



# Towards a “bottom-up” construction of spin kinetic theory

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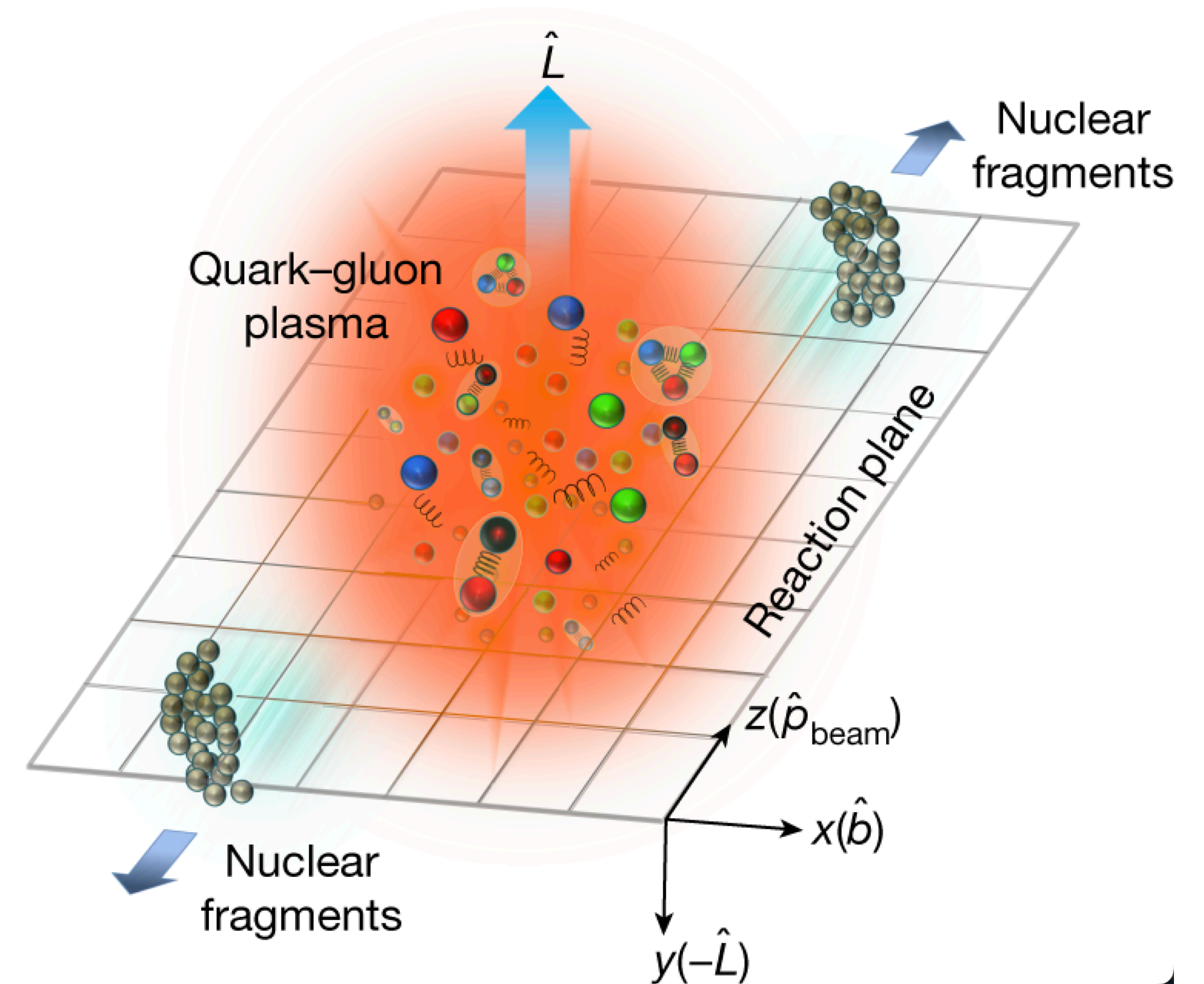
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# Introduction

