

# QCD sum rules for hadron spin decomposition

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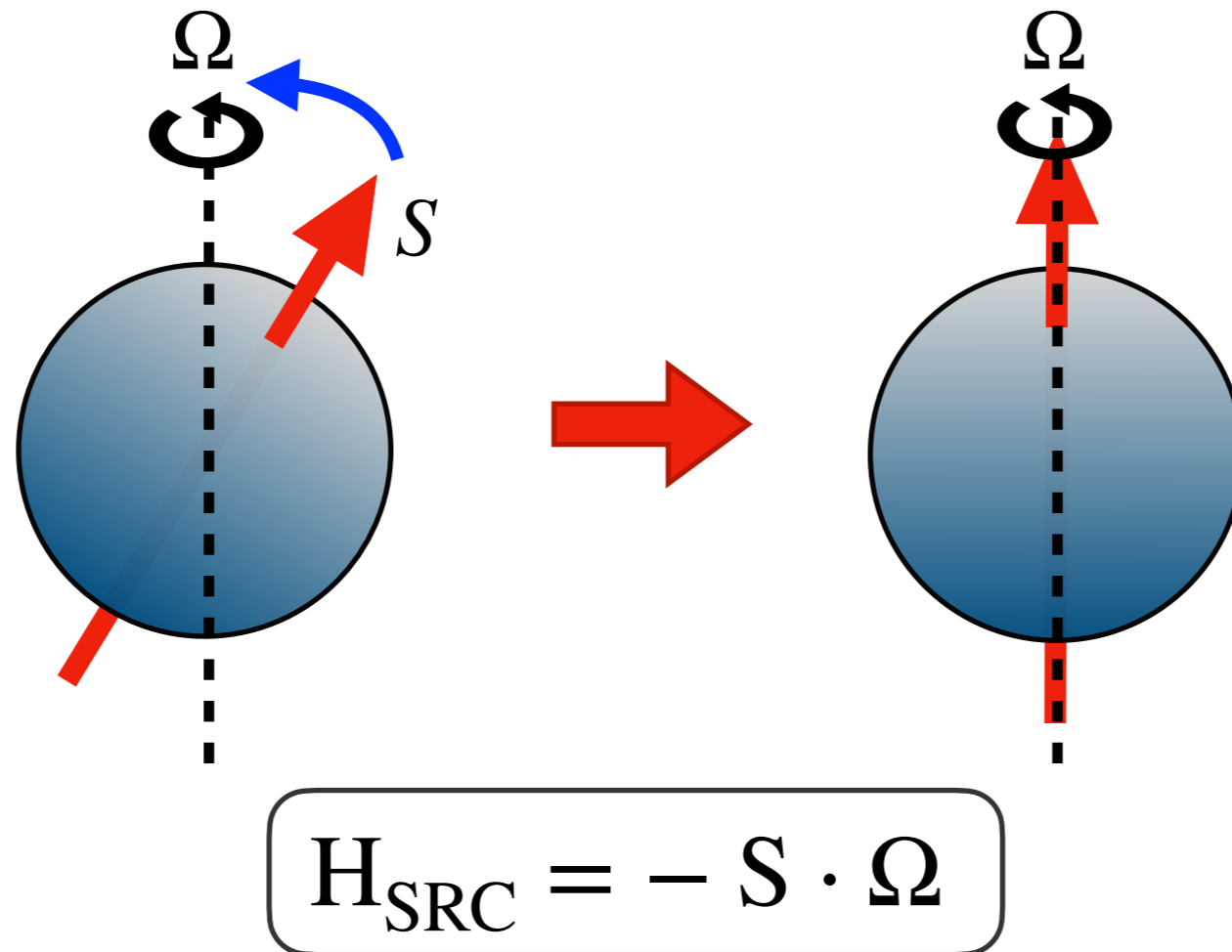
collaborators:  
Su Houng Lee  
Sungtae Cho  
Chihiro Sasaki  
Philipp Gubler

Hyungjoo Kim

WPI-SKCM<sup>2</sup>, Hiroshima University

@PacificSpin2024, Hefei, China, 2024.11.12

# Spin-Rotation Coupling(SRC)



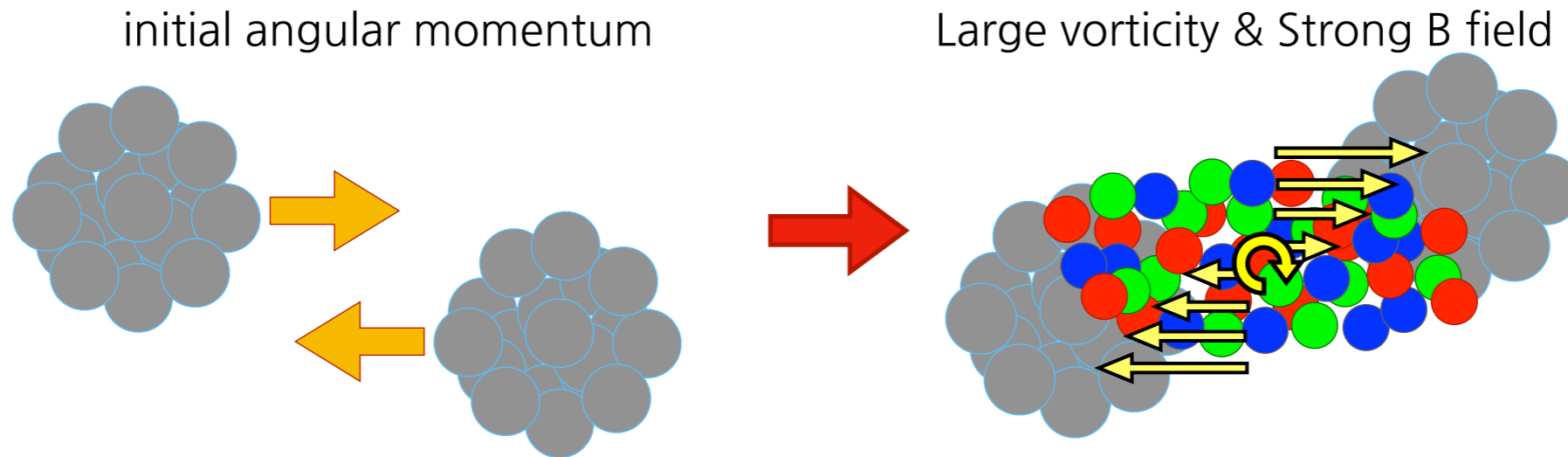
Q. SRC is valid for any spin? for any composite particle?

=> Spin decomposition of

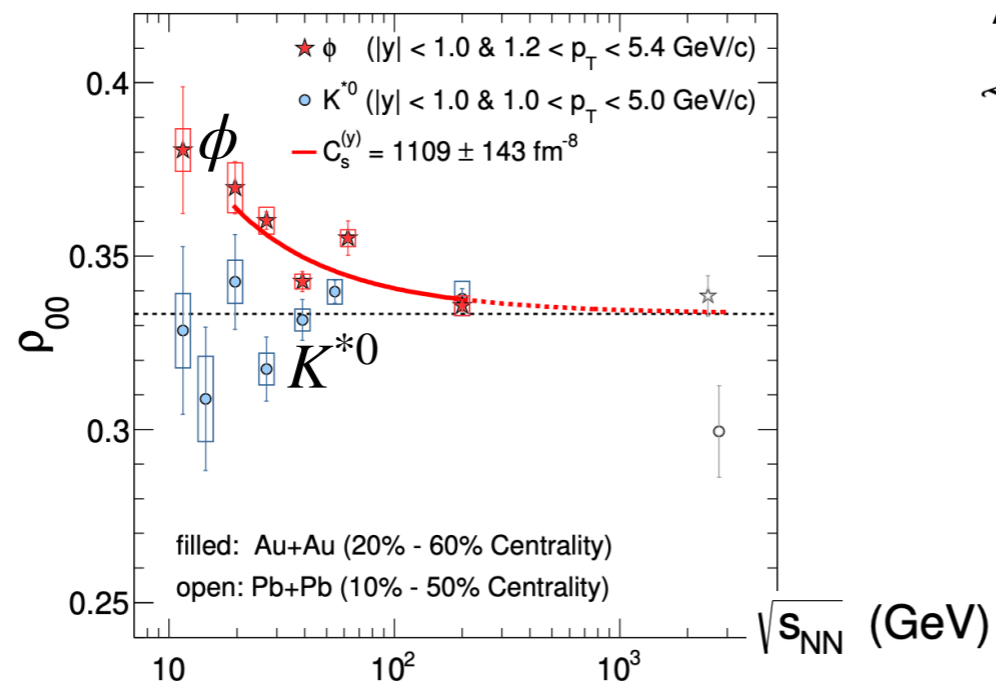
- Spin-1 quarkonium
- Spin-1/2 proton (on-going)

