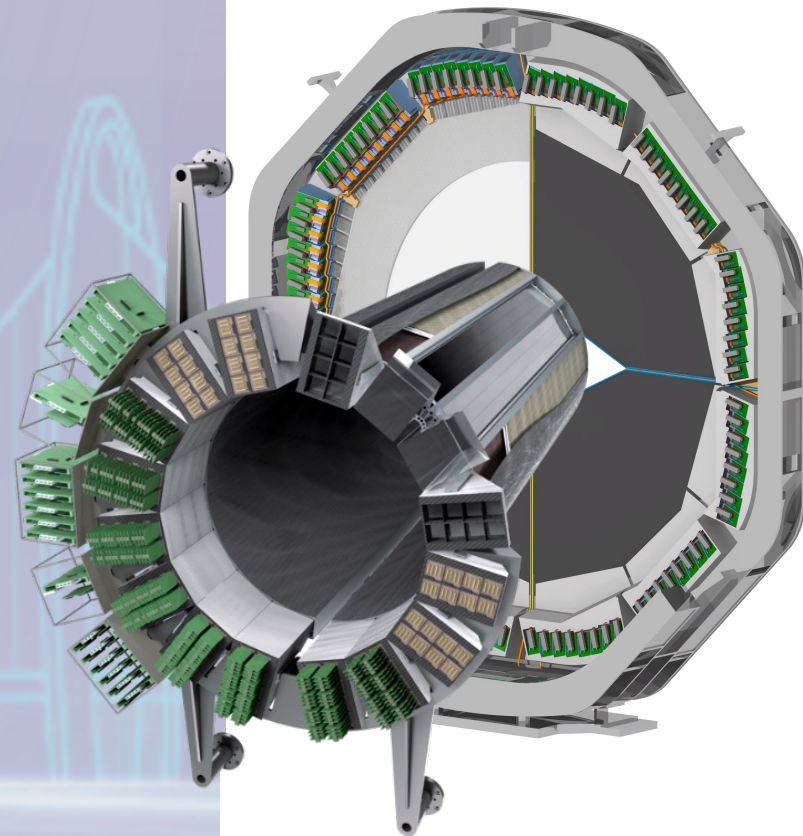


The DIRC Detectors

The 6th
International Workshop
on Future Tau Charm Facilities


FTCF, 2024, Guangzhou

November 17th to 21st, 2024



Georg Schepers (GSI)
for the PANDA Cherenkov Group

FAU
FRIEDRICH-ALEXANDER
UNIVERSITÄT
ERLANGEN-NÜRNBERG

JUSTUS-LIEBIG-
 UNIVERSITÄT
GIESSEN

GOETHE
UNIVERSITÄT
FRANKFURT AM MAIN

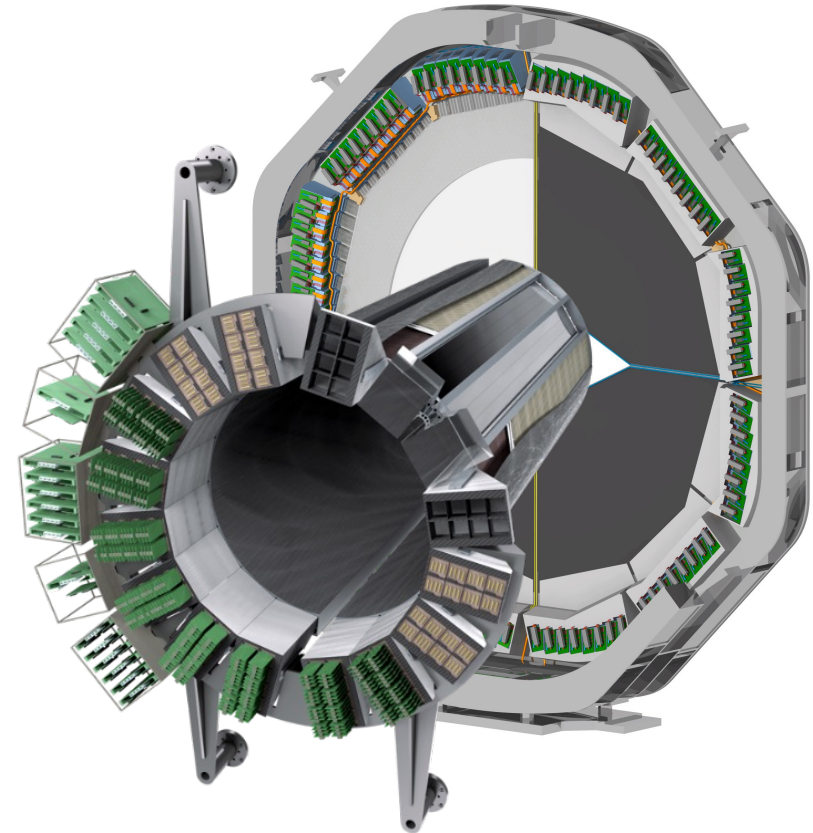
GSI

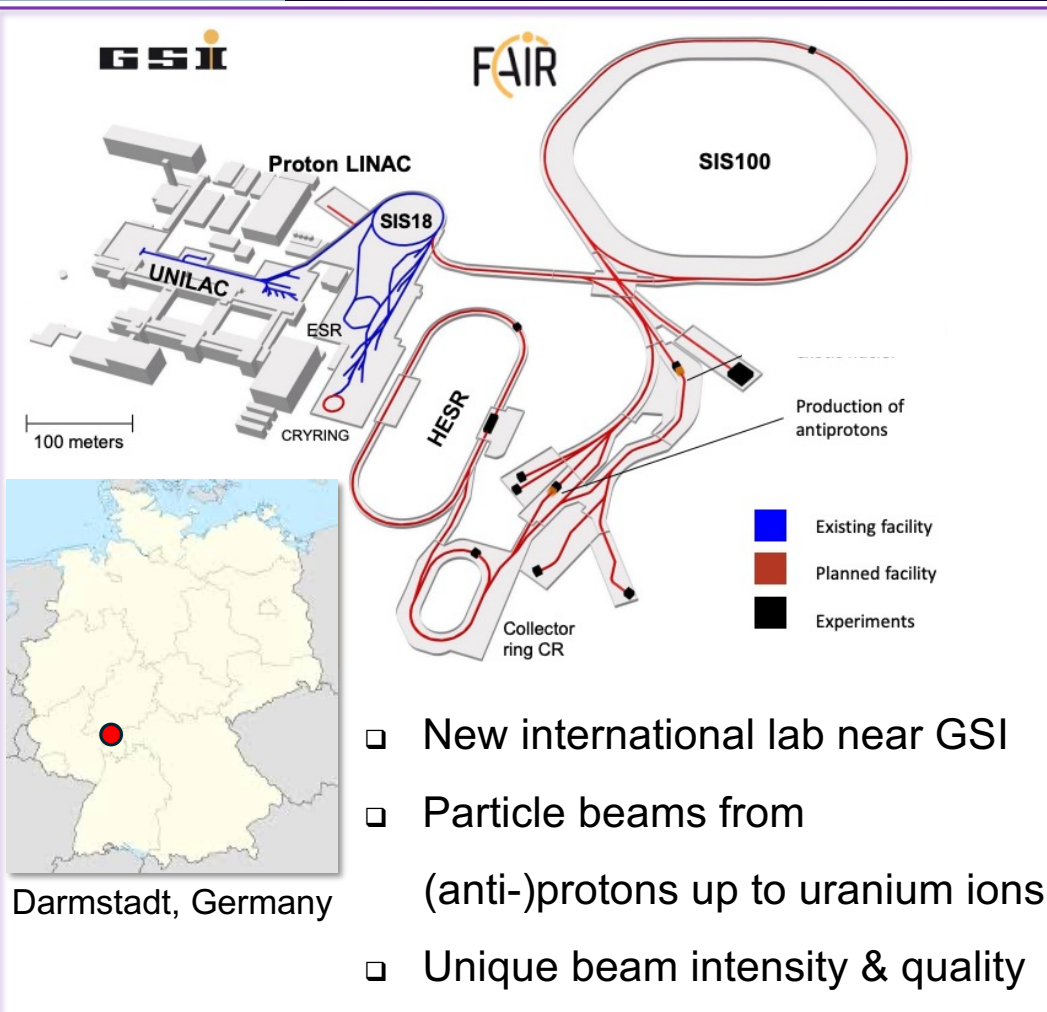
HIM HELMHOLTZ
Helmholtz-Institut Mainz

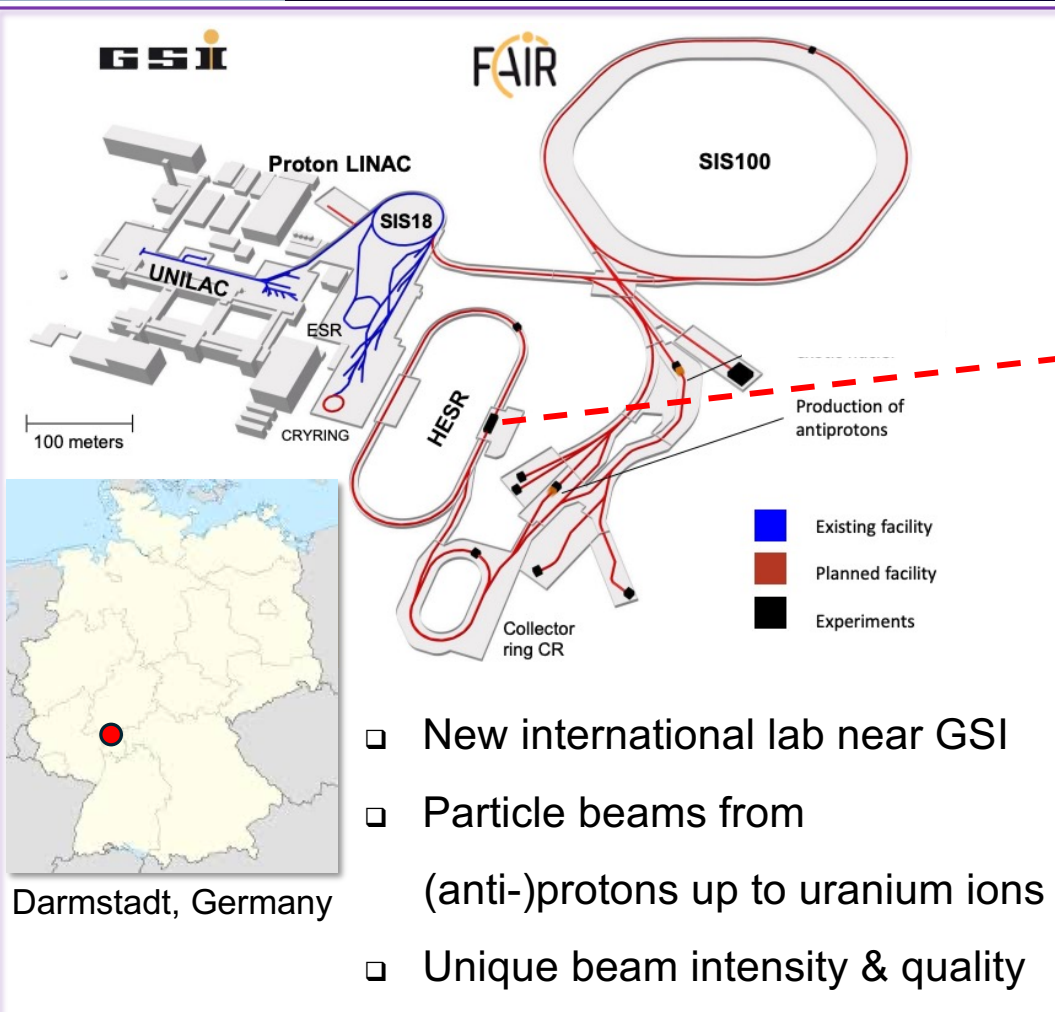

JOHANNES GUTENBERG
UNIVERSITÄT MAINZ

FAIR

- ❑ PANDA experiment at FAIR
- ❑ DIRC concept
- ❑ Barrel DIRC Design
- ❑ Endcap Disc DIRC Design
- ❑ Expected performance
- ❑ Validation in beam tests
- ❑ Component production and assembly

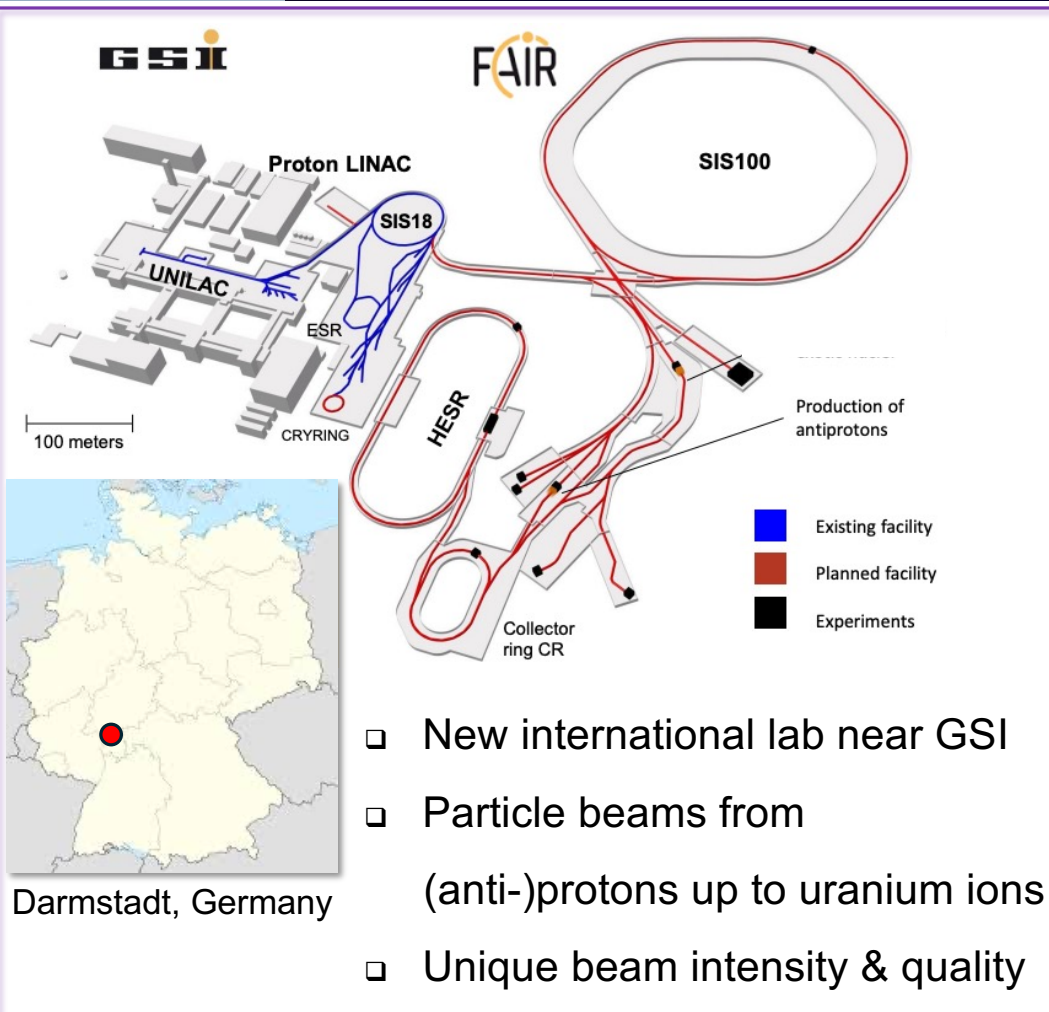




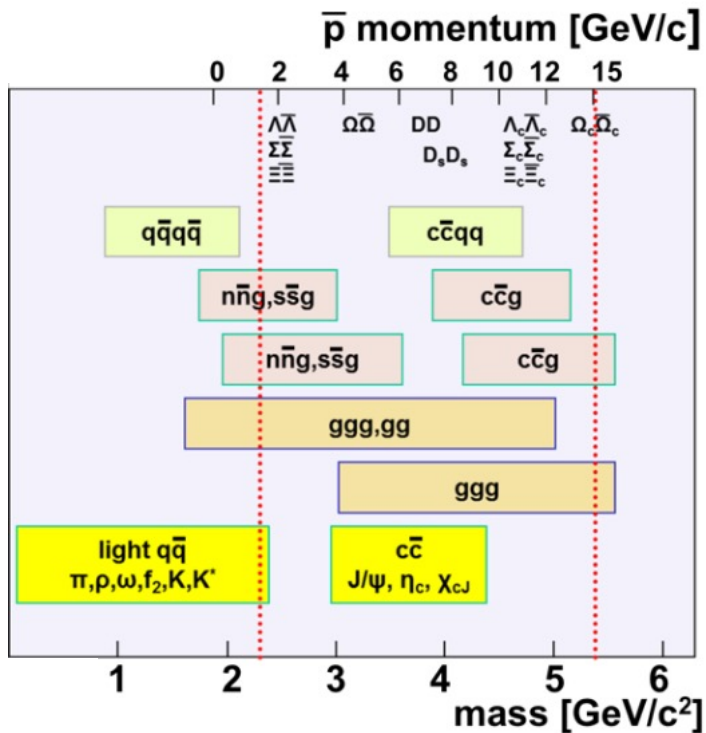


panda

- \bar{p} - Collection in CR, fast cooling
- Accumulation in HESR (High Energy Storage Ring)
- PANDA luminosity $\leq 2 \times 10^{31} \text{ cm}^{-2} \text{ s}^{-1}$
- \bar{p} momentum: 1.5 – 15 GeV/c
- Fixed target: cluster jet/pellet

