

# Al assistant for BESIII - Dr. Sai what can she do for STCF

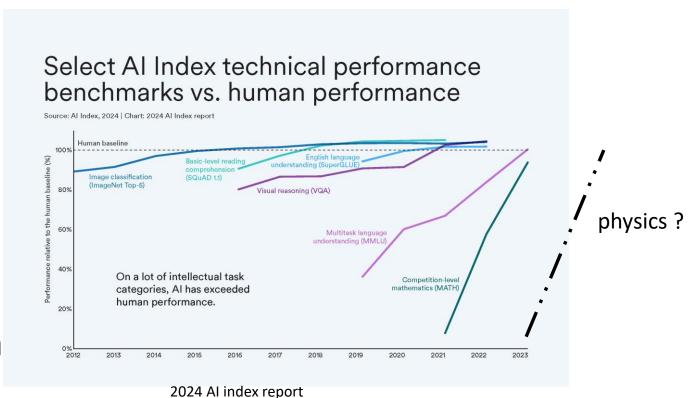
Ke Li

on behalf of Dr. Sai working group

Joint-efforts from IHEP-UCAS-LZU-JLU

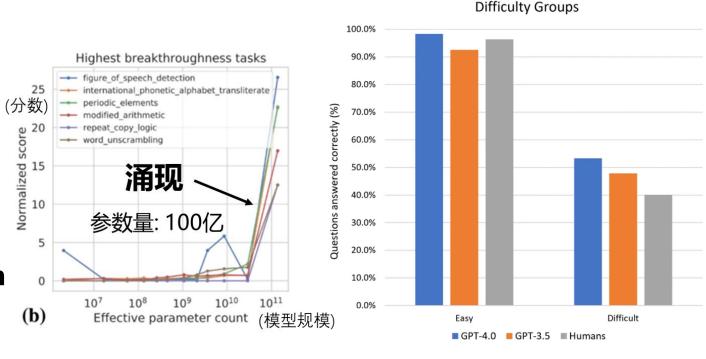
# Outline

- Motivation
  - what is LLM
  - why we need LLM
- Dr. Sai project
  - architecture
  - training
- Results from beta version
- Summary and prospects

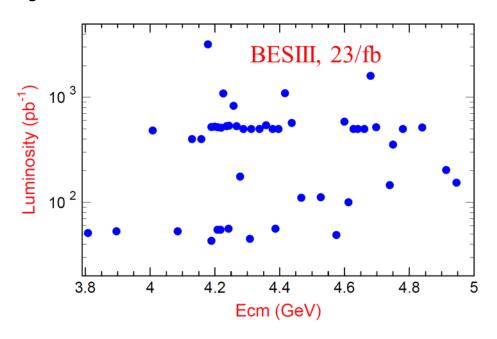


# What is Large Language Model (LLM)

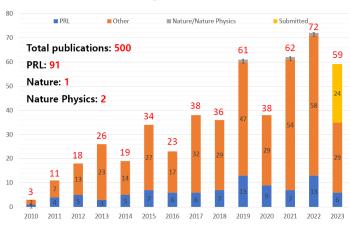
- Large language models (LLMs), normally build on transformer architecture, has demonstrated impressive performance in text/code generation
  - GPT4o, Gemini, LLaMa3...
  - Could be used for HEP studies.
  - Game changer
- A foundation model (large, computing intensive) + fine tuning for each task individually (smaller data set)
- For us, open-source foundation model + higher level model for **HEP + fine tuning for BESIII**



### Why we need LLM

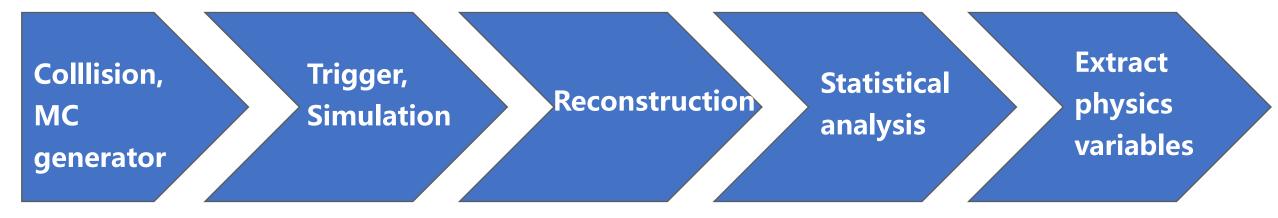


# BESIII publications (May 9, 2023)



- More data will be collected after BEPCII-upgrade
- >500 physics results from ~500 people in the past 14 years
  - One result normally took ~3 years
- We need a more efficient and automated workflow in order to achieve the goals in BESIII white paper

# Data process workflow at HEP experiment



Accelerator control, initial-state-radiation, parton showering, hadronization, NP-correction, pileup, et. al.

Data acquisition, fast reconstruction, data input/output, online monitoring, detector geometry, detector noise, calibration, multi-scattering, et. al.