# Vector meson spin alignment

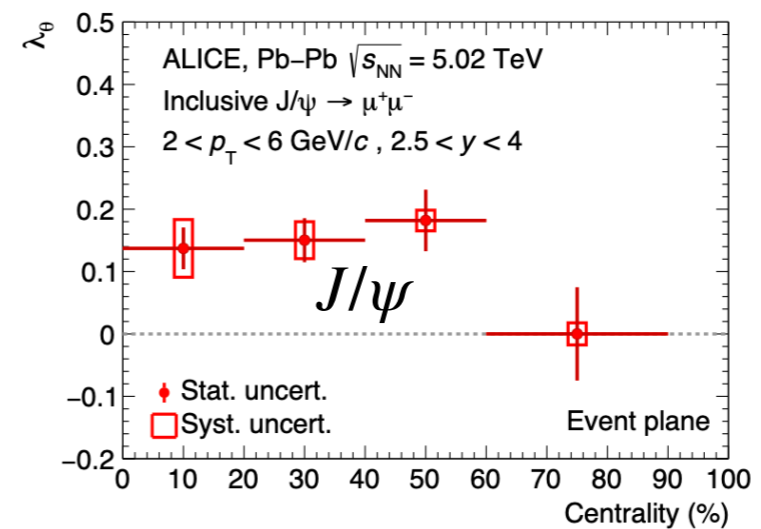
In non-central HICs,



STAR, arXiv:2204.02302 (2022)



ALICE, arXiv:2204.10171 (2022)



=> Detailed mechanism is complex and still not clearly understood.

# G.R. based derivations for SRC

- Spin-1/2: Dirac eq. in a rotating frame using G.R.

$$\left[ i\cancel{\partial}_x + g\cancel{A}(x) + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega} - m \right] \Psi(x) = 0 \text{ where } \boldsymbol{\Sigma} = \gamma^0(\mathbf{S} + \mathbf{L})$$

$$\Rightarrow H_{\text{SRC}} = -\mathbf{S} \cdot \boldsymbol{\Omega} \text{ for spin-1/2}$$

- Spin-1: No strict derivation based on G.R. until recently
- ***PRD102(2020)12,125028*** - J.Kapusta, E.Rrapaj, S.Rudaz
  - Proca eq. for massive spin-1 particle using G.R.
  - $H_{\text{SRC}} = -\frac{1}{2} \mathbf{S} \cdot \boldsymbol{\Omega}$  for spin-1!
  - contradictory to naive expectation and quark model

- **Motivation**

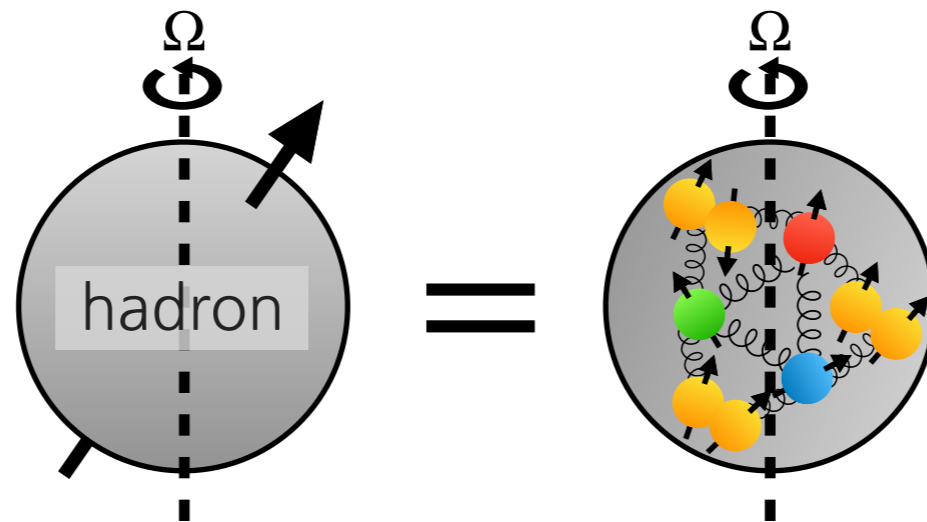
$\Rightarrow$  Clarify the strength of SRC for spin-1 particle in a different way!

# Outline

- We study SRC of (the simplest) spin-1 heavy  $Q\bar{Q}$  system
- Introduce a free parameter “ $g_\Omega$ ” which indicates the strength of SRC,

$$H_{\text{SRC}} = -g_\Omega \mathbf{S} \cdot \boldsymbol{\Omega}$$

- “Total SRC = All reaction of quark + gluon in a rot frame”



- We prove that  $g_\Omega = g_\Omega^{\text{quark}}(Q^2) + g_\Omega^{\text{gluon}}(Q^2) = 1$  for spin-1  $Q\bar{Q}$  system
- Each component of  $g_\Omega$  carried by quarks and gluons = Spin content
- We study spin contents of  $J/\psi$ ,  $\Upsilon(1S)$  for  $V$  and  $\chi_{c1}, \chi_{b1}$  for  $AV$

# How to extract $g_{\Omega}$ ?

1. Describe the correlation function in a rotating frame

$$\Pi^{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T \{ j^{\mu}(x) j^{\nu}(0) \} | 0 \rangle$$

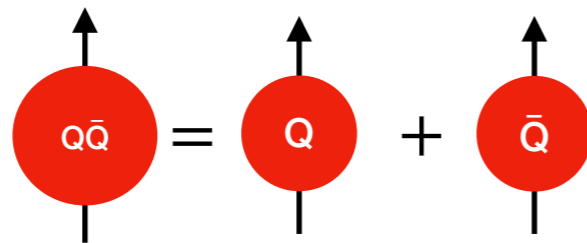
# How to extract $g_\Omega$ ?

1. Describe the correlation function in a rotating frame

$$\Pi^{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T \{ j^\mu(x) j^\nu(0) \} | 0 \rangle$$

$$\epsilon_\mu^+ = (0, 1, i, 0) / \sqrt{2}$$

2. Pick out a right circularly polarized state  $\Rightarrow \Pi^+(\omega) = \epsilon_\mu^+ \epsilon_\nu^{+*} \Pi^{\mu\nu}(\omega, 0)$



$$|11\rangle = \left| \frac{1}{2} \frac{1}{2} \right\rangle + \left| \frac{1}{2} \frac{1}{2} \right\rangle$$

$$|10\rangle = \left| \frac{1}{2} \frac{1}{2} \right\rangle + \left| \frac{1}{2} -\frac{1}{2} \right\rangle$$

$$|1-1\rangle = \left| \frac{1}{2} -\frac{1}{2} \right\rangle + \left| \frac{1}{2} -\frac{1}{2} \right\rangle$$

Energy of  $|11\rangle$  will be shifted by  $-\Omega$  i.e.  $\omega \rightarrow \omega - \Omega$

