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# The Enigma of QCD from Spectroscopy

Si-Xue Qin

( 秦思学 )

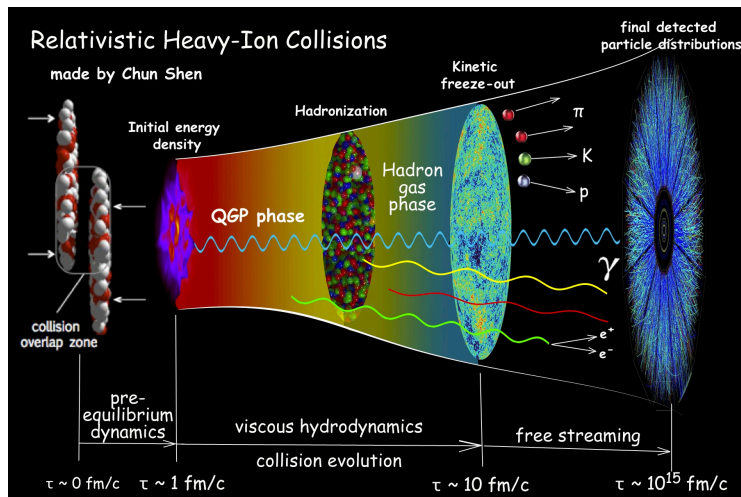
Department of Physics, Chongqing University

## THE CONDENSED MATTER PHYSICS OF QCD

KRISHNA RAJAGOPAL AND FRANK WILCZEK

Center for Theoretical Physics, Massachusetts Institute of Technology  
Cambridge, MA USA 02139

Important progress in understanding the behavior of hadronic matter at high density has been achieved recently, by adapting the techniques of condensed matter theory. At asymptotic densities, the combination of asymptotic freedom and BCS theory make a rigorous analysis possible. New phases of matter with remarkable properties are predicted. They provide a theoretical laboratory within which chiral symmetry breaking and confinement can be studied at weak coupling. They may also play a role in the description of neutron star interiors. We discuss the phase diagram of QCD as a function of temperature and density, and close with a look at possible astrophysical signatures.



## INSIDE A NEUTRON STAR

A NASA mission will use X-ray spectroscopy to gather clues about the interior of neutron stars — the Universe's densest forms of matter.

### Outer crust

Atomic nuclei, free electrons

### Inner crust

Heavier atomic nuclei, free neutrons and electrons

### Outer core

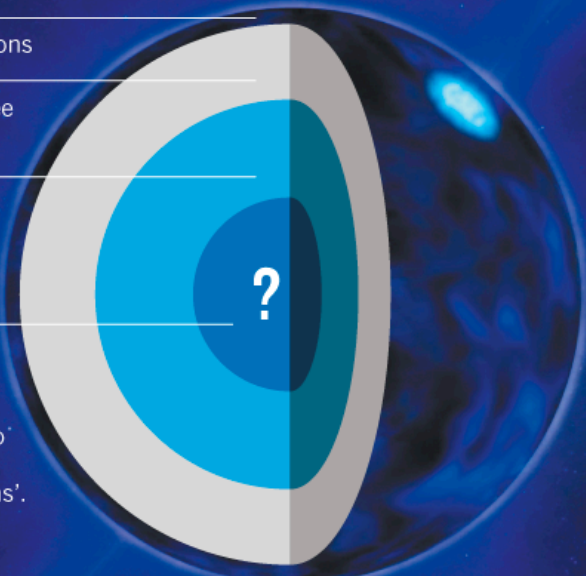
Quantum liquid where neutrons, protons and electrons exist in a soup

### Inner core

Unknown ultra-dense matter. Neutrons and protons may remain as particles, break down into their constituent quarks, or even become 'hyperons'.

### Atmosphere

Hydrogen, helium, carbon



Beam of X-rays coming from the neutron star's poles, which sweeps around as the star rotates.

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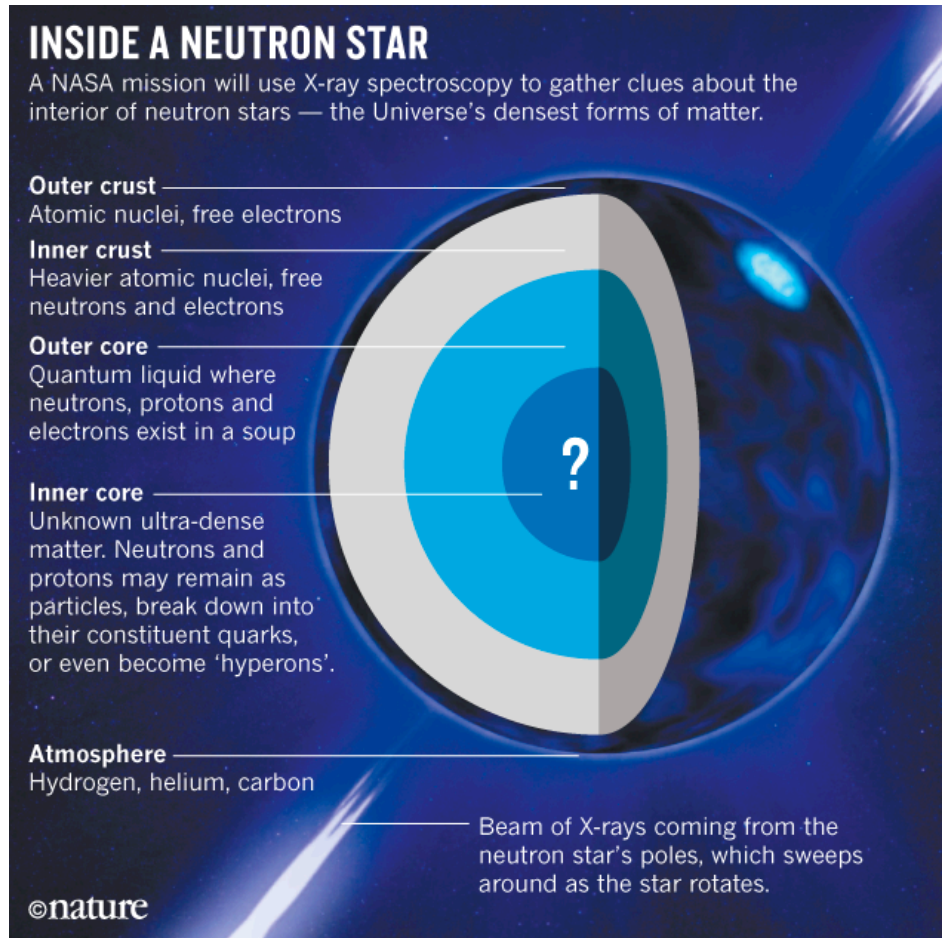
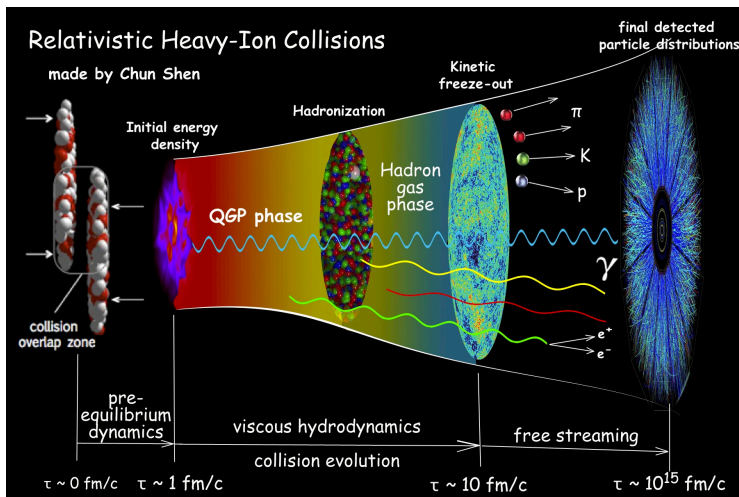
# Introduction: Many-body problem

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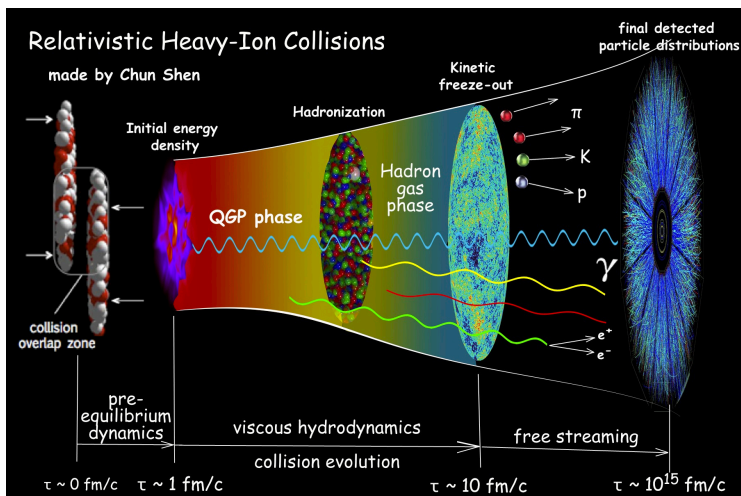
## “More Is Different”

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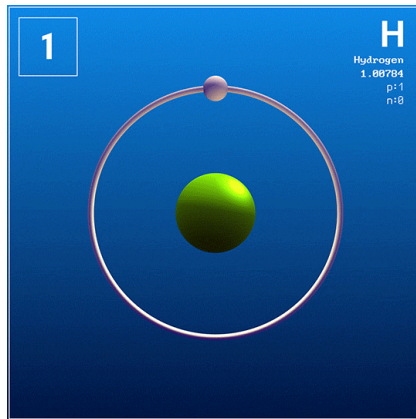
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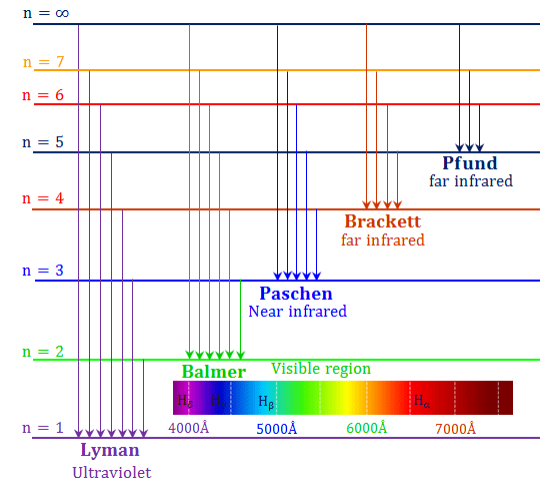
“More Is Different” From What?

