

Global analysis  
of Sivers and  
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asymmetries  
within TMD  
factorization

Chunhua Zeng

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for SIDIS, DY and  
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SUMMERY

# Global analysis of Sivers and Collins asymmetries within TMD factorization

Chunhua Zeng

Institute of Modern Physics

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## Collaborators:

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- The three-dimensional structure of the nucleon can be described by TMD PDFs.
- In leading twist, there are eight TMD PDFs.

| TMDs                 |   | Quark polarization         |                            |                                          |
|----------------------|---|----------------------------|----------------------------|------------------------------------------|
| Nucleon polarization | U | $f_1$<br>Unpolarized       |                            | $h_{\frac{1}{2}}$<br>Boer-Mulders        |
|                      | L |                            | $g_{1L}$<br>Helicity       | $h_{\frac{1}{2}L}$<br>Longi-transversity |
|                      | T | $f_{1T}^{\perp}$<br>Sivers | $g_{1T}$<br>Trans-helicity | $h_1$<br>Transversity                    |
|                      |   |                            |                            | $h_{1T}^{\perp}$<br>Pretzelosity         |

○ → Nucleon spin      ● → Quark spin

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- $f_{1T}^{\perp}$ (Sivers): Describes an unpolarized quark inside a transversely polarized hadron.

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- $h_1$ (Transversity): Describes a transversely polarized quark inside a transversely polarized hadron.

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TMDs can be studied experimentally through SIDIS, SIA, and DY processes.

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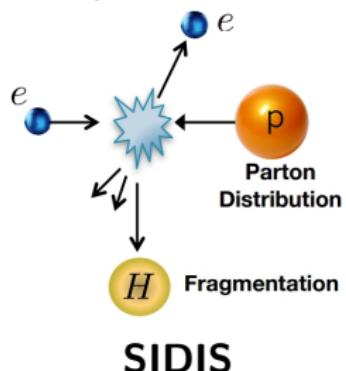
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TMDs can be studied experimentally through SIDIS, SIA, and DY processes.



$$A_{UT,T}^{\sin(\phi_h - \phi_s)} \propto f_{1T}^\perp \otimes D_1$$

$$A_{UT}^{\sin(\phi_h + \phi_s)} \propto h_1 \otimes H_1^\perp$$

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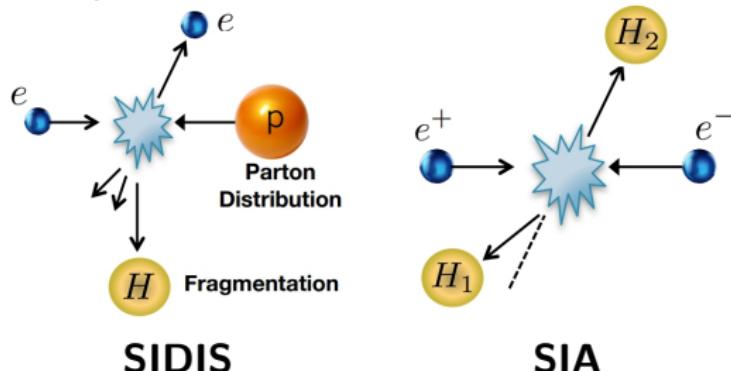
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$$A_0^{UL} \propto H_1^\perp \otimes H_1^\perp$$

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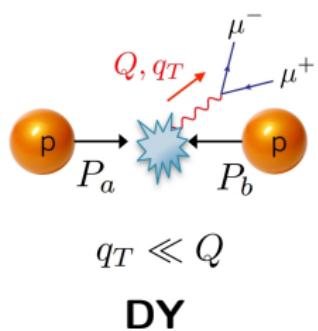
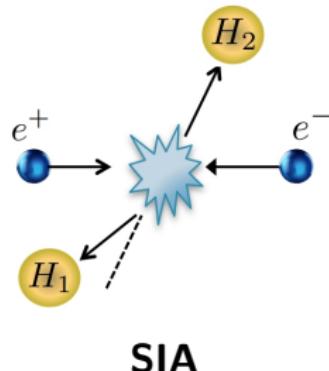
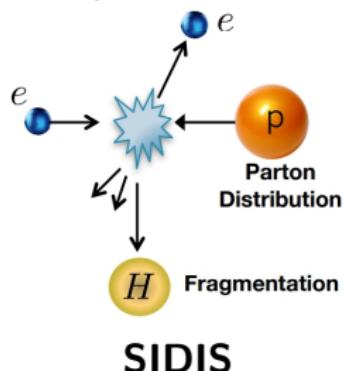
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$$A_0^{UL} \propto H_1^\perp \otimes H_1^\perp$$