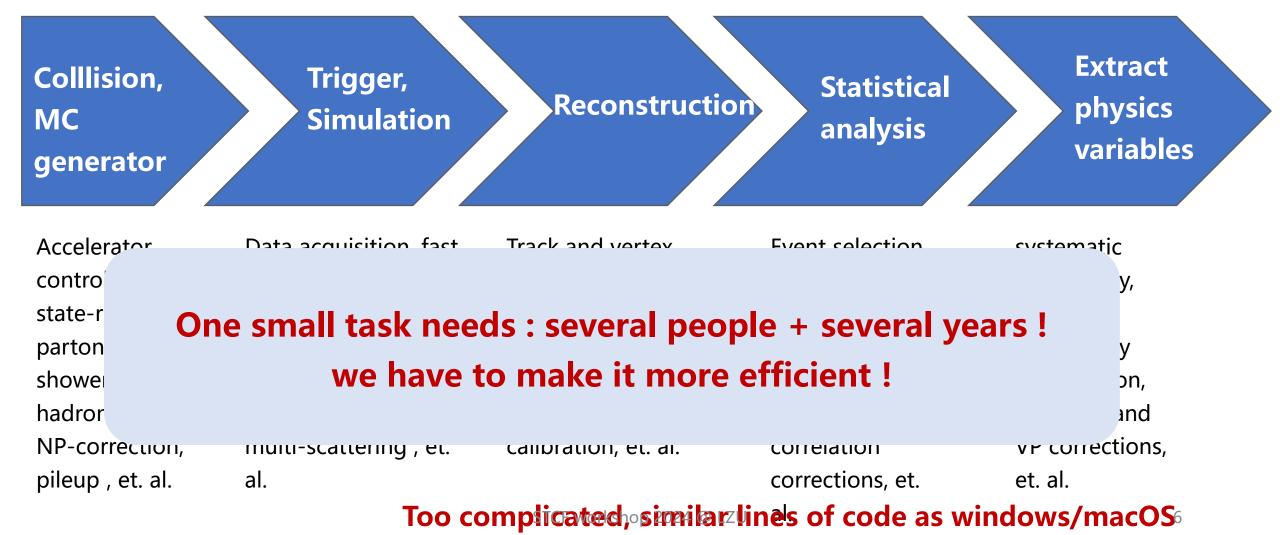
Track and vertex finding and fitting, clusterization and reconstruction of jet, jet tagging, kinematic fit, detector calibration, et. al.

Event selection, optimizations, background analysis, injection test, reweighting, correlation corrections, et.

systematic uncertainty, fitting, uncertainty propagation, radiation and VP corrections, et. al.

Too complicated, similar lines of code as windows/macOS

# Data process workflow at HEP experiment



### Why we need LLM

- Major effort in BESIII analysis is spent in writing/testing/updating code/text
  - LLM is good at code/text generation!
- Key problems for LLM at HEP
  - how to make sure the outputs are reliable?
  - how to avoid hallucinations?
  - Current solutions:
    - More accurate and good quality data for training
    - More tests and validations
    - More proper architecture

# Dr. Sai (赛博士) project for BESIII/HEP

- Al Agent: Al tools capable of autonomously performing complex tasks
  - LLM = brain -> Al agent = human
- Al agent based on Xiwu model (LLM for HEP)
  - based on Llama 2/3, will train with BESIII internal data, e.g. memo/drafts, BOSS source code, Q-A in hypernews
- One milestone: Al assistant (https://drsai.ihep.ac.cn)
  - chatbot, MC generation, signal extraction, and a navigator inside BESIII
  - Ready for BESIII internal test!
- Final goal: **AI scientist**, it can analyze the collision data automatically and understand the physics behind data
  - Developing new AI models, targeting at ~2026-2027

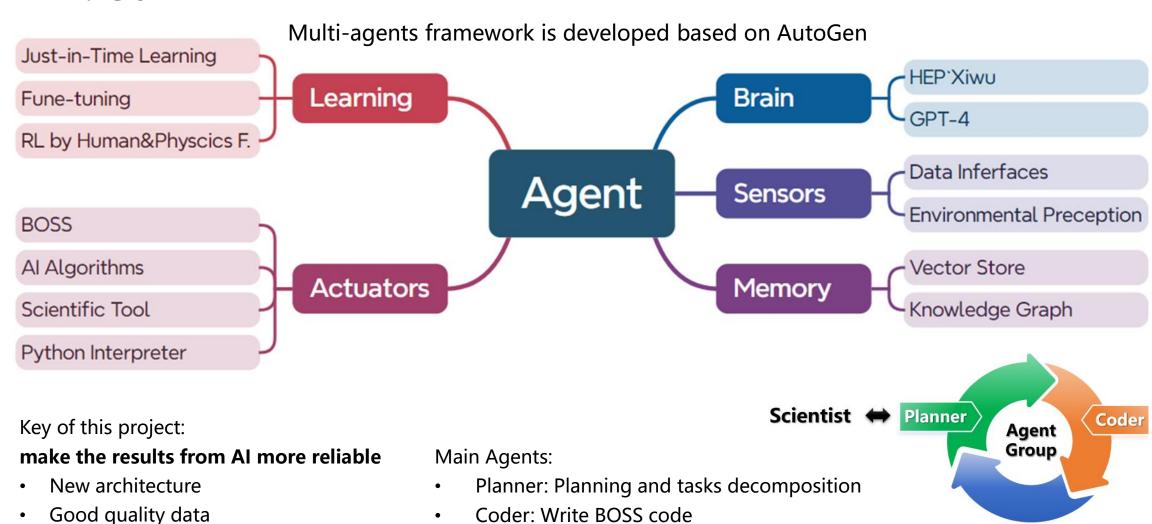
#### About us



~20 people from IHEP-UCAS-LZU-JLU (physics + computing science) Welcome to join!

#### Dr. Sai

In-the-fly validation and test



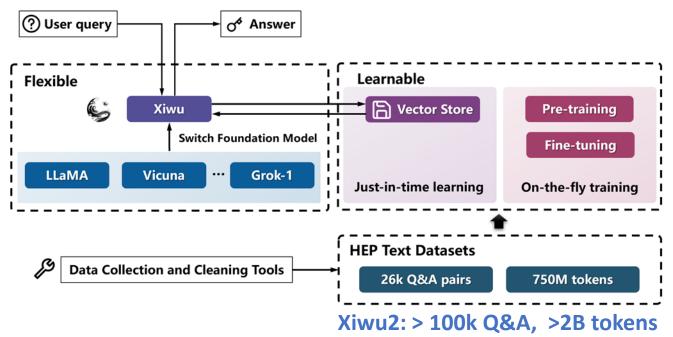
Tester: Using scientific tools for testing STCF workshop 2024 @ LZU

**Tester** 

ΙU

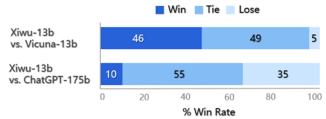
### The brain of Dr. Sai - Xiwu (溪悟) model