# Introduction: Few-body problem

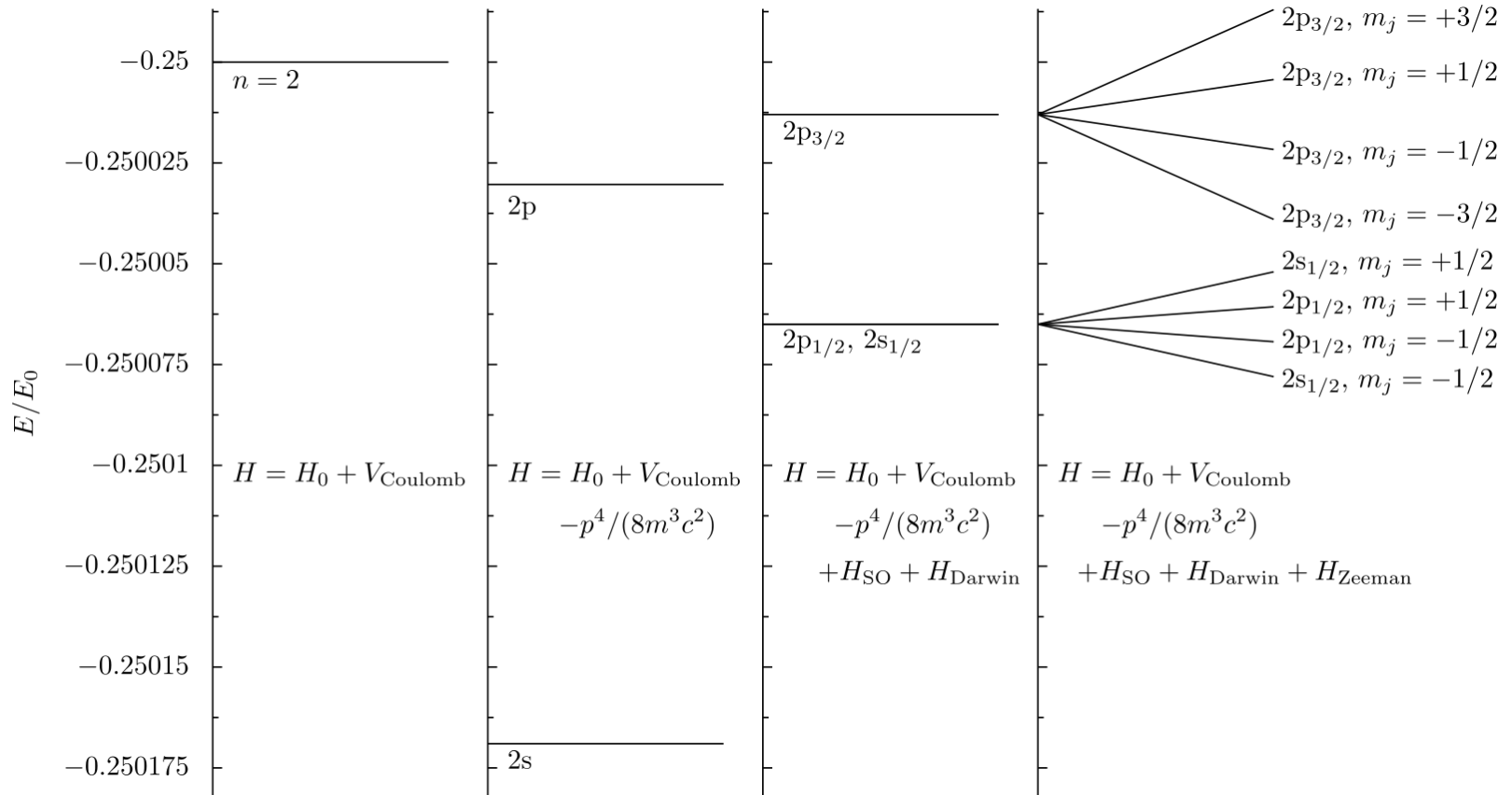


$$H|\psi_n\rangle = E_n|\psi_n\rangle$$

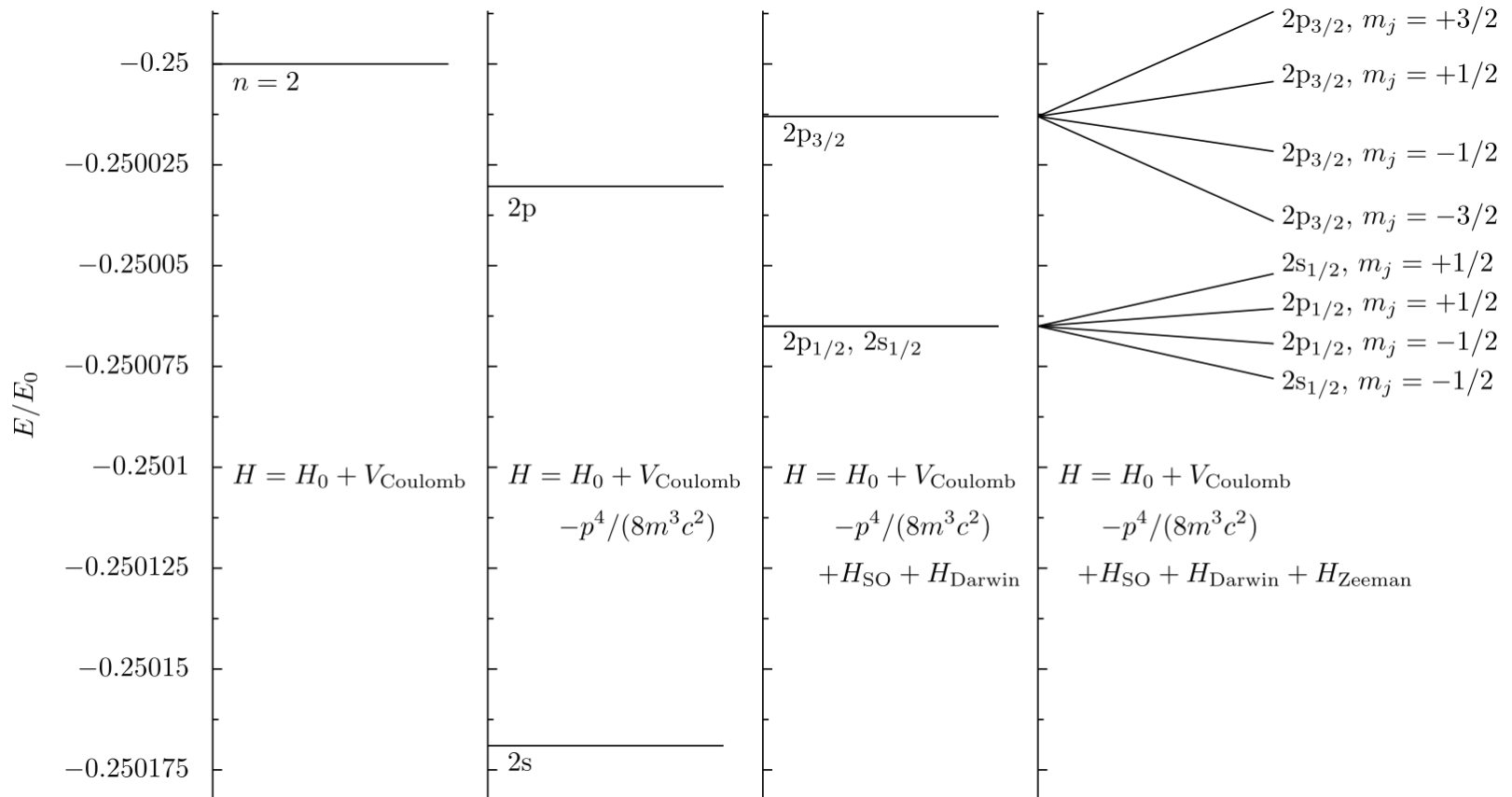
$$H = H_{\text{kinetic}} + H_{\text{Coulomb}}$$



# Introduction: Few-body problem

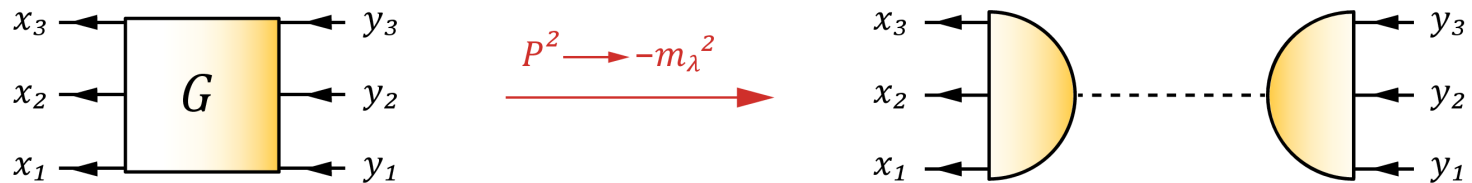


# Introduction: Few-body problem



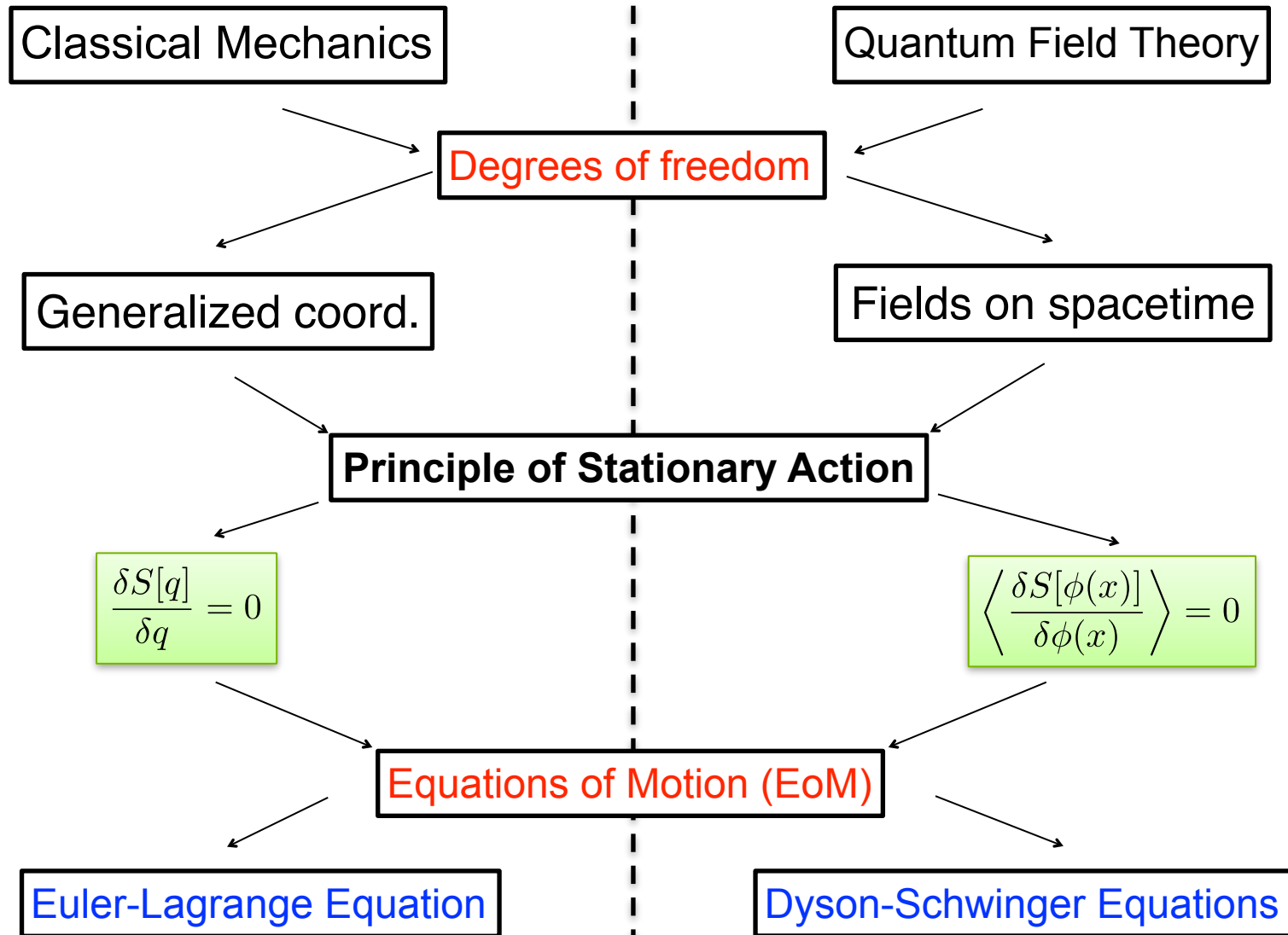
$$H = H_{\text{kinetic}} + H_{\text{Coulomb}} + H_{\text{spin-orbit}} + H_{\text{relativistic}} + H_{\text{QED}}$$

$$\mathcal{L}_{\text{QCD}} = \bar{\psi}_i [i(\gamma^\mu D_\mu)_{ij} - m\delta_{ij}] \psi_j - \frac{1}{4} G_{\mu\nu}^a G_a^{\mu\nu}$$

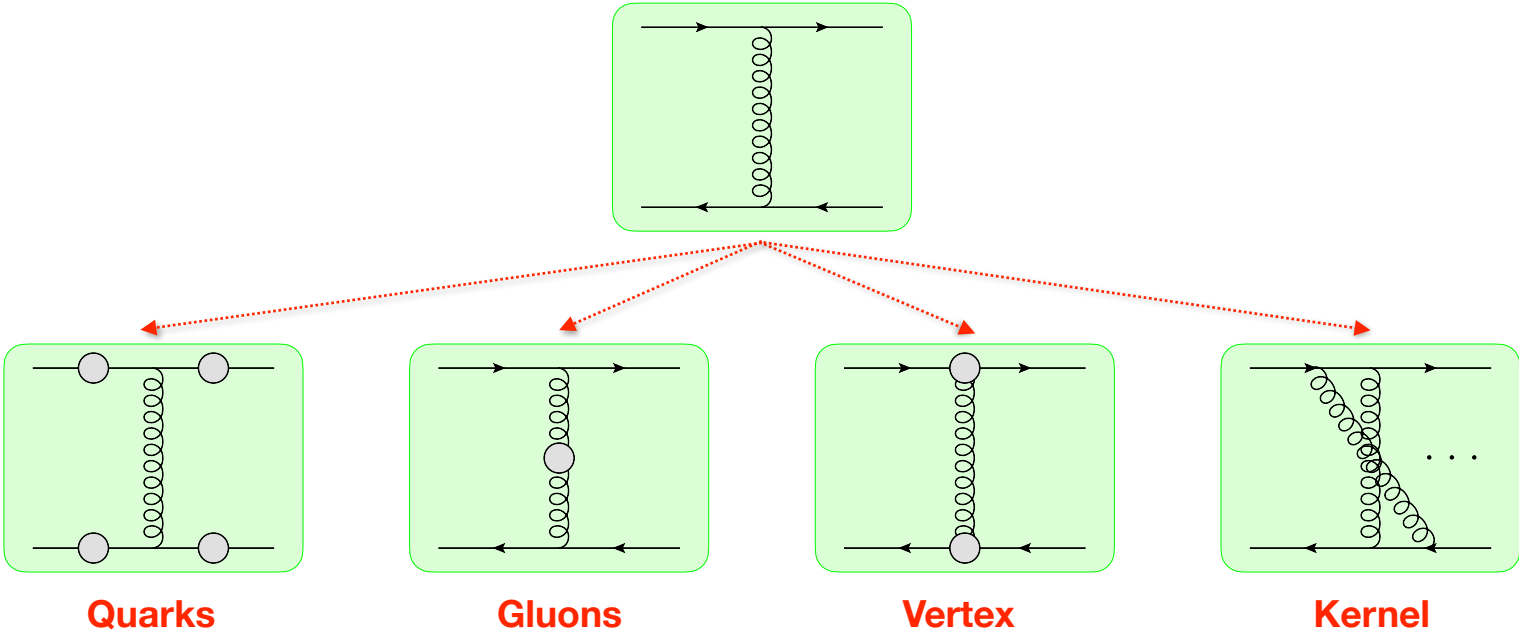


$$G^{(6)}(x_1, x_2, x_3, y_1, y_2, y_3) = \langle \Omega | q(x_1) q(x_2) q(x_3) q(y_1) q(y_2) q(y_3) | \Omega \rangle$$