$$A_{UT}^{\sin\phi} \propto f_{1T}^\perp \otimes f_1$$

$$A_{UT}^{\sin(\phi_h + \phi_s)} \propto h_1 \otimes H_1^\perp$$

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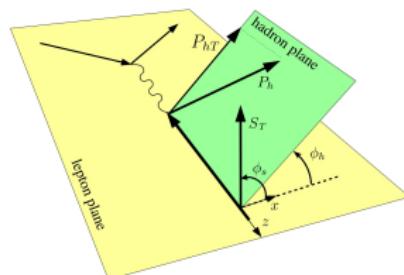
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The SIDIS cross section can be written as

$$\frac{d\sigma}{dxdydzd\phi_h d\phi_s dP_{h\perp}^2} = \frac{\alpha_{em}^2}{xyQ^2} \left(1 - y + \frac{1}{2}y^2\right) \{ F_{UU,T} + S_T [\sin(\phi_h - \phi_s) F_{UT,T}^{\sin(\phi_h - \phi_s)} + \varepsilon \sin(\phi_h + \phi_s) F_{UT}^{\sin(\phi_h + \phi_s)}] + \dots \},$$

The **Sivers** and **Collins** asymmetries for SIDIS process are



The SIDIS process in  $\gamma^* p$  center of  
mass frame.

$$A_{UT,T}^{\sin(\phi_h - \phi_s)} = \frac{F_{UT,T}^{\sin(\phi_h - \phi_s)}}{F_{UU,T}} = \frac{\hat{\sigma}_{eq \rightarrow e'q'} \otimes f_{1T}^\perp \otimes D_1}{\hat{\sigma}_{eq \rightarrow e'q'} \otimes f_1 \otimes D_1}$$

$$A_{UT}^{\sin(\phi_h + \phi_s)} = \frac{F_{UT}^{\sin(\phi_h + \phi_s)}}{F_{UU,T}} = \frac{\hat{\sigma}_{eq \rightarrow e'q'} \otimes h_1 \otimes H_1^\perp}{\hat{\sigma}_{eq \rightarrow e'q'} \otimes f_1 \otimes D_1}$$

- $f_{1T}^\perp$ : Sivers function
- $h_1$ : Transversity function
- $H_1^\perp$ : Collins function

$$\text{DY}: h_1(P_1, S_1) + h_2(P_2, S_2) \rightarrow \gamma^* \rightarrow l^+ l^-$$

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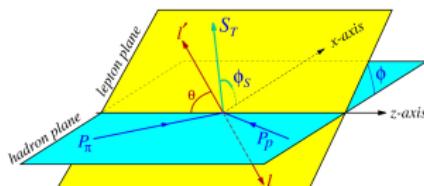
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The DY cross section can be written as

$$\frac{d\sigma}{d^4 q d\Omega} = \frac{\alpha_{em}^2}{\mathcal{F} Q^2} \{(1 + \cos^2 \theta) F_{UU}^1 + S_T (1 - \cos^2 \theta) \sin \phi_s F_{UT}^{\sin \phi_s} + \dots\},$$

The **Sivers** transverse-spin-dependent asymmetries for DY process are



$$A_{UT}^{\sin \phi_s} = \frac{F_{UT}^{\sin \phi_s}}{F_{UU}^1} = \frac{\hat{\sigma}_{q\bar{q} \rightarrow l\bar{l}} \otimes f_{1T}^\perp \otimes f_1}{\hat{\sigma}_{q\bar{q} \rightarrow l\bar{l}} \otimes f_1 \otimes f_1}$$

The DY process in the  
Collins-Soper frame .

•  $f_{1T}^\perp$ : Sivers function



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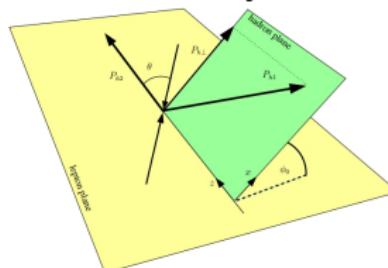
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In the limit of small transverse momentum  $P_{h\perp}$ , the cross section as predicted by TMD factorization reads

$$\frac{d^5\sigma}{dz_{h1} dz_{h2} d^2 P_{h\perp} d\cos\theta} = \frac{N_c \pi \alpha_{em}^2}{2Q^2} z_{h1}^2 z_{h2}^2 \left[ (1 + \cos^2 \theta) F_{UU} + \sin^2 \theta \cos(2\phi_0) F_{UU}^{\cos 2\phi_0} \right].$$

The **collins** asymmetries for SIA process are



$$A_0^{UL} \propto \frac{F_{UU}^{\cos 2\phi_0}}{F_{UU}} = \frac{\hat{\sigma}_{e\bar{e} \rightarrow q\bar{q}} \otimes H_1^\perp \otimes H_1^\perp}{\hat{\sigma}_{e\bar{e} \rightarrow q\bar{q}} \otimes D_1 \otimes D_1}$$

The SIA process in the frame of z  
axis along one of the detected  
hadrons.