# How to extract $g_{\Omega}$ ?

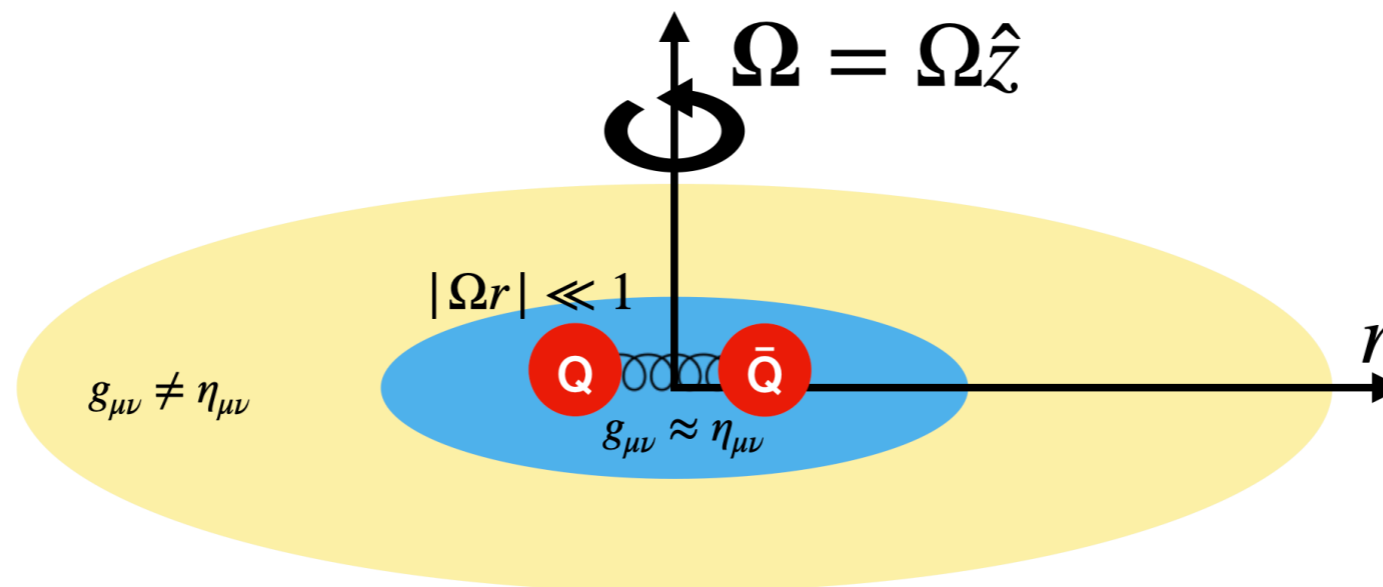
1. Describe the correlation function in a rotating frame

$$\Pi^{\mu\nu}(q) = i \int d^4x e^{iqx} \langle 0 | T \{ j^{\mu}(x) j^{\nu}(0) \} | 0 \rangle$$

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2. Pick out a right circularly polarized state  $\Rightarrow \Pi^{+}(\omega) = \epsilon_{\mu}^{+} \epsilon_{\nu}^{+*} \Pi^{\mu\nu}(\omega, 0)$

3. Put the system at the center of the rotation  $\Rightarrow q_{\mu} = (\omega, \vec{0})$



- No external OAM
- Expand  $\Omega$  linear term

$$ds^2 = g_{\mu\nu} x^{\mu} x^{\nu} = -dt^2 + d\mathbf{r}'^2 = (-1 + (\mathbf{\Omega} \times \mathbf{r})^2) dt^2 + 2(\mathbf{\Omega} \times \mathbf{r}) d\mathbf{r} dt + d\mathbf{r}^2$$



# How to extract $g_\Omega$ ?

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4. Up to linear terms in  $\Omega$

$$\Pi^+(\omega) = \omega^2 \Pi^{vac}(\omega^2) + \omega \Pi^{rot}(\omega^2) \Omega + \mathcal{O}(\Omega^2)$$

$\Pi^{vac}$  : ordinary vacuum invariant ftn. vacuum properties ex) mass

$\Pi^{rot}$  : new function appearing in a rotating frame. spin information

# How to extract $g_\Omega$ ?

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$\Pi^{vac}$  : ordinary vacuum invariant ftn. vacuum properties ex) mass

$\Pi^{rot}$  : new function appearing in a rotating frame. spin information

5. Extract  $g_\Omega$  by comparing two different descriptions of  $\Pi^{rot}$

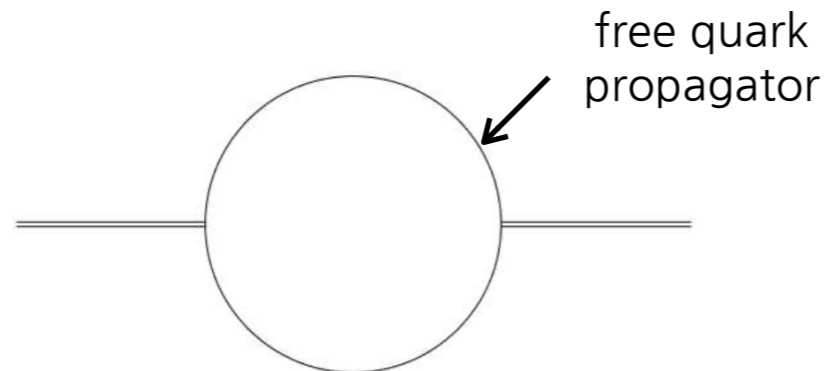
(a) Directly compute Feynman diagrams in a rotating frame

(b) Phenomenological derivation from  $\Pi^{vac}$

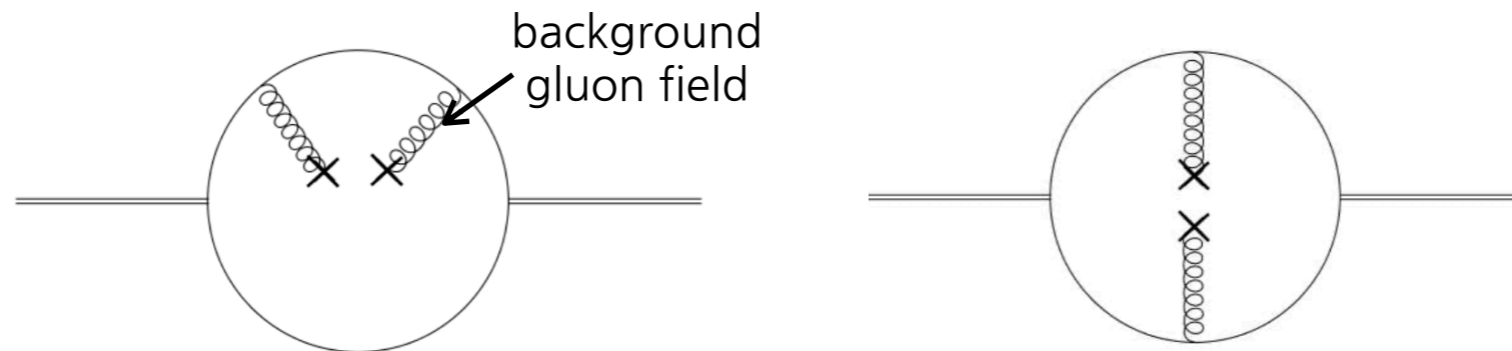
# Method (a) - direct computation in a rotating frame

## Feynman diagrams in Operator Product Expansion (OPE)

- Leading perturbative diagram



- Leading non-perturbative diagrams : Gluon condensates  $\langle (\alpha_s/\pi)G^2 \rangle$



- Compute in an inertial frame  $\rightarrow \Omega$  independent terms  $\rightarrow \Pi^{vac}$
- Compute in a rotating frame  $\rightarrow$  collect  $\Omega$  linear terms  $\rightarrow \Pi^{rot}$