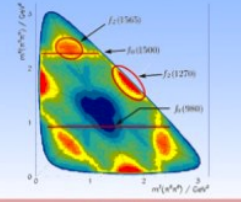


- Work in progress
- Construction of buildings and tunnels
- Introduction of magnets into the tunnel of the SIS100



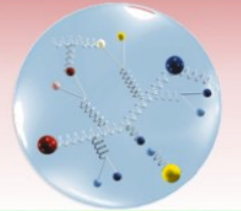
Hadron Spectroscopy

- Charmonium / Charmed hadrons
- Exotic QCD states
- Spectroscopy



Hadron Structure

- Time-like Nucleon Form Factors
- Generalized Parton Distributions
- Drell-Yan Process

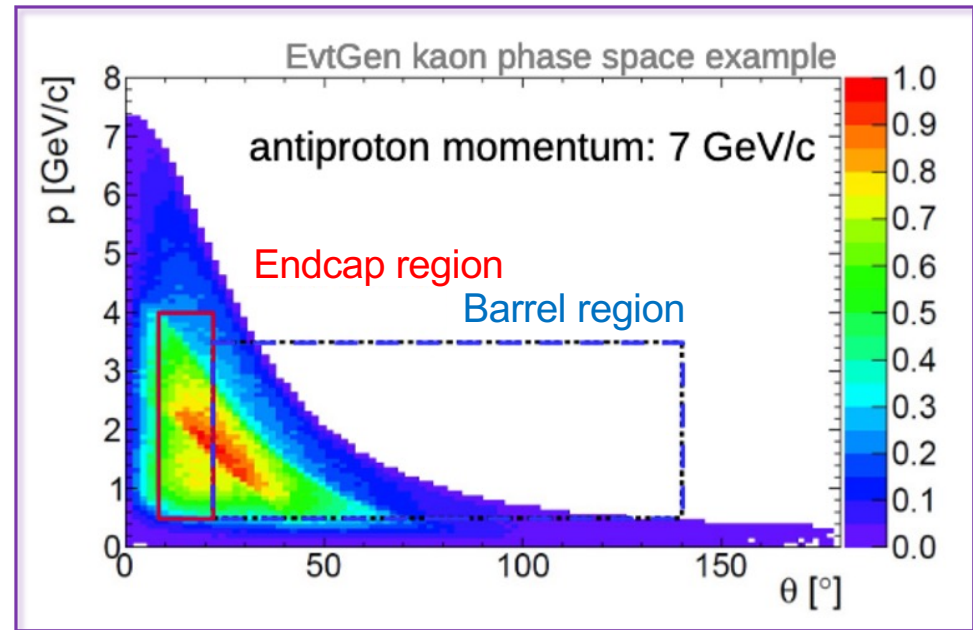
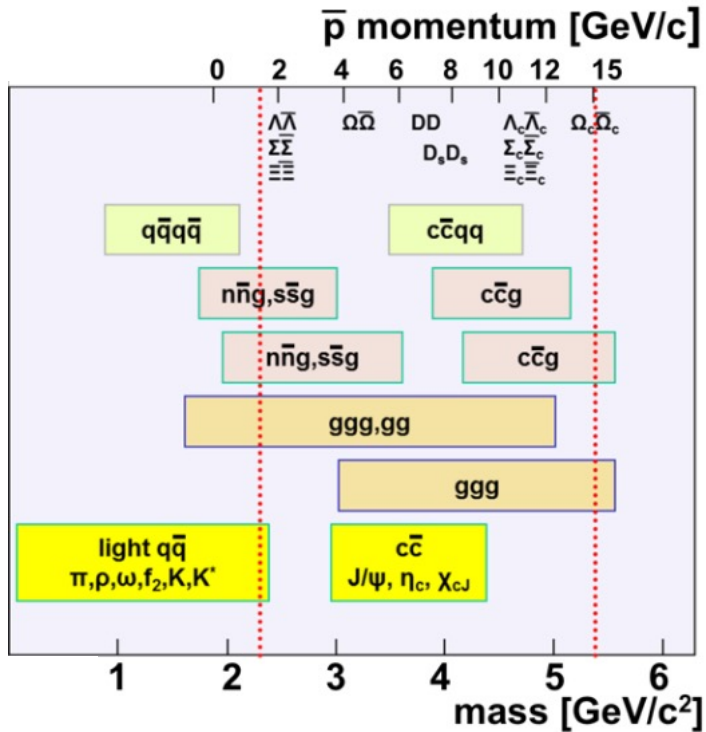


Nuclear Physics

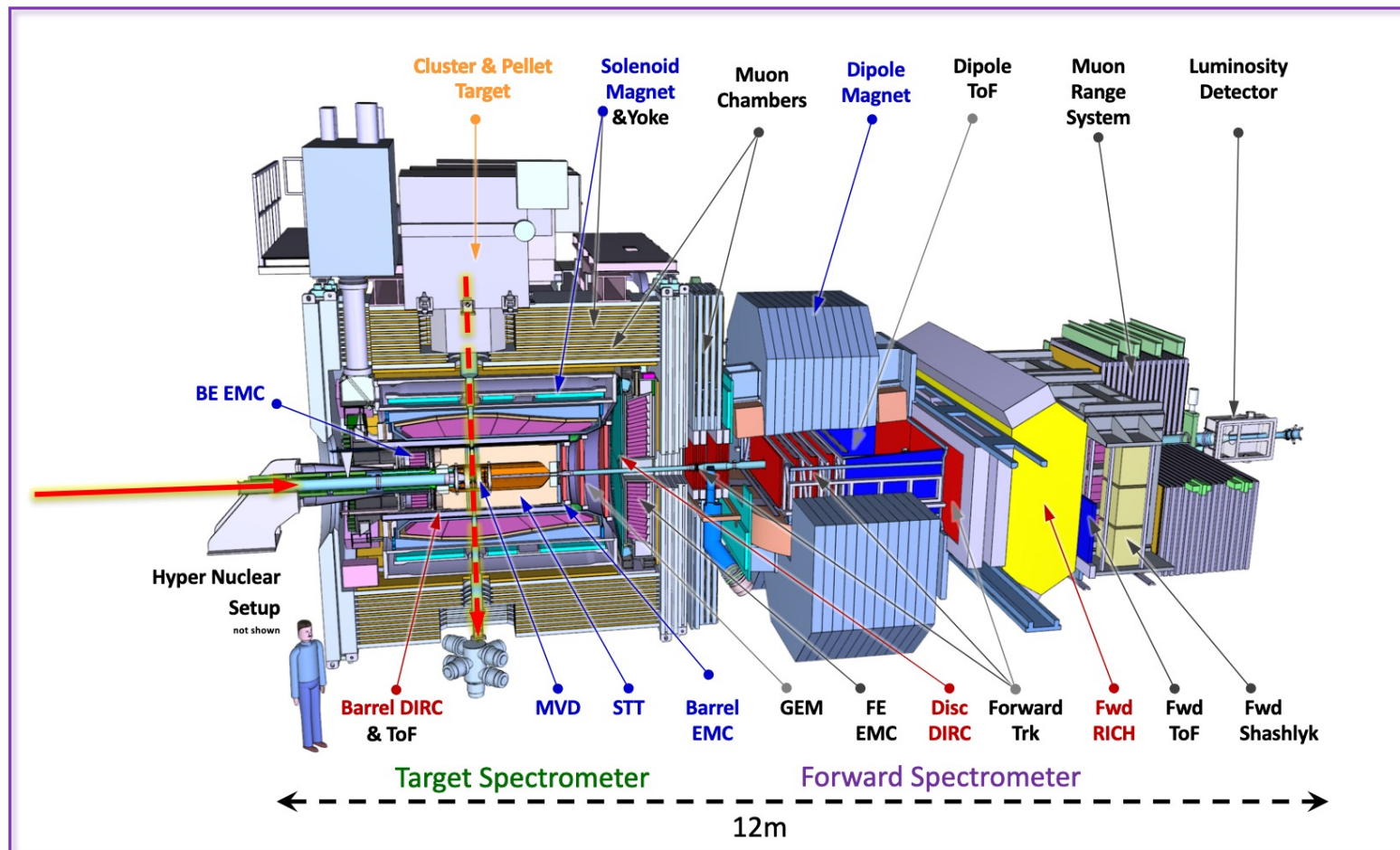
- Production of Λ -Hypernuclei
- Hadrons in Nuclear Medium



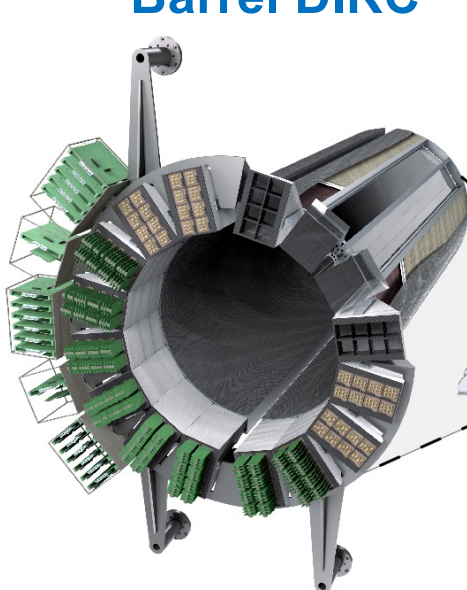
- ❑ Excellent particle identification required for PANDA physics program
- ❑ High interaction rate plus π/K separation for momenta up to 3-4 GeV/c
- ❑ Very compact detector design \rightarrow excellent case for DIRC counters



- Excellent particle identification required for PANDA physics program
- High interaction rate plus π/K separation for momenta up to 3-4 GeV/c
- Very compact detector design → excellent case for DIRC counters



Barrel DIRC



First DIRC with lens focusing

Innovative 3-layer spherical lens

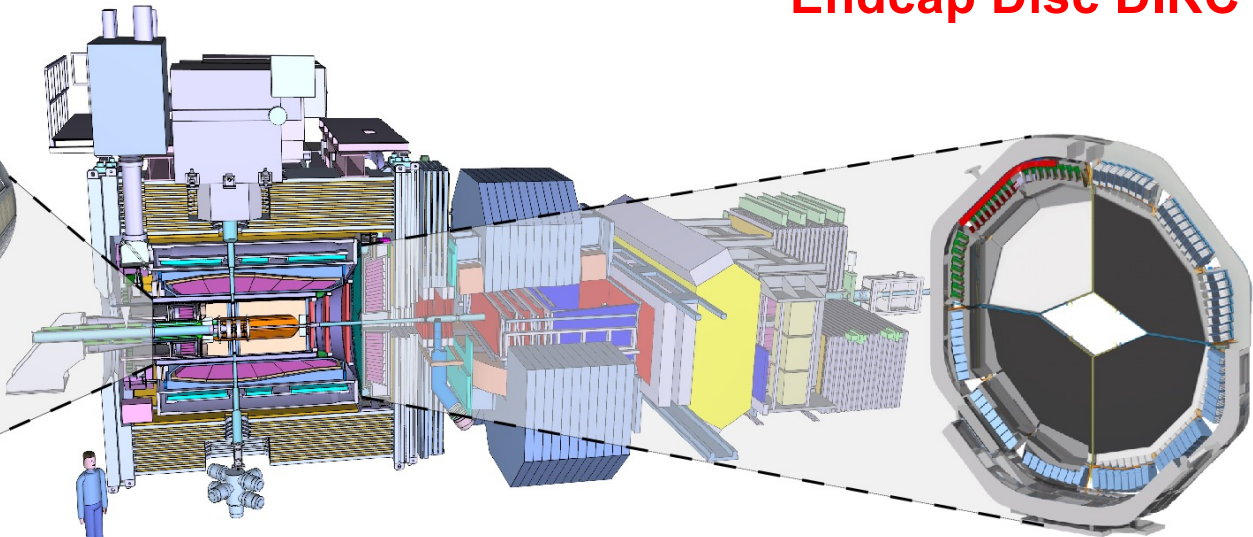
Goal:

3σ π/K separation up to 3.5 GeV/c
for polar angle range 22° - 140°

- High interaction rates, up to 20 MHz
- High magnetic field, up to 1 Tesla

Lifetime-enhanced MCP-PMTs

Endcap Disc DIRC



First DIRC in forward Endcap

Small pixels in one direction

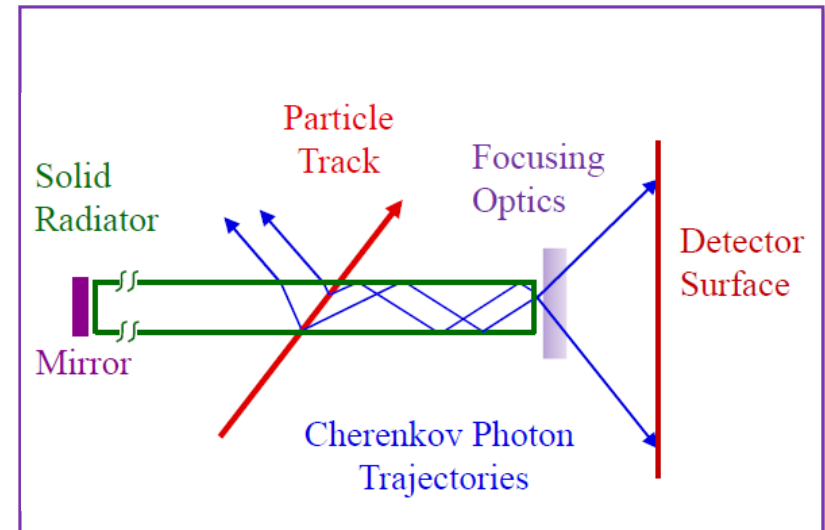
Goal:

3σ π/K separation up to 4 GeV/c
for polar angle range 5° - 22°

Detection of Internally Reflected Cherenkov light

B.N. Ratcliff, SLAC-PUB-6047 (Jan. 1993)