- $H_1^\perp$ : Collins function

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At small value of  $b$ , the TMD distribution could be related to collinear distributions

$$f_{1,f \leftarrow h}(x, b; Q, Q^2) = \sum_{f'} \int_x^1 \frac{dy}{y} C_{f \leftarrow f'}(y, b, \mu_{\text{OPE}}^{\text{PDF}}) \\ \times f_{1,f' \leftarrow h}\left(\frac{x}{y}, \mu_{\text{OPE}}^{\text{PDF}}\right) f_{\text{NP}}(x, b) R(Q, b),$$
$$D_{1,f \rightarrow h}(z, b; Q, Q^2) = \frac{1}{z^2} \sum_{f'} \int_z^1 \frac{dy}{y} y^2 C_{f \rightarrow f'}(y, b, \mu_{\text{OPE}}^{\text{FF}}) \\ \times d_{1,f' \rightarrow h}\left(\frac{z}{y}, \mu_{\text{OPE}}^{\text{FF}}\right) D_{\text{NP}}(z, b) R(Q, b).$$

$f_{\text{NP}}(x, b)$ ,  $D_{\text{NP}}(z, b)$  and the non-perturbative parts of the evolution factor  $R(Q, b)$  are obtained from the fitting results in SV19 with  $\zeta$ -prescription.

- SV19: JHEP06(2020)137

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Sivers function:(13 parameters for  $u, d, \bar{u}, \bar{d}$ )

Transversity function:(13 parameters for  $u, d, \bar{u}, \bar{d}$ )

Collins function:(22 parameters for  $\pi_{fav}, \pi_{unf}, K_{fav}, K_{unf}$ )

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Sivers function:(13 parameters for  $u, d, \bar{u}, \bar{d}$ )

$$f_{1T;q \leftarrow p}^{\perp}(x, b) = N_q \frac{(1-x)^{\alpha_q} x^{\beta_q} (1 + \varepsilon_q x)}{n(\beta_q, \varepsilon_q, \alpha_q)} \exp(-r_q b^2) f_{1,q}(x)$$

Transversity function:(13 parameters for  $u, d, \bar{u}, \bar{d}$ )

$$h_{1;q \leftarrow p}(x, b) = N_q \frac{(1-x)^{\alpha_q} x^{\beta_q} (1 + \varepsilon_q x)}{n(\beta_q, \varepsilon_q, \alpha_q)} \exp(-r_q b^2) f_{1,q}(x)$$

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Collins function:(22 parameters for  $\pi_{fav}, \pi_{unf}, K_{fav}, K_{unf}$ )

$$\begin{aligned} H_{1;q \rightarrow h}^{\perp}(z, b) &= \frac{1}{z^2} N_q \frac{(1-z)^{\alpha_q} z^{\beta_q} (1 + \varepsilon_q z)}{n(\beta_q, \varepsilon_q, \alpha_q)} \\ &\times \exp\left(-\frac{\eta_{1q} z + \eta_{2q} (1-z)}{\sqrt{1 + \eta_{3q} (b/z)^2}} \frac{b^2}{z^2}\right) \left(1 + \eta_{4q} \frac{b^2}{z^2}\right) \end{aligned}$$

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## Measurement: Collins and Sivers asymmetries

$$A_{UT}^{\sin(\phi_h + \phi_s)} \propto h_1 \otimes H_1^\perp, \quad A_{UT,T}^{\sin(\phi_h - \phi_s)} \propto f_{1T}^\perp \otimes f_1$$

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  - ① J. High Energy Phys. 12 (2020) 010
- **COMPASS:** The data to be presented during the 2002–2005, 2007, 2010 and 2022 running period at CERN.
  - ① Phys. Lett. B 673 (2009) 127–135
  - ② Phys. Lett. B 744 (2015) 250–259
- **JLab:** Performed in Jefferson Lab (JLab) Hall A from 2008/11 to 2009/02.
  - ① Phys. Rev. Lett. 107, 072003 (2011)
  - ② Phys. Rev. C 90, 055201 (2014)