# Quarks in a rotating frame

- Recall Dirac eq. in a rotating frame

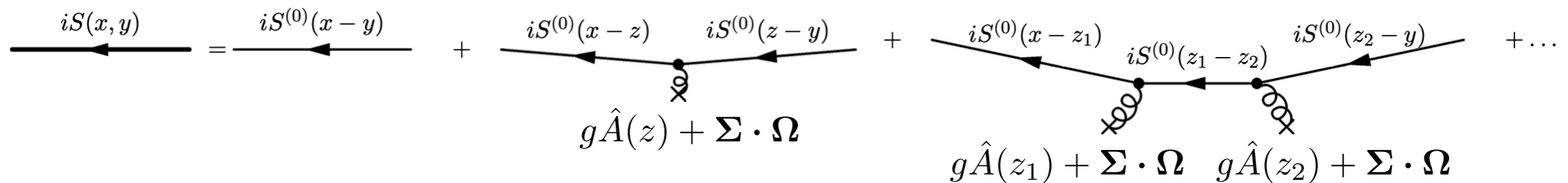
$$\left[ i\cancel{\partial}_x + g\hat{A}(x) + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega} - m \right] \Psi(x) = 0 \quad \text{where} \quad \boldsymbol{\Sigma} = \gamma^0(\mathbf{S} + \mathbf{L})$$

- Quark propagator in a rotating frame

$$\left[ i\cancel{\partial}_x + g\hat{A}(x) + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega} - m \right] S(x) = \delta(x)$$

- It is difficult to find full propagator
- We can expand in terms of 'g' and 'Ω'

$$S^{\text{full}} \approx S^{(0)} + S^{(0)} [g\hat{A} + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega}] S^{(0)} + S^{(0)} [g\hat{A} + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega}] S^{(0)} [g\hat{A} + \boldsymbol{\Sigma} \cdot \boldsymbol{\Omega}] S^{(0)} + \dots$$



# Gluons in a rotating frame

- Covariant derivatives in curved space-time ( $\Gamma_{bc}^a$  : Christoffel symbols)

$$D_c G_{ab} = \partial_c G_{ab} - \Gamma_{ca}^d G_{db} - \Gamma_{cb}^d G_{ad}$$

- Fock-Schwinger gauge ( $x^\mu A_\mu = 0$ ) in curved space-time

$$\begin{aligned} A_\mu(x) &= -\frac{1}{2}x^\nu G_{\mu\nu} - \frac{1}{3}x^\nu x^\alpha \partial_\alpha G_{\mu\nu} + \dots \\ &= -\frac{1}{2}x^\nu G_{\mu\nu} - \frac{1}{3}x^\nu x^\alpha \underline{D}_\alpha G_{\mu\nu} - \frac{1}{3}x^\nu x^\alpha (\Gamma_{\alpha\mu}^d G_{d\nu} + \Gamma_{\alpha\nu}^d G_{\mu d}) + \dots \end{aligned}$$

additional contribution in curved space-time

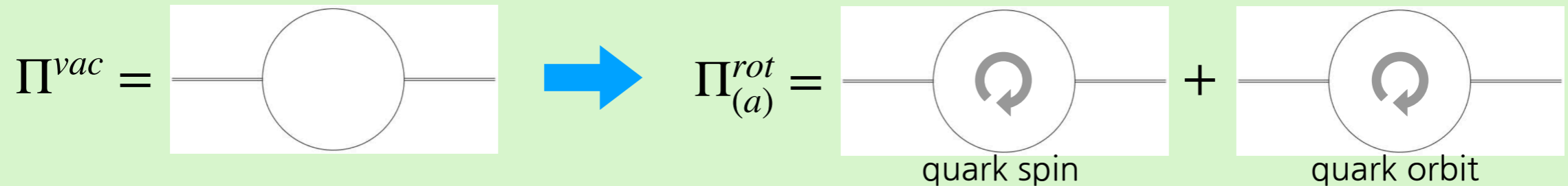
- $\Gamma_{01}^2 = \Omega$ ,  $\Gamma_{02}^1 = -\Omega$  in a rotating frame.

$$A_\Omega(x) = -\frac{1}{3}x^\nu x^\alpha \gamma^{\mu\nu} (\Gamma_{\alpha\mu}^d G_{d\nu} + \Gamma_{\alpha\nu}^d G_{\mu d}) \propto \vec{x} \times (\vec{E} \times \vec{B}) \cdot \Omega = J_g \cdot \Omega$$

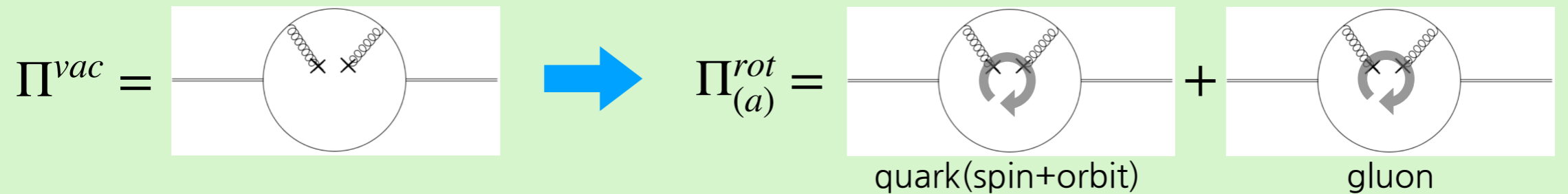
- Kapusta et al. thought that  $D_c G_{ab} = \partial_c G_{ab}$  in a rotating frame.  
(Their result might be wrong)

# Spin decomposition of method (a)

perturbative



non-perturbative



In a rot frame, we can compute  $\Pi_{(a)}^{rot}$  using

$$S_{\text{quark}} \approx S^{(0)} + S^{(0)} \left[ \underbrace{gA_{\Omega} + \Sigma \cdot \Omega}_{(S_q + L_q + J_g) \Omega} \right] S^{(0)} + \dots$$

We can decompose the given diagrams into quark and gluon AM contributions depending on their origin  $\Rightarrow$  advantage of method(a)

# Method (b) - Phenomenological derivation

- In an inertial frame

$$\Pi^+(\omega) = \epsilon_+^{\mu*} \epsilon_+^\nu \Pi_{\mu\nu}(\omega, 0) = \omega^2 \Pi^{vac}(\omega^2)$$

- Energy shift of all right circularly polarized state in a rotating frame

$$\Rightarrow \omega \rightarrow \omega - g_\Omega \Omega \quad (\because H_{\text{SRC}} = -g_\Omega S \cdot \Omega)$$