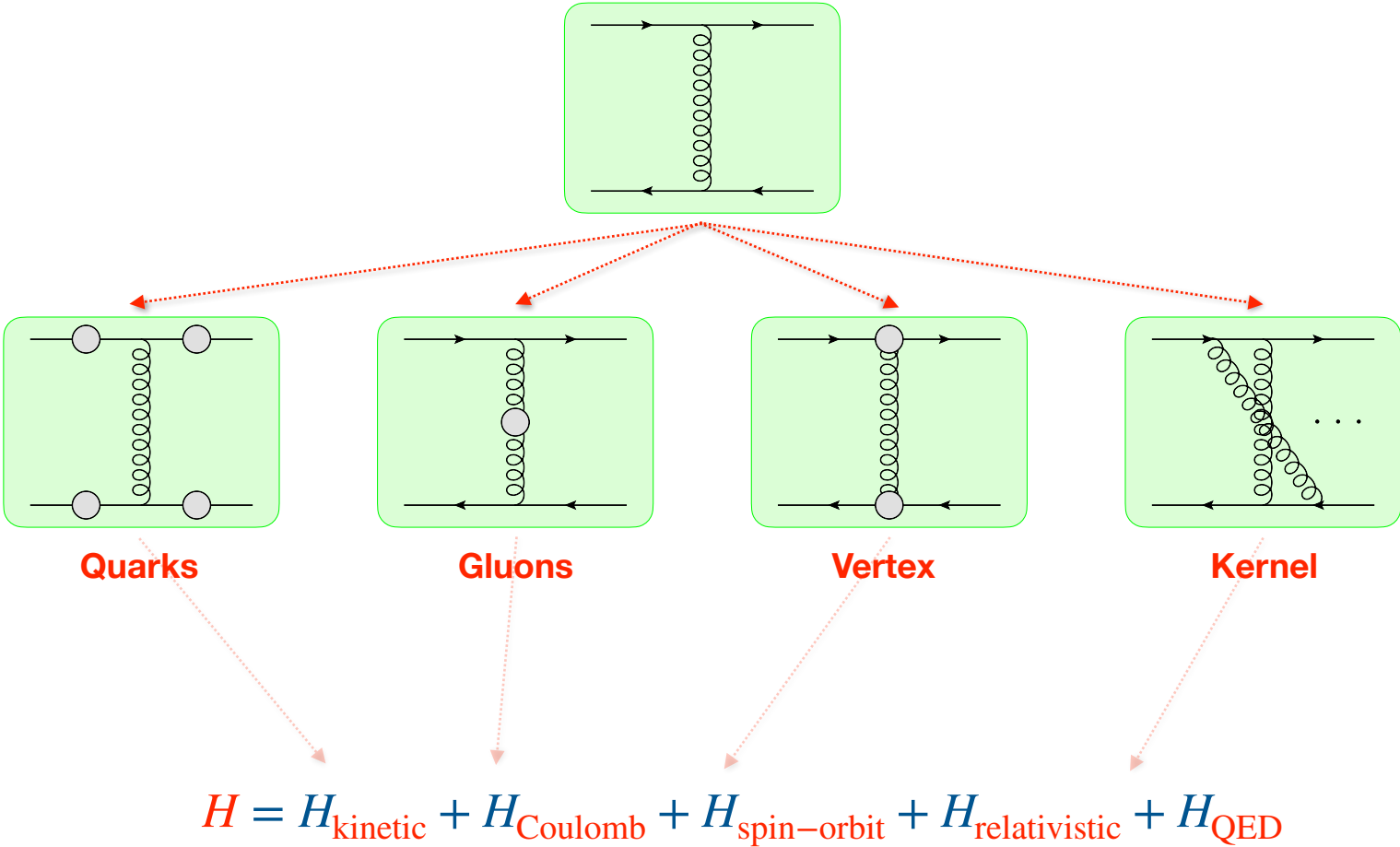




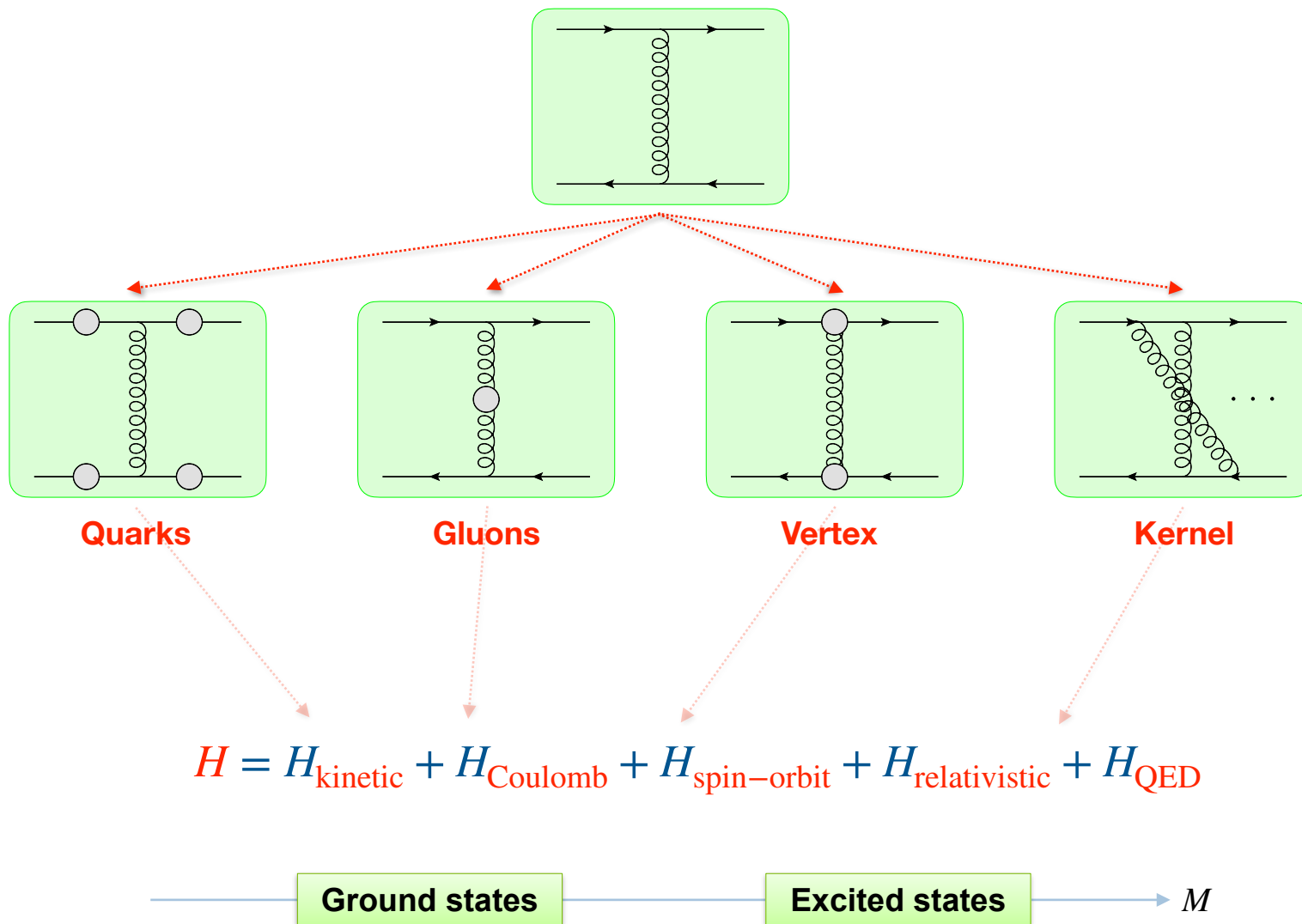
# Introduction: Nonperturbative QCD Framework



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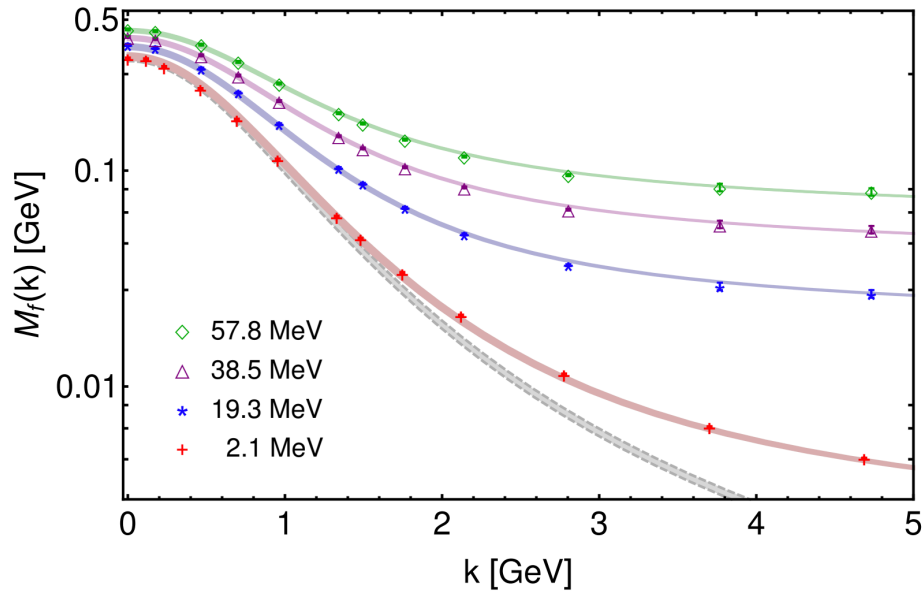


# Basics

# Basics: Quarks are dispersive quasi-particles

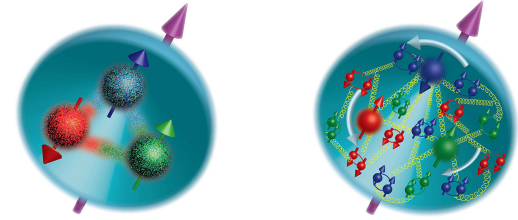
$$S(p) = \frac{1}{i\gamma \cdot p A(p^2) + B(p^2)} = \frac{Z(p^2)}{i\gamma \cdot p + M(p^2)}$$

Chang, Yang, et. al., PRD 104, 094509 (2021)

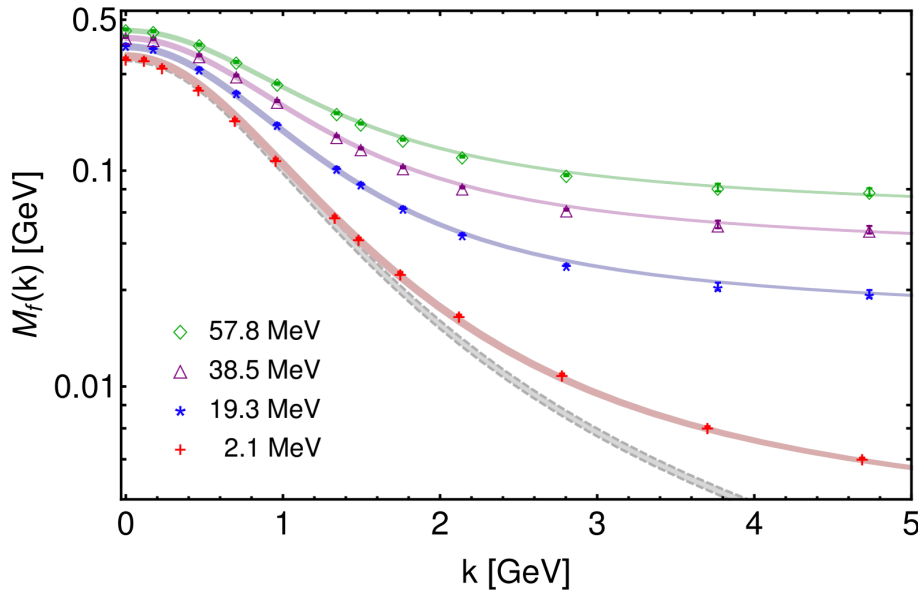


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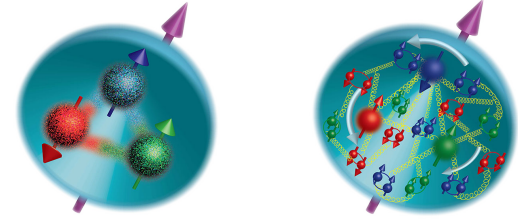
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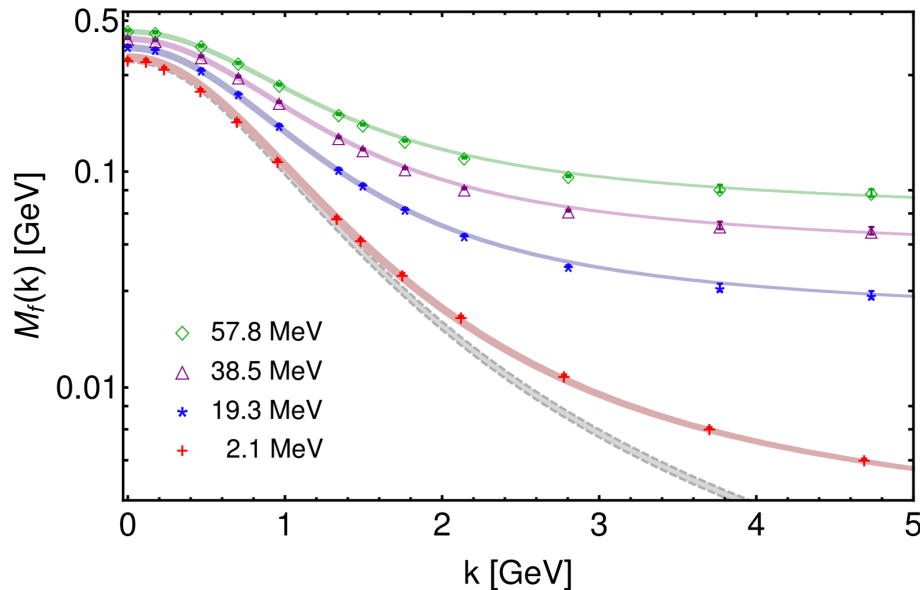
1. The quark's **effective mass** runs with its momentum.
2. The most **constituent mass** of a light quark comes from a cloud of gluons.
3. The mass has a **fast transition** between non-pert. and pert. at about 1GeV.

