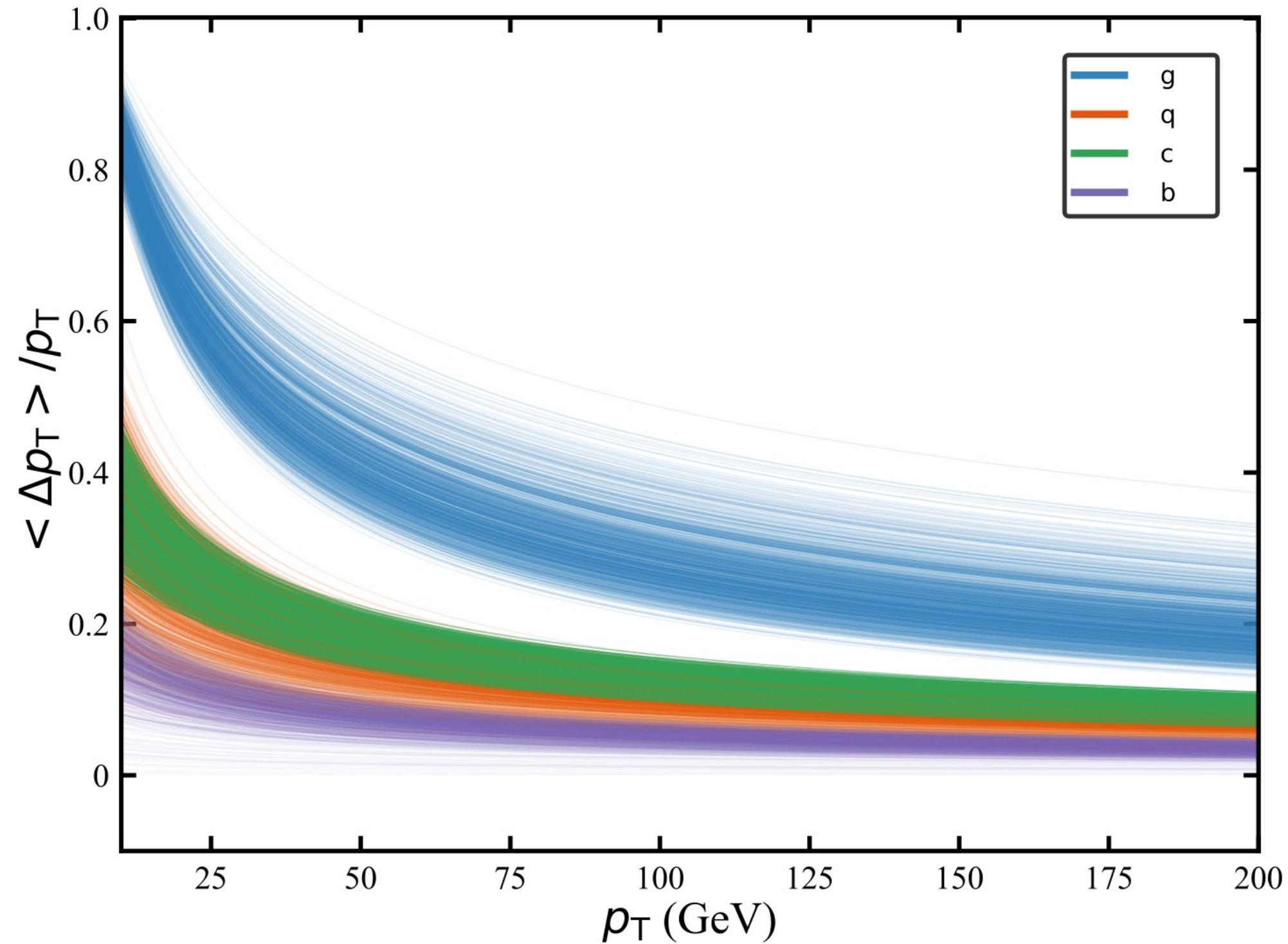
$$\sigma^{AA \rightarrow hX} = f_{i/A} \otimes f_{j/A} \otimes \tilde{\sigma}_{ij \rightarrow k} \otimes D_{k \rightarrow h}$$

- Observables involving FFs: single inclusive hadron, di-hadron, photon/Z tagged hadron, jet fragmentation function