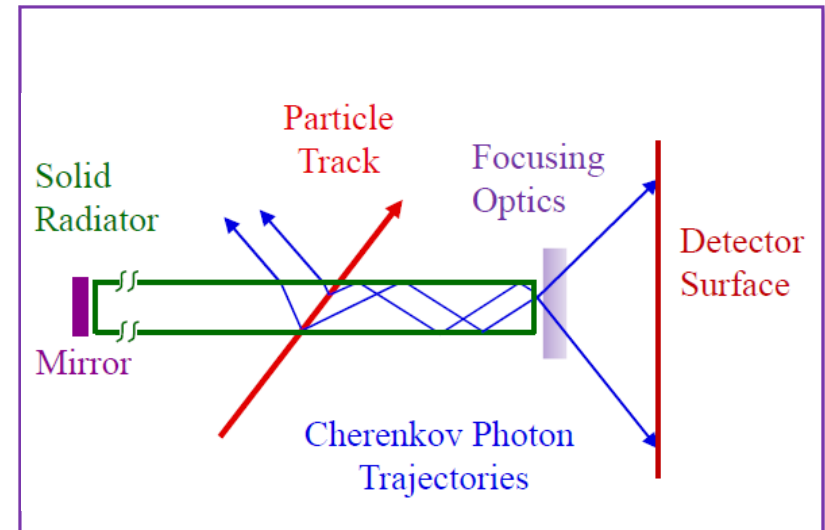
- **Charged particle** traversing radiator with refractive index n with $\beta = v/c > 1/n$ emits Cherenkov photons on cone with half opening angle $\cos \theta_c = 1/\beta n(\lambda)$.
- For $n > \sqrt{2}$ some photons are always **totally internally reflected** for $\beta \approx 1$ tracks.
- **Radiator and light guide**: bar, plate, or disk typically made from **Synthetic Fused Silica** ("Quartz")
- Magnitude of **Cherenkov angle conserved** during internal reflections (provided optical surfaces are square, parallel, highly polished)



Detection of Internally Reflected Cherenkov light

B.N. Ratcliff, SLAC-PUB-6047 (Jan. 1993)

- ❑ **Mirror** attached to one bar end, reflects photon back to readout end.
- ❑ Photons exit radiator via optional focusing optics into **expansion region**, detected on **photon detector array**.
- ❑ DIRC is intrinsically a **3-D device**, measuring: **x, y, and time** of Cherenkov photons, defining θ_c , φ_c , $t_{\text{propagation}}$.
- ❑ **Ultimate deliverable for DIRC: PID likelihoods.**

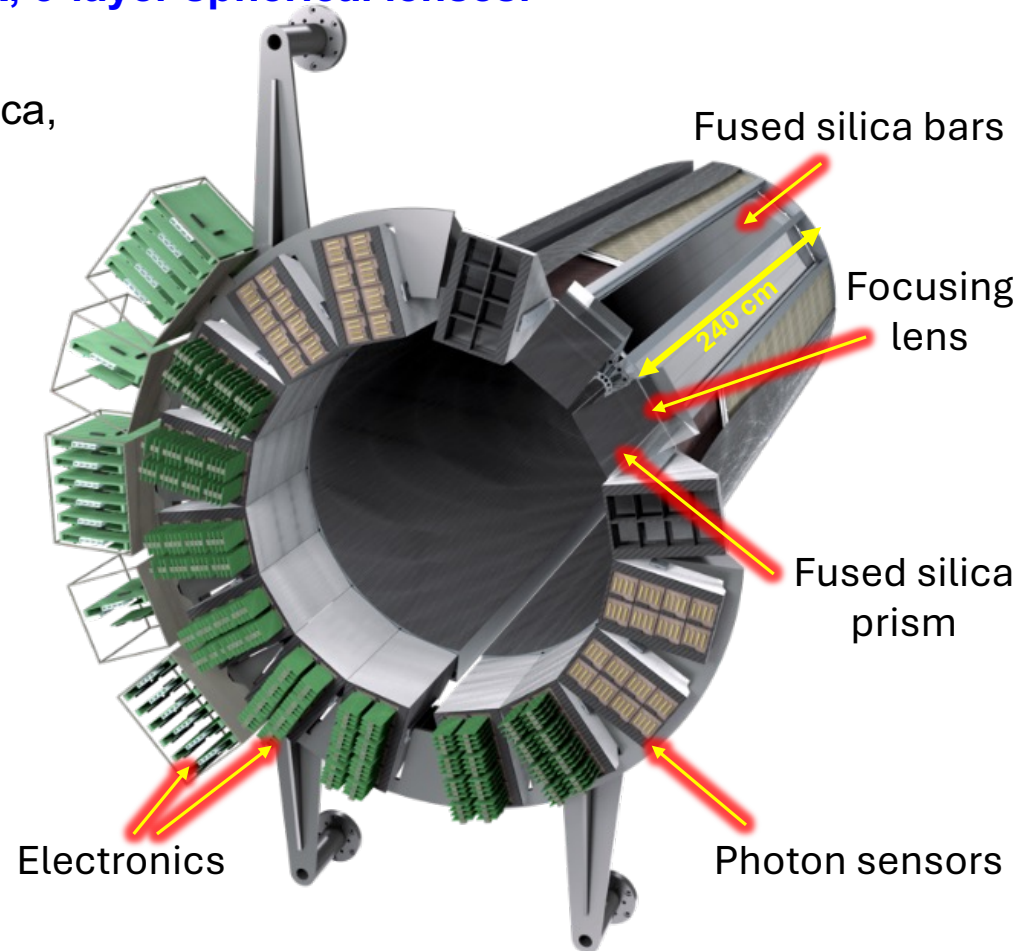


Compact fused silica prisms, 3 bars per bar box, 3-layer spherical lenses.

- ❑ 48 radiator bars (16 sectors), synthetic fused silica, 17mm (T), 53mm (W), 2400mm (L).
- ❑ **Focusing optics: 3-layer spherical lens**
- ❑ Compact expansion volume:
 - 30cm-deep solid fused silica prisms
 - ~8,000 channels of lifetime-enhanced MCP-PMTs
- ❑ Fast FPGA-based readout.
 - ~100ps per photon timing resolution (DiRICH)

TDR published:

J. Phys. G: Nucl. Part. Phys. 46 045001, arXiv:1710.00684



Barrel DIRC counters require focusing
for wide range of photon angles

Conventional plano-convex lens with air gap:

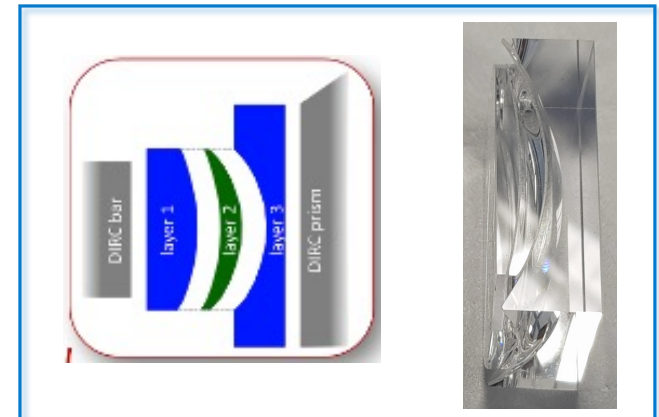
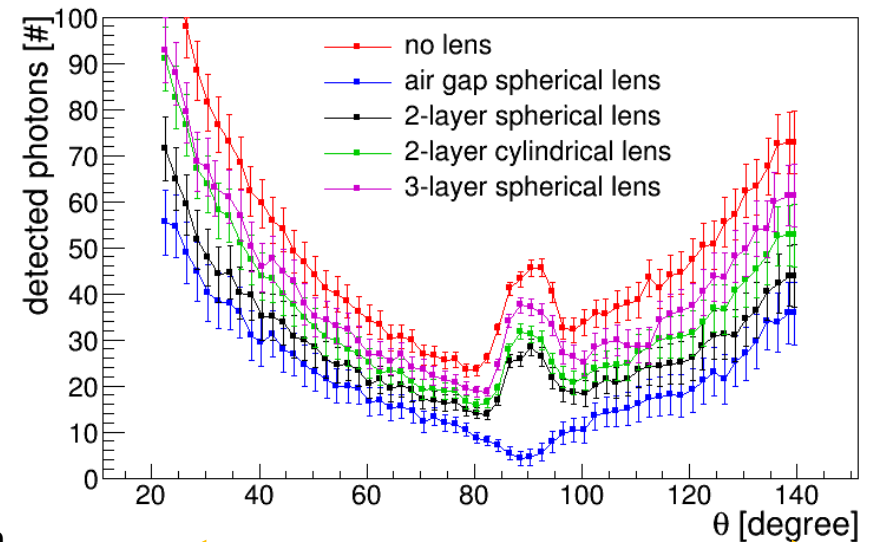
limits DIRC performance

- ❑ Significant photon yield loss for particle polar angles around 90° , gap in DIRC PID
- ❑ Distortion of image plane, PID performance deterioration

Innovative solution: 3-layer compound lens (without air gap)

high-refractive index material (focusing/defocusing)

- ❑ Avoids photon loss and barrel PID gap
- ❑ Creates flat focal plane – matched to fused silica prism shape



Barrel DIRC counters require focusing
for wide range of photon angles

Conventional plano-convex lens with air gap:

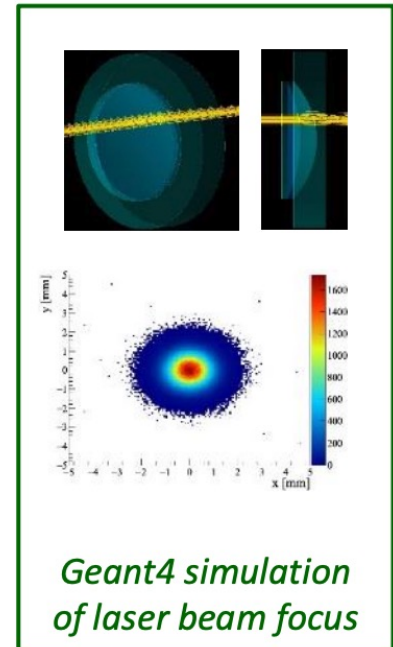
limits DIRC performance

- ❑ Significant photon yield loss for particle polar angles around 90° , gap in DIRC PID
- ❑ Distortion of image plane, PID performance deterioration

Innovative solution: 3-layer compound lens (without air gap)

high-refractive index material (focusing/defocusing)

- ❑ Avoids photon loss and barrel PID gap
- ❑ Creates flat focal plane – matched to fused silica prism shape



G. Kalicy, RICH2022

Barrel DIRC counters require focusing
for wide range of photon angles

Conventional plano-convex lens with air gap:

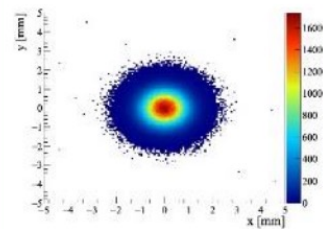
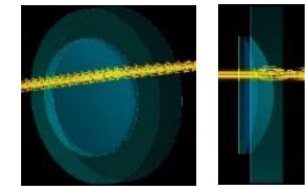
limits DIRC performance

- ❑ Significant photon yield loss for particle polar angles around 90° , gap in DIRC PID
- ❑ Distortion of image plane, PID performance deterioration

Innovative solution: 3-layer compound lens (without air gap)

R&D activities for PANDA and EIC/ePIC (eRD program):

- ❑ Identified radiation-hard material for middle layer:
Lanthanum crown glass (LaK33B) for PANDA, sapphire (Al_2O_3) for ePIC tests
- ❑ Validated focusing properties/flat focal plane with laser scan system

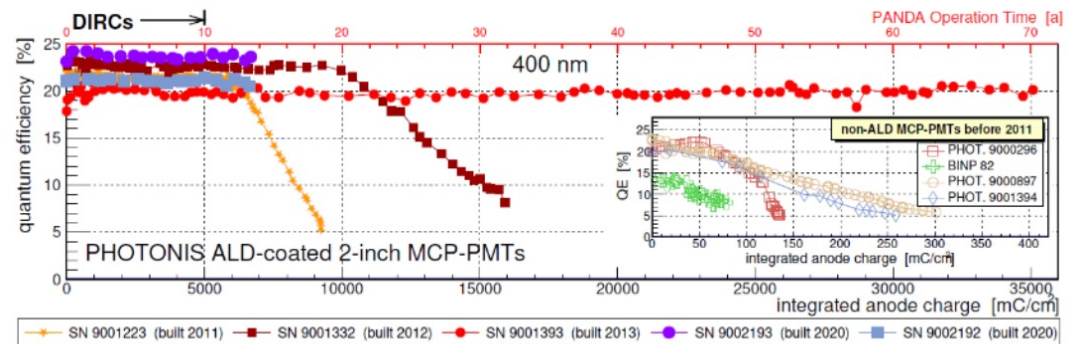


*Geant4 simulation
of laser beam focus*

G. Kalicy, RICH2022

Photon Sensors have to work

- ❑ In 1 Tesla magnetic field
- ❑ Under high rates
~5 C/cm² integrated anode charge
- ❑ With single photon detection
Excellent rms-timing
- ❑ With high photon yield
up to 100 photos per particle



A. Lehmann et al., GSI scientific report 2022

DOI:10.15120/GSI-2023-00462

PANDA solution : Life-time enhanced MCP-PMTs

Small pixels and fast single photon timing

- ❑ Low sensitivity to **backgrounds**
- ❑ High Cherenkov angle **resolution** per photon
- ❑ Allows chromatic **dispersion** mitigation
- ❑ Asymmetric pitch for the Endcap Disc DIRC

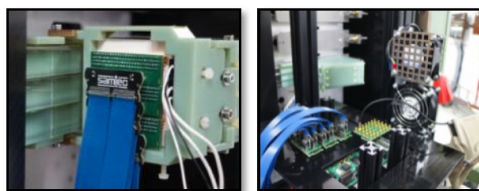
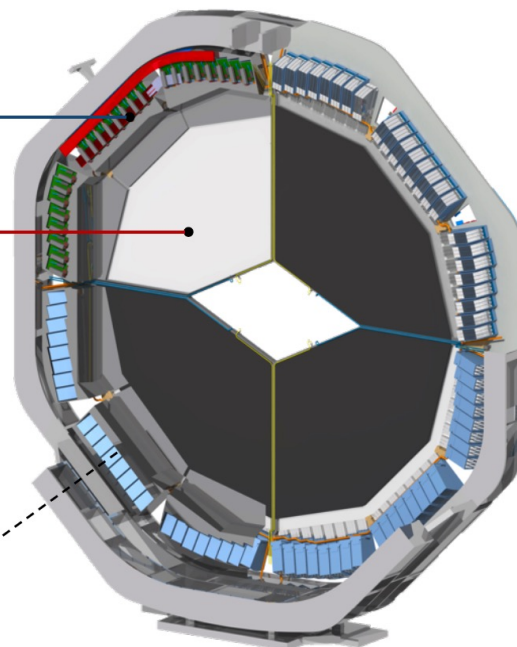
Optics made of synthetic fused silica

4 independent quadrants

Focusing elements convert
angle to position information

2-inch MCP-PMT with a pitch of 0.5 mm

ASIC-based readout



Quadrant plate dimension:

20mm thickness

1056mm outer radius

Sensors:

96 MCP-PMTs

lifetime-enhanced, ~3x100 pixels

Optional:

Optical band pass filter
for chromatic dispersion mitigation

TOFPET ASIC readout

~29k channels

Design validated with particle
beams since 2016.