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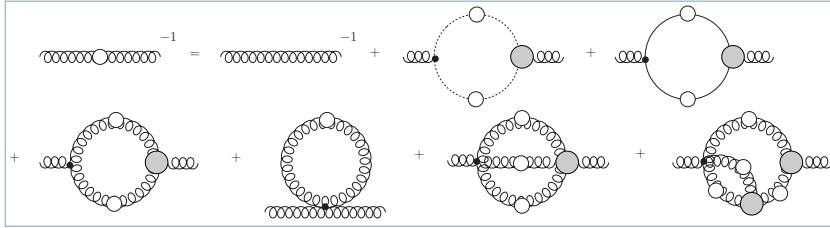
**Vacuum — invisible highly dispersive medium**



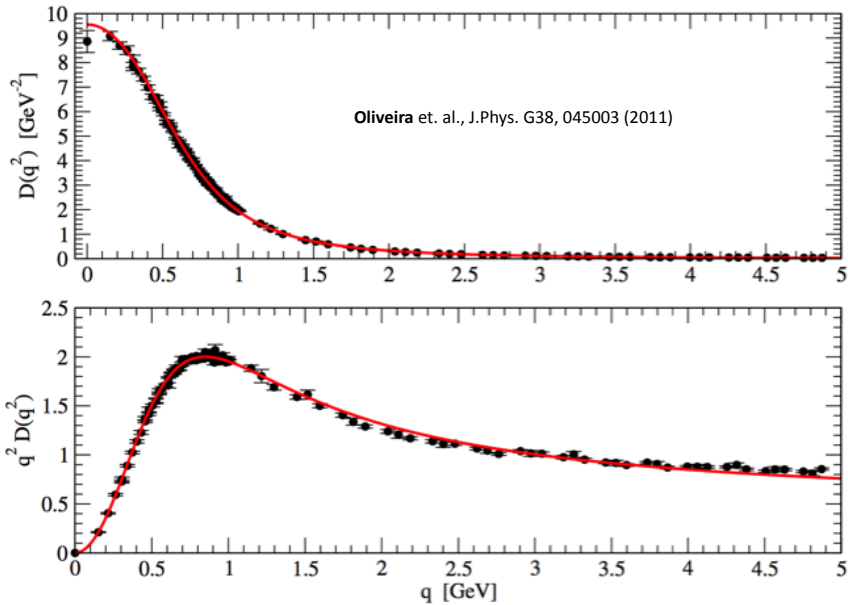
# Basics: Gluons are massive quasi-particles

## Gluon gap equation:

Aguilar, Binosi, Papavassiliou and Rodriguez-Quintero

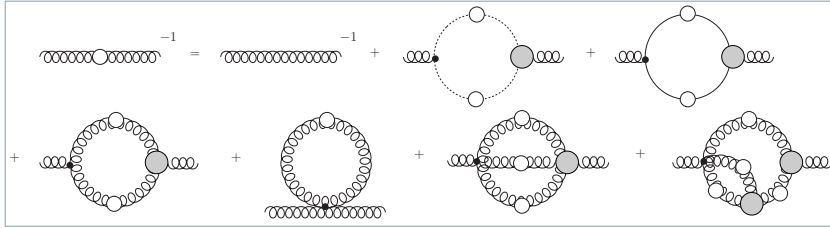


## Lattice QCD simulations:



## Glun gap equation:

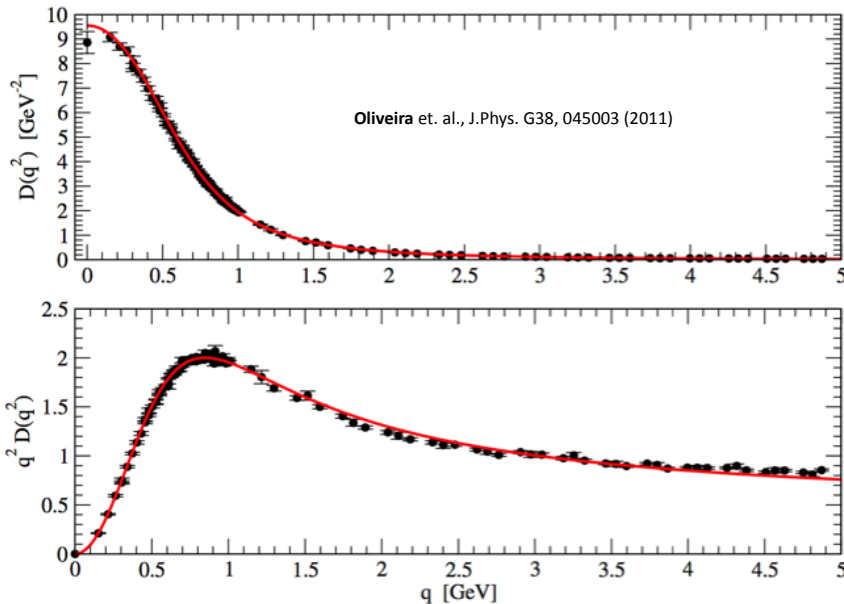
Aguilar, Binosi, Papavassiliou and Rodriguez-Quintero



- The interaction can be decomposed: **gluon running mass** + **effective running coupling**

$$g^2 D_{\mu\nu}(k) = \mathcal{G}(k^2) \left( \delta_{\mu\nu} - \frac{k_\mu k_\nu}{k^2} \right)$$

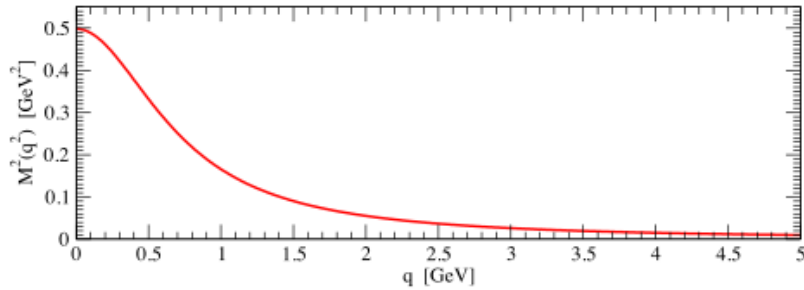
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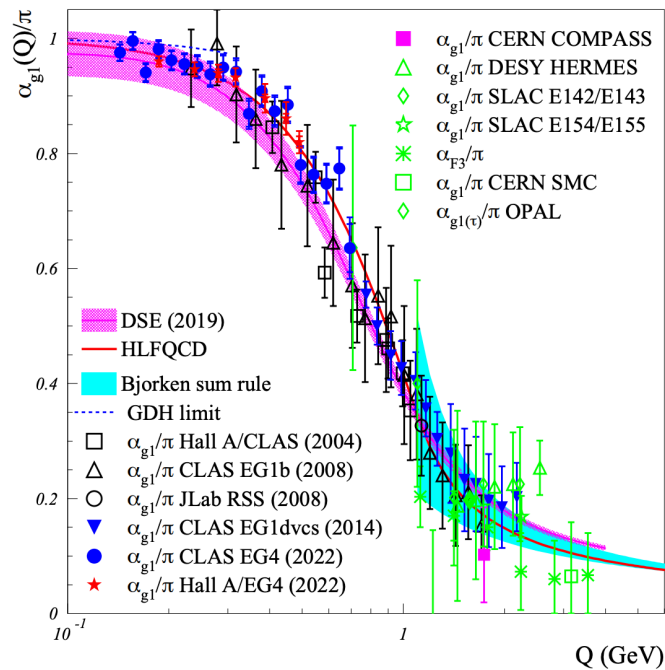
$$\mathcal{G}(k^2) \approx \frac{4\pi\alpha_{RL}(k^2)}{k^2 + m_g^2(k^2)}$$

- In QCD: Gluons are **cannibals** — a particle species whose members become **massive** by eating each other — **quasi-particles!**

**Glun mass function:** Oliveira et. al., J.Phys. G38, 045003 (2011)



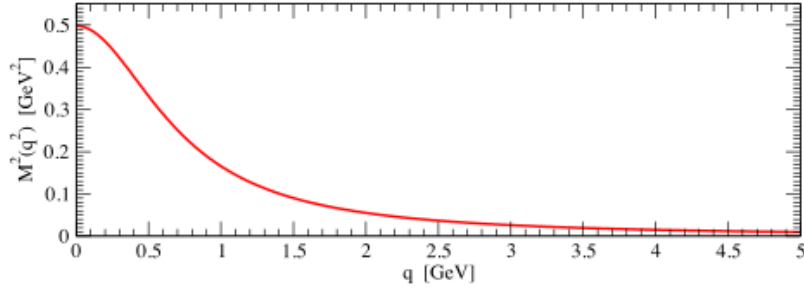
**Running coupling:** Deur, Brodsky, Roberts, PPNP, 104081 (2024)



See, e.g., PRC 84, 042202(R) (2011)

## Glueon mass function:

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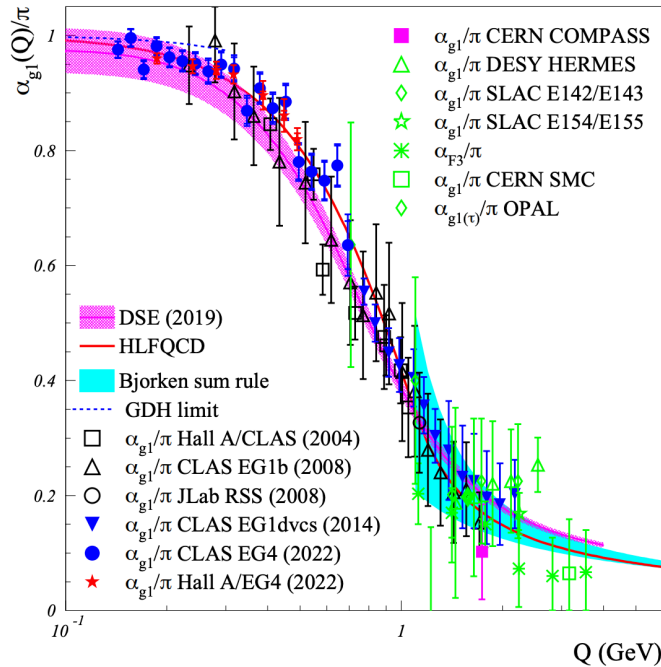
1. The dressed gluon can be well parameterized by a **mass scale**

$$m_g^2(k^2) = \frac{M_g^4}{M_g^2 + k^2}$$

$$M_g \sim 700 \text{ MeV}$$

## Running coupling:

Deur, Brodsky, Roberts, PPNP, 104081 (2024)



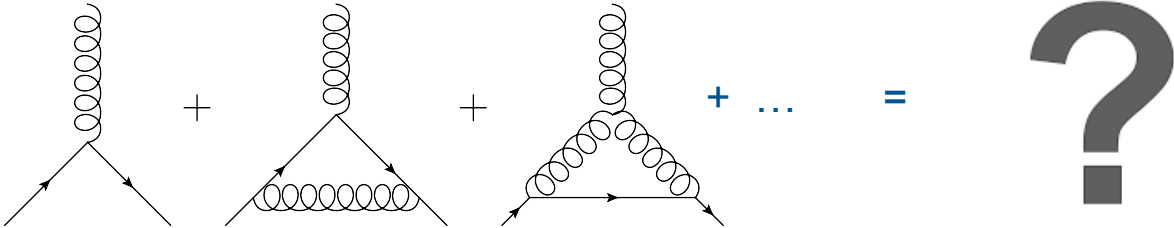
2. The effective running coupling **saturates** in the infrared limit.

- converge to:  $\alpha_s(0) \sim \pi$
- transition at:  $Q \sim 1 \text{ GeV}$

See, e.g., PRC 84, 042202(R) (2011)

# Basics: Vertex has DCSB-rendered appearance

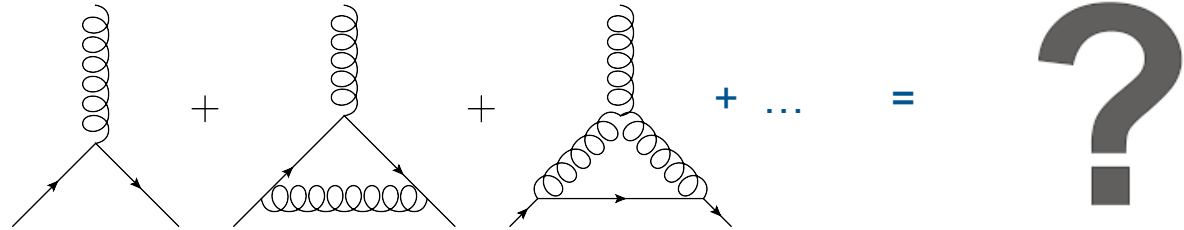
Quark-gluon vertex:



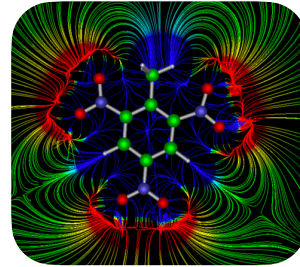
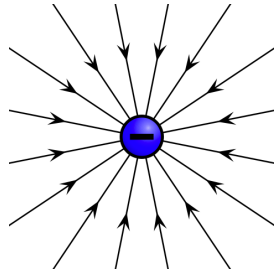
See, e.g., PLB722, 384 (2013)

# Basics: Vertex has DCSB-rendered appearance

Quark-gluon vertex:



point charge

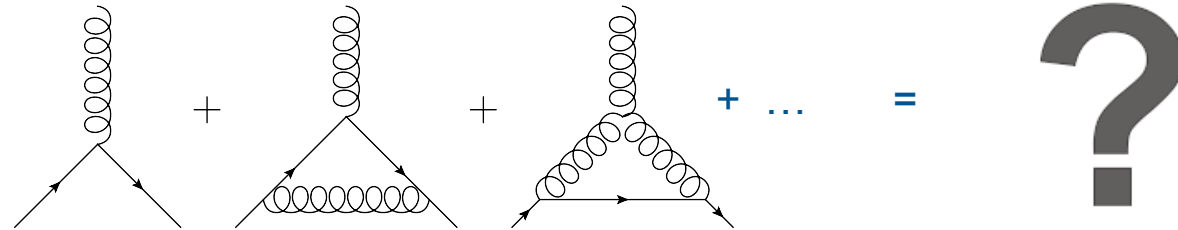


distributed charges

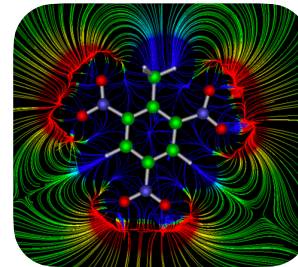
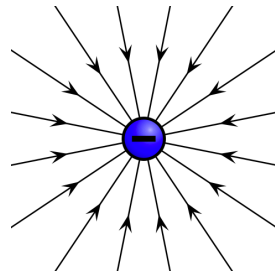
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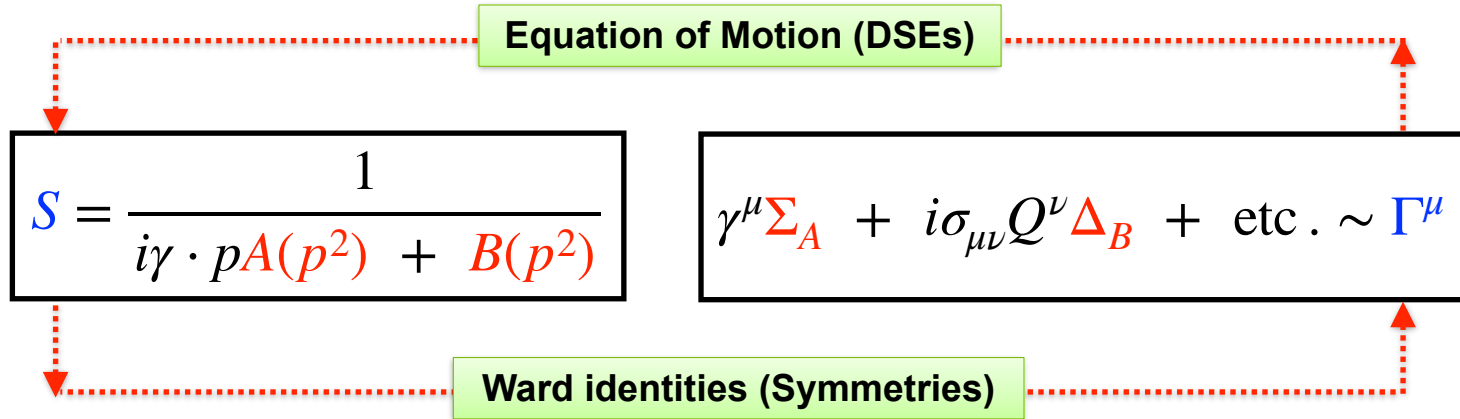
- ◆ The **Dirac** and **Pauli** terms: for an on-shell fermion, the vertex can be decomposed by two form factors:

$$\Gamma^\mu(P', P) = \gamma^\mu F_1(Q^2) + \frac{i\sigma_{\mu\nu}}{2M_f} Q^\nu F_2(Q^2) \quad \text{12 terms}$$

- ◆ The form factors express (color-)charge and (color-)magnetization densities. And the so-called **anomalous moment** is proportional to the **Pauli** term.