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High precision
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| Data set    | Target           | Beam                    | Data points | Reaction                                                                                                                                                         |
|-------------|------------------|-------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HERMES      | H <sub>2</sub>   | 27.6 GeV e <sup>±</sup> | 172(192)    | $e^{\pm}p \rightarrow e^{\pm}\pi^{+}X$<br>$e^{\pm}p \rightarrow e^{\pm}\pi^{-}X$<br>$e^{\pm}p \rightarrow e^{\pm}K^{+}X$<br>$e^{\pm}p \rightarrow e^{\pm}K^{-}X$ |
| COMPASS2009 | <sup>6</sup> LiD | 160 GeV $\mu^{+}$       | 75(104)     | $\mu^{+}d \rightarrow \mu^{+}\pi^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}\pi^{-}X$<br>$\mu^{+}d \rightarrow \mu^{+}K^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}K^{-}X$ |
| COMPASS2015 | NH <sub>3</sub>  | 160 GeV $\mu^{+}$       | 75(104)     | $\mu^{+}p \rightarrow \mu^{+}\pi^{+}X$<br>$\mu^{+}p \rightarrow \mu^{+}\pi^{-}X$<br>$\mu^{+}p \rightarrow \mu^{+}K^{+}X$<br>$\mu^{+}p \rightarrow \mu^{+}K^{-}X$ |
| COMPASS2024 | <sup>6</sup> LiD | 160 GeV $\mu^{+}$       | 38(52)      | $\mu^{+}d \rightarrow \mu^{+}h^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}h^{-}X$                                                                                     |
| JLab2011    | <sup>3</sup> He  | 5.9 GeV e <sup>-</sup>  | 8(8)        | $e^{-}n \rightarrow e^{-}\pi^{+}X$<br>$e^{-}n \rightarrow e^{-}\pi^{-}X$                                                                                         |
| JLab2014    | <sup>3</sup> He  | 5.9 GeV e <sup>-</sup>  | 5(5)        | $e^{-}{}^3\text{He} \rightarrow e^{-}K^{+}X$<br>$e^{-}{}^3\text{He} \rightarrow e^{-}K^{-}X$                                                                     |

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|-------------|------------------|-------------------------|-------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| HERMES      | H <sub>2</sub>   | 27.6 GeV e <sup>±</sup> | 172(192)    | $e^{\pm}p \rightarrow e^{\pm}\pi^{+}X$<br>$e^{\pm}p \rightarrow e^{\pm}\pi^{-}X$<br>$e^{\pm}p \rightarrow e^{\pm}K^{+}X$<br>$e^{\pm}p \rightarrow e^{\pm}K^{-}X$ |
| COMPASS2009 | <sup>6</sup> LiD | 160 GeV $\mu^{+}$       | 75(104)     | $\mu^{+}d \rightarrow \mu^{+}\pi^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}\pi^{-}X$<br>$\mu^{+}d \rightarrow \mu^{+}K^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}K^{-}X$ |
| COMPASS2015 | NH <sub>3</sub>  | 160 GeV $\mu^{+}$       | 75(104)     | $\mu^{+}p \rightarrow \mu^{+}\pi^{+}X$<br>$\mu^{+}p \rightarrow \mu^{+}\pi^{-}X$<br>$\mu^{+}p \rightarrow \mu^{+}K^{+}X$<br>$\mu^{+}p \rightarrow \mu^{+}K^{-}X$ |
| COMPASS2024 | <sup>6</sup> LiD | 160 GeV $\mu^{+}$       | 38(52)      | $\mu^{+}d \rightarrow \mu^{+}h^{+}X$<br>$\mu^{+}d \rightarrow \mu^{+}h^{-}X$                                                                                     |
| JLab2011    | <sup>3</sup> He  | 5.9 GeV e <sup>-</sup>  | 8(8)        | $e^{-}n \rightarrow e^{-}\pi^{+}X$<br>$e^{-}n \rightarrow e^{-}\pi^{-}X$                                                                                         |
| JLab2014    | <sup>3</sup> He  | 5.9 GeV e <sup>-</sup>  | 5(5)        | $e^{-}{}^3\text{He} \rightarrow e^{-}K^{+}X$<br>$e^{-}{}^3\text{He} \rightarrow e^{-}K^{-}X$                                                                     |

For validity of the TMD factorization, Only small  $\delta$  data are selected:

$$\delta = P_{h\perp}/z/Q < 1. \quad (1)$$

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## Measurement: Sivers asymmetries

$$A_{UT}^{\sin\phi} \propto f_{1T}^\perp \otimes f_1$$

- **COMPASS**: The dimuon production data were collected in 2015 and in 2018 at CERN.
  - ① Phys. Rev. Lett. 133 (2024) 071902
- **STAR**: The data sample was collected in 2011( $W^\pm$ ) and 2011-2013,2017( $Z^0$ ) at RHIC.
  - ① Phys. Rev. Lett. 116 (2016) 132301
  - ② Phys. Lett. B854 (2024) 138715

| Data set | Reaction                                      | Data points |
|----------|-----------------------------------------------|-------------|
| COMPASS  | $\pi^- + p^\uparrow \rightarrow \gamma^* + X$ | 15(15)      |
| STAR.W+  | $p^\uparrow + p \rightarrow W^+ + X$          | 8(8)        |
| STAR.W-  | $p^\uparrow + p \rightarrow W^- + X$          | 8(8)        |
| STAR.Z   | $p^\uparrow + p \rightarrow \gamma^*/Z + X$   | 1(1)        |