- Xiwu: a basis flexible and learnable LLM for HEP
- First version release at April (refer to <a href="mailto:arXiv:2404.08001">arXiv:2404.08001</a> for more details)
  - High level model based on open-source foundational LLM, e.g. LLaMa
  - First LLM for HEP, version 2 is ready



高能•溪悟 (Xiwu) , 谐音析物 , 取意世智如溪中清流, 吾辈如岸上观者, 当深追活水, 在源源不尽, 循环发展以寻万物之道





# Xiwu 2.0 evaluation: result

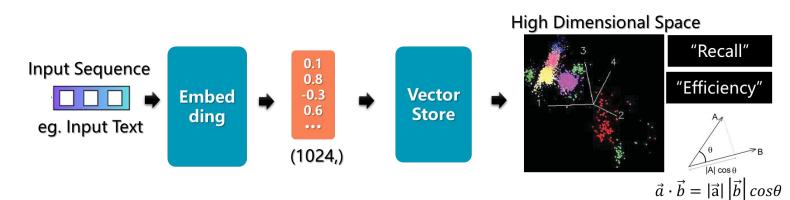
Model	MMLU_average	BoolQ	Humaneval	Hep_test	
Baichuan2-7B-Chat	48.9	5.44	2.44	74.56	
chatglm3-6b	51.82	72.6	61.59	71.52	
vicuna-7b-v1.5-16k	33.03	45.81	17.07	62.67	
Llama3-8b	58.34	83.61	21.34	76.94	
Xiwu 1.0	Xiwu 1.0 41.66		12.2	48.06	
Xiwu 2.0	Xiwu 2.0 58.23		19.51	78.06	

#### Xiwu 2.0, based on Llama3-8B, has shown

- 1 Excellent performance in general ability evaluation;
- 2 No disastrous forgetting, compared to its base model;
- ③ Significant progress in HEP domain tasks;
- 4 Additionally, it also possesses stable self-identity awareness.

# The Memory of Dr. Sai - RAG

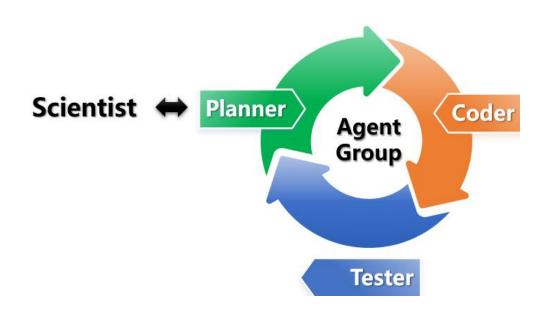
- Retrieval-Augmented Generation (RAG)
  - Most promising solution to avoid hallucinations
  - Goal: store private data so no need for retraining
  - Current approach: vector store
    - Embeddings (BGE-M3 model):
      - Convert input data into vectors of a multidimensional space
  - Usage: store BESIII internal data
    - user send BESIII related questions
    - RAG return question + BESIII internal data to LLM



Please refer to Zijie's talk for more details

### Multi-agents managment system

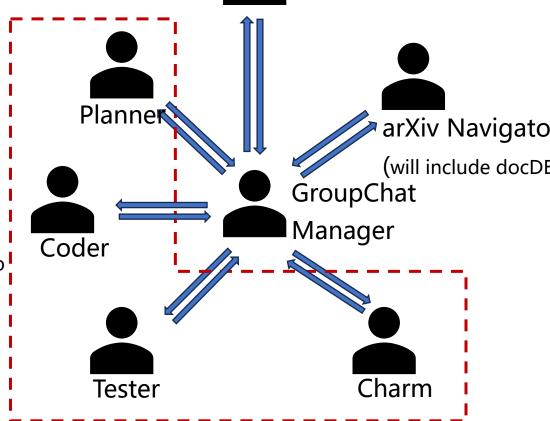
- Developed based on AutoGen framework
- Normally one agent is dedicated for one task, HEP data processing is very complicated, impossible for one agent
- Multi-Agents (foundation model is switchable):
  - GroupChatManager
  - Planner: Planning and tasks decomposition
  - Coder: Write C++/python/BOSS code
  - Tester: Using scientific tools for testing/execution
  - Charm: BESIII internal assistant
  - Common tools: arXiv navigator, plotting et.al.
  - Human can interact via HumanProxy



- If test failed, the feedback will be used to improve the prompts at next iteration.
- For each task, we have multi-unittests

# Groupchat for multi-agents

- 1. Human pass task to Dr. Sai by HumanProxy
- 2. GroupChatManager will select the proper speaker (agent)
  - 1. Human can correct the selection
  - The task will be decomposed into subtasks in the beginning and can be revised later
  - 3. All the messages including the chat history will be passed to the next speaker
  - 4. If one task failed, error message will send to GroupChatManager then restart the task
- 3. If Tester need to execute a BESIII-related program, people have to set up a worker in BOSS environment first

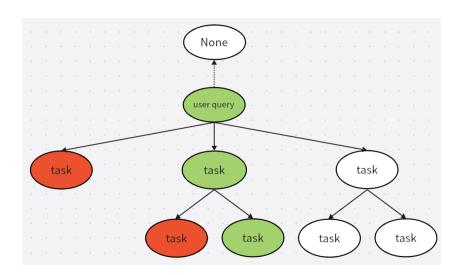


Human

Only for BESIII

# Task decomposition

- One of the key part of Dr. Sai
  - Compose complex task into multiple small, simple, and well-defined sub-tasks
  - We will prepare more data to train the model again in future
- Sub-tasks will be executed in sequence
  - All sub-task succeed = task succeed



#### How to search for Zc(3900)

"Observation": "The user requests to decompose the task of searching for Zc(3900) from electron-positron collision data at a center-of-mass energy of 4.26 GeV.",

"Thoughts": "To decompose the task, we need to break down the process into manageable steps, including data collection, event selection, background suppression, signal extraction, and analysis.",