TDR published

TDR: F Davì et al 2022 J. Phys. G: Nucl. Part. Phys. 49 120501, DOI 10.1088/1361-6471/abb6c1



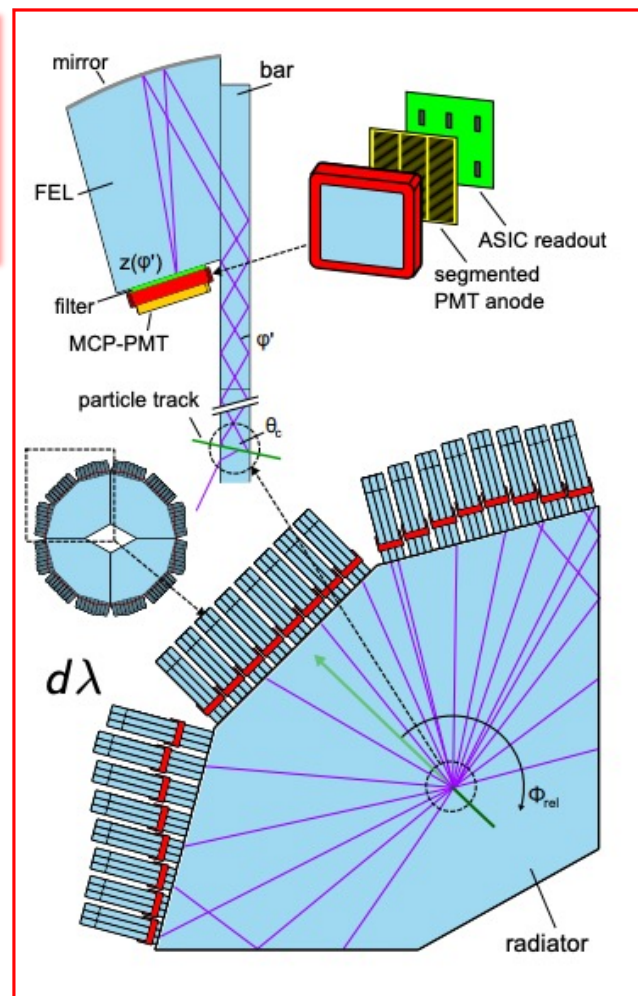
**Full-size radiator plate
from Nikon Corp, Japan
1nm surface roughness**

Focusing elements

- ❑ Conversion of angle to position information

Optical band pass filter

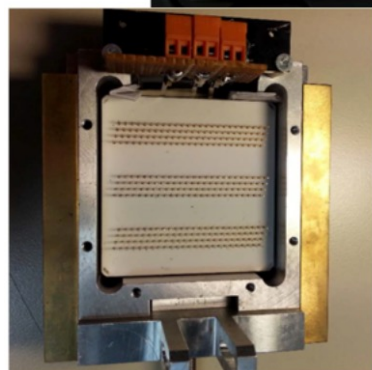
- ❑ Mitigation of chromatic dispersion



PHOTONIS (3x100)



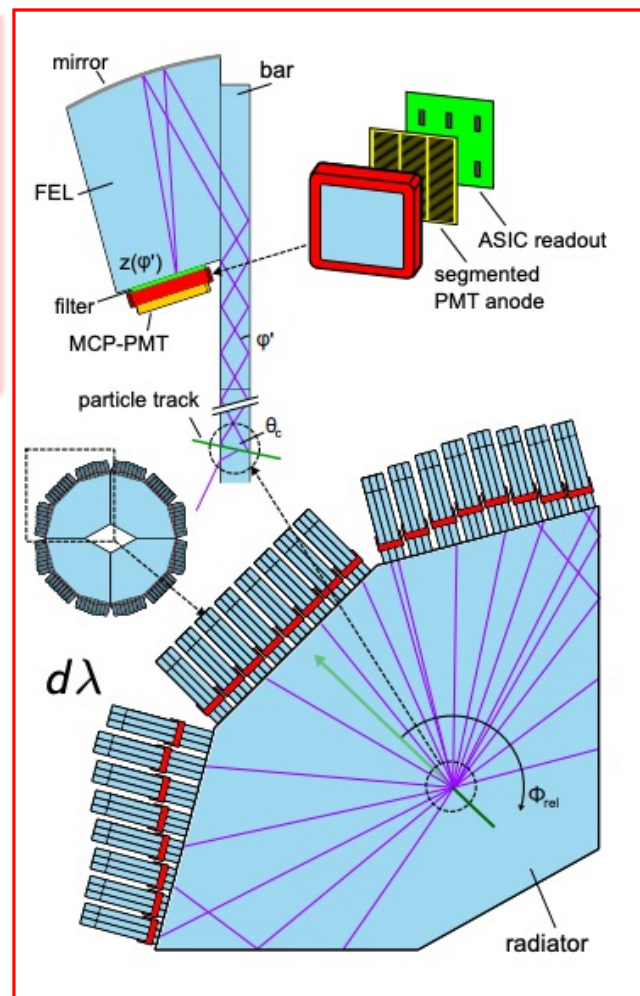
anode strips



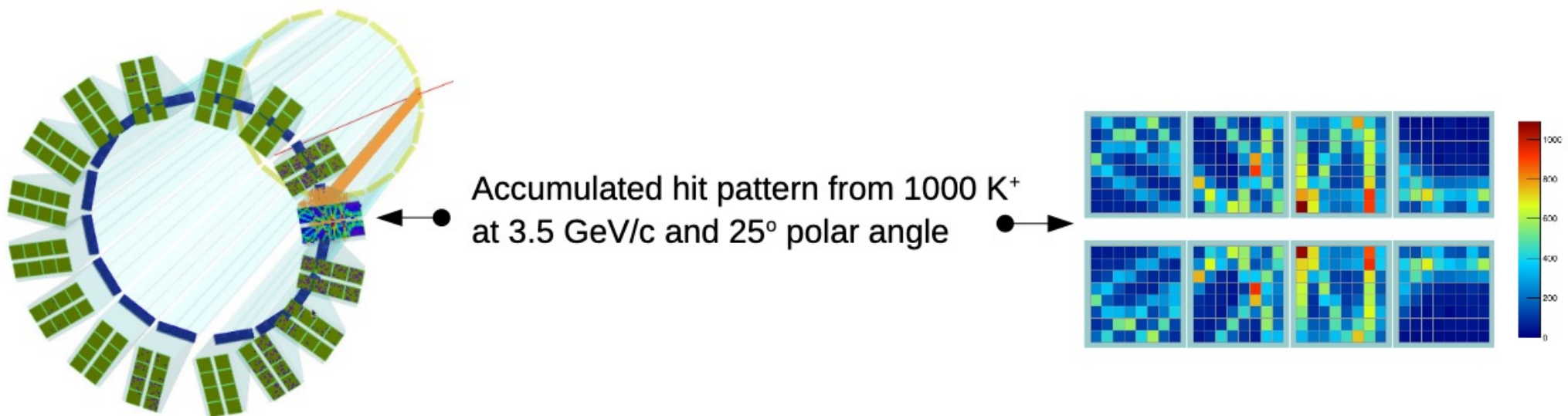
anode pin array

Due to the reconstruction the EDD needs sensor with

- ❑ very small pixels (0.5mm pitch) in one direction
- ❑ coarse pixels (1-2cm pitch) in the other

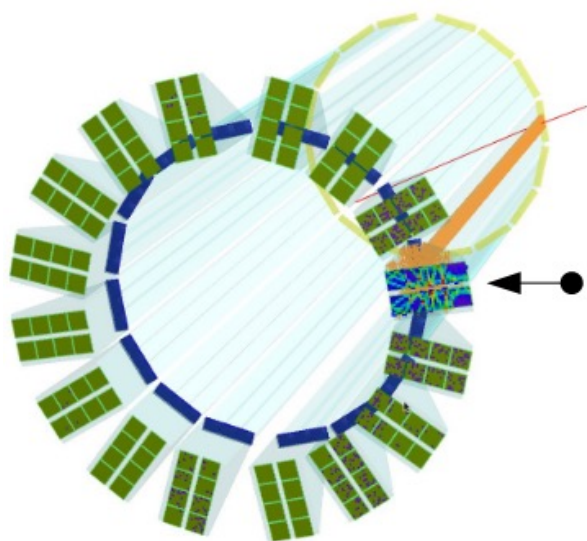
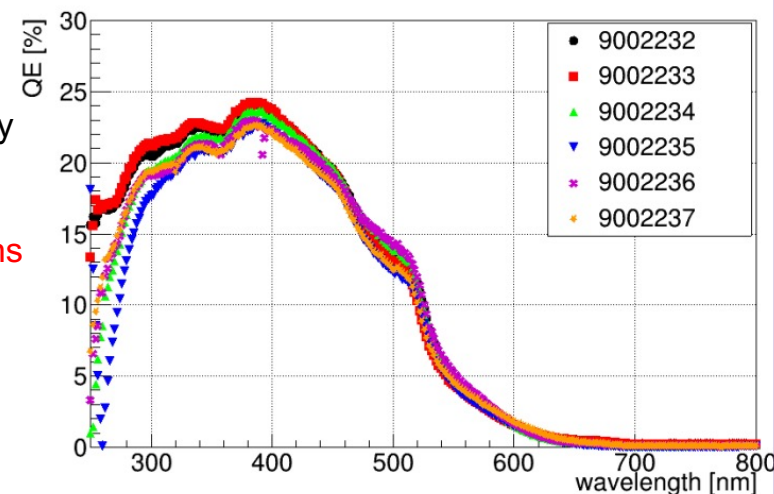


- ❑ Realistic materials properties
- ❑ Photon transport efficiency
- ❑ Single photon time resolution
- ❑ Quantum and collection efficiency
- ❑ Dark counts

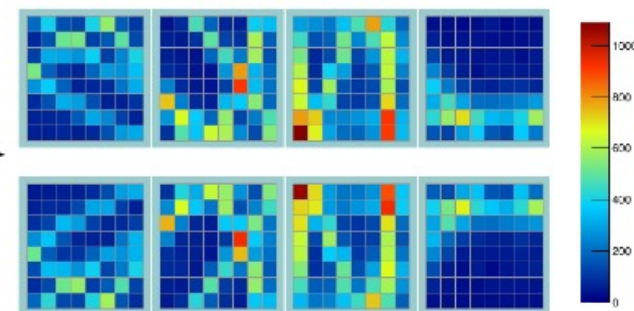


- Realistic materials properties
- Photon transport efficiency
- Single photon time resolution
- Quantum and collection efficiency
- Dark counts

Quantum efficiency
of the
Photonis Planacons
from PANDA
series production

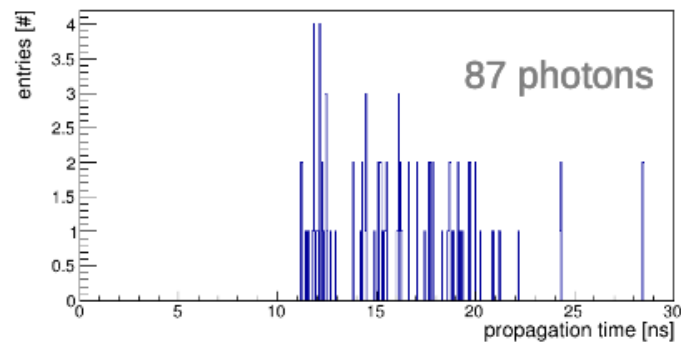
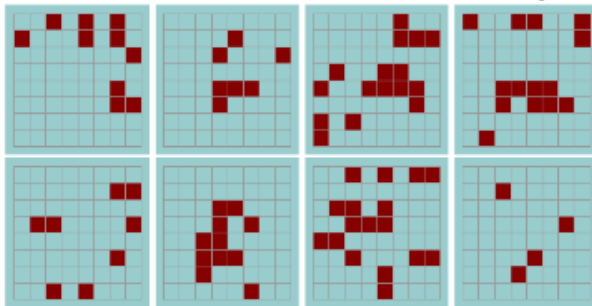


Accumulated hit pattern from 1000 K⁺
at 3.5 GeV/c and 25° polar angle

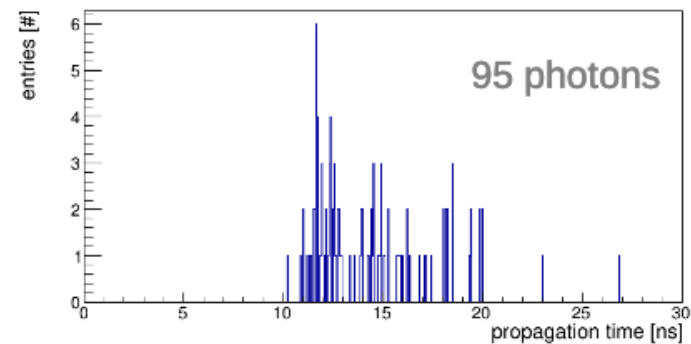
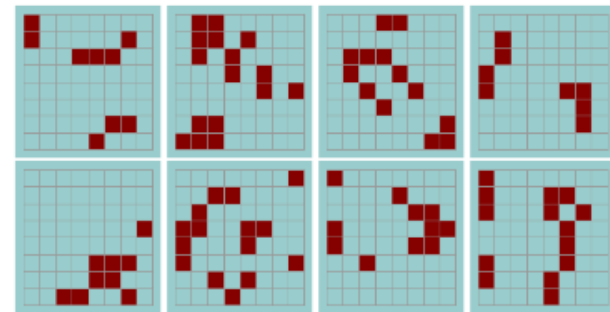


- Number of detected photons
- Photon hit position (6x6 mm² pixels)
- Photon propagation time (~100 ps precision)

Examples for $p = 3.5$ GeV and $\theta = 22^\circ$: one pion



one kaon



simulation

Detailed Geant4 simulation

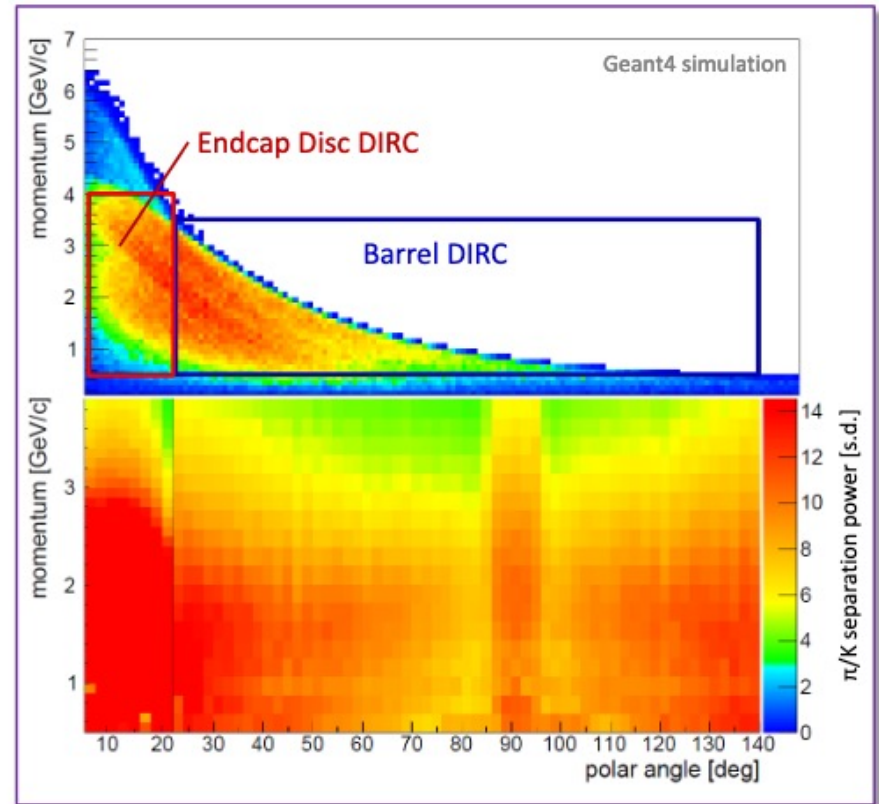
- ❑ Wavelength-dependent properties of all optical materials, matched to experimental data
- ❑ Realistic photo detection efficiency and timing precision for MCP-PMTs and readout electronics

Barrel DIRC:

- ❑ 15-80 detected Cherenkov photons per particle
- ❑ Cherenkov angle resolution per photon: 7-10 mrad

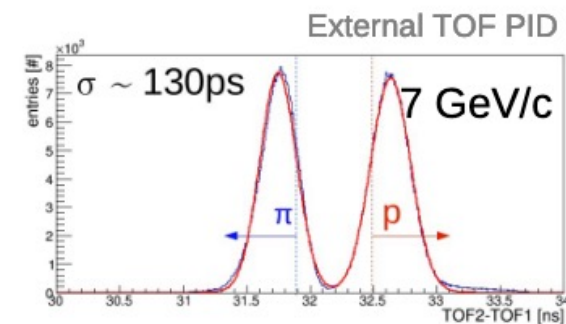
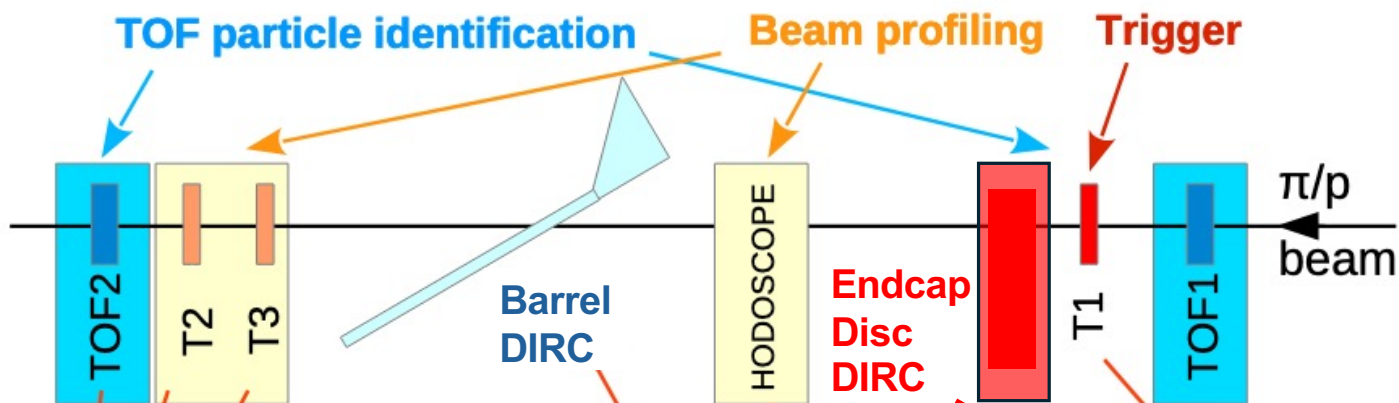
Endcap Disc DIRC:

- ❑ 20-30 detected Cherenkov photons per particle
- ❑ Cherenkov angle resolution per photon: 5-7 mrad

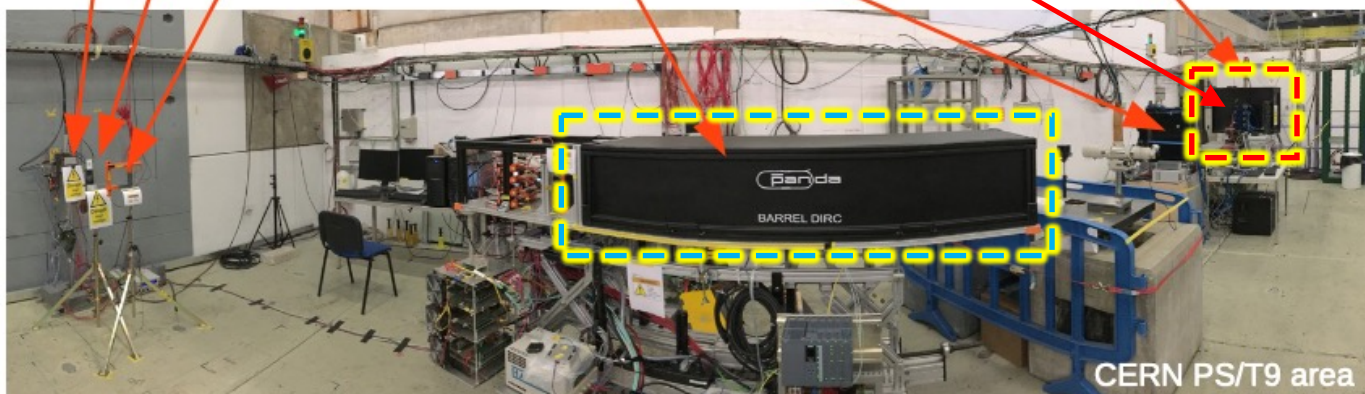
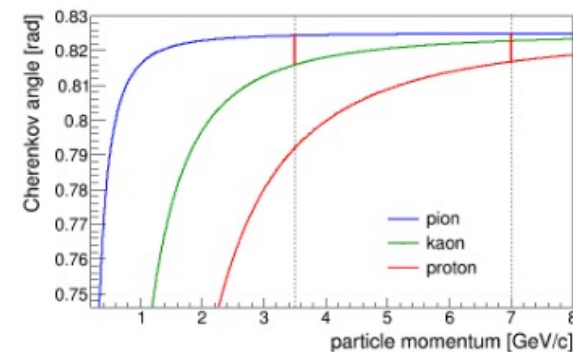


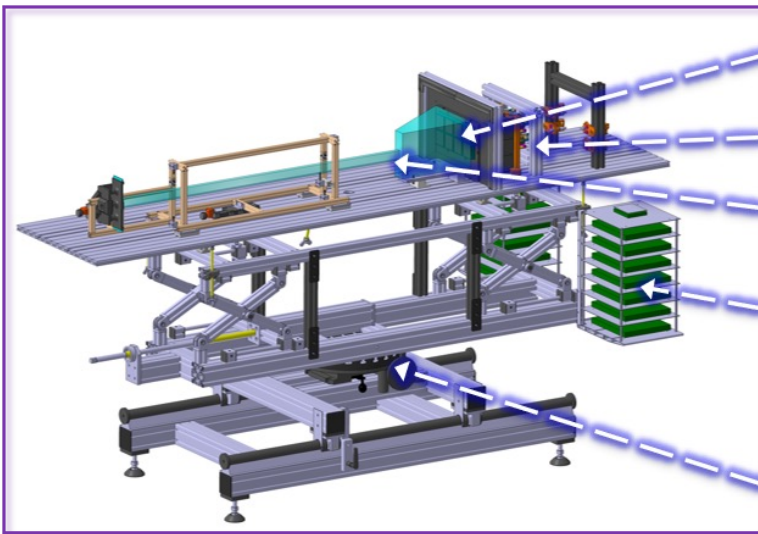
Expected PID performance:

at least 3 s.d. π/K separation power for full acceptance



Most of the data taken at 7 GeV/c
(7 GeV/c π/p sep. \approx 3.5 GeV/c π/K)





MCP-PMT array

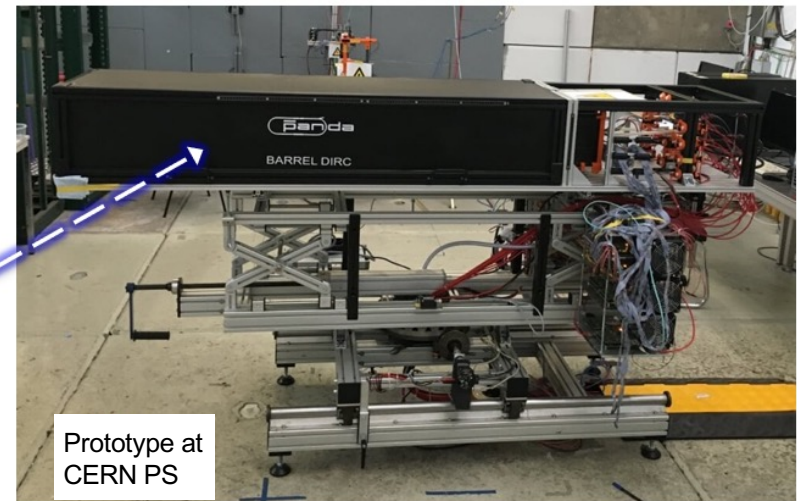
Frontend electronics

Optics: bar, lens, prism

DAQ boards

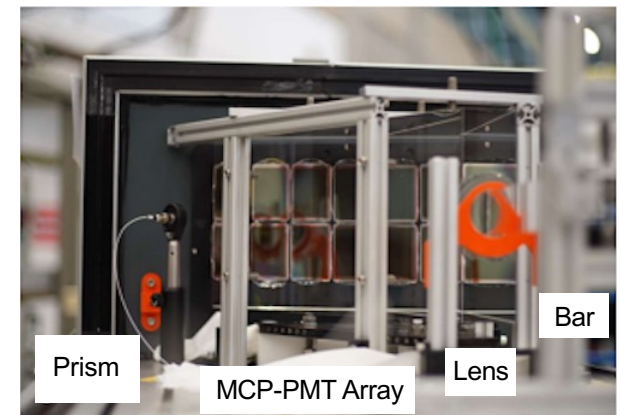
Dark box

Rotation stage



Prototype at
CERN PS

- ❑ Narrow fused silica bar (produced for BaBar DIRC)
- ❑ 3-layer spherical lens
- ❑ 30 cm-deep fused silica prism
- ❑ 2x4 PHOTONIS Planacon MCP-PMT array
- ❑ PADIWA/TRB3 readout (~ 200 ps time precision)
- ❑ PiLas picosecond laser calibration system

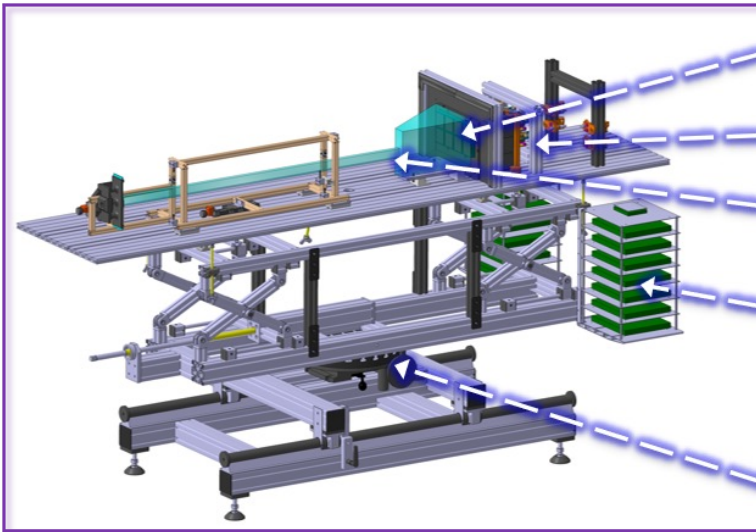


Prism

MCP-PMT Array

Lens

Bar



MCP-PMT array

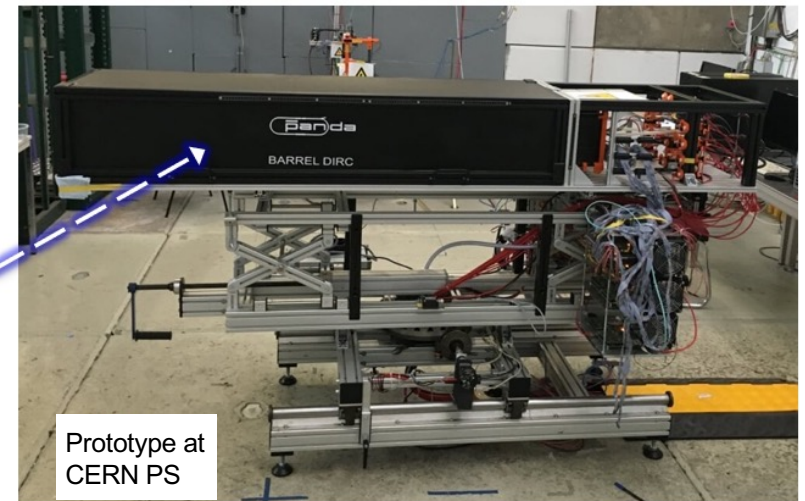
Frontend electronics

Optics: bar, lens, prism

DAQ boards

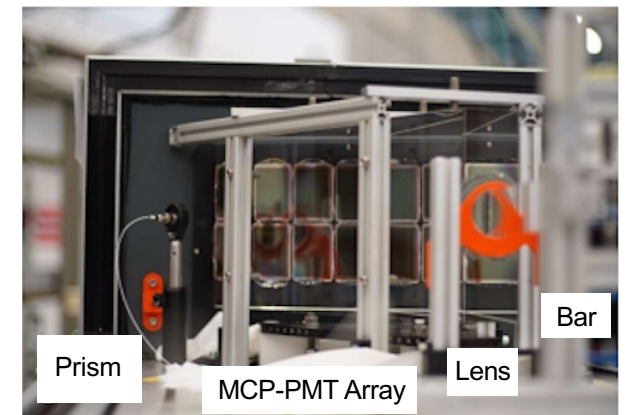
Dark box

Rotation stage



Prototype at CERN PS

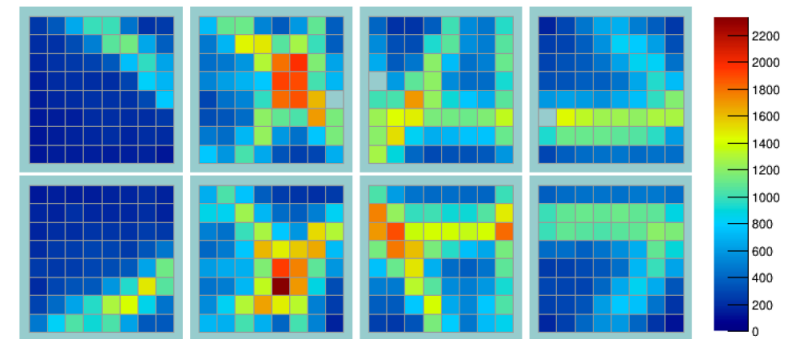
- Direct measurement of PID performance across PANDA phase space
- Measure photon yield, timing precision and Cherenkov angle resolution per particle/per photon, π/p separation power



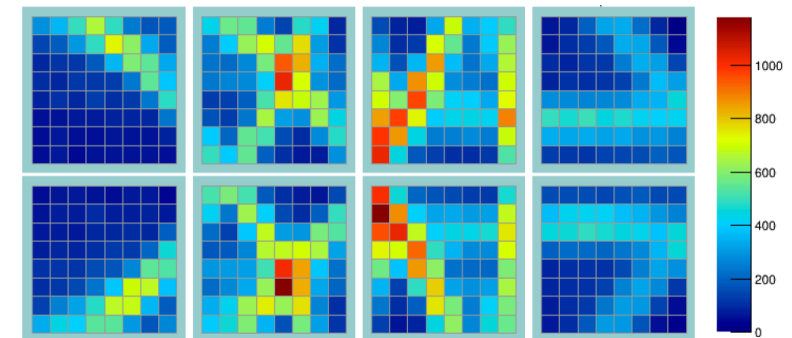
Hit pattern for

- 5k pions
- 20° polar angle
- Three layer compound lens
- 4x2 MCP-PMTs
- Narrow bar
- 33° prism