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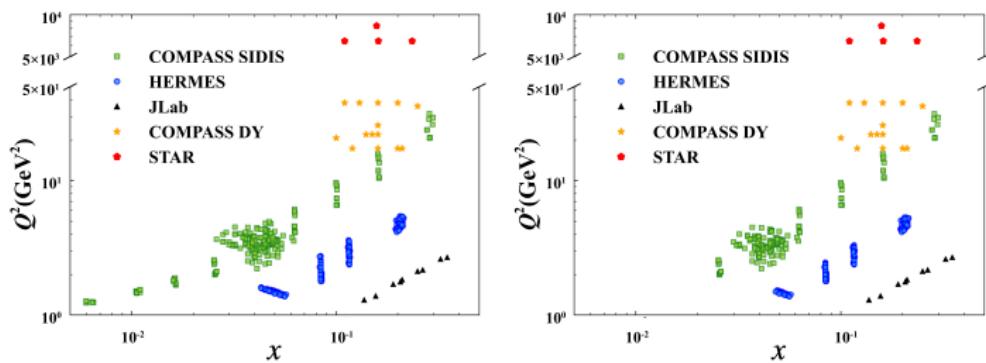
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The kinematic distributions of the data for SIDIS, Drell-Yan in  $x - Q^2$  planes without(495) and with(405)  $\delta$  cut .



# Data selection SIA data

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## Measurement: Collins asymmetries

$$A_0^{UL} \propto H_{1T}^\perp \otimes H_{1T}^\perp$$

- Belle:
  - ① Phys. Rev. D 78, 032011 (2008); 86, 039905(E) (2012).
- BABAR:
  - ① Phys. Rev. D 90, 052003 (2014).
  - ② Phys. Rev. D 92, 111101 (2015).
- BESIII:
  - ① Phys. Rev.Lett. 116, 042001 (2016).

| Data set   | Energy    | Data points | Reaction                      |
|------------|-----------|-------------|-------------------------------|
| BELLE2008  | 10.58 GeV | 16(16)      | $e^+e^- \rightarrow \pi\pi X$ |
| BABAR2014  | 10.6 GeV  | 45(45)      | $e^+e^- \rightarrow \pi\pi X$ |
| BABAR2015  | 10.6 GeV  | 48(48)      | $e^+e^- \rightarrow \pi\pi X$ |
|            |           |             | $e^+e^- \rightarrow \pi K X$  |
|            |           |             | $e^+e^- \rightarrow K K X$    |
| BESIII2016 | 3.65 GeV  | 11(11)      | $e^+e^- \rightarrow \pi\pi X$ |

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# The $\chi^2$ values for different datasets

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| SIDIS     | N   | $\chi^2/N$ Sivers | $\chi^2/N$ Collins |
|-----------|-----|-------------------|--------------------|
| COMPASS09 | 75  | 1.10              | 0.98               |
| COMPASS15 | 75  | 2.26              | 1.11               |
| COMPASS24 | 38  | 0.83              | 1.07               |
| HERMES    | 172 | 1.21              | 1.12               |
| JLab      | 11  | 0.93              | 1.09               |
| all       | 373 | 1.35              | 1.08               |

| SIA       | N   | $\chi^2/N$ |
|-----------|-----|------------|
| Belle     | 16  | 0.79       |
| Babar2014 | 45  | 1.04       |
| Babar2015 | 48  | 0.79       |
| BESIII    | 11  | 2.24       |
| all       | 120 | 1.01       |

| DY        | N  | $\chi^2/N$ |
|-----------|----|------------|
| COMPASSDY | 15 | 0.79       |
| Star      | 17 | 1.91       |
| all       | 32 | 1.38       |