"Status": "CONTINUE",

"Plan": [

- "(1) Data Collection: Gather the electron-positron collision data at a center-of-mass energy of 4.26 GeV from the BESIII experiment.",
- "(2) Event Selection: Identify and select events that are likely to contain the Zc(3900) signal. This typically involves selecting events with the final state particles of interest, such as  $\pi^{\pm}J/\psi$ .",
- "(3) Background Suppression: Apply criteria to reduce background events that can mimic the signal. This may involve using kinematic cuts, particle identification, and other techniques.",
- "(4) Signal Extraction: Use invariant mass calculations and other methods to extract the Zc(3900) signal from the selected events. This involves plotting the invariant mass spectrum of the  $\pi^\pm J/\psi$  system and identifying peaks corresponding to Zc(3900).",
- "(5) Statistical Analysis: Perform a statistical analysis to determine the significance of the observed signal. This includes fitting the invariant mass spectrum and estimating the signal yield and background.",
- "(6) Systematic Uncertainties: Evaluate and account for systematic uncertainties in the measurement. This may involve varying selection criteria, fitting methods, and other parameters.",
- "(7) Results and Interpretation: Interpret the results in the context of theoretical models and previous measurements. Compare the observed properties of Zc(3900) with theoretical predictions and other experimental results.",
- "(8) Documentation and Reporting: Document the analysis process, results, and conclusions. Prepare a report or publication to share the findings with the scientific community."

]

} •

"Comment": "The task involves a detailed and systematic approach to search for the Zc(3900) particle in electron-positron collision data. Each step is crucial to ensure the @ccuracy and reliability of the results."

# Training data

- Recent papers on arXiv
  - PDF files parser: HaiNougat, advanced iteration of the Nougat model
- Good quality chat history from IHEP-AI platform
  - The data is cleanned by human or AI (GPT4)
  - 180k Question-Answer pairs in 3 months

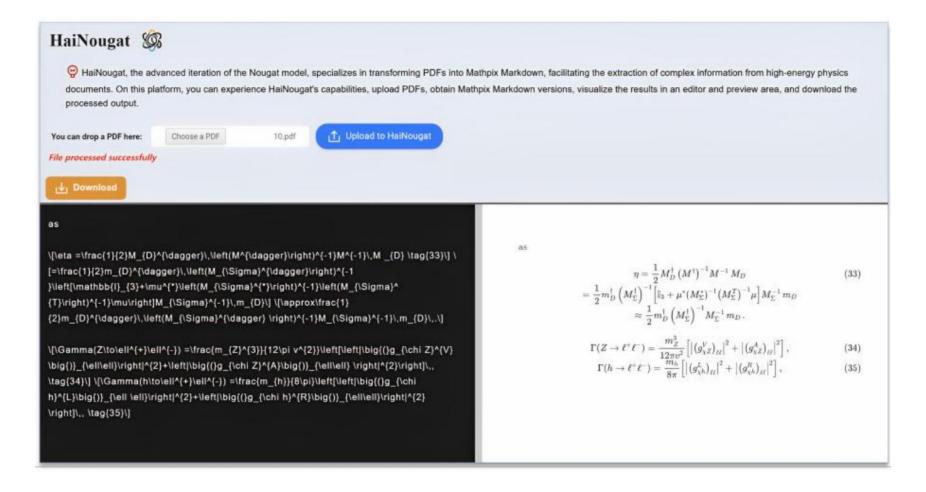
#### BESIII internal data

- internal memo, parsered by HaiNougat
- Question-Answer pairs from hypernews during internal paper review
- BESIII Offline Software System (BOSS) source code
- BESIII public webpages and internal webpages (please help to update these webpages!)
- The data on indico will be used later
- All the BESIII internal data sets are stored in RAG or used in training and fine-tuning

# Data preparation: HaiNougat

- During the data clean for Xiwu LLM system, we found it is hard to obtain correct information from PDF, especially for Math equations and Tables
- For HEP-related papers, 20% more formulas per pages and 27% longer formulas than typical academic documents (computer science, chemics ...)
- Develop a model for HEP (HaiNougat) from the Visual Transformer model Nougat. Also an important part for dataset preparation from Xiwu LLM system!
- A demo accessible via <a href="https://ai.ihep.ac.cn/m/hai-nougat">https://ai.ihep.ac.cn/m/hai-nougat</a>
- More details in <a href="https://github.com/ai4hep/hai-nougat">https://github.com/ai4hep/hai-nougat</a>

# Data preparation: HaiNougat



# **BESIII Data cleaning**

#### **BESIII Official Website:**

- Physics working groups

- ...

Code examples: Decay channels:

$$-J/\psi \rightarrow \rho \pi$$

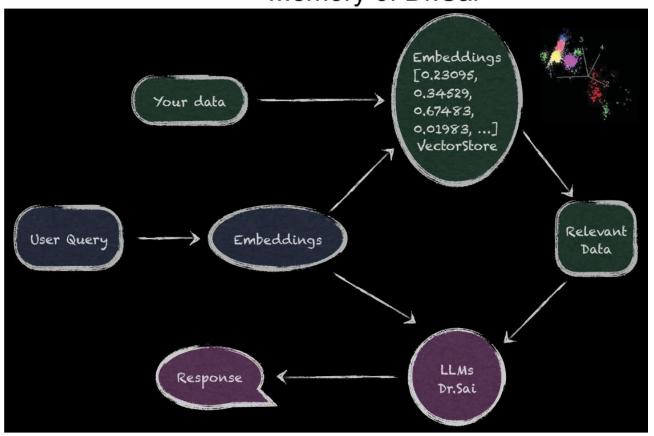
$$-\psi(3686) \to \pi^+\pi^- J/\psi$$

 $e^+e^- \to Z_c(3900)\pi^{\pm}, Z_c(3900) \to J/\psi\pi^{\pm}$ 

**Hyper-news:** Question and answer pairs in memo review

**DocDB:** Latest version memos (Published)

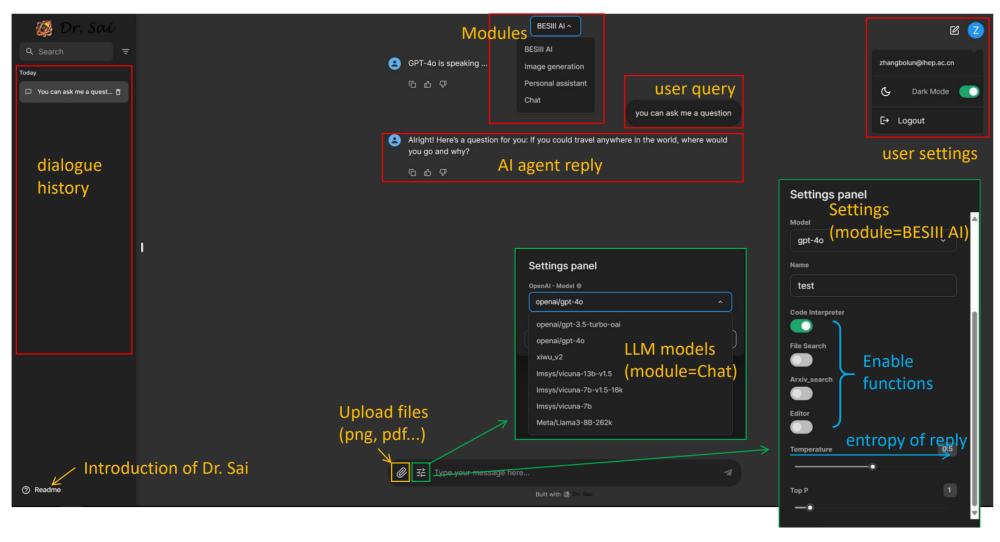
#### Memory of Dr.Sai



# Status and prospects for Dr. Sai

- First version is Ready for BESIII members
  - webui: https://drsai.ihep.ac.cn (contact us if you can't access)
  - 4 main components
    - BESIII Al assistant.
      - automated data analysis: task decomposition, code/text generation, execution and test
    - Image generation
      - Dall-E
    - Personal assistant
      - user can make their own vector store for personal data
    - Chatbot
      - switchable: GPT3.5/4, LLaMa3, Xiwu2 ...
      - can read PDF and image

# Webui



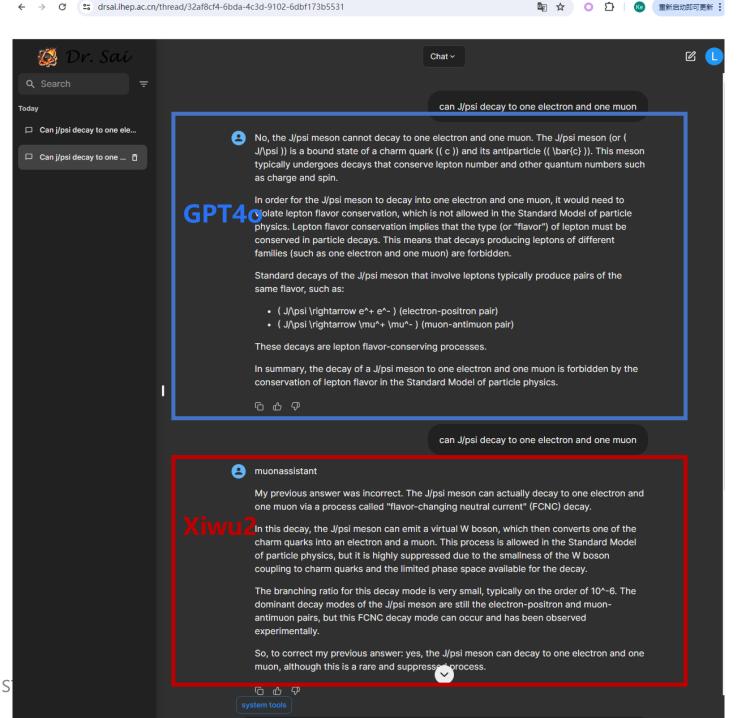
# 4 modules (2 for BESIII, 2 for public)

	Core	Chat	Multimodal	Image generation	RAG	User collection	BESIII related function	Other extentions
BESIII AI	multi- agents	٧	<b>&gt;</b>	1	>	٧	<b>V</b>	٧
Image generation	DALL·E 3	-	-	٧	1	-	-	-
Personal assistant	multi- agents	٧	٧	-	٧	٧	٧	٧
Chat	Xiwu	٧	٧	-	٧	-	-	-

- The model is trained with BESIII internal data, many functionality is restricted to BESIII
- We will make an AI policy at HEP, and make the model public in future, stay tune

# Simple test

- GPT4o vs Xiwu2
- Question: can J/psi decay to electron and muon
  - both are incorrect but Xiwu2 understand HEP better
- Xiwu2 has much more HEP papers and BESIII internal memo/drafts/Q-As