# FFs as a tool to probe hot dense medium

## ◆ Extract the medium property

Xing, Cao, Qin, PLB, 2023



Zhang, Wang, HX, Zhang, PLB, 2024

- Verify the flavor hierarchy of parton energy loss in medium
- Extract the jet transport parameter of quark-gluon plasma

# Heavy flavor in jet

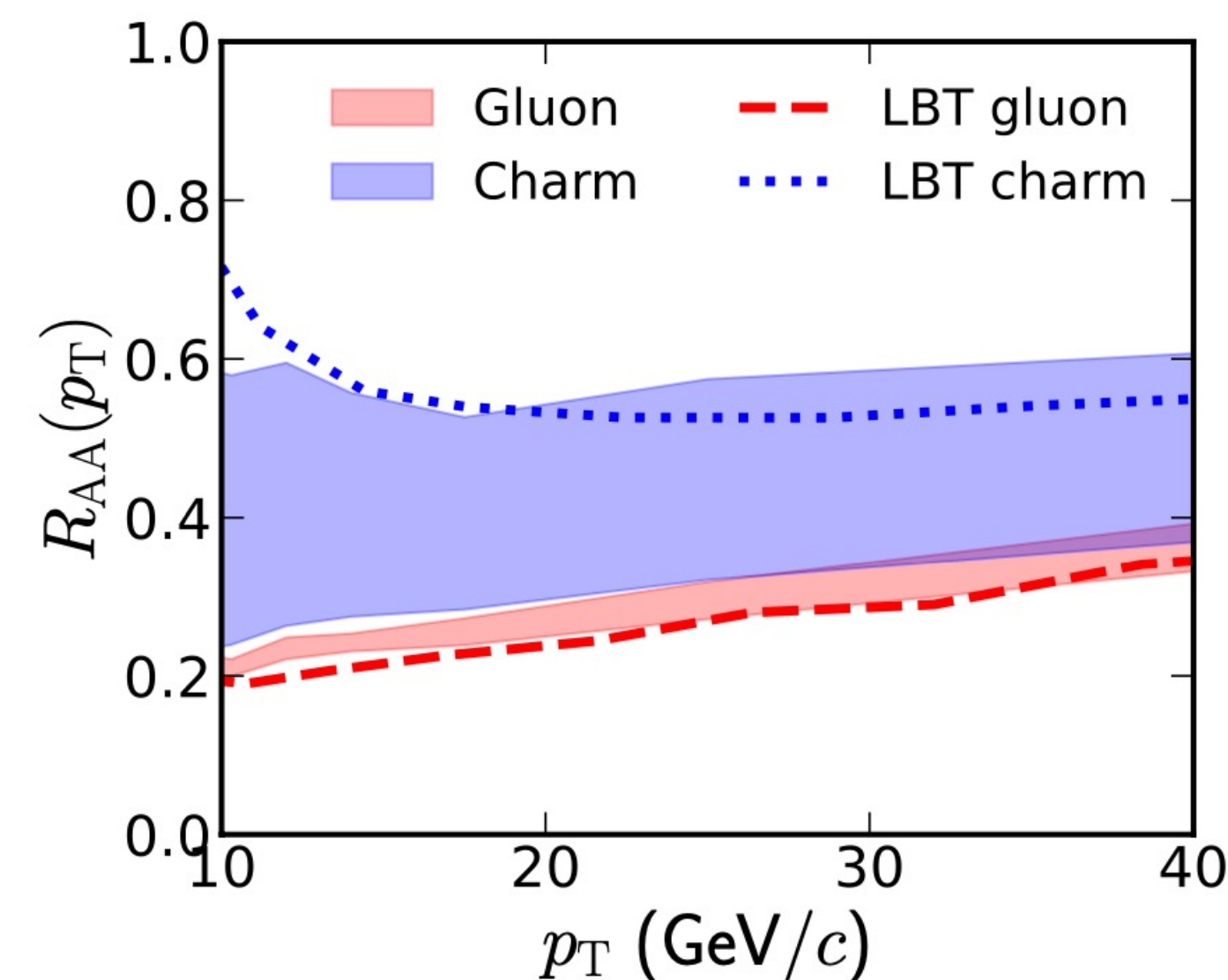
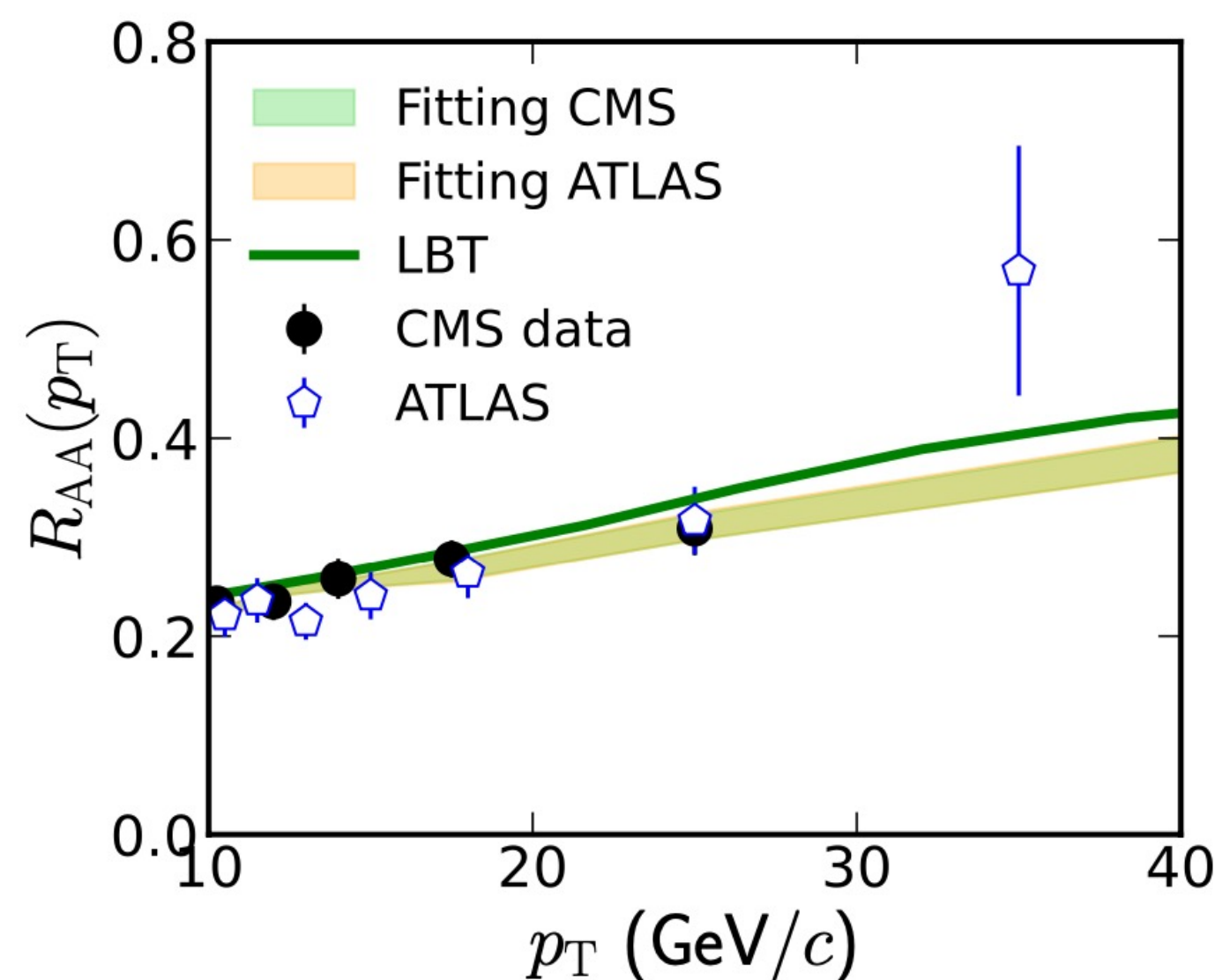
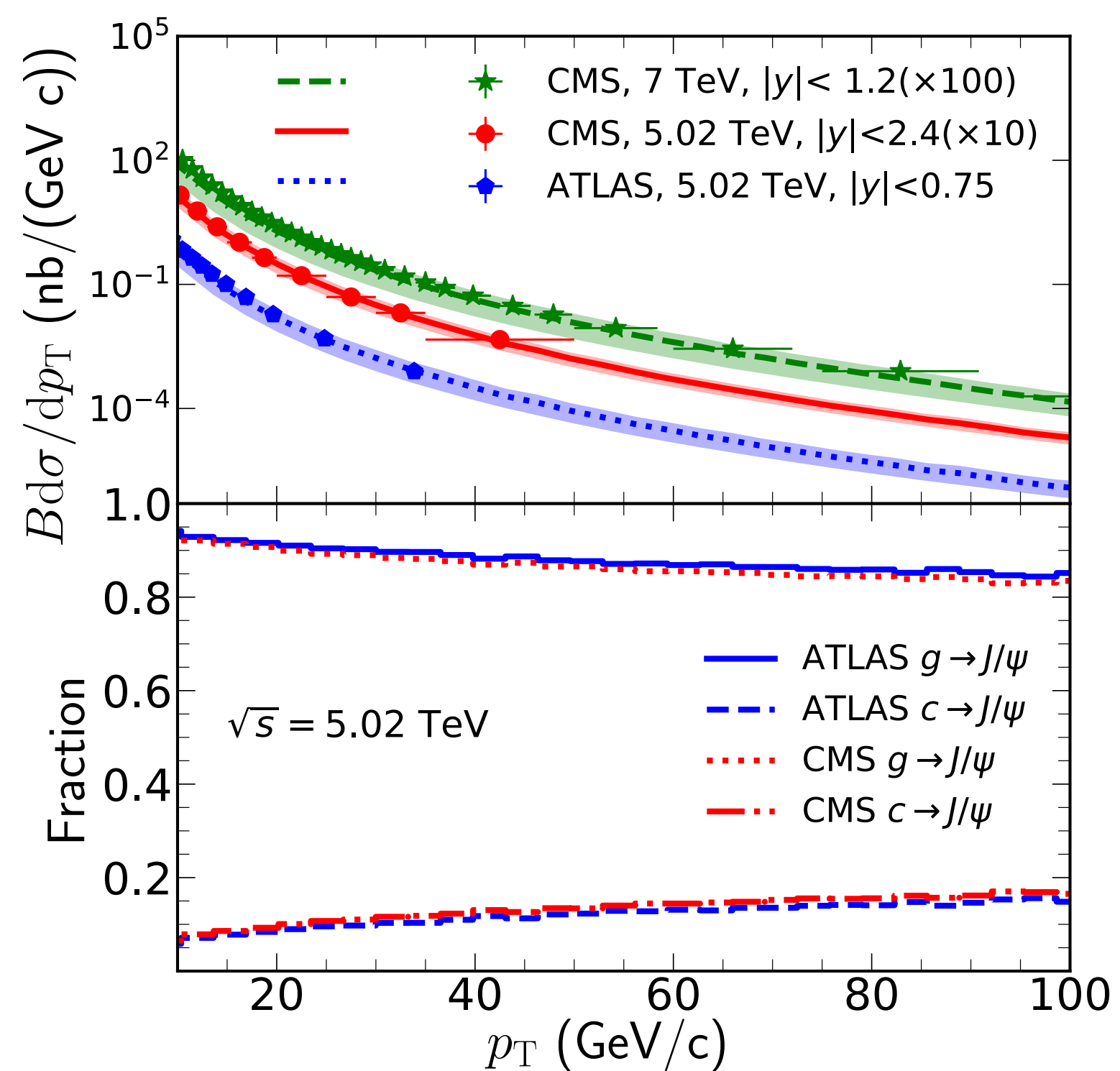
## ◆ $J/\psi$ production at $p_T \gg m$