# Sivers function with error bands

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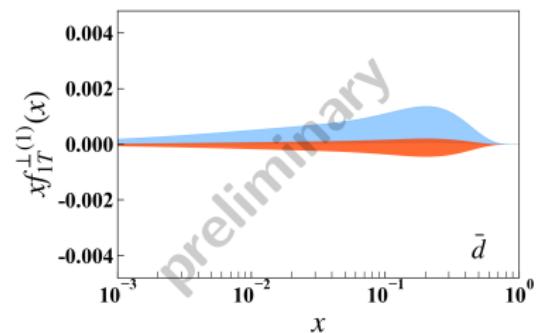
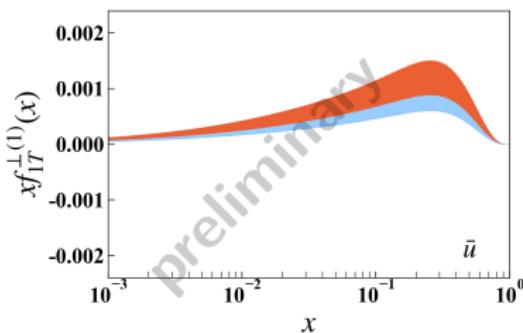
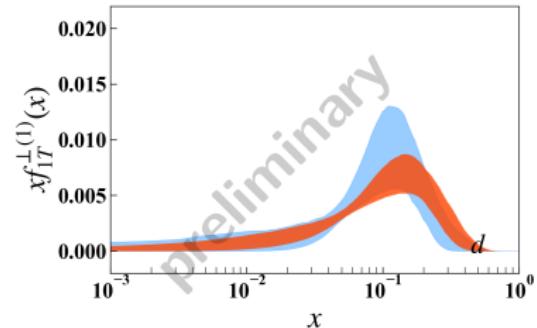
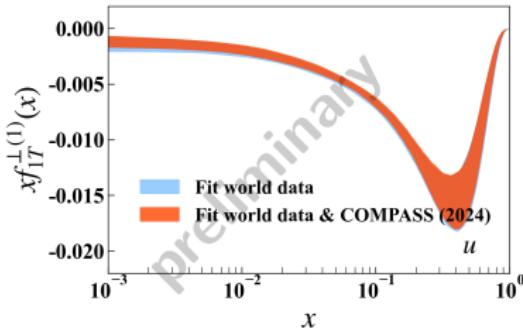
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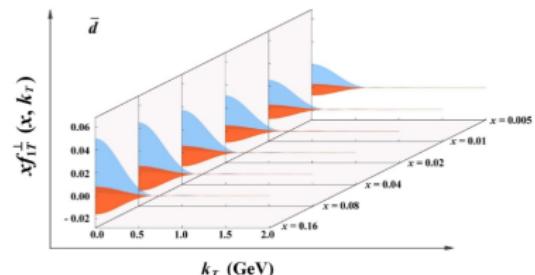
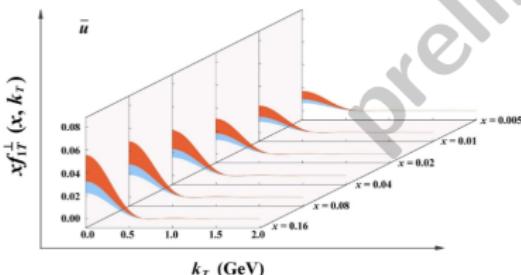
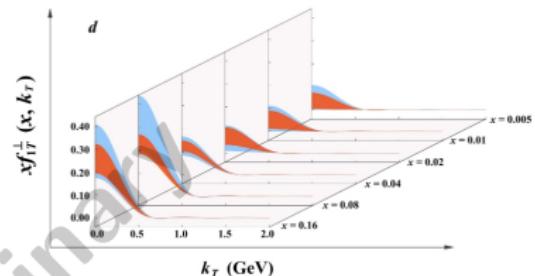
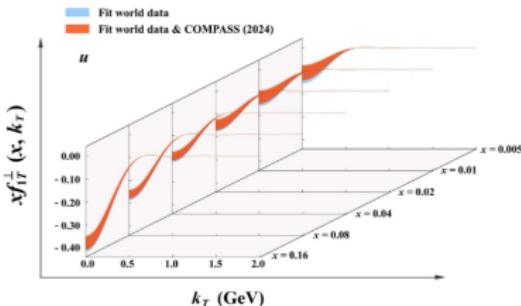
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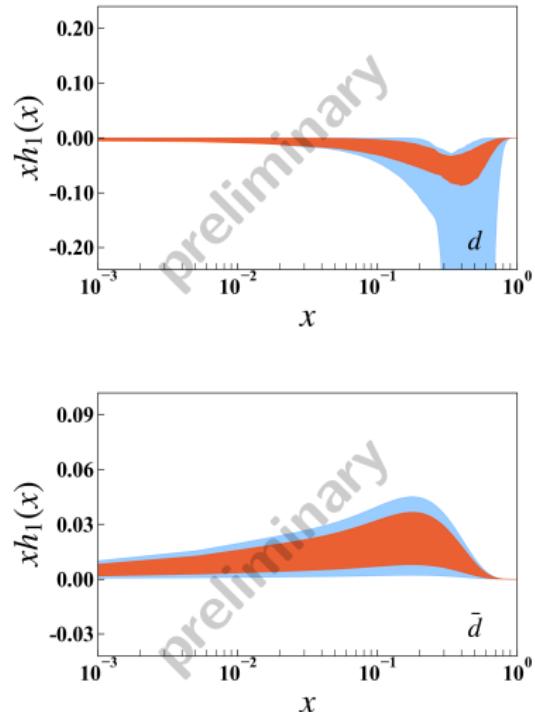
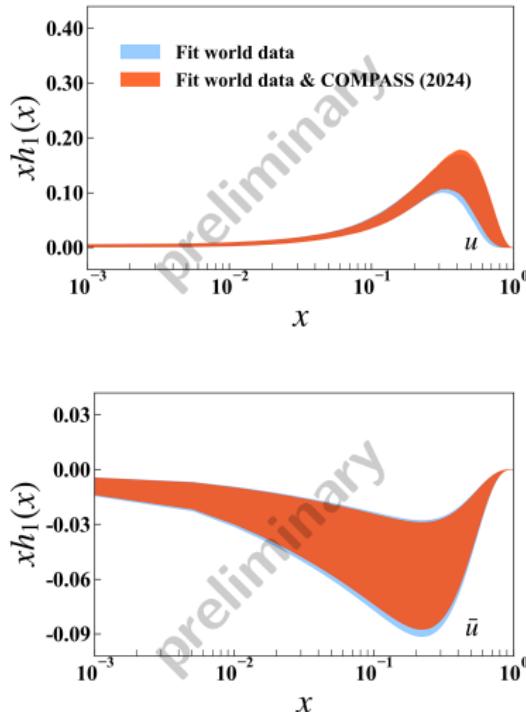
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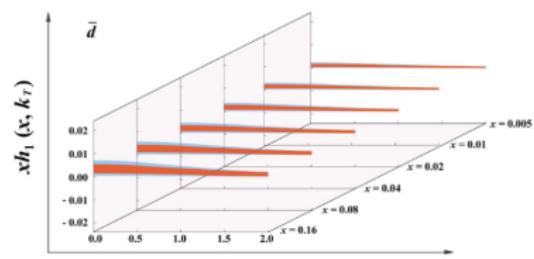
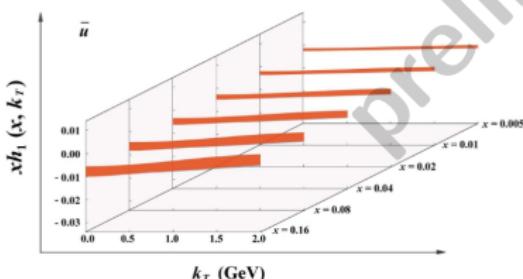
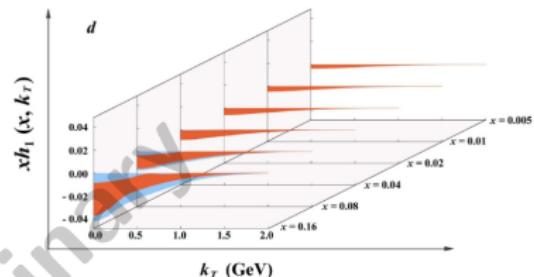
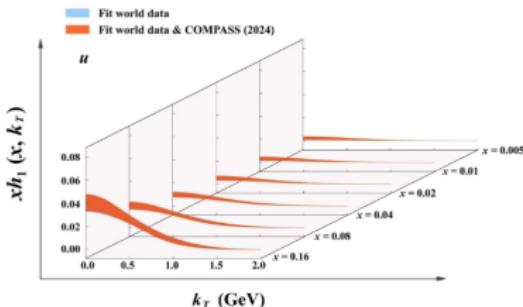
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# Comparison of tensor charge