See, e.g., PLB722, 384 (2013)

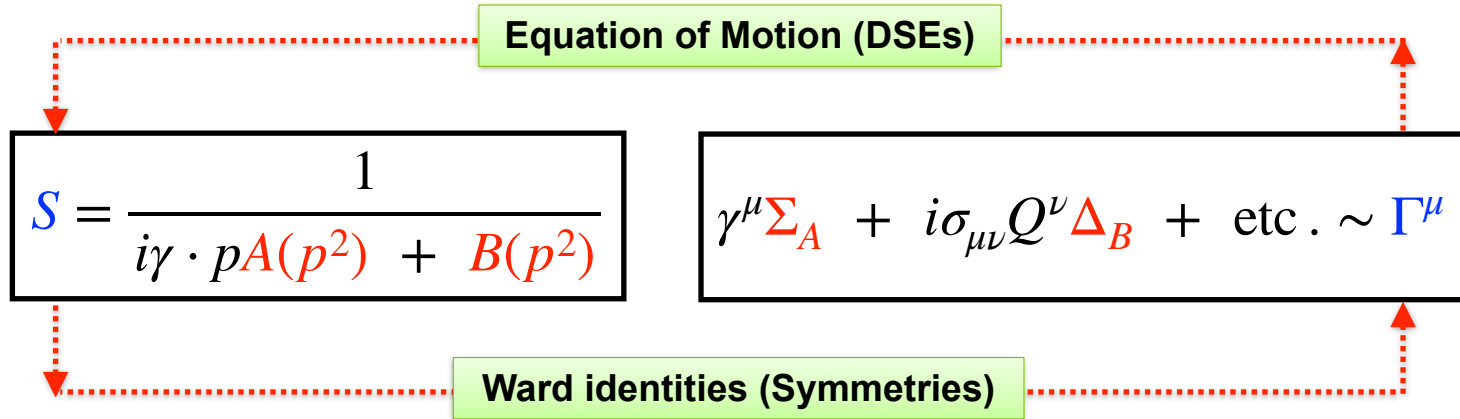
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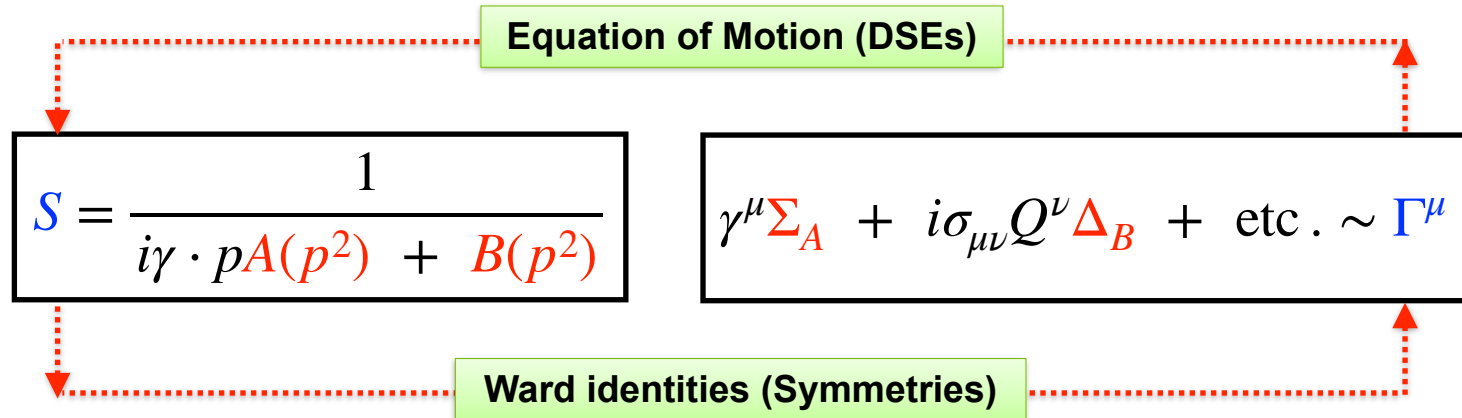


1. There is a dynamic chiral symmetry breaking (**DCSB**) feedback. **DCSB** is closely related to the **Pauli term**:

$$F_2 \sim \text{DCSB}$$

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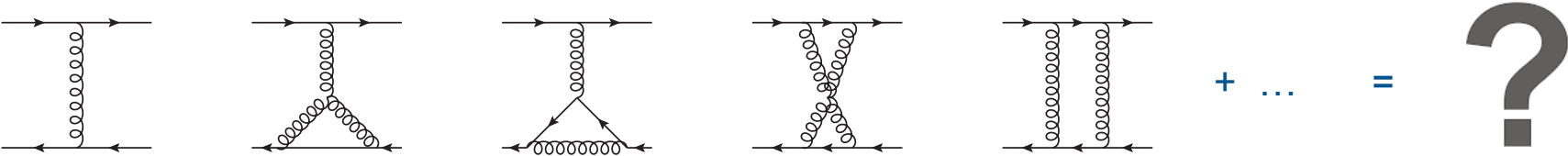
$$F_2 \sim \text{DCSB}$$

2. The **appearance** of the vertex is dramatically modified by the **dynamics**. The vertex can be phenomenologically expressed as:

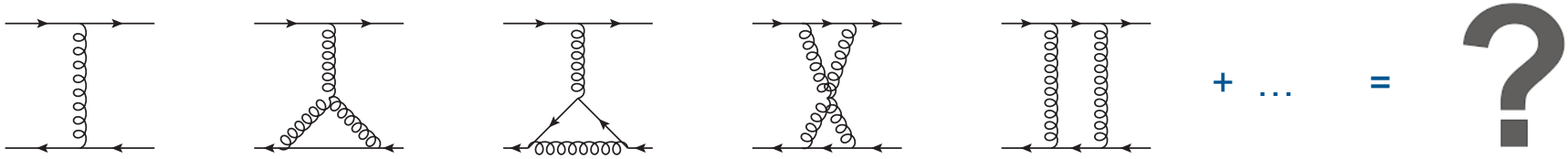
$$\Gamma^\mu \sim \gamma^\mu + i\eta\sigma_{\mu\nu} Q^\nu \Delta_B$$

See, e.g., PLB722, 384 (2013)

# Basics: Kernel has the Dirac and Pauli terms



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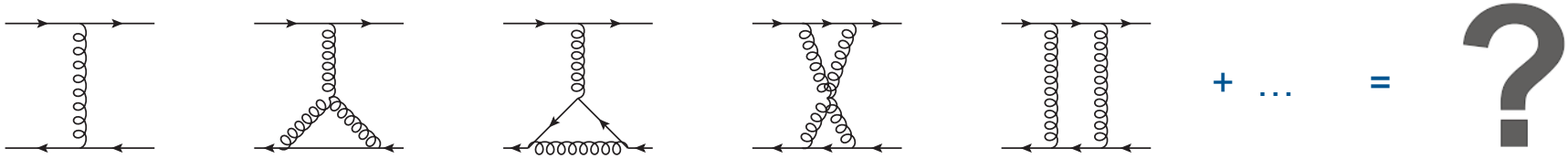


◆ The **discrete** and **continuous symmetries** strongly constrain the kernel:

**Poincaré symmetry**  
**C-, P-, T-symmetry**

**Gauge symmetry**  
**Chiral symmetry**

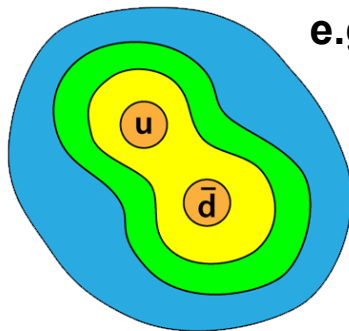
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**Poincaré symmetry**  
**C-, P-, T-symmetry**

**Gauge symmetry**  
**Chiral symmetry**



e.g., pion

1. **Bound state** of quark and anti-quark, but abnormally light:

$$M_{\pi} \ll M_u + M_{\bar{d}}$$

2. **Goldstone's theorem:** If a generic continuous symmetry is spontaneously broken, then new **massless scalar** particles appear in the spectrum of possible excitations.

## ◆ Proper decomposition:

$$K^{(2)} = \left[ K_{L0}^{(+)} \otimes K_{R0}^{(-)} \right] + \left[ K_{L0}^{(-)} \otimes K_{R0}^{(+)} \right] + \left[ K_{L1}^{(-)} \otimes_+ K_{R1}^{(-)} \right] \\ + \left[ K_{L1}^{(+)} \otimes_+ K_{R1}^{(+)} \right] + \left[ K_{L2}^{(-)} \otimes_- K_{R2}^{(-)} \right] + \left[ K_{L2}^{(+)} \otimes_- K_{R2}^{(+)} \right]$$

with  $\gamma_5 K^{(\pm)} \gamma_5 = \pm K^{(\pm)}$ ,  $\otimes_{\pm} := \frac{1}{2} (\otimes \pm \gamma_5 \otimes \gamma_5)$

**discrete**

## ◆ Deformed WTIs:

$$\Sigma_B(k_+) = \int_{dq} \left\{ K_{L0}^{(+)} [\Delta_{\sigma_A}^{\pm}] K_{R0}^{(-)} - K_{L1}^{(-)} [\sigma_B(q_+)] K_{R1}^{(-)} + K_{L1}^{(+)} [\sigma_B(q_-)] K_{R1}^{(+)} \right\} \\ 0 = \int_{dq} \left\{ K_{L0}^{(+)} [\sigma_B(q_-)] K_{R0}^{(-)} - K_{L0}^{(-)} [\sigma_B(q_+)] K_{R0}^{(+)} + K_{L2}^{(+)} [\Delta_{\sigma_A}^{\pm}] K_{R2}^{(+)} \right\} \\ [\Sigma_A(k_+) - \Sigma_A(k_-)] = \int_{dq} \left\{ K_{L0}^{(+)} [-\sigma_B(q_+)] K_{R0}^{(-)} + K_{L0}^{(-)} [\sigma_B(q_-)] K_{R0}^{(+)} + K_{L2}^{(-)} [\Delta_{\sigma_A}^{\pm}] K_{R2}^{(-)} \right\} \\ -\Sigma_B(k_-) = \int_{dq} \left\{ K_{L0}^{(-)} [\Delta_{\sigma_A}^{\pm}] K_{R0}^{(+)} + K_{L1}^{(-)} [\sigma_B(q_-)] K_{R1}^{(-)} + K_{L1}^{(+)} [-\sigma_B(q_+)] K_{R1}^{(+)} \right\}$$

**continuous**

See, e.g., CPL 38 (2021) 7, 071201

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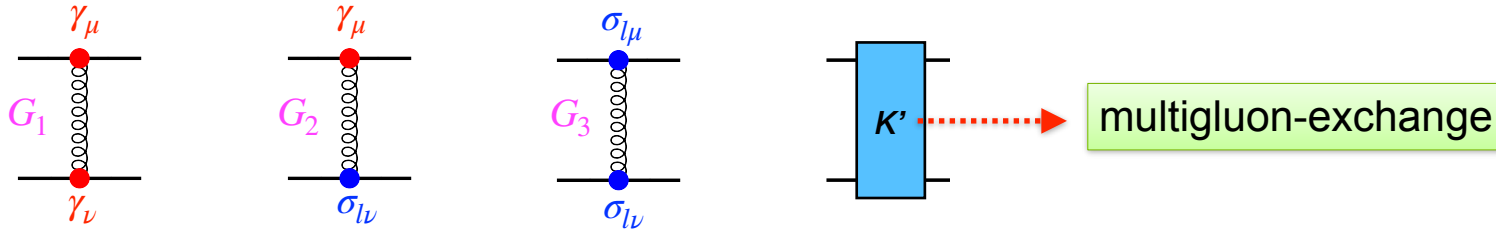
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**continuous**

## 1. A realistic kernel must involves the Dirac and Pauli structures:



See, e.g., CPL 38 (2021) 7, 071201

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$$K^{(2)} = [K_{L0}^{(+)} \otimes K_{R0}^{(-)}] + [K_{L0}^{(-)} \otimes K_{R0}^{(+)}] + [K_{L1}^{(-)} \otimes_+ K_{R1}^{(-)}] \\ + [K_{L1}^{(+)} \otimes_+ K_{R1}^{(+)}] + [K_{L2}^{(-)} \otimes_- K_{R2}^{(-)}] + [K_{L2}^{(+)} \otimes_- K_{R2}^{(+)}] \\ \text{with } \gamma_5 K^{(\pm)} \gamma_5 = \pm K^{(\pm)}, \quad \otimes_{\pm} := \frac{1}{2} (\otimes \pm \gamma_5 \otimes \gamma_5)$$