# Example: arXiv paper search

(drsai) [zhangbolun@npu ~]\$ cd /home/zhangbolun ; /usr/bin/env /home/zhangbolun/.conda/envs/drsai/bin/python /home/zhangbolun/.vscode-server/exten sions/ms-python.debugpy-2024.6.0-linux-arm64/bundled/libs/debugpy/adapter/../../debugpy/launcher 58159 -- /home/zhangbolun/drsai/DrSai/tests/local tests/test tool call.pv message: {'content': 'Search for articles about 3770, pi in high energy physics. I am not sure about the the spelling of 3770 and pi, revise it for me if you can. I do not want any results related to 3686, 4660, or gluon balls, the numbers also may have different spellings. I want to see two a rticles starting from the beginning.', 'role': 'user'} >>>>>> USING AUTO REPLY... [2024-06-12 22:41:07.330] [httpx] [INFO]: HTTP Request: POST https://api.openai.com/v1/chat/completions "HTTP/1.1 200 OK" Warning: model not found. Using cl100k base encoding. [2024-06-12 22:41:11,668] [autogen.token\_count\_utils] [INFO]: gpt-4 may update over time. Returning num tokens assuming gpt-4-0613. res = {'content': '', 'role': 'assistant', 'function call': None, 'tool calls': [{'id': 'call VLU1gEiGoOLWVJMUmVH21Dpt', 'function': {'arguments': '{"key":["3770","pi"],"multi":[["3770","psi(3770)","psi3770","3770\*"],["pi","pion"]],"anti\_key":[["3686","psi(3686)","psi3686","3686\*"],["4660","ps i(4660)", "psi4660", "4660\*"], ["gluon", "balls"]], "index": 0, "max results": 2}', 'name': 'search file'}, 'type': 'function', 'index': 0}]} message: {'content': '', 'role': 'assistant', 'function\_call': None, 'tool\_calls': [{'id': 'call\_RrNUGhLXIzhR9XfYDhhzqTrF', 'function': {'arguments ': '{"key":["3770","pi"],"multi":[["3770","3770","\*3770\*"],["pi","pi","\*pi\*"]],"anti\_key":[["3686","3686","\*3686\*"],["4660","4660","\*4660\*"],["gluo n","ball","gluon","ball"]],"index":0,"max\_results":2}', 'name': 'search\_file'}, 'type': 'function', 'index': 0}]} >>>>>> USING AUTO REPLY... >>>>>> EXECUTING FUNCTION search file... Searching via: http://export.arxiv.org/api/query?search\_query=(cat:"hep-ex")+AND+(ti:"3770"+AND+ti:"pi")+AND+((ti:"3770"+OR+ti:"3770"+OR+ti:"\*3770"+OR\*ti:"\* ")+AND+(ti:"pi"+OR+ti:"pi"+OR+ti:"\*pi\*"))+ANDNOT+((ti:"3686"+OR+ti:"3686"+OR+ti:"\*3686\*")+AND+(ti:"4660"+OR+ti:"4660"+OR+ti:"\*4660"+OR+Ti:"\*4660"+OR+Ti:"\*4660"+OR+Ti:"\*4660"+OR n"+OR+ti:"ball"+OR+ti:"gluon"+OR+ti:"ball"))&start=0&max results=2&sortBy=submittedDate&sortOrder=descending

res = [0, {"0": {"Title": "Extracting strong phase and \$CP\$ violation in \$D\$ decays by using\n quantum correlations in  $\u03c8(3770)\t0 D^0\\v0 (V_1V_2)(V_3V_4)$ ", "First Author": "Hai-Bo Li", "Published date

": "2010-10-08", "URL": "http://arxiv.org/abs/1010.1687v1", "Abstract": "We exploit the angular and quantum correlations in the \$D\\bar{D}\$ pairs\n

produced through the decay of the \$\\psi(3770)\$ resonance in a charm factory to\ninvestigate CP-violation in two different ways. We consider the ca se of\n\$\\psi(3770)\\rightarrow D\\bar{D}\\rightarrow (V\_1V\_2)(K\\pi)\$ decays, which\nprovide a new way to measure the strong phase difference \$\\d

elta\$ between\nCabibbo-favored and doubly-Cabibbo suppressed \$D\$ decays required in the\ndetermination of the CKM angle \$\\gamma\$. We also build CP

(title, abstract, authors ...)

User request

**Parameters** 

extracted by LLM

Quary URL

to arXiv

Quary results

# Summary

- Al era is coming
  - It will not replace you but will help you to work more efficiently
- First Al agents for HEP **Dr. Sai** 
  - It can help people on data analysis (good accuracy for small task)
  - Many on-going studies to improve accuracy especially for complex task
  - Personpowers are more than welcome
- Next: more data, multi-modal, e.g. slides on indico, experts' feed back
  - Please use it for your analysis or personal projects
  - We want and need your expertise!

We are ahead of them but still

• Similar projects from other experiments:

have lots of works to be done!

- LHC: AccGPT (LLaMa), Al assistant for accelerator control
- ATLAS: <a href="mailto:chatLAS">chatLAS</a>(GPT), Al assistant for internal navigator, same with one component of Dr. Sai
- Outreach/education: <u>outreach assistant</u> (GPT), train people outside of HEP to analyze ATLAS open-data, same with one component of Dr. Sai

### What can Dr. Sai do for STCF

- STCF vs BESIII
  - Almost the same except for detector/software
- Dr. Sai is designed for multiple usage
  - Physics analysis is benchmark
    - Easily transfer from BESIII to STCF
  - Many other potential application
    - Software upgrade/development
    - Internal navigator
    - CDR/TDR preparation
    - ...

# back-up

# Example: fitting

#### **Prompt:**

"I want to do two things:

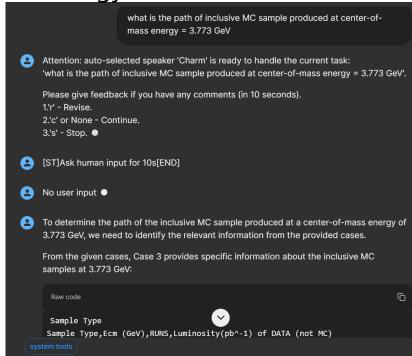
1. generate a fitting script to fit a Gaussian distribution from the output file 'fake\_data.root'. There is a TH1F name 'h\_gaus' in the file.

2. use Tester to execute this code."