# Heavy-ion Collision (HIC)

- Heavy-ion collision (HIC) creates QCD matter in extreme condition
- Spin observables in HIC
- Informative  $\Lambda$  hyperon polarization  
*Xin-Nian Wang, Zuo-Tang Liang, PRL05'; Becattini et al, Annals Phys 13'*
- Vector mesons ( $K^*$ ,  $\phi$ ,  $J/\psi$ )  
*e.g. STAR, 2204.02302; ALICE PRL 20', 2204.10171*
- an important way to probe the properties of QGP



# Quantum Kinetic Theory (QKT)

- Kinetic theory is an effective description of many-body systems
- “Top-down” approach is generally used
  - start from a microscopic theory
  - Derive EoM of the  $4 \times 4$  Wigner function (its Clifford coefficients)

*See Y. Hidaka, S. Pu, Q. Wang, DL, Yang,  
Prog. Part. Nucl. Phys. 127 (2022) as a review*

$$W(x, p) = \int_y e^{-ip \cdot y} \langle \psi(x_+) \bar{\psi}(x_-) \rangle, \quad x_{\pm} = x \pm \frac{y}{2}$$

- Clifford decomposition

$$W = \frac{1}{4} \left( \mathcal{F} \mathbf{1} + i\mathcal{P}\gamma^5 + \mathcal{V}^\mu \gamma_\mu + \mathcal{A}^\mu \gamma^5 \gamma_\mu + \frac{1}{2} \mathcal{S}^{\mu\nu} \sigma_{\mu\nu} \right)$$

# “Bottom-up” Methodology

- Effective description of low-energy properties of a many-body system
  1. identifying the relevant slow d.o.f., collectively denoted by  $\chi$ ;
  2. constructing the equation of motion for  $\chi$ ;
  3. expressing other fast observables in term of  $\chi$  and the resulting expression is referred to as the constitutive relation.

*Largely inspired by Jingyuan Chen, Dam T. Son, Annals Phys. 377 (2017)*

- Massless particle: distribution  $f(t, \mathbf{x}; \mathbf{p})$  and helicity distribution  $f_A(t, \mathbf{x}; \mathbf{p})$ ;
- Massive particle: distribution  $f(t, \mathbf{x}; \mathbf{p})$  and spin distribution  $\mathbf{s}(t, \mathbf{x}; \mathbf{p})$ . [4 d.o.f.]
- In massless limit,  $\mathbf{s} \propto \hat{\mathbf{p}}$ , where the helicity distribution  $f_A = \hat{\mathbf{p}} \cdot \mathbf{s}$ .

# Spin Kinetic Theory (SKT)

# Massless EoM as a Heuristic

- Assume  $\tau_R^{-1} \ll \omega, q \ll T_{\text{eff}}$  (ignore collision; insure the gradient expansion)
- Kinetic equations up to  $\mathcal{O}(\partial)$  and  $\mathcal{O}(\phi)$ ,  $\phi = A_\mu, h_{\mu\nu}$

$$\left(\partial_t + \boldsymbol{v} \cdot \partial_{\boldsymbol{x}} + \boldsymbol{F}(\boldsymbol{x})\right) f(t, \boldsymbol{x}; \boldsymbol{p}) = 0$$

$$(\partial_t + \boldsymbol{v} \cdot \partial_{\boldsymbol{x}}) f_A(t, \boldsymbol{x}; \boldsymbol{p}) - (\partial_{\boldsymbol{x}} \Phi_A) \cdot \partial_{\boldsymbol{p}} f(t, \boldsymbol{x}; \boldsymbol{p}) = 0$$

where  $\boldsymbol{v} = \boldsymbol{p}/E_p$  with  $E_p$  the single particle energy.

- $\boldsymbol{F}$ : spin independent force
- $\Phi_s$ : energy shift of different helicity states generated by external field  $\phi$ ,  
 $\Phi_A = \Phi_+ - \Phi_-$ .