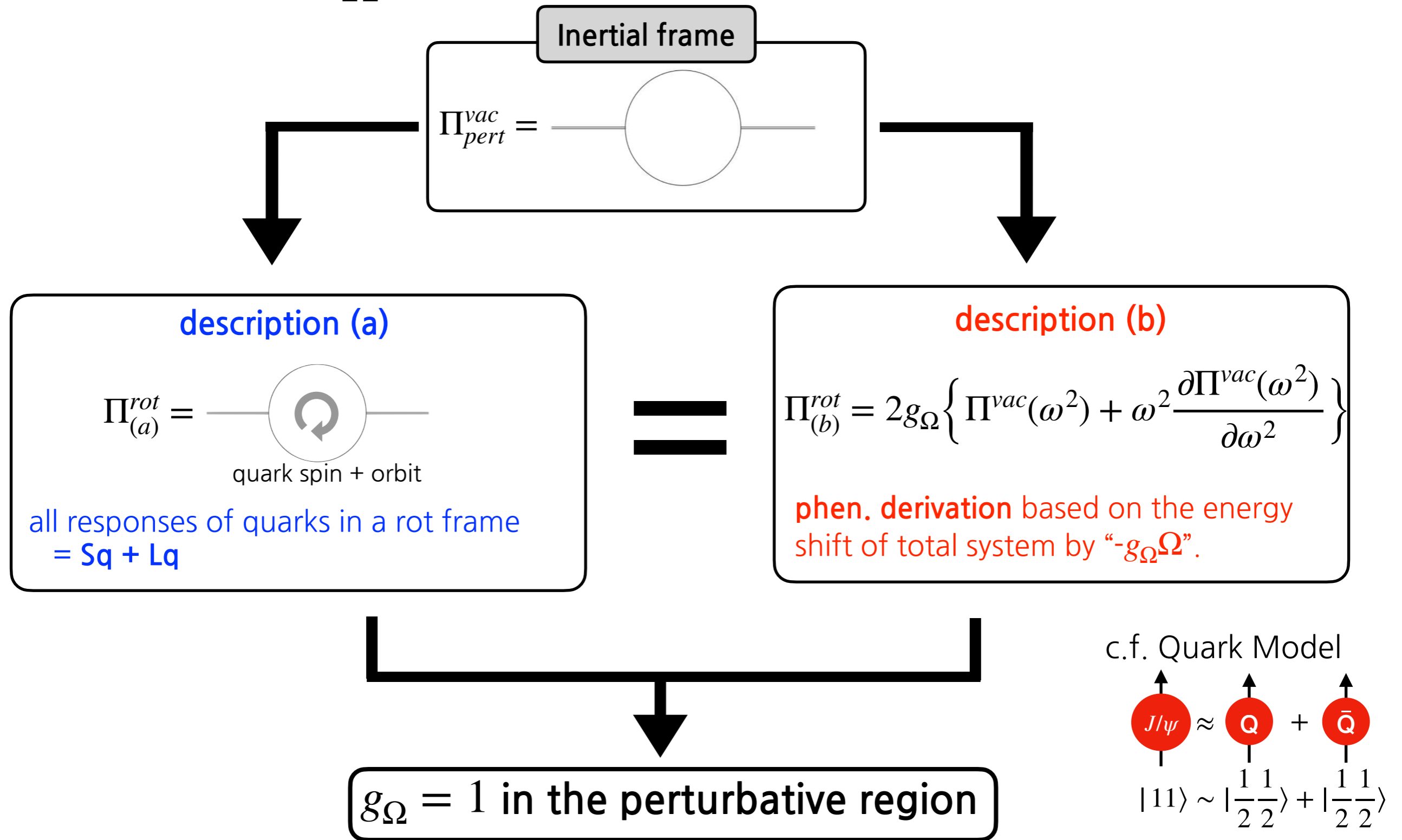
$$\begin{aligned} \Pi^+(\omega + g_\Omega \Omega) &= (\omega + g_\Omega \Omega)^2 \Pi^{vac}((\omega + g_\Omega \Omega)^2) \\ &= \omega^2 \Pi^{vac}(\omega^2) + \omega \Pi^{rot}(\omega^2) \Omega + \mathcal{O}(\Omega^2) \end{aligned}$$

- Simple expression of rotating part in terms of vacuum invariant ftn.

$$\Pi_{(b)}^{rot}(\omega^2) = 2 \underset{\substack{\uparrow \\ \text{unknown}}}{g_\Omega} \left\{ \Pi^{vac}(\omega^2) + \omega^2 \frac{\partial \Pi^{vac}(\omega^2)}{\partial \omega^2} \right\}$$

**$\Rightarrow$  We can directly derive  $\Pi^{rot}$  from  $\Pi^{vac}$  but it includes unknown  $g_\Omega$**

# $g_\Omega$ in perturbative region



When two free quarks form a spin-1 state in a rel. way, they follow  $H_{SRC} = -S \cdot \Omega$

c.f. Quark Model

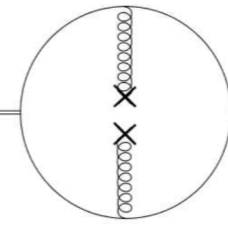
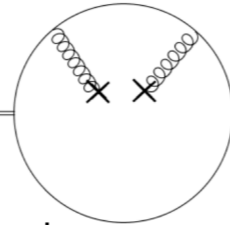
$$\begin{array}{c}
 \uparrow \quad \uparrow \quad \uparrow \\
 \text{J}/\psi \approx \text{Q} + \bar{\text{Q}} \\
 \downarrow \quad \downarrow \quad \downarrow \\
 |11\rangle \sim \left| \frac{1}{2} \frac{1}{2} \right\rangle + \left| \frac{1}{2} \frac{1}{2} \right\rangle \\
 H_r = m_{J/\psi} - \Omega
 \end{array}$$



# $g_\Omega$ in non-perturbative region

Inertial frame

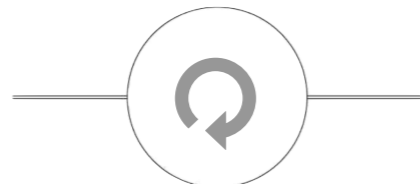
$$\Pi_{G_0}^{vac} =$$



$G_0$  : gluon condensate

description (a)

$$\Pi_{(a)}^{rot} =$$



quark + gluon

all responses of quarks and gluons  
=  $Sq + Lq + Jg$

=

description (b)

$$\Pi_{(b)}^{rot} = 2g_\Omega \left\{ \Pi^{vac}(\omega^2) + \omega^2 \frac{\partial \Pi^{vac}(\omega^2)}{\partial \omega^2} \right\}$$

phen. derivation based on the energy shift of total system by “ $-g_\Omega \Omega$ ”.

$g_\Omega = 1$  in the non-perturbative region

Even in non-pert region, spin-1 system follows  $H_{SRC} = -S \cdot \Omega$

# Physical meaning of $g_\Omega = 1$ ?

## Method (b)

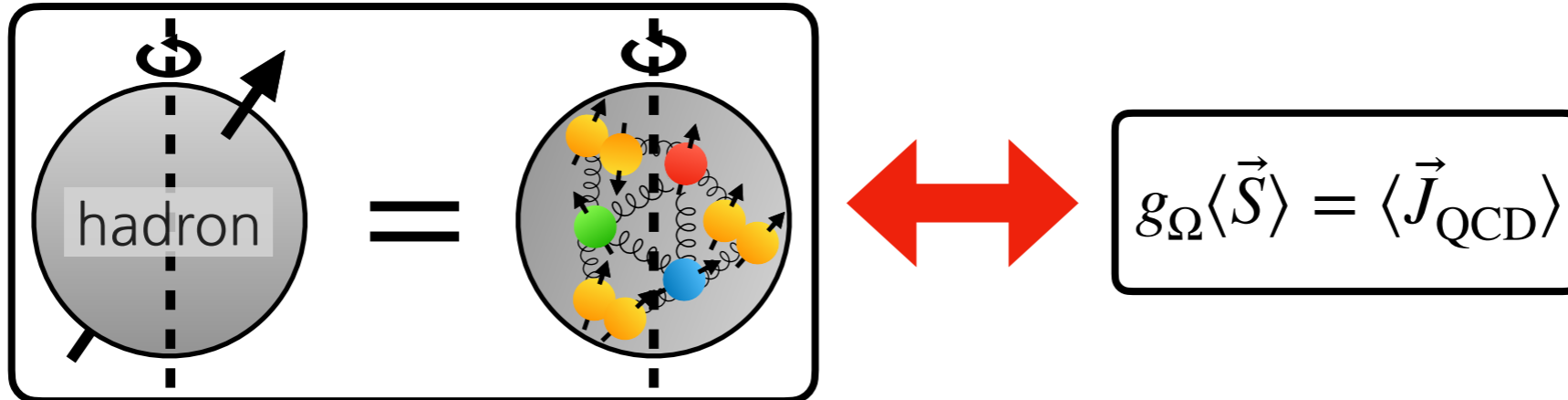
= SRC of the total system

=  $g_\Omega \langle \vec{S} \rangle$  where  $\vec{S}$  is spin-1 operator where  $\langle \dots \rangle = \int d^4x e^{iq \cdot x} \langle 0 | T[j(x) \dots j(0)] | 0 \rangle$

## Method (a)

=  $\Omega$  linear terms in all responses of quarks and gluon in a rotating frame

=  $\langle \vec{J}_{\text{QCD}} \rangle$  where  $\vec{J}_{\text{QCD}} = \int d^3x \left( \frac{1}{2} \bar{\psi} \vec{\gamma} \gamma_5 \psi + \psi^\dagger (\vec{x} \times (-i\vec{D})) \psi + \vec{x} \times (\vec{E} \times \vec{B}) \right)$



Therefore, we can conclude that  $g_\Omega = \langle \vec{J}_{\text{QCD}} \rangle / \langle \vec{S} \rangle$

-  $g_\Omega = 1$  means  $\langle \vec{S} \rangle = \langle \vec{J}_{\text{QCD}} \rangle$

- This should be valid for any Feynman diagram ( $\because$  AM conservation)

# Application - $g_\Omega$ of ground states

From Kallen-Lehmann(or spectral) rep,

“ $g_\Omega = 1$ ” is universal for all physical states that can couple to  $j^\mu(x)$ .