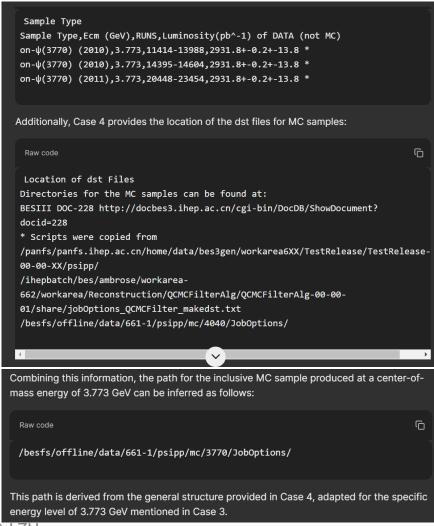
# Example: BESIII assistant

#### **Prompt:**

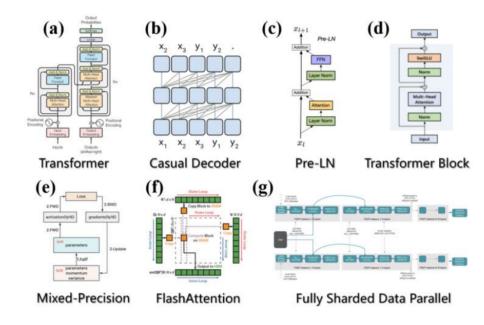
"what is the path of inclusive MC sample produced at center-of-mass energy = 3.773 GeV."



Please refer to Pan's talk for more details



#### Xiwu 2.0: Training techniques



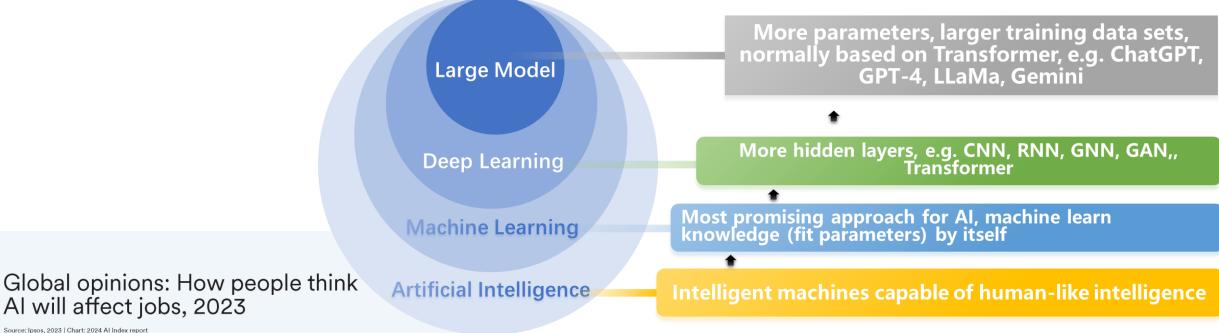
Reduce computational cost and training time, while improving model's performance

#### Frequently used techniques in LLM training

- Mixed-precision Training reduce memory usage and improve computational throughput without sacrificing model accuracy
- FlashAttention
   enhance floating-point operations per second (Flops)
- Fully Sharded Data Parallelism (FSDP)
   parallel training across multiple devices
- CPU-Offload offload certain computations from GPU to the CPU
- Torchrun Parallelism
   Training on single node with Multi-GPU
- Bitsandbytes
   vector quantization and mixed-precision decomposition
- Deepspeed utilize mixed precision, gradient checkpointing, and offloading memory to the host CPU
- ZeRO Series (ZeRO-2, ZeRO-3, ZeRO-offload)
   memory optimization strategies, such as activation
   checkpointing and optimizer state partitioning
- Low-Rank Adaptive (LoRA)
   Approximate the weight matrices with low-rank factors while preserving the model's representational capacity
   7

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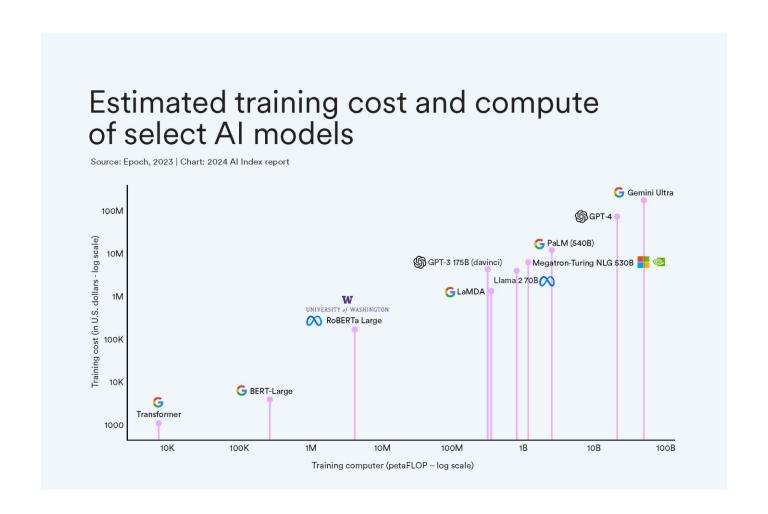
# Machine learning and Al



Al will change how you do 35% Not likely your current **57%** Likely iob in the next Al will replace 36% Likely your current 56% Not likely job in the next 2024 AI index report

the next industrial revolution

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This is a C++ code for a class called `Gam4pikp` which is used to analyze data from the BaBar experiment. The class contains several methods for filtering and sorting data, a swell as outputting results.

This is a C++ code for a data analysis algorithm called Gam4pikp. The algorithm is designed to analyze data from high-energy particle collisions and identify specific patterns of particles. The code appears to be a part of a larger program that is used to analy ze data from the Large Hadron Collider (LHC) at CERN.

This is the implementation of a R00T-based algorithm called 'Gam4pikp' which is used to analyze particle physics data. The algorithm is designed to identify and reconstruct e vents containing four-pion  $(4\pi)$  and four-kaon (4K) resonances, and to perform various calculations and data analysis tasks.

This is a C++ code for a particle physics analysis tool, specifically a program that an alyzes data from the Belle II experiment at the SuperKEKB collider. The code is designe d to identify and reconstruct particles produced in high-energy collisions, and to study the properties of these particles.

# Simple test: Dr. Sai vs GPT4

#### As expected, better performance in HEP

Q: 如何使用BOSS处理数据?

Answer from Dr.