# Kinetic Equation in SKT

- In massive case, the EoM of  $f$  is the same as massless limit; the EoM of  $f_A$  should be replaced by that of  $s$
- *We propose* the EoM for  $s$

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}}) s(t, \mathbf{x}; \mathbf{p}) - \partial_i \mathbf{\Pi}(\phi, \mathbf{p}) \partial_p^j f(t, \mathbf{x}; \mathbf{p}) = 0$$

where  $\mathbf{\Pi} = \sum_{\tau} \lambda_{\tau}(\mathbf{p}) X_{\tau}(\phi, \mathbf{p})$  is a combination of axial vectors constructed

by the gradient of the external fields. For example, when  $\phi$  is electromagnetic field we have,

$$X_{\tau}^i(\phi, \mathbf{p}) = \{B^i, \Delta_{\mathbf{v}}^{ij} B_j, (\mathbf{v} \times \mathbf{E})^i\}, \quad \Delta_{\mathbf{v}}^{ij} \equiv \mathbf{v}^2 \delta^{ij} - v^i v^j$$

# Constitutive Relation

- According to the methodology of the effective theory, the constitutive relation should contain all possible terms (with right parity) constructed by  $f, s, \phi, p$ .
- The constitutive relation contains two parts

$$J^\mu = \boxed{v^\mu f + \dots} + \text{direct response to } \phi \text{ in terms of } n_F (n'_F) \text{ and } \phi$$

↓  
kinetic equation

eg. Hall conductivity

The functional relation  $J^\mu[f]$  is general

*Largely inspired by Jingyuan Chen, Dam T. Son, Annals Phys. 377 (2017)*

# Constitutive Relation

- Vector Wigner function:  $-\mathcal{V}^\mu = v^\mu f + (\Delta\mathcal{V})_{\text{ext}}^\mu$

where  $(\Delta\mathcal{V})_{\text{ext}}^\mu$  is a combination of vectors constructed by the external fields and should be parity odd.

- Axial Wigner function:  $\mathcal{A}^i(f, \mathbf{s}, \phi; \mathbf{p}) = s^i + \mu_0(\mathbf{p})\epsilon^{ijk}v_j\partial_k f + (\Delta\mathcal{A})_{\text{ext}}^i$

where  $\mu_0$  are some momentum-dependent coefficients. Similar to  $\mathbf{\Pi}$ ,

$$(\Delta\mathcal{A})_{\text{ext}} = \sum_{\tau} \kappa_{\tau}(\mathbf{p})X_{\tau}(\phi, \mathbf{p})$$

- In parallel we have  $\mathcal{A}^0 = f_A + (\Delta\mathcal{A}^0)_{\text{ext}}, \quad f_A = \mathbf{v} \cdot \mathbf{s}.$

# Response to EM field

- Electromagnetic force  $\mathbf{F}_{\text{EM}} = \mathbf{E} + \mathbf{v} \times \mathbf{B}$
- Expand  $f$  around equilibrium  $n_F(\mathbf{p})$  (Fermi-Dirac distribution)  
 $f = 2n_F + \delta f$ ,  $\delta f \sim \mathcal{O}(\phi)$ ,  $s \sim \mathcal{O}(\phi)$ , then the EoM up to  $\mathcal{O}(\phi)$  is

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}}) \delta f + \mathbf{E} \cdot \mathbf{v} n'_F = 0$$

$$(\partial_t + \mathbf{v} \cdot \partial_{\mathbf{x}}) s - \Pi \Delta \varepsilon n'_F = 0$$

- The solution in momentum space are

$$\delta f = 2D_F \mathbf{v} \cdot \mathbf{E}, \quad s = -2iD_F (\mathbf{q} \cdot \mathbf{v}) \Pi, \quad \text{with } D_F = \frac{-in_F}{\omega - \mathbf{q} \cdot \mathbf{v} + i\epsilon}$$