Very good agreement with simulations



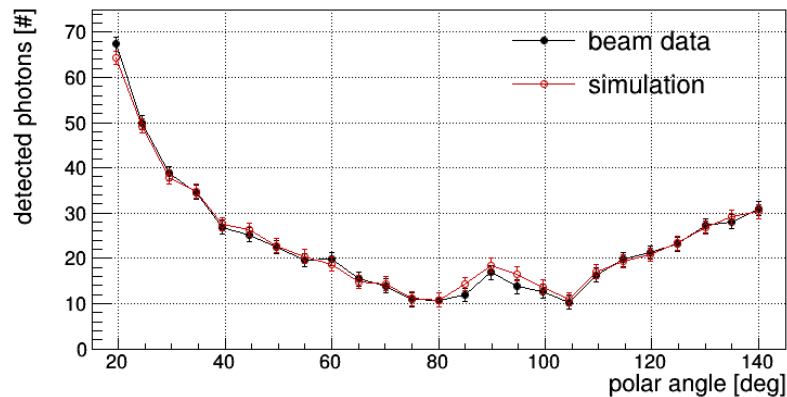
Beam data



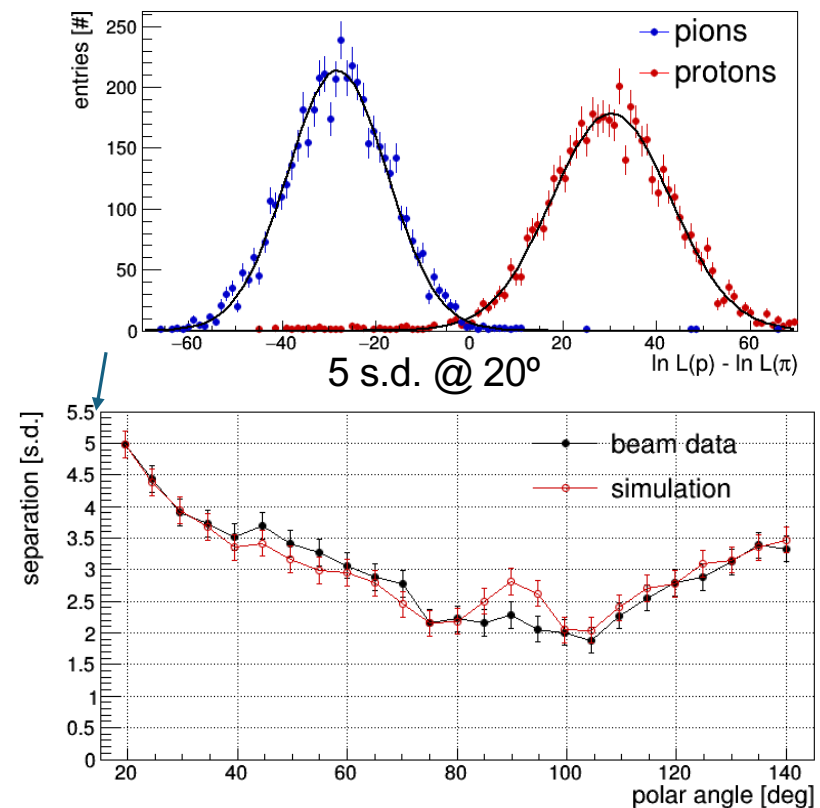
Simulation

Excellent agreement between data and simulation

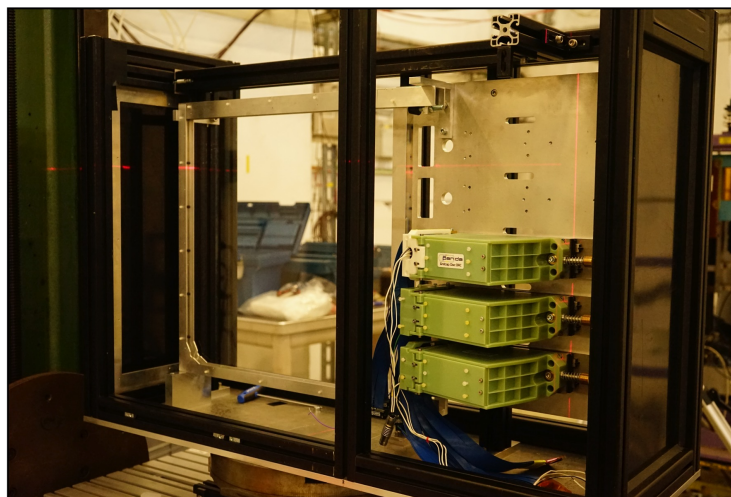
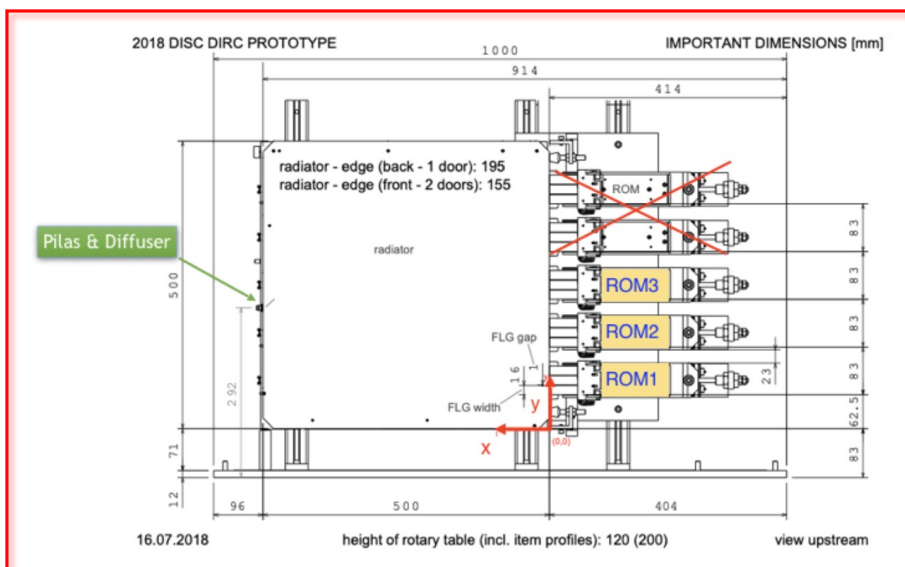
- 10 – 65 photons per particle
- 6-9 mrad Cherenkov angular resolution per photon
- 5 s.d. pion/proton separation at 20°



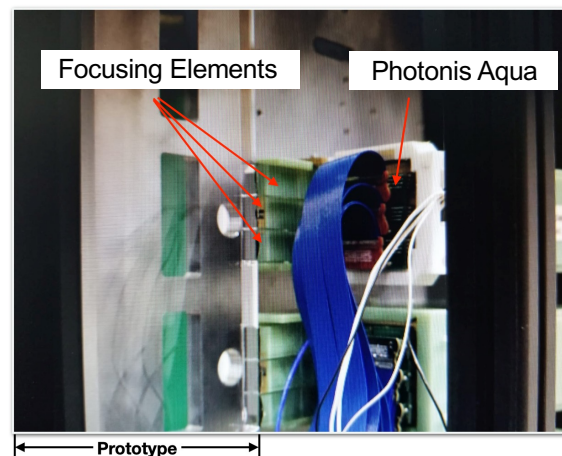
Pion/proton separation power @ 7 GeV/c



PID performance meets or exceeds PANDA PID requirements

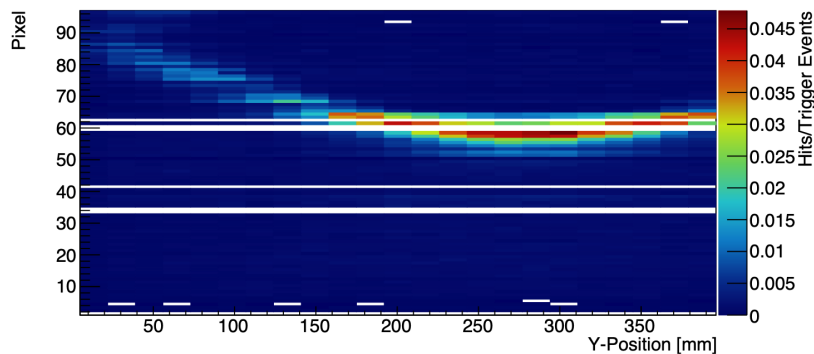


- ❑ 9 Focusing Elements (FEL)
 - 3 Read-out Modules (ROM) • 3 MCP-PMTs
- ❑ Photonis Aqua photocathode • 3 Rows, 288 pixels
- ❑ In total 960 connected channels
- ❑ Clean external PID from MCP-PMT time-of-flight



Example: Prototype at CERN PS, 2018

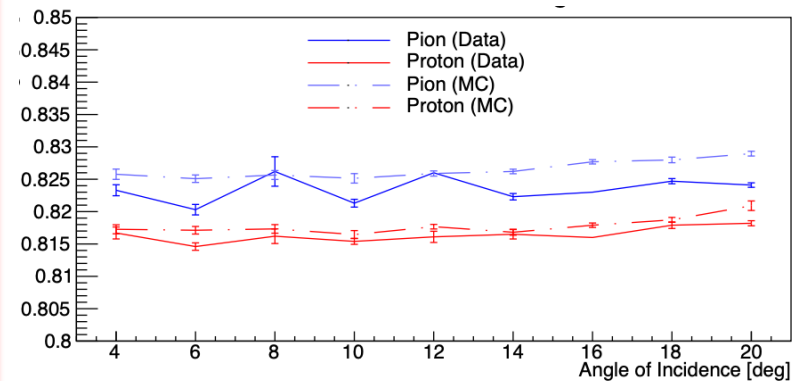
Endcap Disc DIRC hit pattern for 10 GeV/c protons



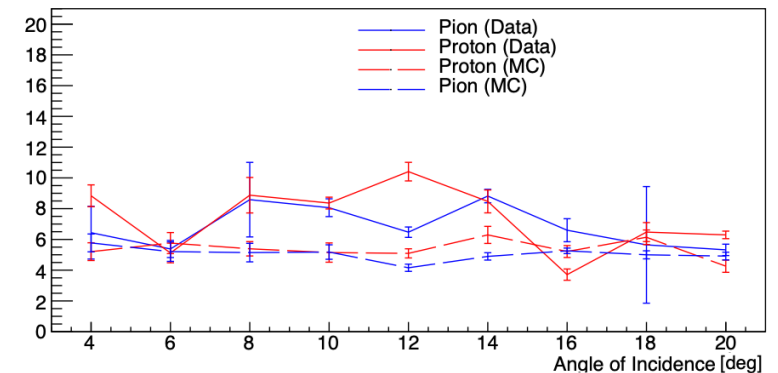
- ❑ Scans of beam incident angle and position for different momenta
- ❑ Measured Cherenkov angle and resolution per photon
- ❑ Simulation describing data features well; tuning, calibration, and analysis still ongoing

Performance in 7GeV/c proton/pion beam

Cherenkov angle per particle [rad]

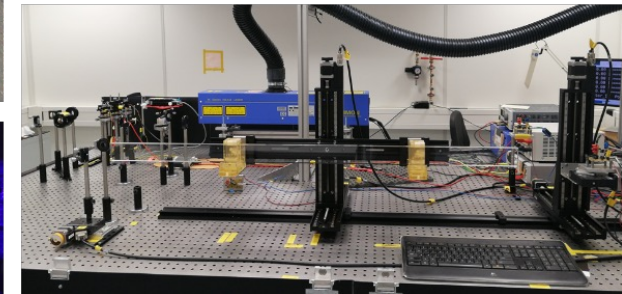
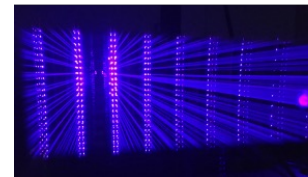
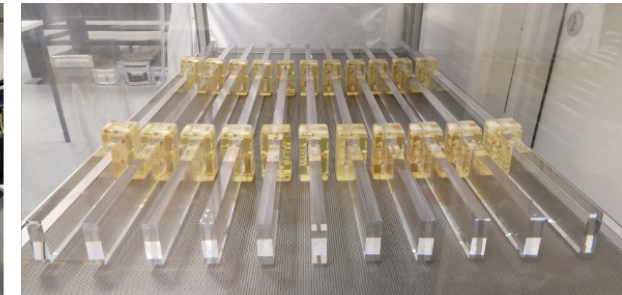
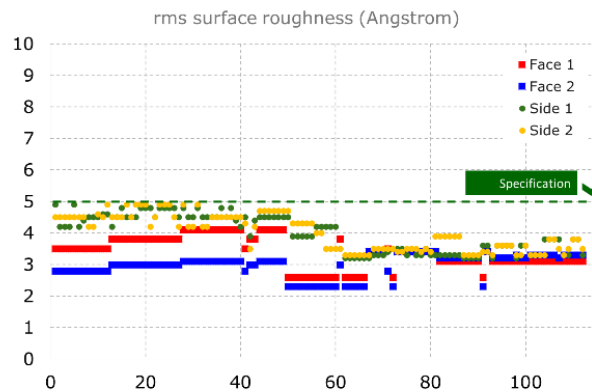


Cherenkov angle resolution per photon [mrad]



QA of DIRC bar production

- ❑ Series production of components started in 2019
- ❑ Contract for **fused silica bars** awarded to **Nikon Corp, Japan** in Sep 2019
- ❑ Smooth production, excellent communication
- ❑ 112 DIRC bars delivered by Feb 2021, ahead of schedule
- ❑ All bars meet or exceed specifications



High photon transport efficiency crucial for DIRC performance.

Relevant fabrication specification: rms surface roughness
(measured on witness sample pieces)

Goal: measure internal reflection probability for DIRC bar

Important for DIRC radiator:

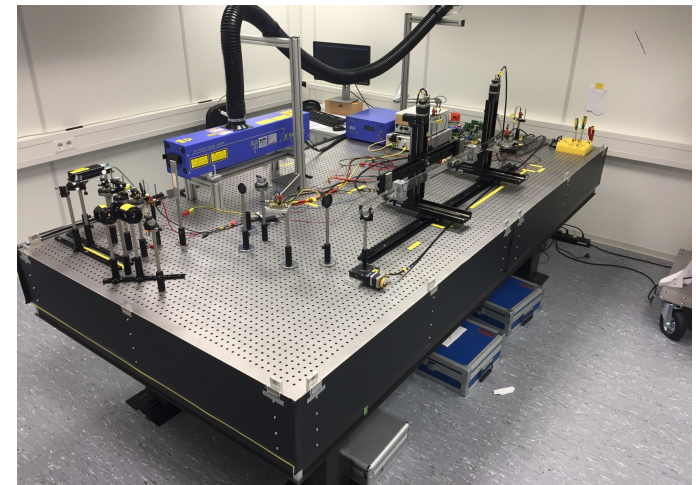
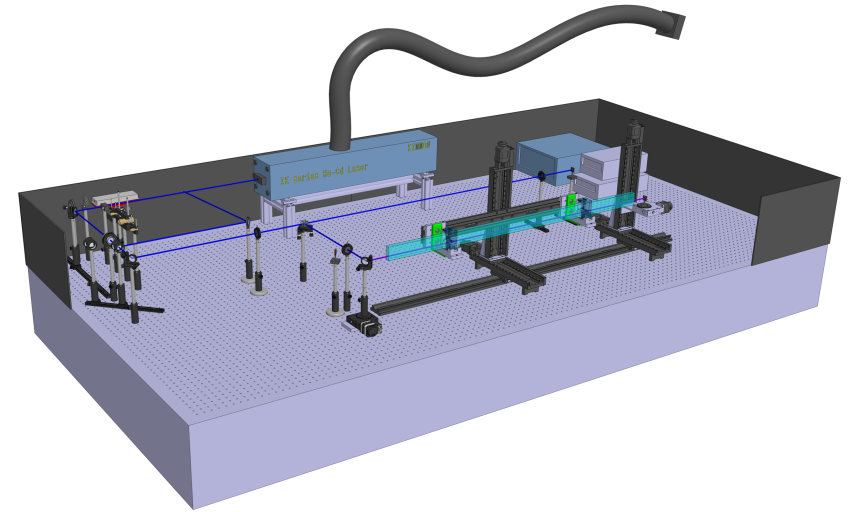
Internal surface roughness

- ❑ Could be harmed by the polishing method
- ❑ Transport probability reduced

Internal reflection measurements

- ❑ 6 laser wavelengths
- ❑ Polarized laser beams
- Brewster angle
- ❑ 2 photo diodes

Determination of the surface roughness \mathcal{H}
with help of the internal reflectivity \mathcal{R} of
6 laser wave lengths via Scalar Scattering Theory



Important for DIRC radiator:

Internal surface roughness

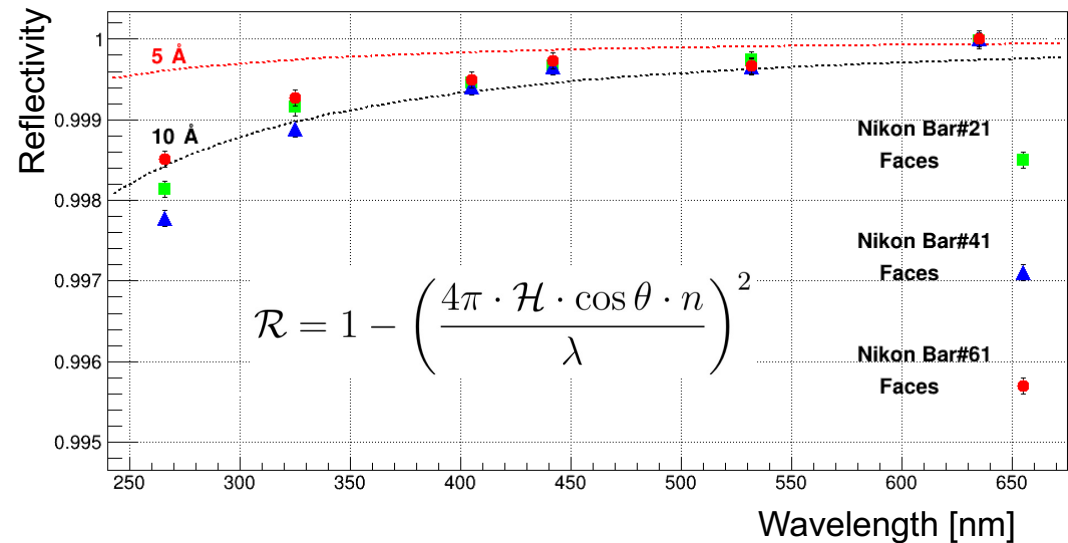
- ❑ Could be harmed by the polishing method
- ❑ Transport probability reduced

Internal reflection measurements

- ❑ 6 laser wave lengths
- ❑ Polarized laser beams
- ❑ Brewster angle
- ❑ 2 photo diodes