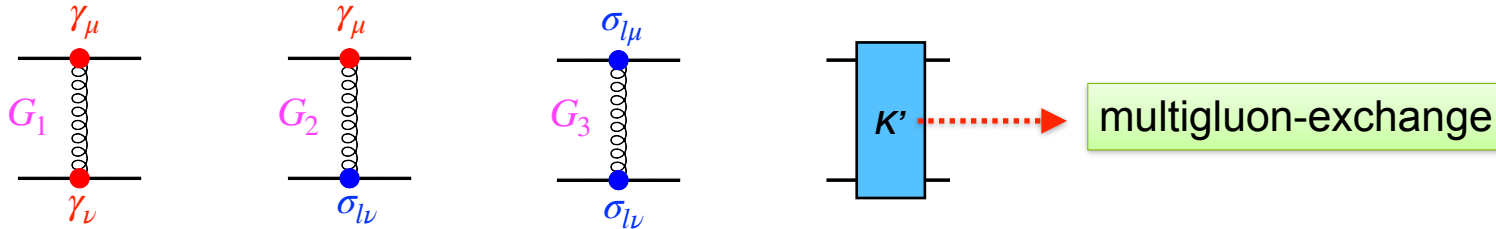
discrete

## ◆ Deformed WTIs:

$$\Sigma_B(k_+) = \int_{dq} \left\{ K_{L0}^{(+)} [\Delta_{\sigma_A}^{\pm}] K_{R0}^{(-)} - K_{L1}^{(-)} [\sigma_B(q_+)] K_{R1}^{(-)} + K_{L1}^{(+)} [\sigma_B(q_-)] K_{R1}^{(+)} \right\} \\ 0 = \int_{dq} \left\{ K_{L0}^{(+)} [\sigma_B(q_-)] K_{R0}^{(-)} - K_{L0}^{(-)} [\sigma_B(q_+)] K_{R0}^{(+)} + K_{L2}^{(+)} [\Delta_{\sigma_A}^{\pm}] K_{R2}^{(+)} \right\} \\ [\Sigma_A(k_+) - \Sigma_A(k_-)] = \int_{dq} \left\{ K_{L0}^{(+)} [-\sigma_B(q_+)] K_{R0}^{(-)} + K_{L0}^{(-)} [\sigma_B(q_-)] K_{R0}^{(+)} + K_{L2}^{(-)} [\Delta_{\sigma_A}^{\pm}] K_{R2}^{(-)} \right\} \\ -\Sigma_B(k_-) = \int_{dq} \left\{ K_{L0}^{(-)} [\Delta_{\sigma_A}^{\pm}] K_{R0}^{(+)} + K_{L1}^{(-)} [\sigma_B(q_-)] K_{R1}^{(-)} + K_{L1}^{(+)} [-\sigma_B(q_+)] K_{R1}^{(+)} \right\}$$

continuous

## 1. A realistic kernel must involves the Dirac and Pauli structures:



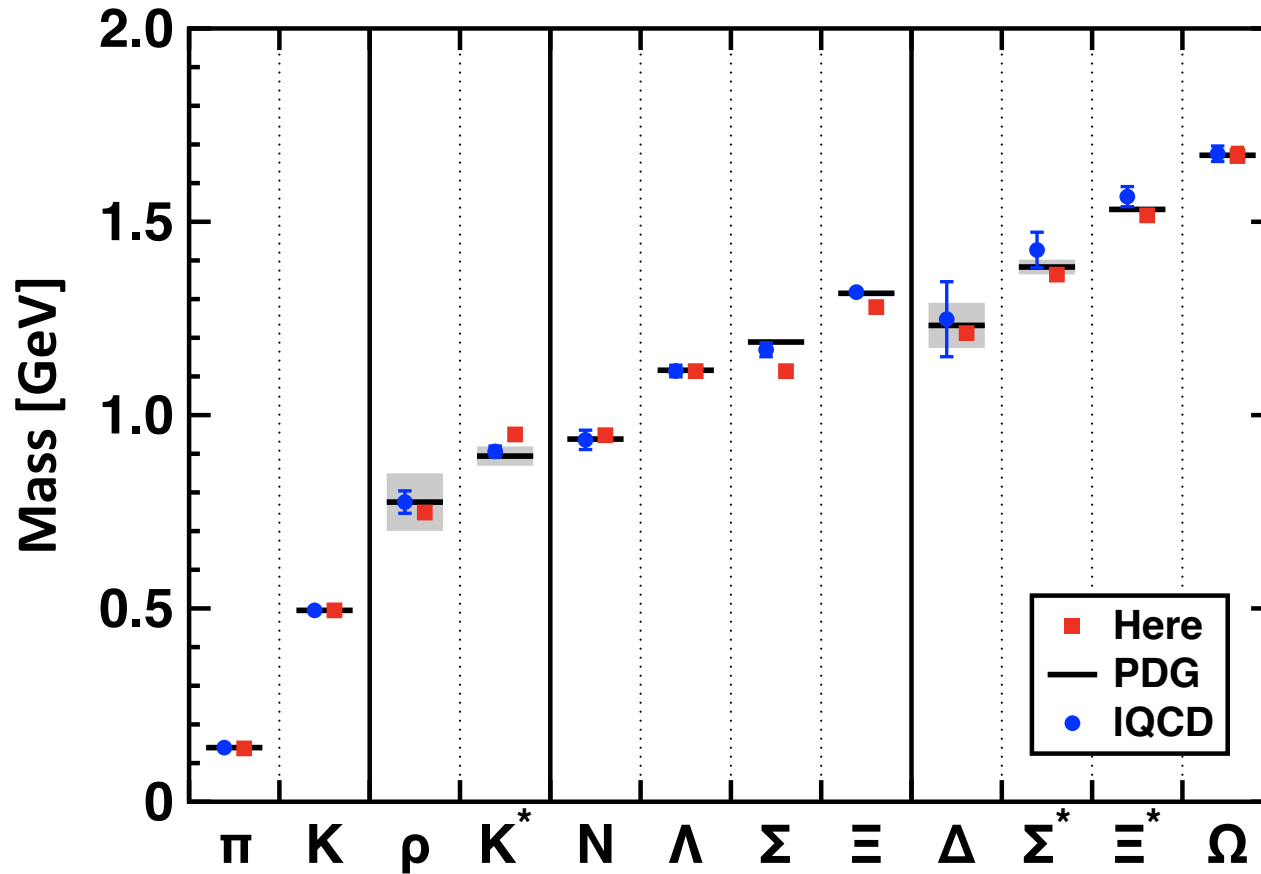
## 2. $G_2$ and $G_3$ are proportional to the Pauli term in the vertex, and thus to DCSB:

$$G_2, G_3 \sim \text{DCSB}$$

See, e.g., CPL 38 (2021) 7, 071201



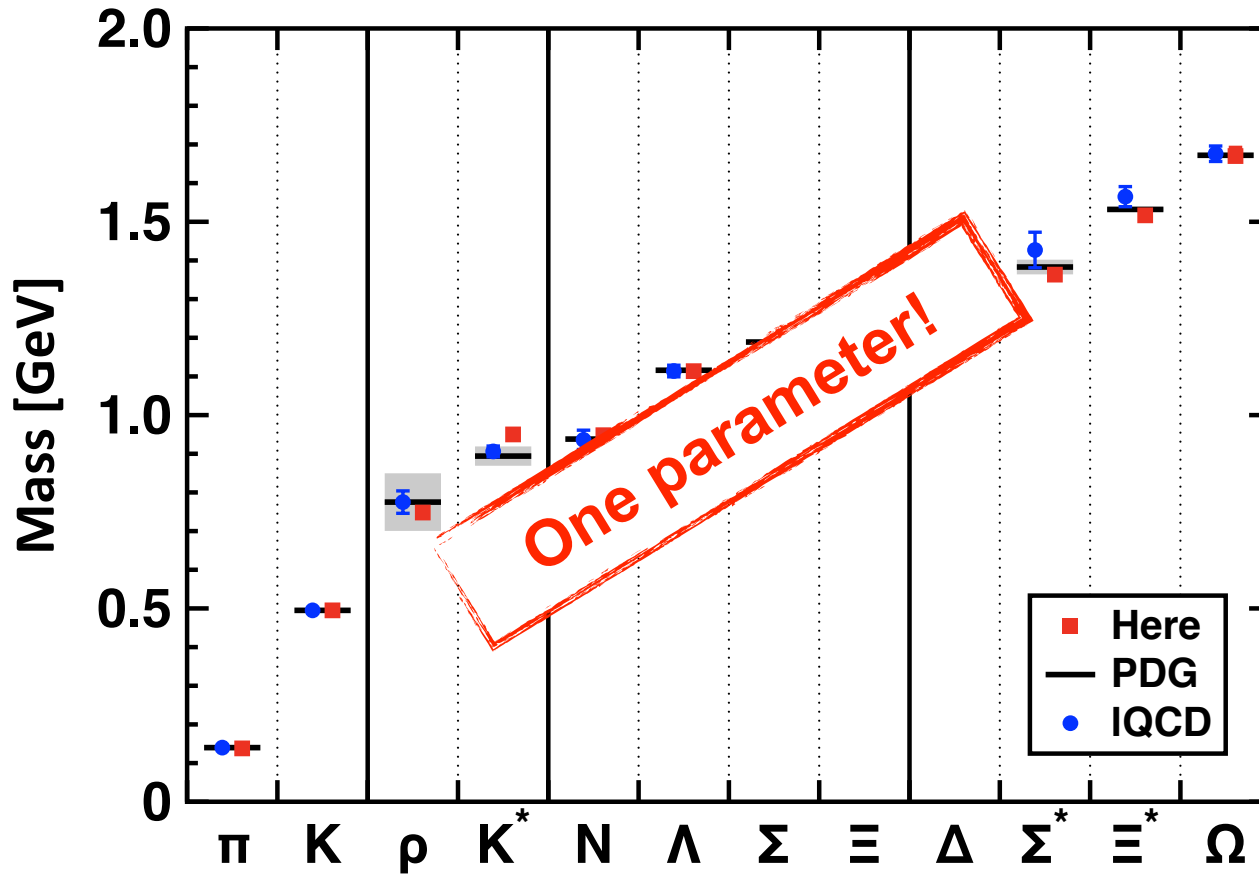
# Ground states



The **interaction strength** and **current quark masses** are fixed by properties of pseudo-scalar mesons, e.g., pion, kaon, and etc.

See, e.g., *Few-Body Syst* 60, 26 (2019)

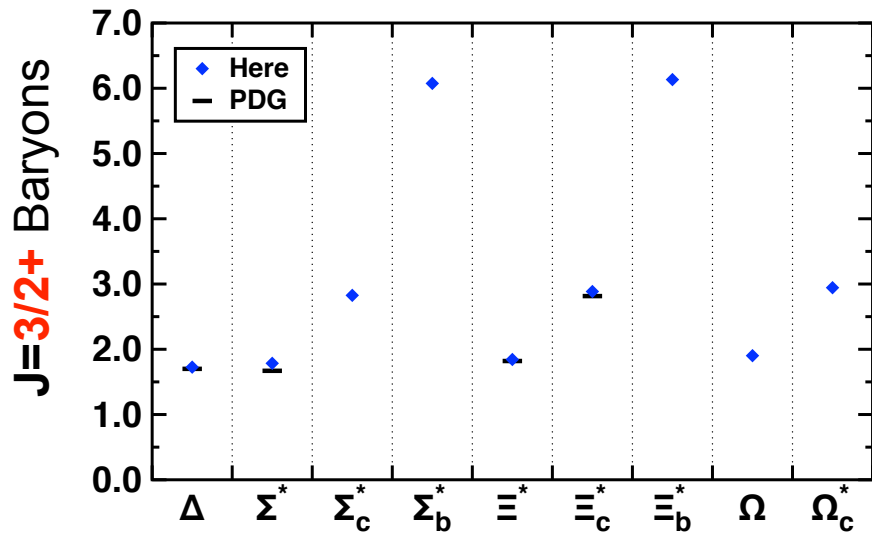
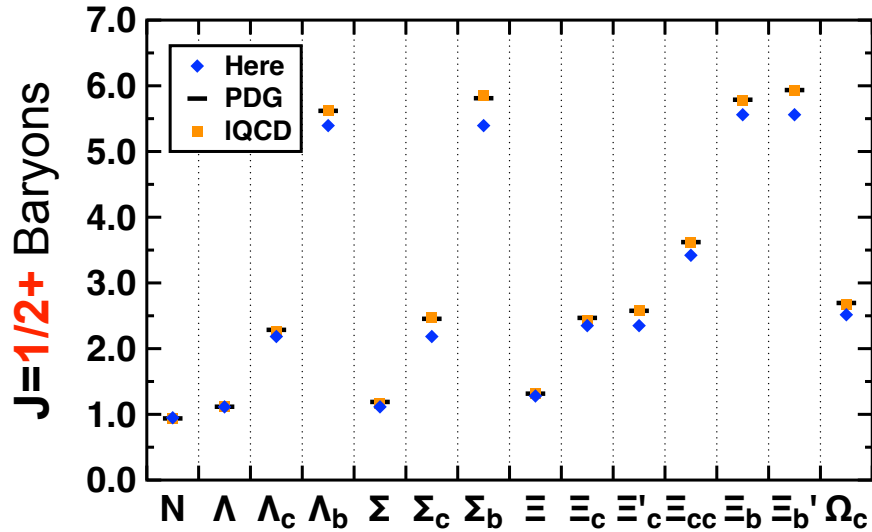
# Ground states: Light & Strange flavor spectra



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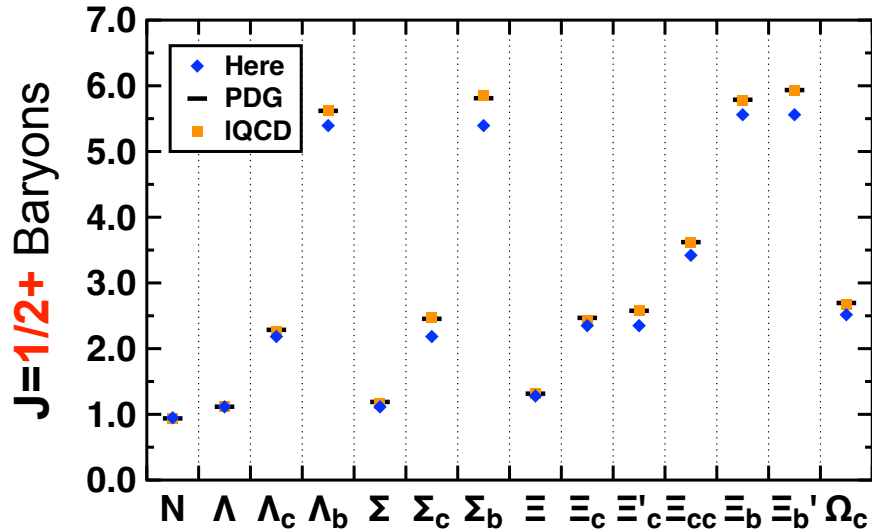
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# Ground states: Charm & Bottom flavor spectra