**Linear Response matches SKT**

# Covariant Wigner Function

- Covariant two-point correlation function with gauge link (up to  $\mathcal{O}(\partial)$ ,  $\mathcal{O}(\phi)$ )

$$S(x, y; \beta, \phi) = \langle U(x, x_+; \phi) \psi(x_+) \bar{\psi}(x_-) U(x_-, x; \phi) \rangle$$

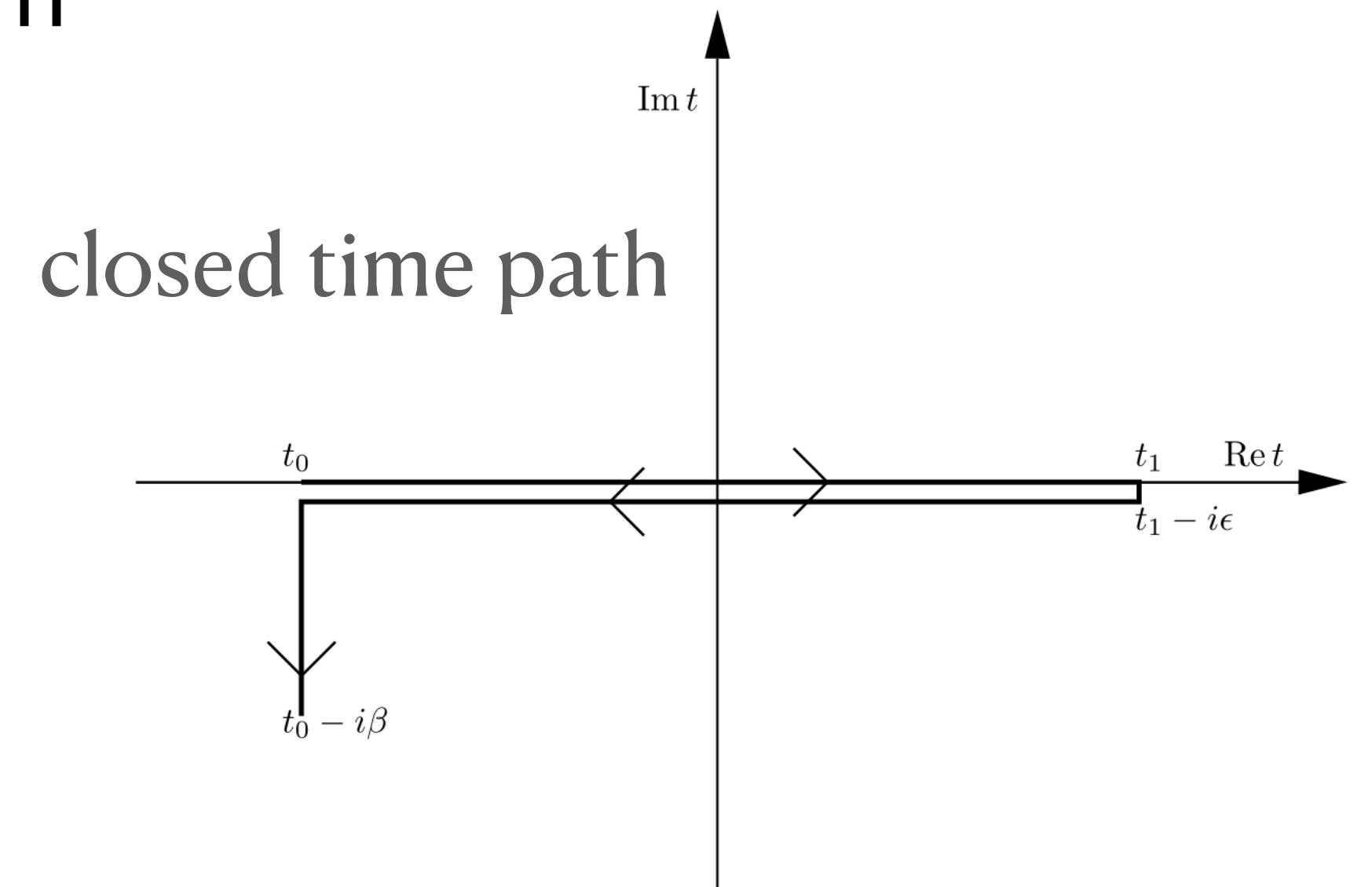
using field theory in Schwinger-Keldysh formalism