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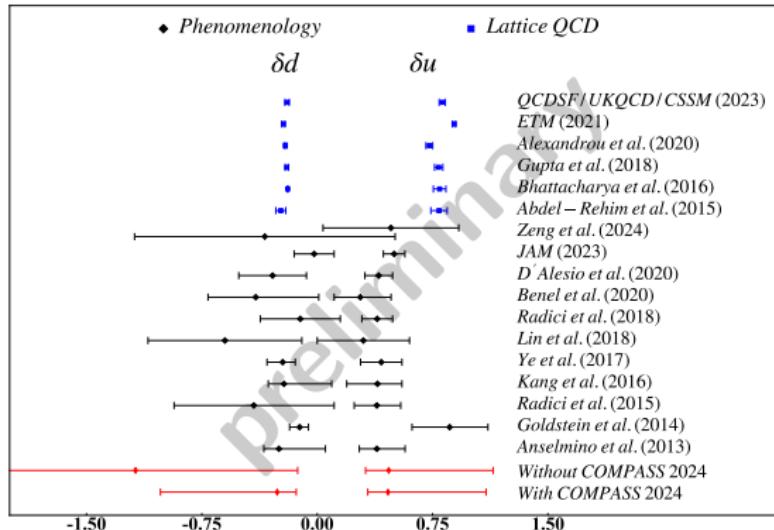
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The tensor charge is defined as

$$\delta u = \int_0^1 dx (h_u(x) - h_{\bar{u}}(x)), \quad \delta d = \int_0^1 dx (h_d(x) - h_{\bar{d}}(x))$$