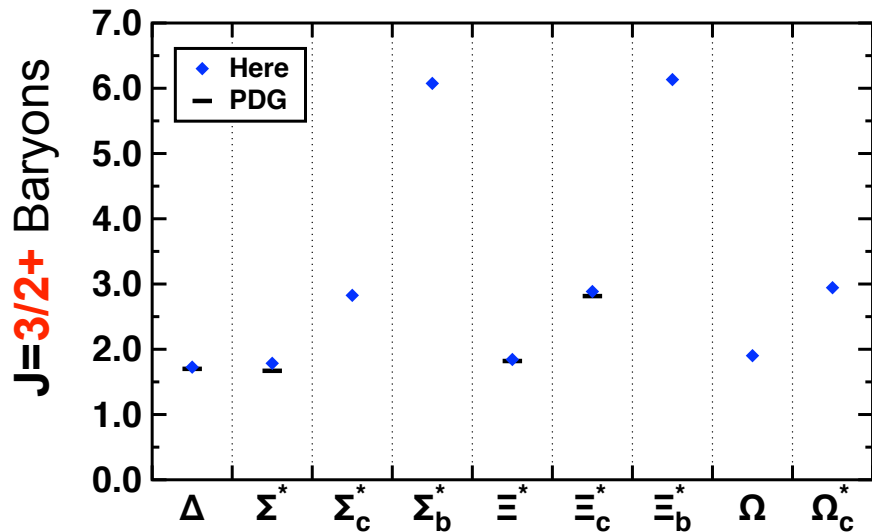


See, e.g., *Few-Body Syst* 60, 26 (2019)

# Ground states: Charm & Bottom flavor spectra

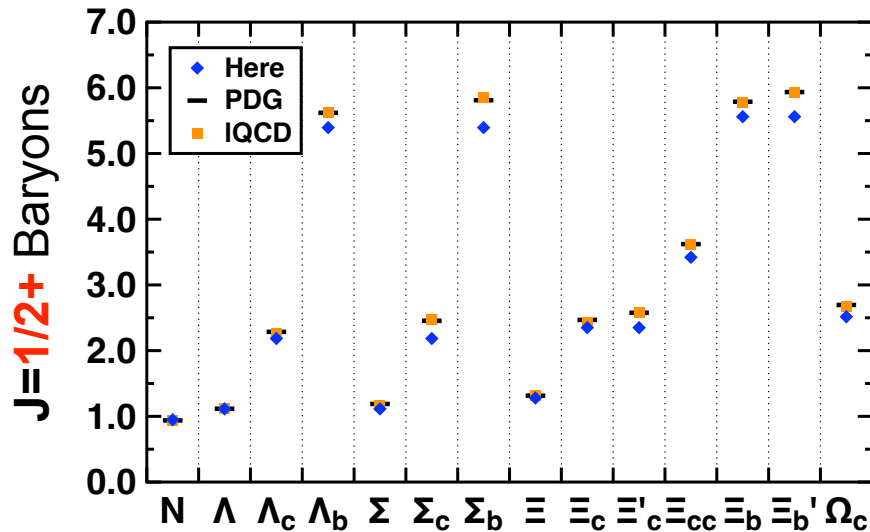


◆ The mean-absolute-relative-difference between the calculated values for the ground-states and the known empirical masses is about 5%.

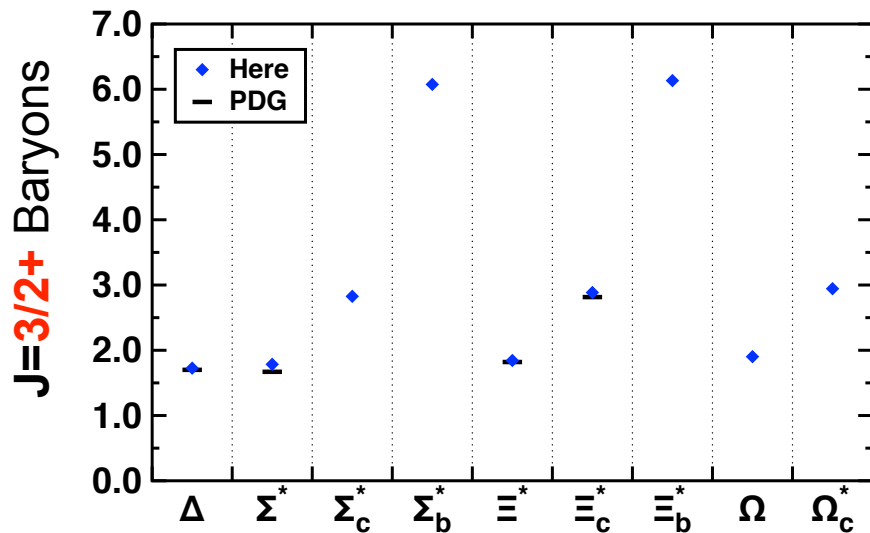


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# Ground states: Charm & Bottom flavor spectra



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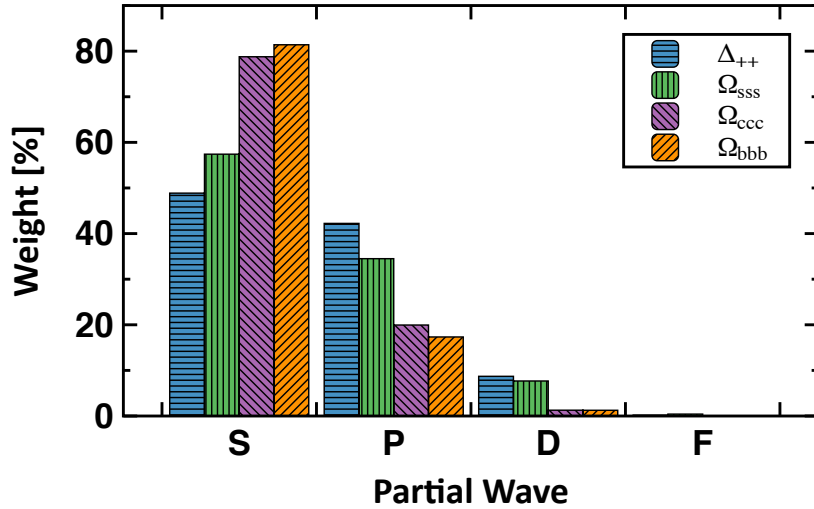


◆ The ground spectra is **NOT** sensitive to the structures beyond the leading terms in the vertex and the kernel.

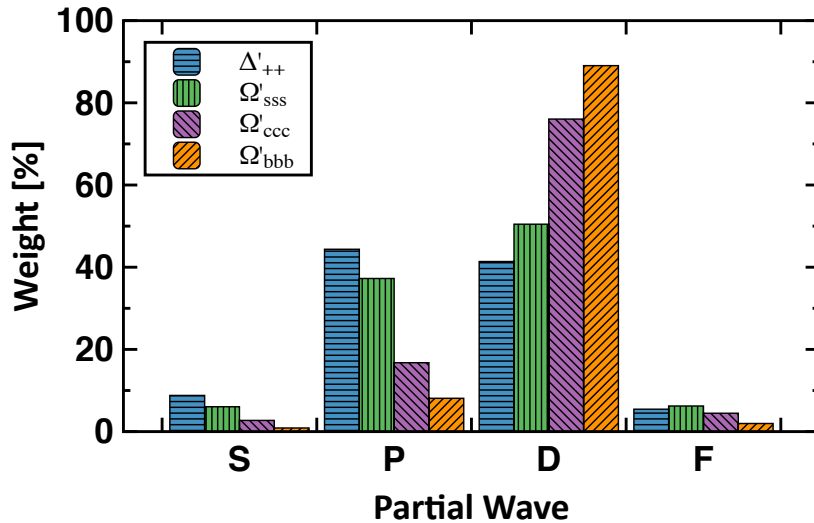
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# Excited states

# Excited states: Multiple partial waves



✓ **S-waves** dominate for ground states, but **P-waves** grow for light baryons.

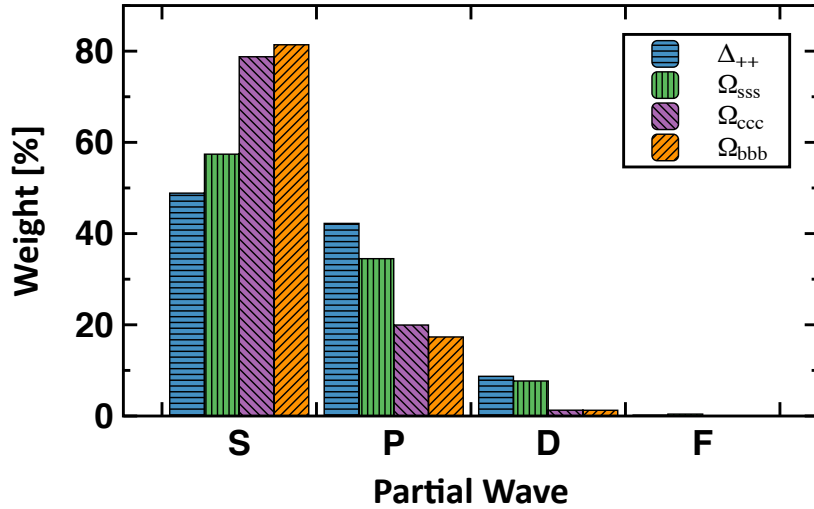


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See, e.g., PRD 97, 114017 (2018)



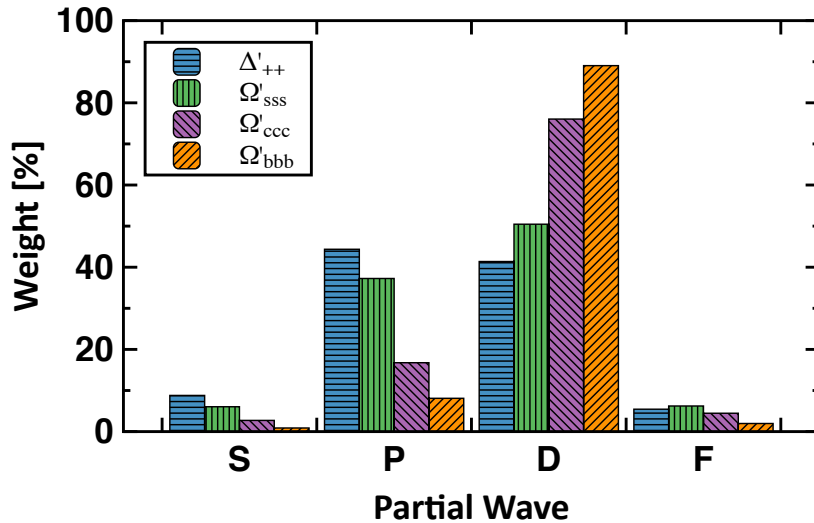
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Why NR potential models work

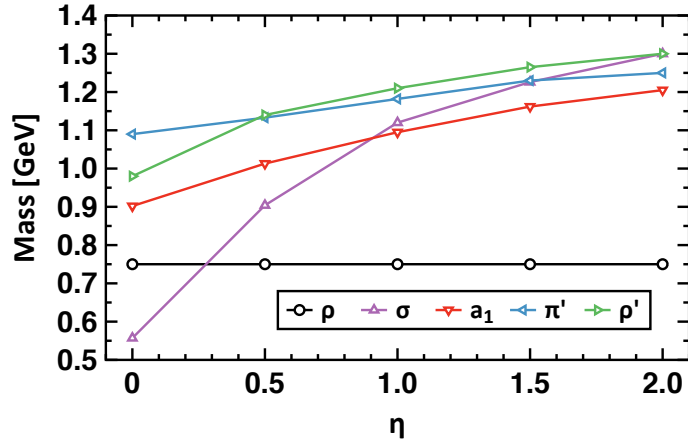


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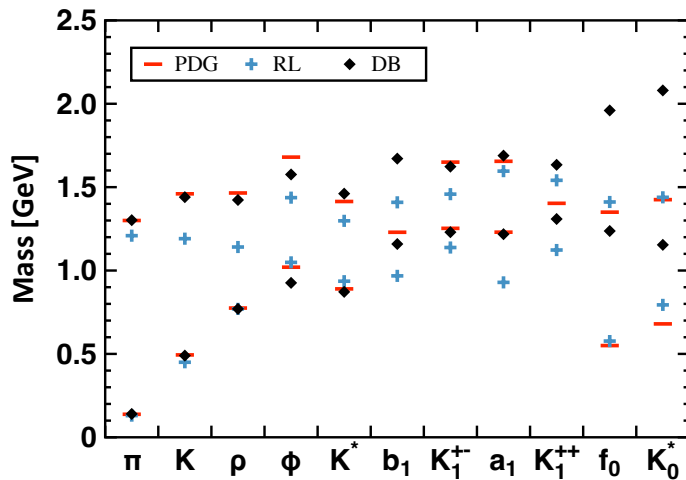
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# Excited states: Spin-orbit interaction

➔ Impact of the Pauli term (anomalous moment):



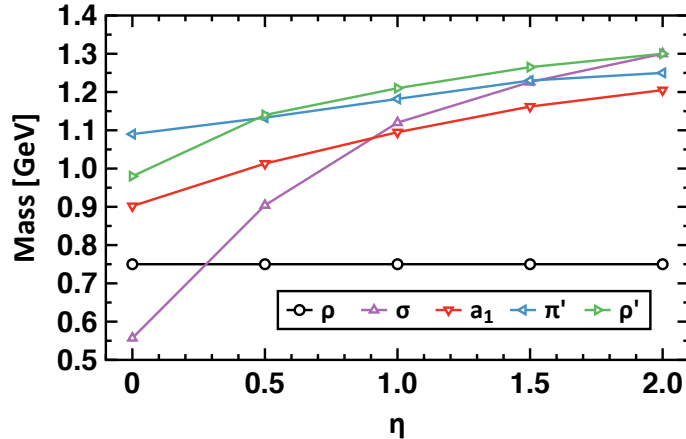
➔ Light & strange meson spectrum:



See, e.g., CPL 38, 071201 (2021) & EPJA 59, 39 (2023)

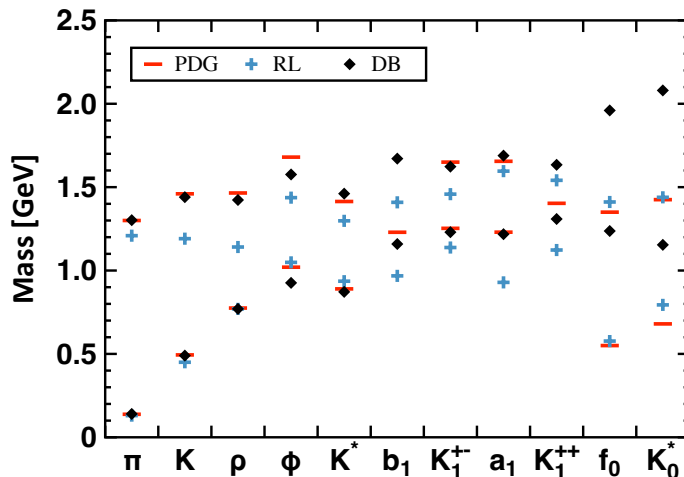
# Excited states: Spin-orbit interaction

➔ Impact of the Pauli term (anomalous moment):



◆ With increasing the AM strength, the  $a_1$ - $\rho$  mass-splitting rises very rapidly. From a quark model perspective, the DCSB-enhanced kernel increases spin-orbit repulsion.