- Invariant under  $U(1)$ :  $A_\mu \rightarrow A_\mu + \partial_\mu \theta$

- Covariant under GCT:  $x \rightarrow x - \xi(x)$

and LLT:  $e_I^\mu \rightarrow e_I^\mu + \Omega_I^J e_J^\mu$

- The covariant Wigner function:  $W(x, p) = \int_y \sqrt{-g} e^{-ip \cdot y} S(x, y; \beta, \phi)$



# Response to EM field

- $W_\phi = W_0 + G_R\phi + L_\phi\phi$  (1-Loop level), retarded correlation + gauge link
- 1-loop calculation gives the vector Wigner function

$$-\mathcal{V}^\mu = 2v^\mu n_F + v^\mu D_F \mathbf{v} \cdot \mathbf{E} = v^\mu (2n_F + \delta f) = v^\mu f$$

which matches the solution of EoM. And the axial Wigner function

$$\mathcal{A}^0 = \mathbf{v} \cdot \mathbf{s} + \kappa_3 \mathbf{v} \cdot \mathbf{B}$$

$$\mathcal{A}^i = s^i + \mu_0 \epsilon^{ijk} v_j \partial_k f + \kappa_2 \Delta_v^{ij} B_j + \kappa_3 (\mathbf{v} \times \mathbf{E})^i$$

with  
in  $\mathbf{s}$ , and

$$\lambda_1 = -\lambda_2 = -1/(2E_p), \lambda_3 = 0$$

$$\mu_0 = 1/E_p, \kappa_1 = 0, \kappa_2 = n'_F/E_p, \kappa_3 = n_F/E_p^2$$

# Response to Weak Gravity

- Gravitational “force”:  $F_i = dp_i/dt = \Gamma_{i\mu}^{\rho} p^{\mu} p_{\rho} / E_p$
- Choose a coordinate s.t.  $h_{00} = h_{0j} = 0$ ,  $h_{jk} \neq 0$ , and define

$$a_i \equiv h_{ij} p^j / 2, \quad e_i \equiv \partial_t a_i, \quad b_i \equiv \epsilon^{ijk} \partial_j a_k$$

The results are very similar to the response to EM field.

$$-\mathcal{V}^{\mu} = v^{\mu} f - n_F (2h^{\mu\nu} v_{\nu} - \delta_i^{\mu} v^i h_{\nu\rho} v^{\nu} v^{\rho})$$

$$\mathcal{A}^0 = \mathbf{v} \cdot \mathbf{s}$$

$$\mathcal{A}^i = s^i + \mu_0 \epsilon^{ijk} v_j \partial_k f + \kappa_2 \Delta_{\mathbf{v}}^{ij} b_j$$

with

in  $\mathbf{s}$ , and

$$\lambda_1 = -\lambda_2 = -1/(2E_p), \quad \lambda_{3\sim 7} = 0$$

$$\mu_0 = 1/E_p, \quad \kappa_1 = 0, \quad \kappa_2 = n'_F/E_p, \quad \kappa_{3\sim 7} = n_F/E_p^2$$



# Covariant Kinetic Equation

- The EoM of  $f$  given by horizontal lift derivative  $D_\mu = \partial_\mu + \Gamma_{\mu\nu}^\rho p_\rho \partial_p^\nu$