# Collins function with error bands

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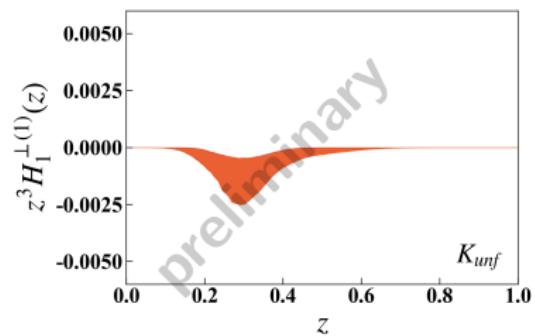
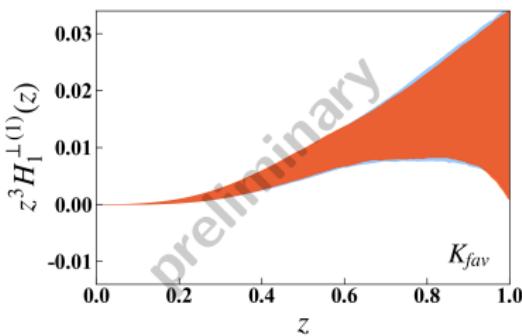
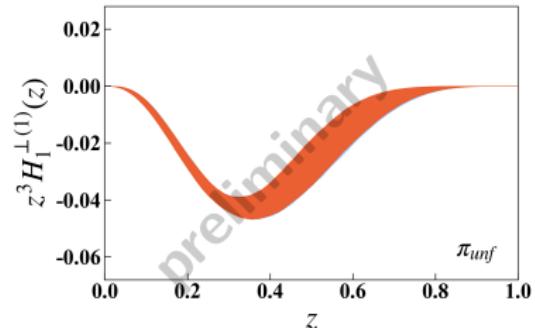
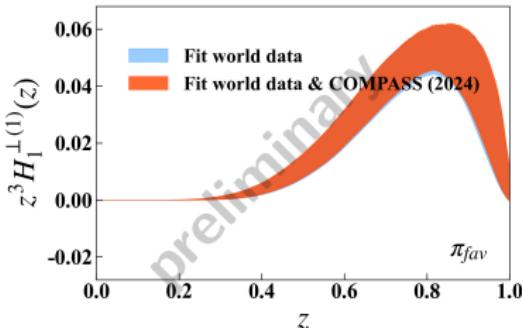
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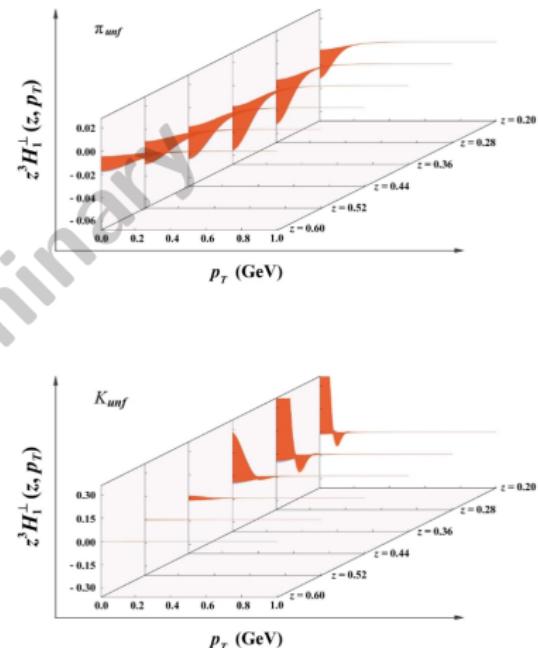
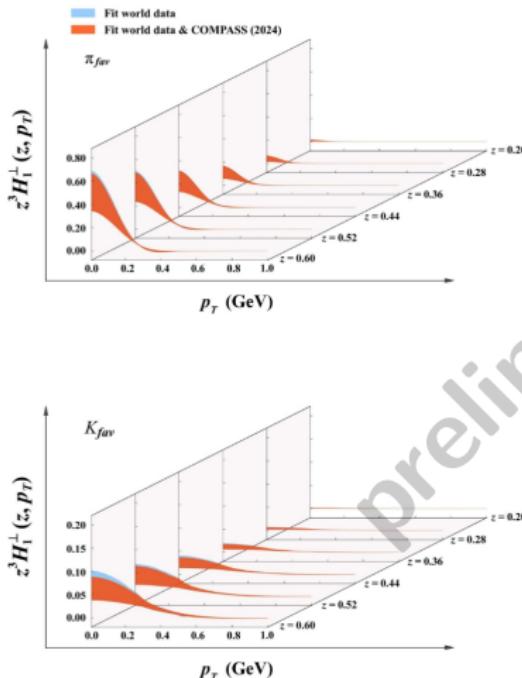
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1. we present a global analysis of Sivers function, transversity and Collins functions encompasses the latest data sets from SIDIS as recently reported by the COMPASS Collaborations.
- 2.Upon integrating this new data into our fitting, the accuracy of the  $d$ ,  $\bar{d}$  quark extraction for both transversity and Sivers distribution is notably improved, as well as the tensor charge.