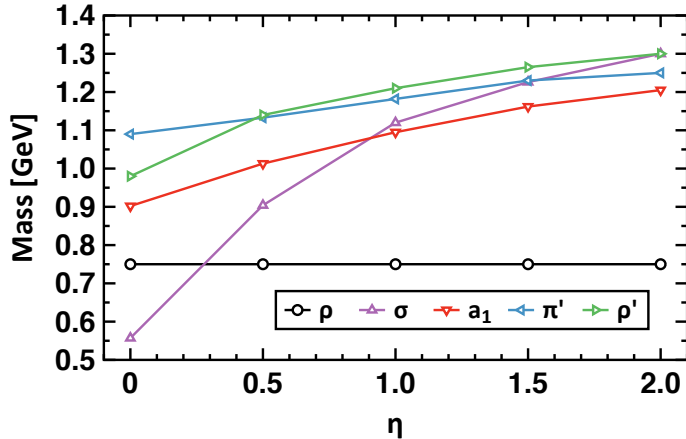
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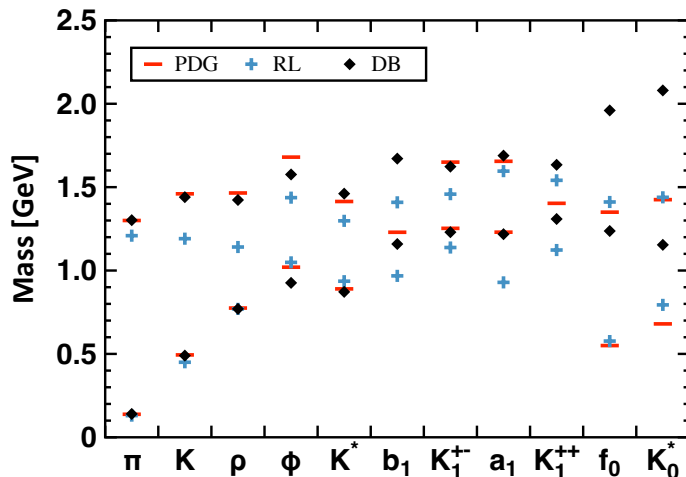
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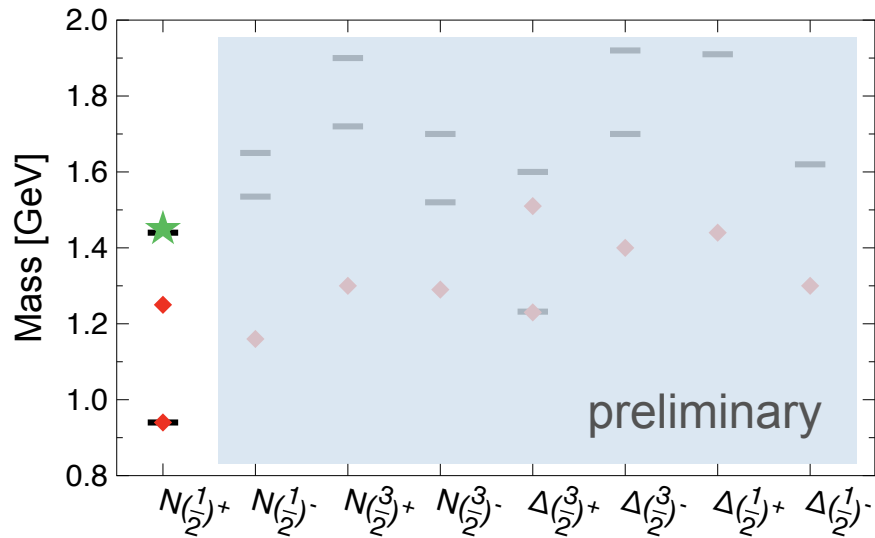
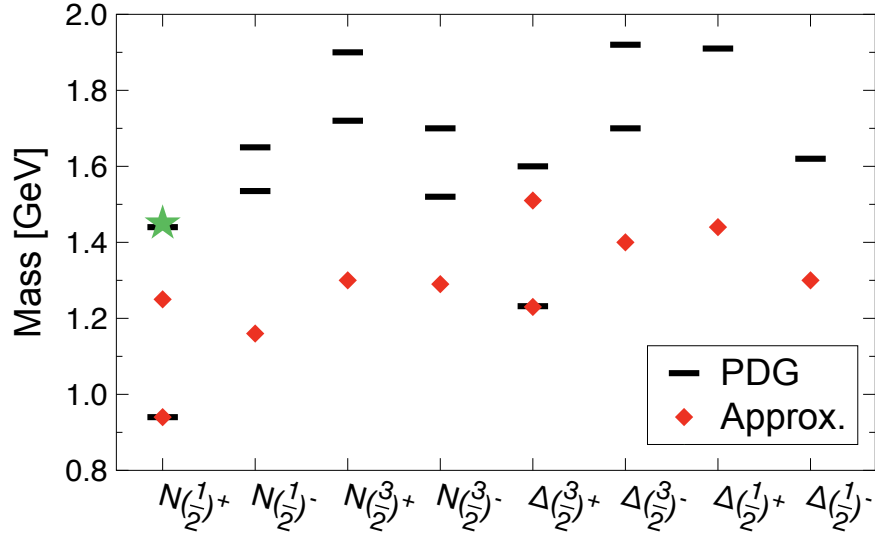
➔ Light & strange meson spectrum:



- ◆ The magnitude and ordering of all excitation states can be fixed with the DCSB-enhanced kernel.

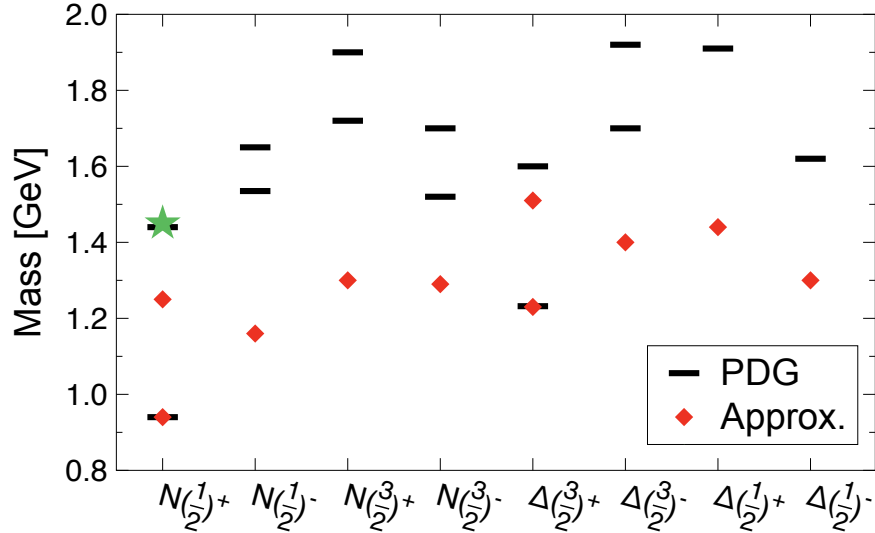
See, e.g., CPL 38, 071201 (2021) & EPJA 59, 39 (2023)

# Excited states: DCSB-rendered spectra

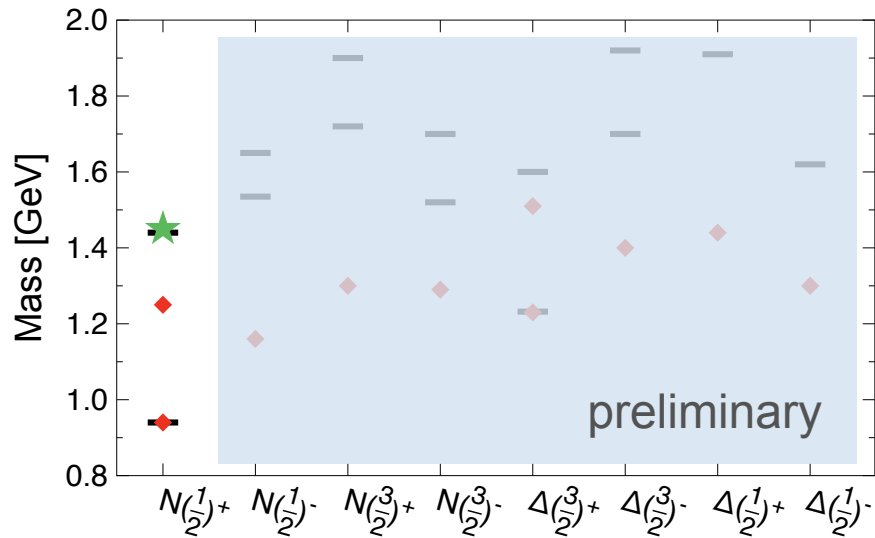


In progress

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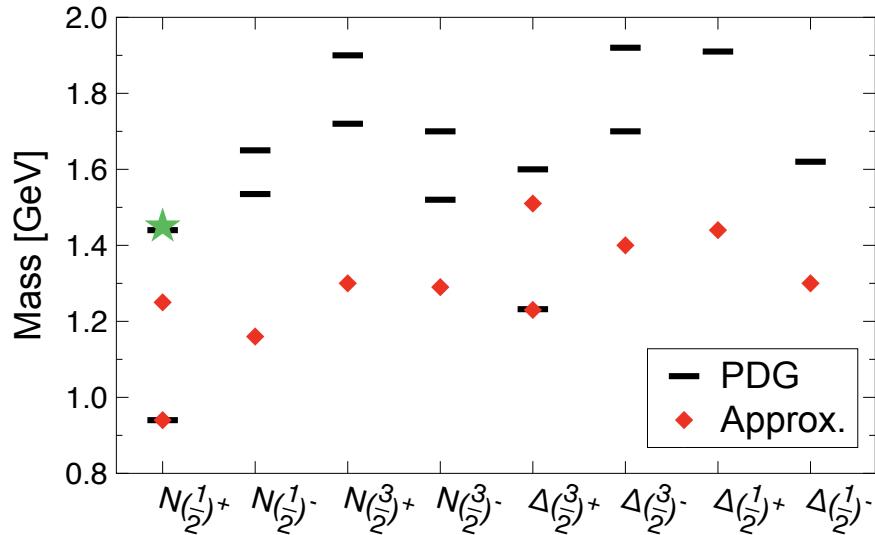


◆ The magnitude and ordering of radial or angular excitation states are **WRONG** in the approximation **lacking of DCSB** effect.

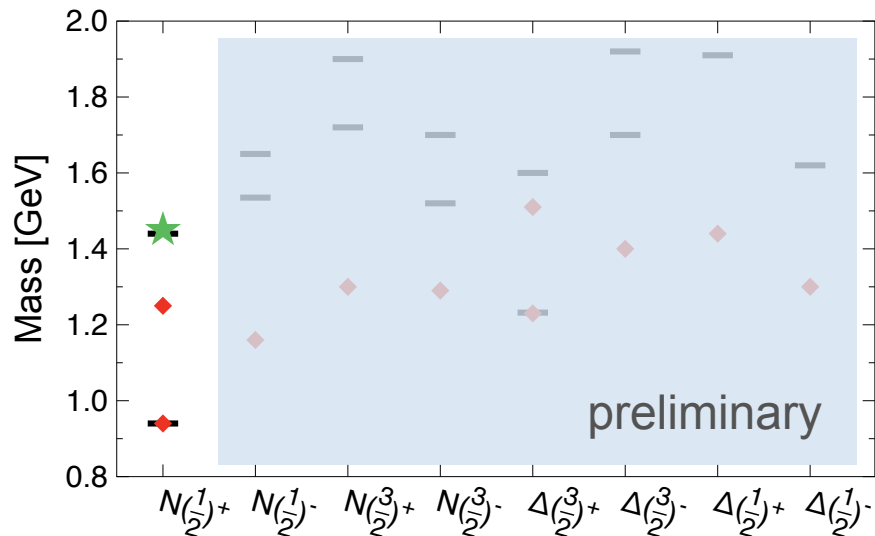


In progress

# Excited states: DCSB-rendered spectra



- ◆ The magnitude and ordering of radial or angular excitation states are **WRONG** in the approximation **lacking of DCSB** effect.



- ◆ The **DCSB**-enhanced kernel boost up 1st excitation nucleon, and can potentially fix the full spectra.

In progress

◆ The framework of **few-body bound-state** equations, which describes **hadrons** in continuum **QCD**, and its basics (e.g., quark, gluon, vertex, kernel) are introduced.

◆ Baryon properties are studied: **a) ground** states — full **mass spectrum** of  $J=0, 1/2, 1, 3/2$ ; **b) excited** states — partial waves, spin-orbit interaction, DCSB-rendered spectra.



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## Outlook

◆ Use the three-body Faddeev equation to a **wider** range of applications in baryon problems of **QCD**: **transition form factors**, **parton distribution functions**, and etc.

◆ Hopefully, iterating with future **high precision** experiments on **light** and **heavy** hadrons, from spectroscopy to structures, we may provide a **faithful path** to understand **QCD**.