*O.A. Fonarev, J. Math. Phys. 35 (1994)*

*Y.-C. Liu, L.-L. Gao, K. Mameda and X.-G. Huang, Phys. Rev. D 99 (2019)*

$$v^\mu D_\mu f = v^\mu (\partial_\mu + \Gamma_{\mu\nu}^\rho p_\rho \partial_p^\nu) (n_F + \delta f) = 0$$

- In the EoM of  $s$ ,  $\Pi^i$  is not covariant since the first order derivative of  $h_{\mu\nu}$  is not covariant, so we **replace**  $\partial_j \Pi^i$  with tensor  $\Pi_j^i = \sum_\tau \lambda'_\tau(\mathbf{p}) (X_\tau)^i_j(\phi, \mathbf{p})$ ,

a combination of tensors constructed by the (**second order**) gradient of the external fields, which should be parity odd since  $s$  is parity even. The curvature is the second order derivative of  $h_{\mu\nu}$  and covariant.

$$(\partial_t + \mathbf{v} \cdot \partial_x) s^i(t, \mathbf{x}; \mathbf{p}) - \Pi_j^i(\phi, \mathbf{p}) \partial_p^j f(t, \mathbf{x}; \mathbf{p}) = 0$$

# Response to Weak Gravity

- $\delta f = iE_p D_F \omega h_{\mu\nu} v^\mu v^\nu, \quad -\mathcal{T}^\mu = v^\mu f - n_F (2h^{\mu\nu} v_\nu - \delta_i^\mu v^i h_{\nu\rho} v^\nu v^\rho)$

- $s^i = -D_F \Pi_j^i v^j$ , with

$$(X_\tau)^i_j = \{ \epsilon^{ijk} R_{jk0l}, \Delta_v^{im} \epsilon^{mjk} R_{jk0l}, \epsilon^{ijk} v_j R_{0k0l}, \epsilon^{ijk} v_j R_{0k} v_l, \epsilon^{ijk} v_j R_{kl} \}$$

- Axial Wigner function
 
$$\mathcal{A}^0 = \mathbf{v} \cdot \mathbf{s}$$

$$\mathcal{A}^i = s^i + \mu'_0 \epsilon^{ijk} v_j D_k f$$

with  $\lambda_1 = -\lambda_2 = i/2, \lambda_{3\sim 5} = 0, \mu'_0 = 1/(2E_p).$

$(\mathcal{A})_{\text{ext}}^\mu$  is forbidden in  $\mathcal{O}(\partial)$  by covariance. (Again,  $R \sim \mathcal{O}(\partial^2)$ )

# Summary and Outlook

# Summary

- We construct an effective “bottom-up” SKT and confirm this approach in finite temperature under EM field and weak gravity, using field theory up to 1-loop level.
- SKT has less d.o.f. ( $f$  &  $s$ , 4 in total) than traditional QKT.
- The EoM in SKT is simple and easy to solve.
- SKT covers all the possible structure (the spirit of effective theory).
- Outlook:
  1. A unified covariant EoM of  $s$ ;
  2. More constrain to the EoM and the constitutive relation.
  3. SKT is hopeful to become a complement of QKT.

**THANK YOU!**

# Back Up

# Schwinger-Keldysh Formalism

- Closed time path (CTP)
- $r/a$  basis: for arbitrary operator  $\hat{O}$

$$\hat{O}_r = \frac{1}{2}(\hat{O}_1 + \hat{O}_2), \quad \hat{O}_a = \hat{O}_1 - \hat{O}_2.$$