Zhang, Liao, Qin, Wang, **HX**, Sci.Bull, 2023

- Gluon fragmentation dominates high-pt  $J/\psi$  production

$$d\sigma[AB \rightarrow J/\psi + X] = \sum_i d\hat{\sigma}_{AB \rightarrow i+X} \otimes D_{i \rightarrow J/\psi}$$

$$D_{i \rightarrow J/\psi}(z, \mu_0) = \sum_n \hat{d}_{i \rightarrow [c\bar{c}(n)]}(z, \mu_0) \langle \mathcal{O}_{[c\bar{c}(n)]}^{J/\psi} \rangle$$

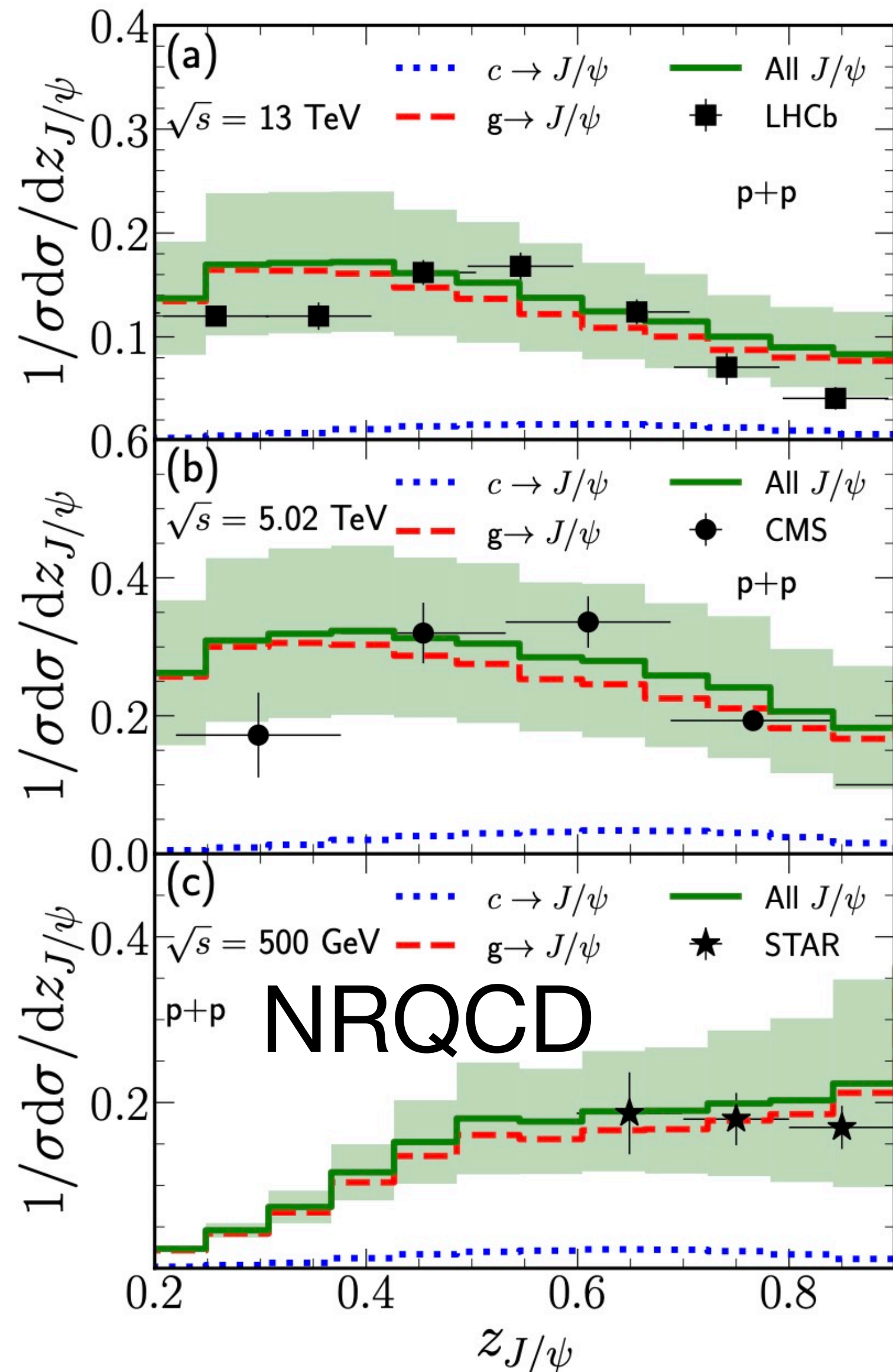


Glueon fragmentation to  $J/\psi$  in QGP

Glueon fragmentation to  $J/\psi$  in vacuum

## ◆ Jet fragmentation function for $J/\psi$ at $p_T \gg m$

Zhang, **HX**, 2403.12704

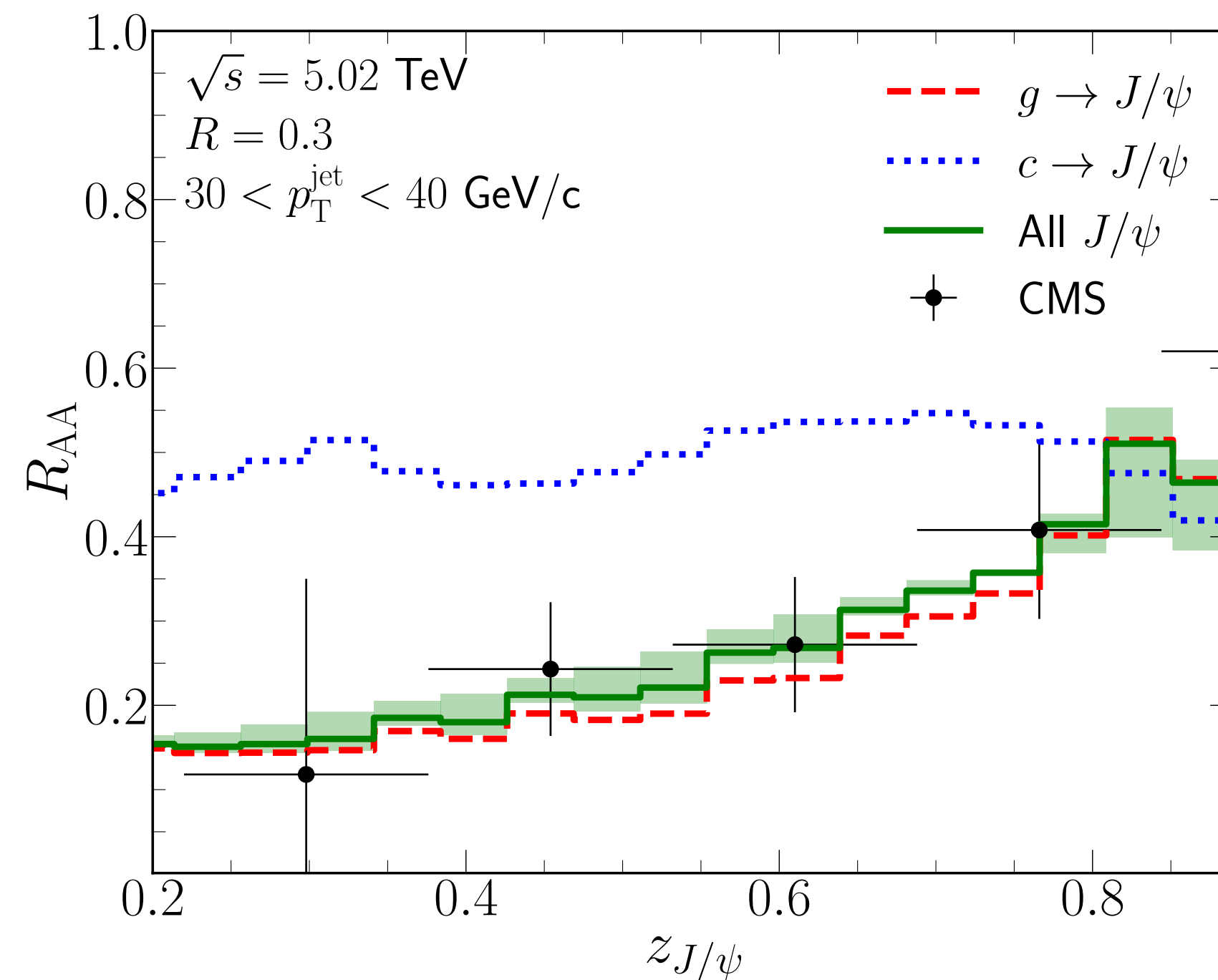


- Gluon fragmentation dominates high-pt  $J/\psi$  production

$$d\sigma[pp \rightarrow (\text{jet } J/\psi) + X] = \sum_i d\hat{\sigma}_{pp \rightarrow (\text{jet } i) + X} \otimes D_{i \rightarrow J/\psi}$$

$$D_{i \rightarrow J/\psi}(z, \mu_0) = \sum_n \hat{d}_{i \rightarrow [c\bar{c}(n)]}(z, \mu_0) \langle \mathcal{O}_{[c\bar{c}(n)]}^{J/\psi} \rangle$$

- A unique probe to gluon jet quenching



# Summary

- ◆ NPC23 - high precision determination of parton fragmentation in vacuum from world data
  - Works in progress: NPC24 - FFs for neutral hadrons, higher precision at NNLO
- ◆ Parton fragmentation in medium as a tool to identify jet quenching mechanism
  - Works in progress: a comprehensive study on flavor hierarchy of jet quenching by including various hadrons ( $\pi$ ,  $k$ ,  $D$ ,  $B$ ,  $J/\psi$ )





# The 9th International Symposium on Heavy Flavor Production in Hadron and Nuclear Collisions (HF-HNC 2024)

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