Determination of the surface roughness \mathcal{H} with help of the internal reflectivity \mathcal{R} of 6 laser wave lengths via **Scalar Scattering Theory**

Measured reflectivity of three example Nikon bars



Important for DIRC radiator:

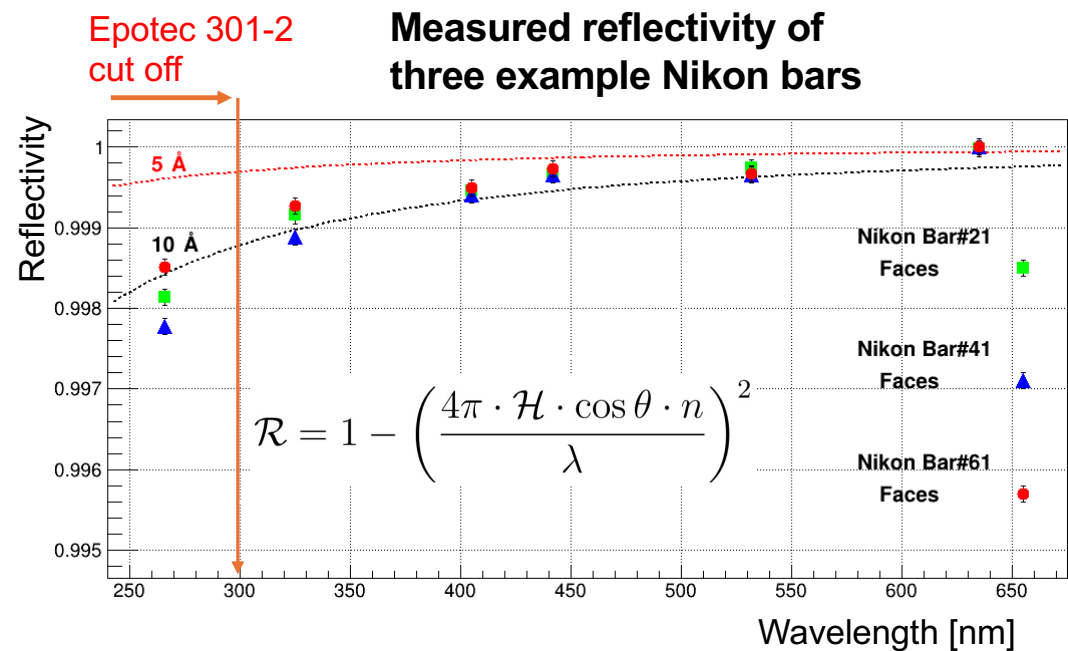
Internal surface roughness

- ❑ Could be harmed by the polishing method
- ❑ Transport probability reduced

Internal reflection measurements

- ❑ 6 laser wavelengths
- ❑ Polarized laser beams
- Brewster angle
- ❑ 2 photo diodes

Determination of the surface roughness \mathcal{H} with help of the internal reflectivity \mathcal{R} of 6 laser wave lengths via **Scalar Scattering Theory**



Over the relevant laser wavelength the internal surface roughness is 5 to 10 Å

Important for DIRC radiator:

Internal surface roughness

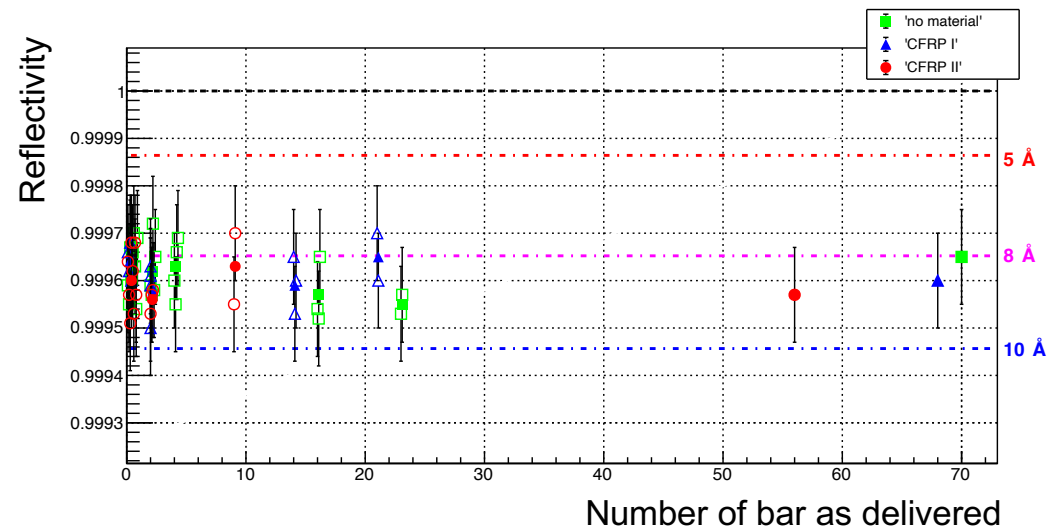
- ❑ Could be harmed by the polishing method
- ❑ Transport probability reduced

Internal reflection measurements

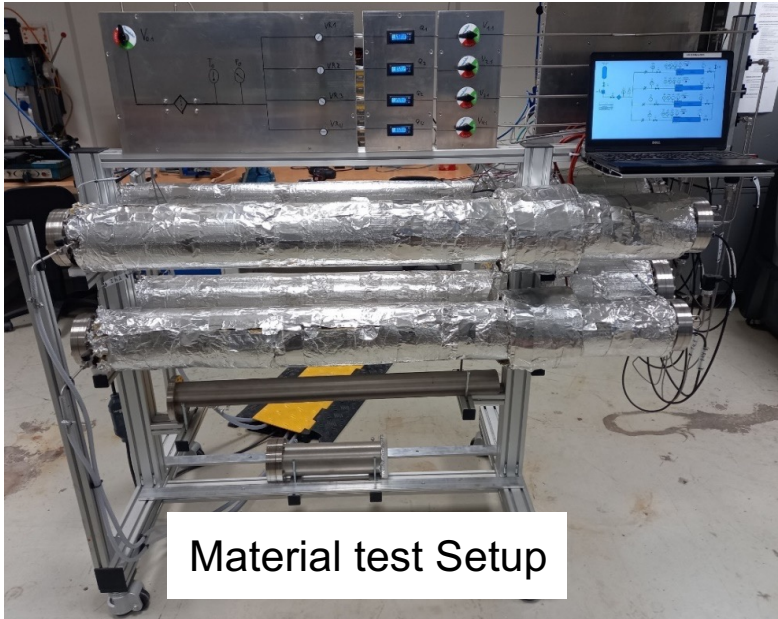
- ❑ 6 laser wavelengths
- ❑ Polarized laser beams
- Brewster angle
- ❑ 2 photo diodes

Determination of the surface roughness \mathcal{H}
with help of the internal reflectivity \mathcal{R} of
6 laser wave lengths via **Scalar Scattering Theory**

112 Nikon bars delivered in lots of 10/month



**Over the delivery the internal surface quality
of the bars remains good or becomes better**



Material test Setup

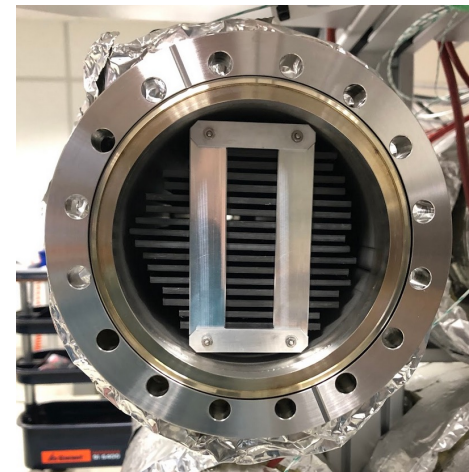
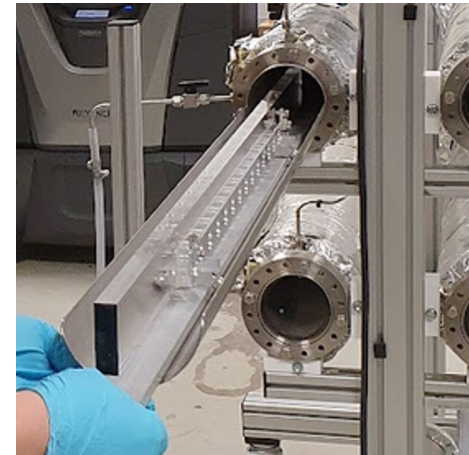
Controlled environment in the four tubes:

- ❑ temperature
- ❑ nitrogen flow
- ❑ pressure
- ❑ humidity

Bar inserted in the tube

First bar box from **CFRP**
to house DIRC radiators
with their **delicate surfaces**

- ❑ Two bars exposed to large amount of different CFRP samples
- ❑ One reference bar



CFRP II (Prototype)
in the vessel

Important for DIRC radiator:

Internal surface roughness

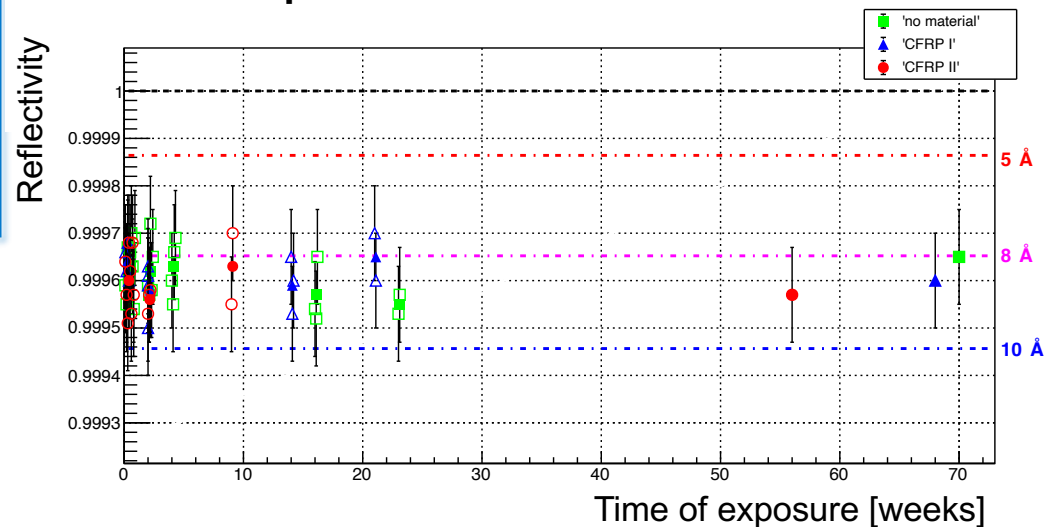
- ❑ Could be harmed from the polishing method
- ❑ Transport probability reduced

Internal reflection measurements

- ❑ 6 laser wave lengths
- ❑ Polarized laser beams
- Brewster angle
- ❑ 2 photo diodes

Determination of the surface roughness \mathcal{H} with help of the internal reflectivity \mathcal{R} of 6 laser wave lengths via **Scalar Scattering Theory**

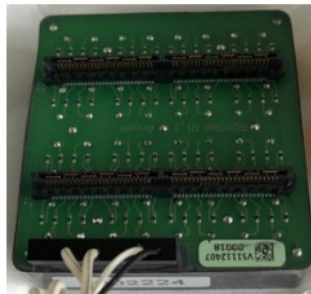
Measured reflectivity of three Nikon bars, two exposed to CFRP and one reference bar.



No obvious hint of surface pollution by the CFRP material probes after 70 weeks of exposure

- ❑ Order for 165 MCP-PMTs placed with Photonis, Netherlands on Dec 2020
- ❑ 1 year of delay due to pandemic situation
- ❑ Series production of MCP-PMTs at Photonis started and is ramping up

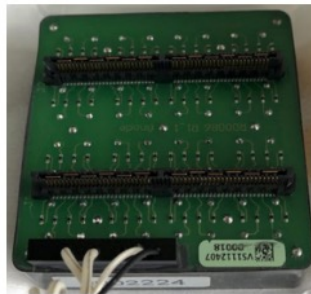
79 MCP-PMTs delivered so far



Photonis XP85112-S-BA

- ❑ Order for 165 MCP-PMTs placed with Photonis, Netherlands on Dec 2020
- ❑ 1 year of delay due to pandemic situation
- ❑ Series production of MCP-PMTs at Photonis started and is ramping up

79 MCP-PMTs delivered so far



Photonis XP85112-S-BA

QA measurements in FAU Erlangen

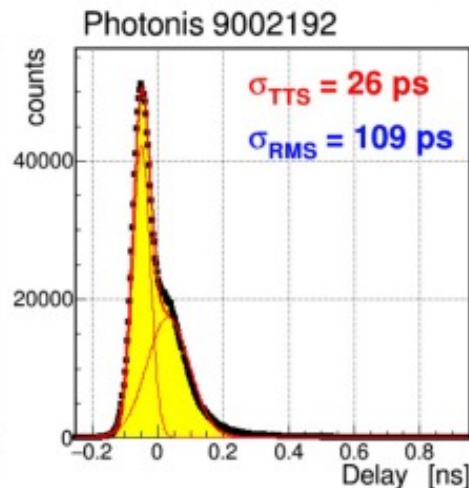
- ❑ Quantum efficiency (QE)
- ❑ QE homogeneity across the photo cathode surface
- ❑ Dark count rate
- ❑ Rate stability
- ❑ Gain, uniformity, magnetic field
- ❑ Afterpulsing fraction
- ❑ Time resolution measurements

For a subset of sensors

- ❑ the lifetime (quantum efficiency as function of the integrated anode charge)

- Order for 165 MCP-PMTs placed with Photonis, Netherlands on Dec 2020
- 1 year of delay due to pandemic situation
- Series production of MCP-PMTs at Photonis started and is ramping up

79 MCP-PMTs delivered so far



QA measurements in FAU Erlangen

- Quantum efficiency (QE)
- QE homogeneity across the photo cathode surface
- Dark count rate
- Rate stability
- Gain, uniformity, magnetic field
- Afterpulsing fraction

Time resolution measurements

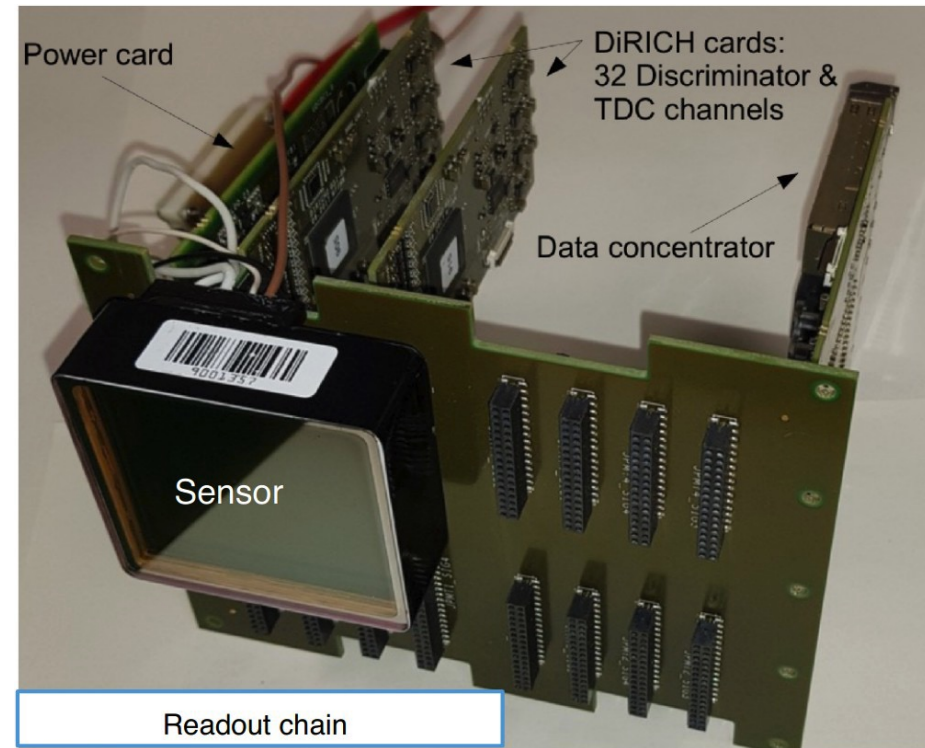
Photon intensity measurement at single photon level and low rate