If we can extract the ground state,

**=> Fraction of  $g_\Omega$  carried by each a.m. inside the ground state**

$$g_\Omega^{\text{ground}} = \frac{\langle J_{\text{QCD}} \rangle}{\langle S_{\text{tot}} \rangle} = \frac{\langle S_q \rangle + \langle L_k \rangle + \langle L_p \rangle + \langle J_g \rangle}{\langle S_{\text{tot}} \rangle} = 1$$

$$S_q = \frac{1}{2} \gamma^1 \gamma^2 : \text{quark spin,}$$

$$L_k = r \times p : \text{kinetic part of quark orbital a.m,}$$

$$L_p = r \times gA : \text{potential part of quark orbital a.m,}$$

$$J_g = r \times (E \times B) : \text{gluon total a.m.}$$

**=> Spin content of the ground state**

# How to extract the ground state?

## <QCD sum rules>

$$\Pi(q^2) = i \int d^4x e^{iqx} \langle T \{ \phi(x) \phi(0) \} \rangle$$

$$Q^2 = -q^2 \gg 0$$

$$\Pi^{\text{OPE}}(Q^2) = \sum_n C_n \langle \mathcal{O}_n \rangle$$

$$\Pi^{\text{phen}}(q^2) = \frac{|\langle 0 | \phi | n_0 \rangle|^2}{q^2 - m_0^2} + \dots$$

$$\Pi^{\text{OPE}}(Q^2) = \int_0^\infty ds \frac{\text{Im} \Pi^{\text{phen}}(s)}{s + Q^2}$$

$$\hat{f}(M^2) \equiv \lim_{\substack{Q^2, n \rightarrow \infty \\ Q^2/n = M^2}} \frac{(Q^2)^{n+1}}{n!} \left( -\frac{d}{dQ^2} \right)^n f(Q^2)$$

$\text{Im} \Pi^{\text{phen}}(s) \approx$  ground state pole + continuum

$$\hat{\Pi}^{\text{OPE}}(M^2) = \int_0^\infty ds e^{-s/M^2} \text{Im} \Pi^{\text{phen}}(s)$$

=> spectral parameters are expressed as a ftn of Borel mass 'M' with QCD condensates.

But, approximate relation. Reliable only inside a limited range of M

# QCDSR analysis: vector channel

$$g_{\Omega}^{\text{ground}} = \frac{\langle J_{\text{QCD}} \rangle}{\langle S_{\text{tot}} \rangle} = \frac{\langle S_q \rangle + \langle L_k \rangle + \langle L_p \rangle + \langle J_g \rangle}{\langle S_{\text{tot}} \rangle} = 1 \quad \text{as a ftn of } M$$

Take average over a reliable range of  $M$

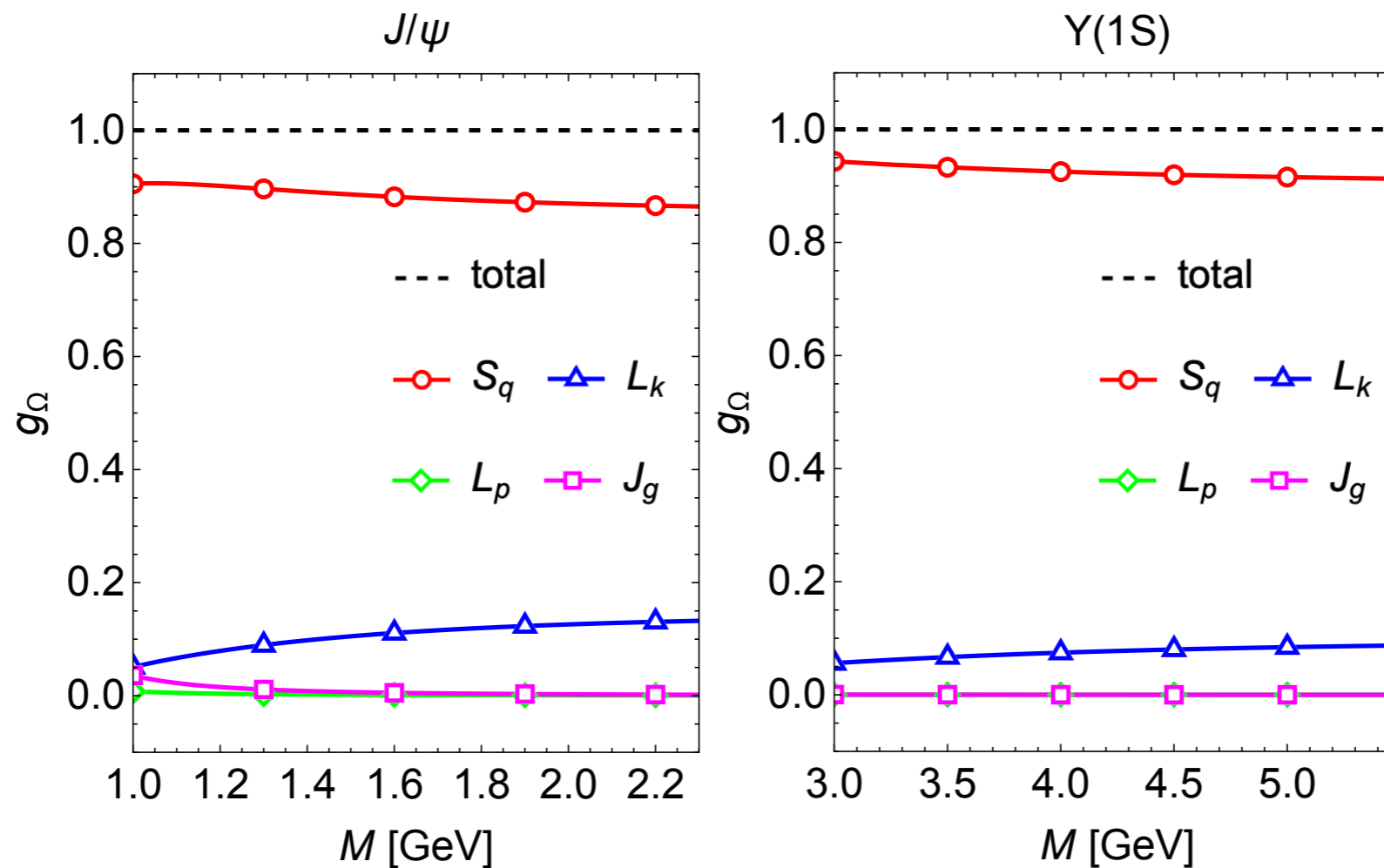


TABLE I:  $\sqrt{s_0}$  and Borel window for spin-1 quarkonia

	$J/\psi$	$\chi_{c1}$	$\Upsilon(1S)$	$\chi_{b1}$
$\sqrt{s_0}$ [GeV]	3.5	4.0	10.3	11
$(M_{\min}, M_{\max})$ [GeV]	(1,2.3)	(1.4,2.3)	(3,5.5)	(3.6,4.9)

# spin contents of spin-1 quarkonia

With the help of 'QCD sum rule' + simple 'pole+continuum' ansatz.