Sai

Answer from

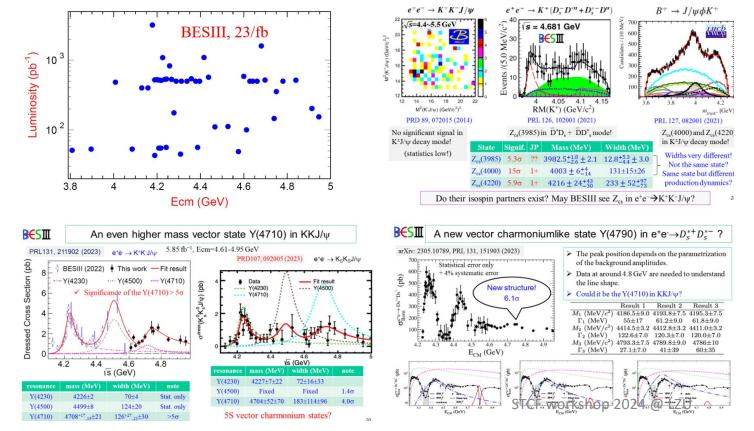
GPT-4



```
question = "如何使用BOSS处理数据"
   # question = "给出一个BOSS上进行模拟的例子"
   db names = ["particle physics basic concepts"]
   print(f"Question: {question}")
   print(f"Answer : ", end="")
   answer = request_model(prompt=question, db_names=db_names,
       终端 2
~ 终端
llm/chat in http.py
 ['hepai/chat', 'hepai/knowledge-DAO', 'openai/gpt-4', 'openai/gpt-3.5-turbo', 'meta/segment_anything_model', 'hepai/be
s-student-001'l
Question: 如何使用BOSS处理数据
Answer : 要使用BOSS处理数据,首先需要安装BOSS软件包并设置环境变量。然后,按照以下步骤进行数据处理:
1. 模拟:使用BOSS提供的模拟程序生成模拟数据。输入文件是一个配置文件,输出文件是一个.rtraw文件。
2. 重建: 使用BOSS提供的重建程序对模拟数据进行重建。输入文件是.rtraw文件,输出文件是一个.dst文件。
3. 分析: 使用BOSS提供的分析程序对重建数据进行分析。输入文件是.dst文件,输出文件是一个.root文件。
每一步的输出文件格式如下:
- 模拟: .rtraw文件是模拟数据的原始二进制文件。
- 重建: .dst文件是重建数据的二进制文件,包含了事件的重建信息。
- 分析: .root文件是ROOT格式的文件, 其中包含了重建数据的分析结果, 可以使用ROOT软件包进行进一步的分析和可视化。
请注意,以上是一般的BOSS数据处理流程和输出文件格式,具体的流程和文件格式可能会根据实际需求和使用的BOSS版本而有所不同。
建议参考BOSS的官方文档和示例程序以获取更详细的信息和指导。
 (langchain) → Re:Zc3900 git:(dev) X
```

# Status and prospects for Dr. Sai

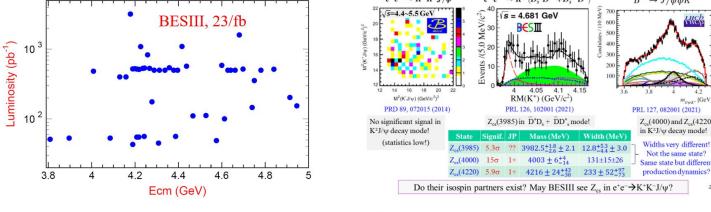
- XYZ studies at BESIII:
  - Many (intermediate) resonants, many decay channels -> interferences
  - Difficult to retrieve useful information correctly



How to understand them? what is the physics behind them?

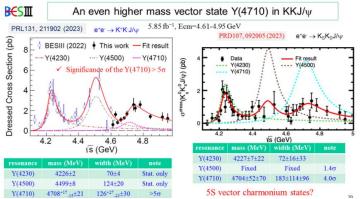
# Status and prospects for Dr. Sai

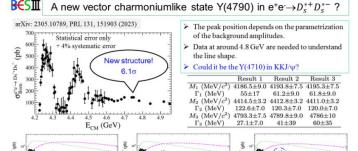
- XYZ studies at BESIII:
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How to understand them? what is the physics behind them?

As a experimental people, I don't know.





ALL channels should be one necessary condition.

how many papers published from BESIII

The number of papers published by the BESIII collaboration can vary over time as new research is conducted and published. As of my knowledge cutoff date in October 2023, I don't have the exact count of papers published by BESIII.

To get the most up-to-date and accurate information, you can:

- Visit the BESIII Collaboration Website: The official website of the BESIII collaboration may have a list of their publications or a link to their recent papers.
- 2. **Check Scientific Databases**: Use databases like the INSPIRE HEP (High Energy Physics) database, the arXiv preprint service, or journal publishers' websites to search for papers published by the BESIII collaboration.
- 3. **Contact the Collaboration Directly**: You can reach out to the BESIII collaboration or one of its representatives for detailed information on their publications.

These methods should provide the latest count and specifics of the academic papers published by the BESIII collaboration.

tion has published over 1,200 papers in peer-reviewed dings. This number is constantly growing as new data is

iment at the Beijing Electron Positron Collider (BEPC) in dying the properties of exotic hadrons and other aspects ation is one of the largest in the world, with over 1,000 itutions in 20 countries.

cover a wide range of topics, including the properties of tates, the search for new physics beyond the Standard c reactions and processes.

#### **Summary:**

- Production Channel:
  - e+e- collisions with ISR: (e^+ e^- \rightarrow \gamma \pi^\pm X(3872))
- Decay Channels:
  - Predominantly hadronic decays like ( X^\pm(3872) \rightarrow \pi^\pm J/\psi )
  - Radiative decays like ( X^\pm(3872) \rightarrow \gamma \pi^\pm J/\psi )
  - Multi-body decays where the final state includes charmonium.

By focusing on these channels, you can efficiently search for the charged partner of X(3872) at BESIII.