DiRICH readout backplane

(Collaboration with PANDA, CBM, HADES)

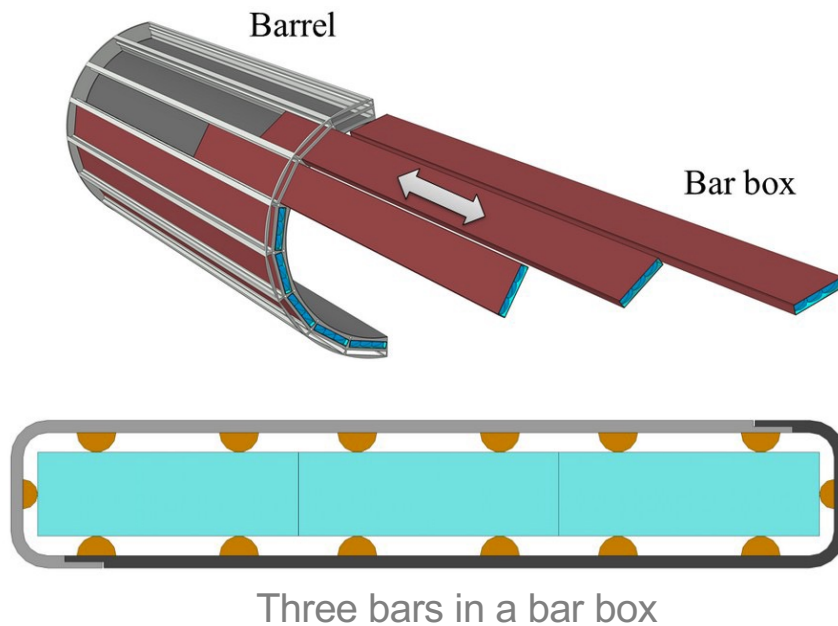
- Highly integrated
- Minimal cabling
- ~10 ps internal time precision (disc. +TDC)
- ~50 mW /channel power consumption

power card DiRICH Data concentrator

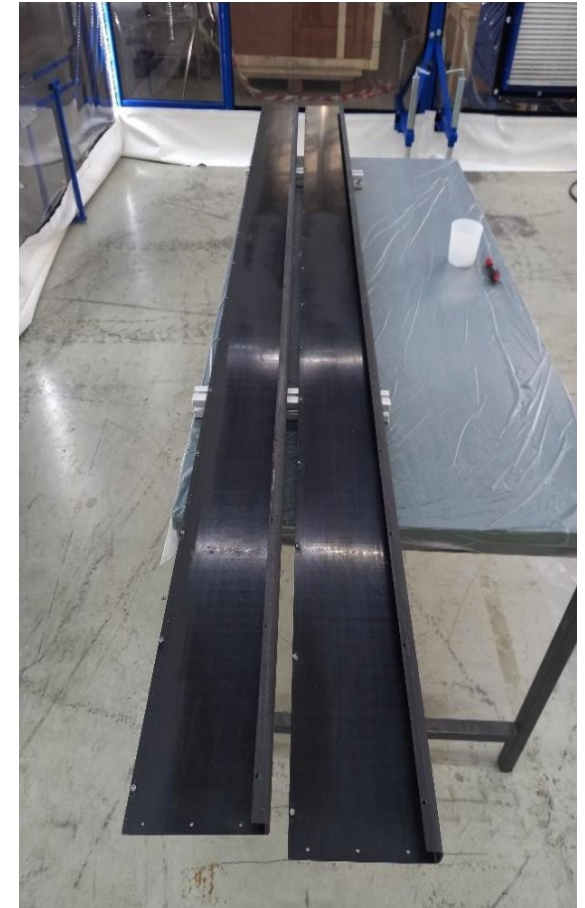


Several test led to this highly integrated solution

- Carbon fiber reinforced polymer (CFRP)
- Low material budget, Low Z-material
- Stiffness tests
to be compared with finite element analysis calculations
- Study of long-term outgassing behavior



Prototype of L-elements

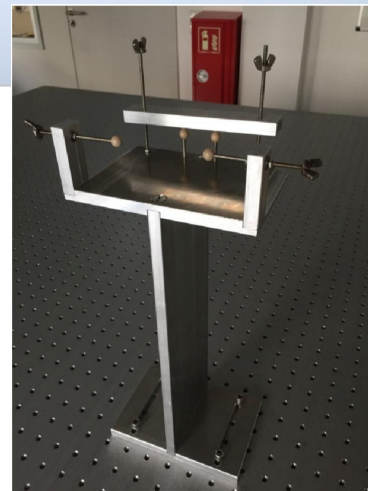
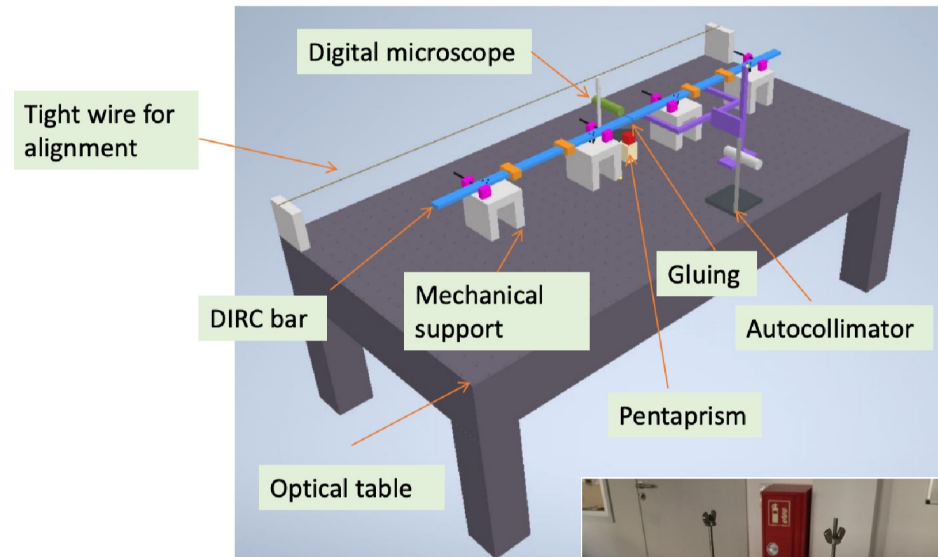


R&D of bar gluing in DIRC lab at HI Mainz, Germany

- Procedure is inspired by TOP counter at Belle II and BaBar DIRC
- Excellent alignment accuracy is required to preserve the Cherenkov angle
- Gluing tests with pieces from laser-cut Nikon bar
- Controlled environment for the use of Epotek 301-2 temperature and humidity

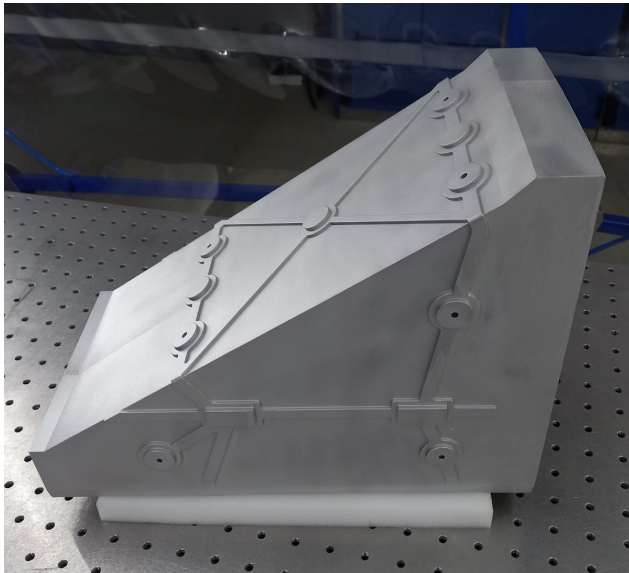
Goal:

To glue two bars 17mm x 53mm x 1200mm
back-to-back with less than 30 microns misalignment

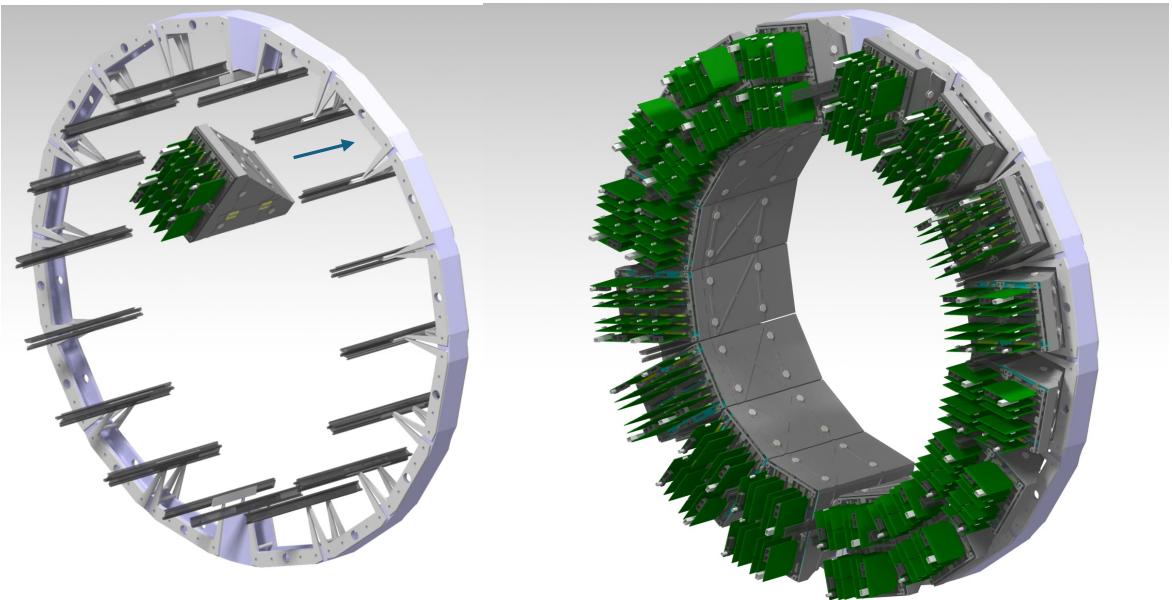


Mechanical support prototype

Readout Box prototype
from 3D-printed aluminum alloy

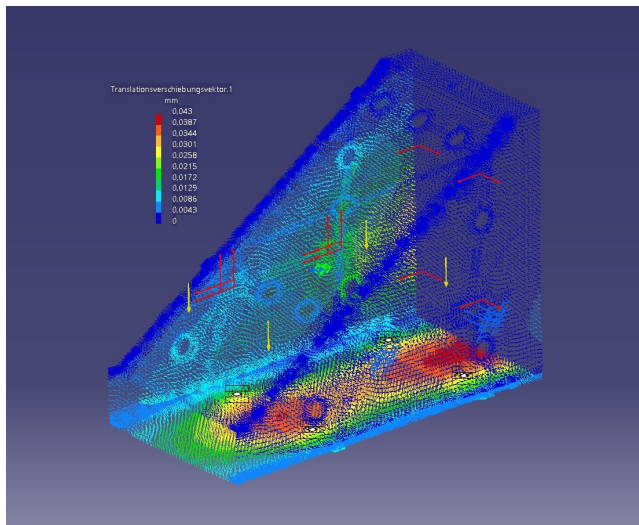


U-shaped support ring



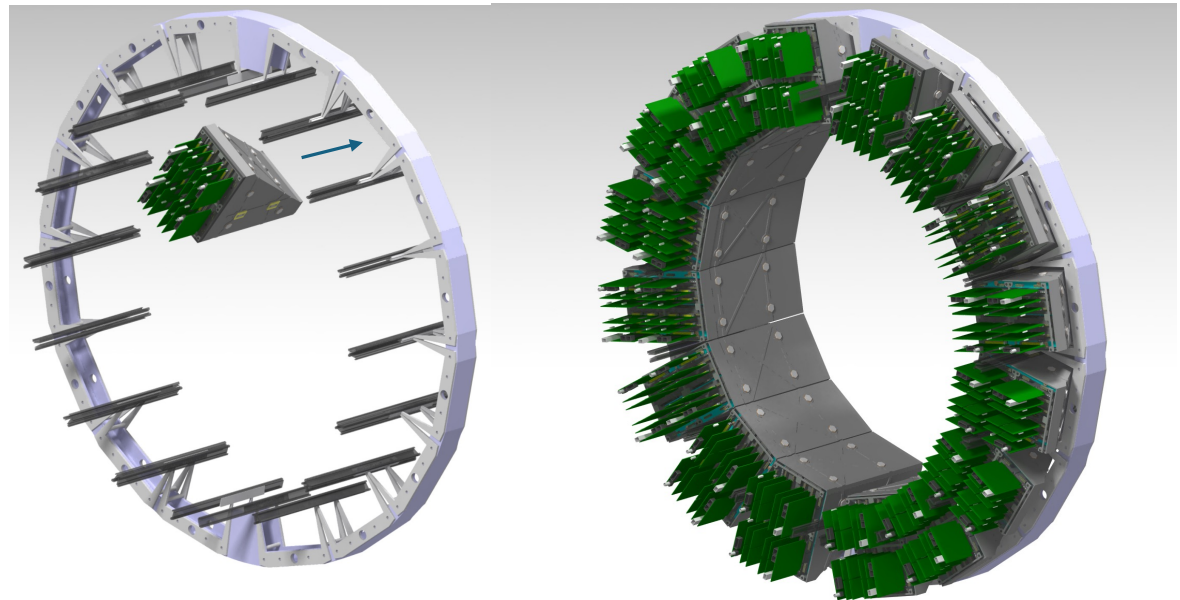
- ❑ Prototypes produced from industry
- ❑ Assembly procedure to be developed
- ❑ Results to be compared with finite element analysis calculations

Readout Box prototype
from 3D printed aluminum alloy



max. deformation ($< 0.1\text{mm}$)
caused by the load of the prism

U-shaped support ring



- ❑ Prototypes produced from industry
- ❑ Assembly procedure to be developed
- ❑ Results to be compared with finite element analysis calculations

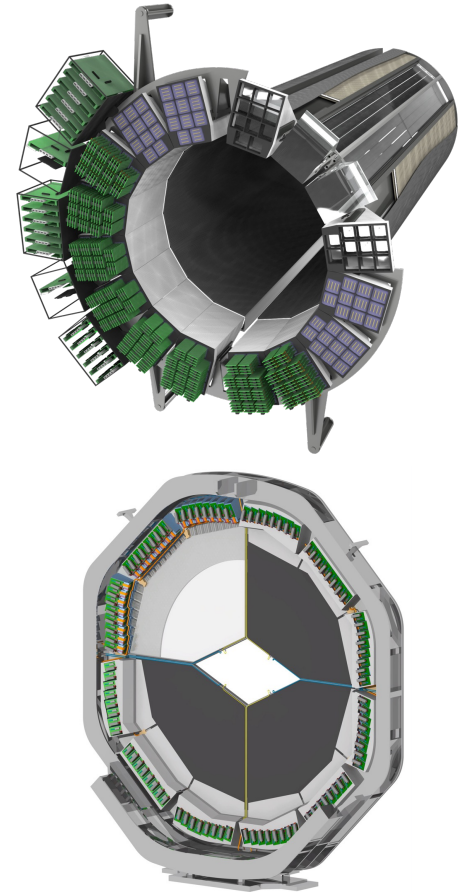
The PANDA DIRCs are key components for the PANDA physics program

Barrel DIRC TDR: J. Phys. G: Nucl. Part. Phys. 46 045001, arXiv:1710.00684

- ❑ First DIRC with lens focusing
- ❑ Performance proved in beam tests
- ❑ All radiator bars delivered by Nikon, Japan
- ❑ Delivery of MCP-PMTs by Photonis, Netherlands is ongoing
- ❑ Assembly procedure to be tested with prototype components

Endcap Disc DIRC TDR: F Davì et al 2022 J. Phys. G: Nucl. Part. Phys. 49 120501

- ❑ First DIRC in the Endcap
- ❑ Promising beam tests with MCP-PMTs with asymmetric pitch



Thank you very much for your attention



View into a DIRC bar with 442nm Laser light

PANDA Cherenkov Group