		Vector (%)			Axial (%)			
		S-wave	$\Upsilon(1S)$	$J/\psi$	P-wave	$\chi_{b1}$	$\chi_{c1}$	
Quark	spin	$S_q$	100	92	88	50	43	40
	$r \times p$	$L_k$	0	7.6	11	50	57	61
	$r \times gA$	$L_p$	0	0.003	0.2	0	-0.001	0.08
Gluon	$r \times (E \times B)$	$J_g$	0	0.015	0.8	0	-0.005	-1.5

- Total sum of 4 pieces = 100 %
  - Classical picture from the naive Q.M.  
S-wave: quark spin(100%) , P-wave: quark spin(50%) quark oam(50%)
  - Spin contents are slightly different from the classical picture.  
As the quark mass becomes lighter, spin contents deviate more from the classical picture
- ex)  $J/\psi$  is considered as S-wave but quarks do not carry all of the total spin  
 $\Upsilon(1S)$  is still comparable with the classical picture

# Light quark system?

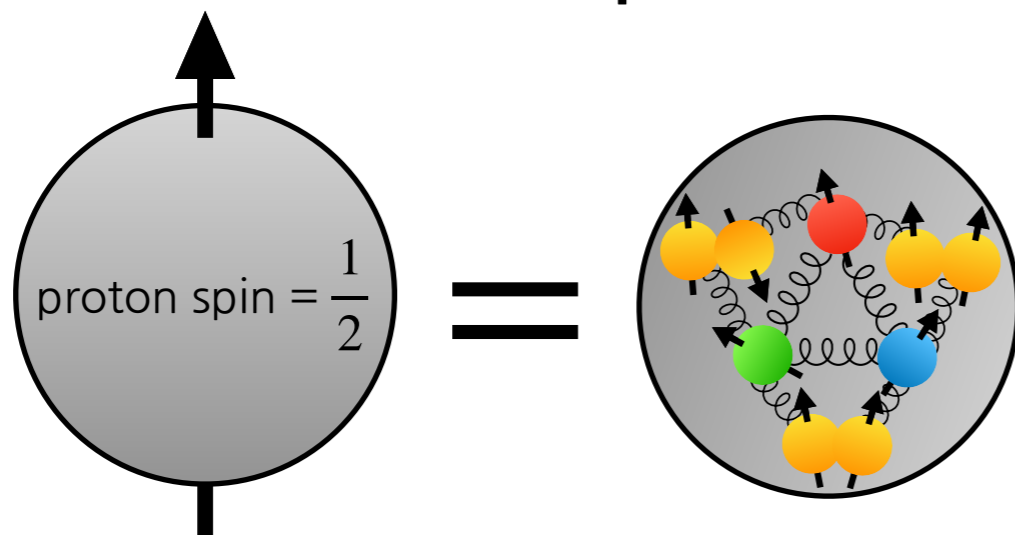
vector mesons

	Q.M.	$\Upsilon(1S)$	$J/\psi$	$\rho, \omega, \phi$
$S_q$	100	92	88	?
$L_k$	0	7.6	11	?
$L_p$	0	0.003	0.2	?
$J_g$	0	0.015	0.8	?

nucleons

	Q.M.	p, n
$S_q$	100	?
$L_k$	0	?
$L_p$	0	?
$J_g$	0	?

## Proton spin



$$\frac{1}{2} = \langle S_q \rangle + \langle L_q \rangle + \langle J_g \rangle$$