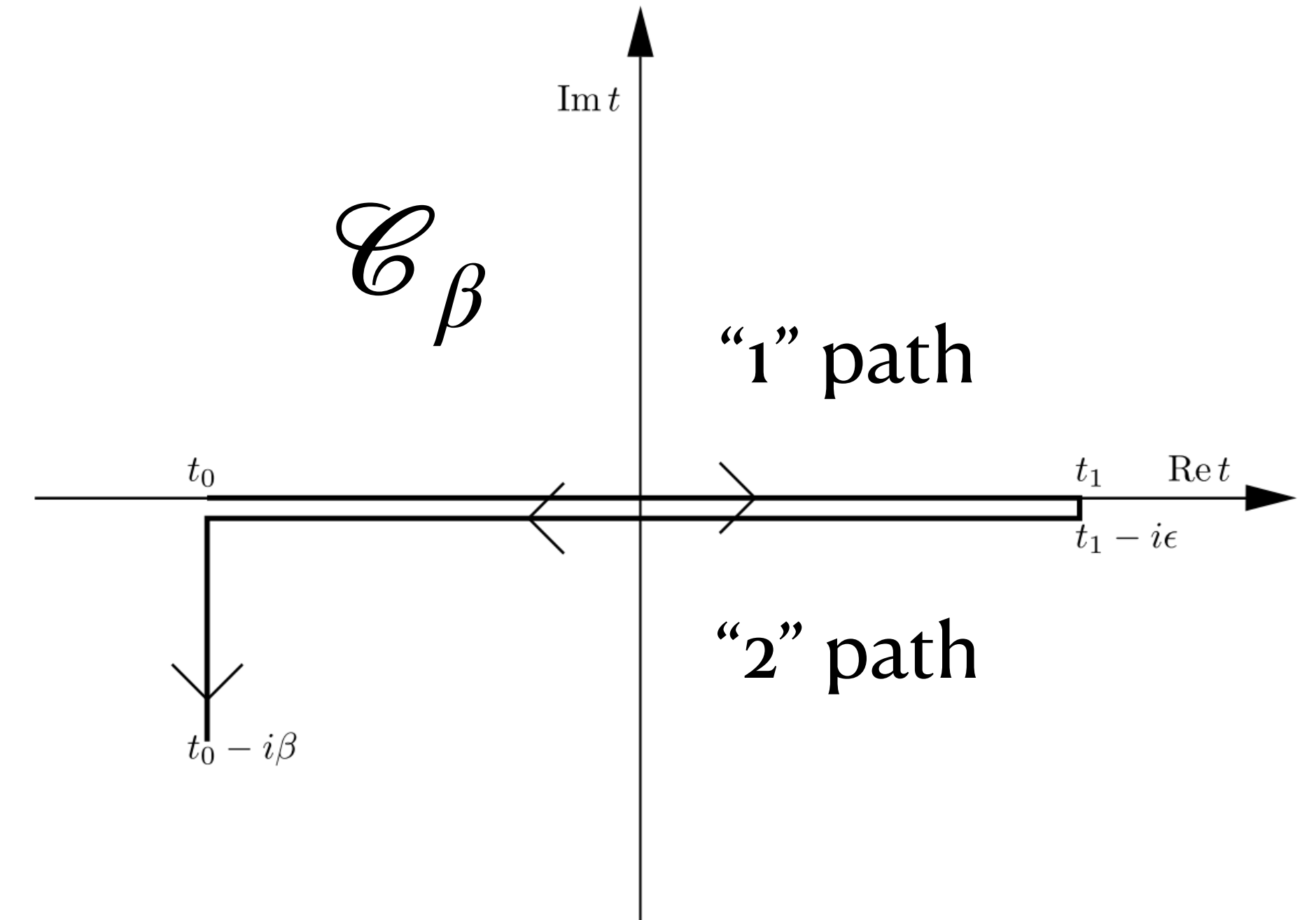
- $r$  – *field*: averaged behavior (classical)

$a$  – *field*: stochastic effects (quantum)

- Expectation value

$$\langle \hat{O} \rangle = \frac{1}{Z} \int_{\mathcal{C}_\beta} \mathcal{D}\psi \hat{O} e^{iI[\psi, \phi]}$$

$\phi$  is the external field  $(A_\mu, g_{\mu\nu}/e_I^\mu)$ .