quark spin    quark orbit    gluon  
 $\sim 30\%$      $(? \%)$      $(? \%)$

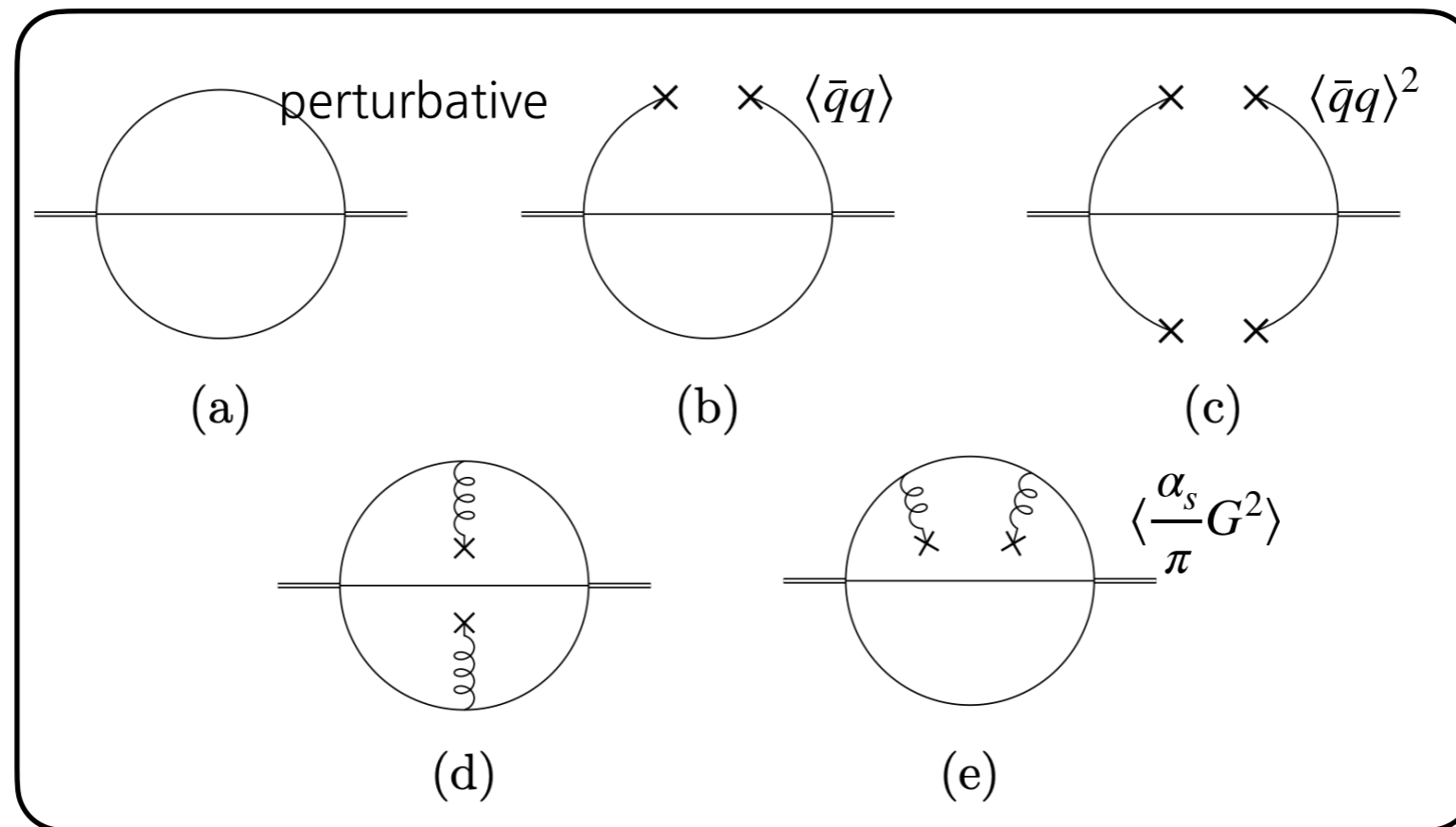
# Proton in an inertial frame

$$\Pi(q) = i \int d^4x e^{iqx} \langle T \{ \eta(x) \bar{\eta}(0) \} \rangle$$

massless limit :  $m_{u,d} \rightarrow 0$

spin 1/2 nucleon current

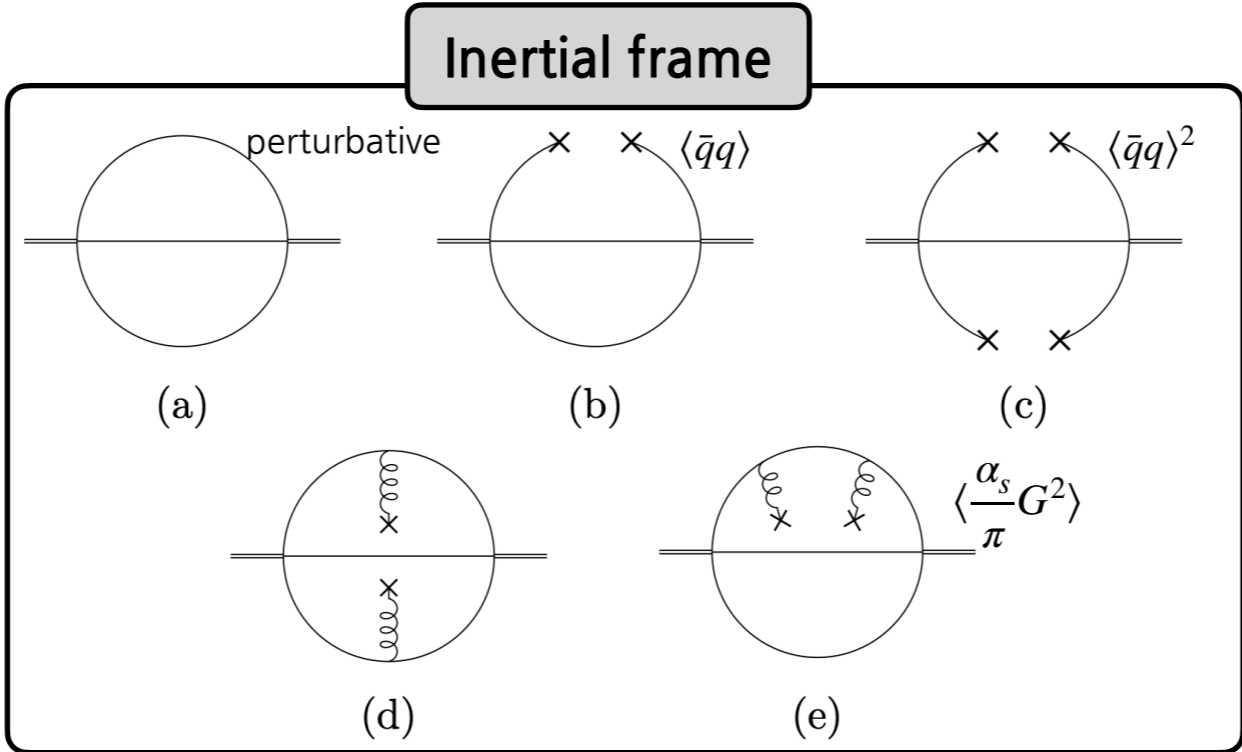
Feynman diagrams (the most essential)



$$\langle \bar{q}q \rangle = - (240 \text{ MeV})^3, \quad \langle \frac{\alpha_s}{\pi} G^2 \rangle = (330 \text{ MeV})^4$$



# Repeat!



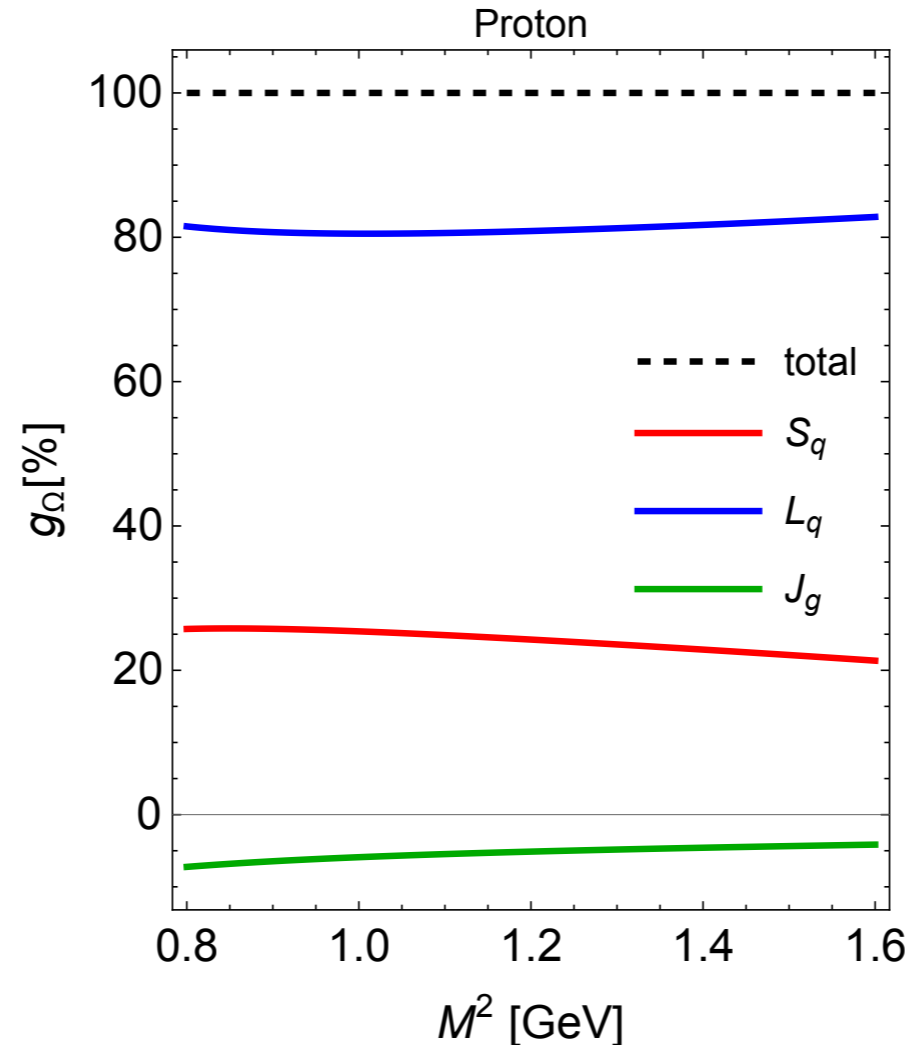
**description (a)**  
all responses of quarks and gluons

**=**

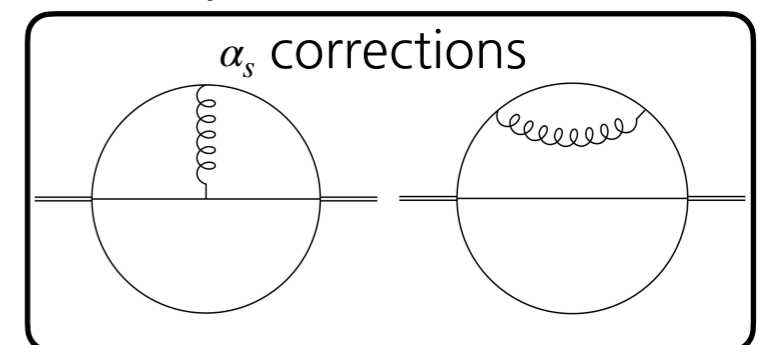
**description (b)**  
phen. derivation based on the energy shift of total system by “ $-g_\Omega \Omega$ ”.

**$g_\Omega = 1$  for spin 1/2 composite system**

# Intermediate result



- Roughly, at  $M \sim 1$  GeV,  $\langle S_q \rangle : \langle L_q \rangle \approx 1 : 4$
- This naive analysis captures the important feature that  $S_q$  is small
- More accurate analysis requires more diagrams with finite quark masses.
- $\langle J_g \rangle$  is small and negative  $\Rightarrow \alpha_s$ -corrections



# Summary

- We proved that spin-1 composite systems follow  $H_{\text{SRC}} = -\mathbf{S} \cdot \mathbf{\Omega}$
- Inspired by SRC, we proposed a way to study hadron spin decomposition.
- Using QCD Sum Rules, we examined spin contents of spin-1 quarkonia and are currently working on the proton.