# Relevant Transformations

- EM field  $A_\mu$ 
  - $U(1)$  gauge transformation:  $\psi' = \psi e^{-i\theta(x)}$ ,  $A'_\mu = A_\mu + \partial_\mu \theta$
- Weak gravity  $g_{\mu\nu} = \eta_{\mu\nu} + h_{\mu\nu}$ ,  $h_{\mu\nu} \ll 1$

- general coordinate transformation (GCT)

$$\tilde{x}(x) = x - \xi(x), \quad \tilde{g}_{\mu\nu}(\tilde{x}) = g_{\mu\nu}(x) + 2\partial_{(\mu}\xi_{\nu)}, \quad \psi(x) = \tilde{\psi}(\tilde{x})$$

- local Lorentz transformation (LLT)

$$\hat{e}_I^\mu = e_I^\mu + \Omega_I^J e_J^\mu, \quad \hat{\psi}(x) = e^{-\frac{i}{2}\Omega_{IJ}S^{IJ}} \psi(x), \quad S^{IJ} \equiv \frac{i}{4}\{\gamma^I, \gamma^J\}$$



# Gauge Link

- Covariant two-point correlation function (up to  $\mathcal{O}(\partial)$ ,  $\mathcal{O}(\phi)$ )

$$S(x, y; \beta, \phi) = \langle U(x, x_+; \phi) \psi(x_+) \psi(x_-) U(x_-, x; \phi) \rangle$$

The gauge link is defined as

$$U(x_1, x_2; \phi) = \exp \left[ \int_{x_1}^{x_2} dz^\mu C_\mu^\phi(z) \right]$$

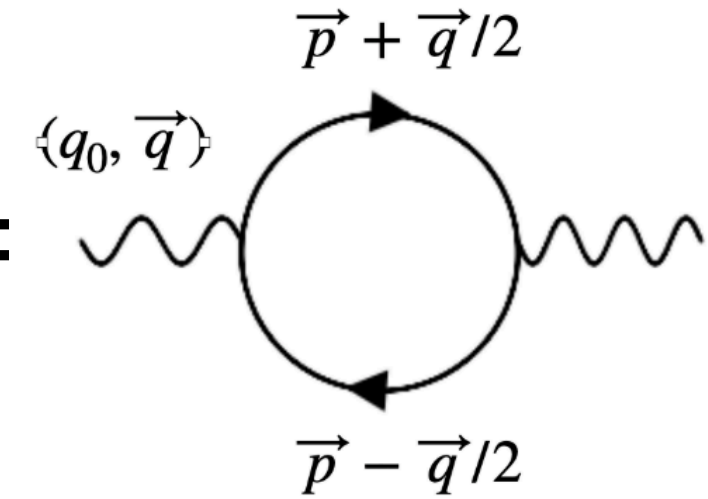
$C_\mu^\phi$  is the connection.  $\phi = A_\mu$ ,  $C_\mu^\phi = iA_\mu$ ;  $\phi = e_I^\mu$ ,  $C_\mu^\phi = \Gamma_\mu$  (spin connection).

- The covariant Wigner function:  $W(x, p) = \int_y \sqrt{-g} e^{-ip \cdot y} S(x, y; \beta, \phi)$

# 1-Loop and Gauge Link

- $W_\phi = W_0 + G_R \phi + L_\phi \phi, \quad \phi = A_\mu, h_{\mu\nu}$

- $G_R$  is the retarded correlation.  $G_R \sim \langle \psi_r(x_+) \bar{\psi}_r(x_-) j_a \rangle =$



with  $j$  the current coupling to the external field  $\phi$ .

- $L_\phi$  is the contribution from the gauge link.

- Ward identity

$$U(1): (G_R^\mu + L_A^\mu) \partial_\mu \theta = 0 \Rightarrow q_\mu (G_R^\mu + L_A^\mu) = 0.$$

$$\text{GCT: } (G_R^{\mu\nu} + L_h^{\mu\nu} - p^\nu \partial_p^\mu W_0 - \bar{G}^{\mu\nu}) \partial_\mu \xi_\nu = 0 \Rightarrow \dots$$